Supplementary Material: Organ at Risk Segmentation for Head and Neck Cancer using Stratified Learning and Neural Architecture Search

1. Performance of OAR segmentation



Figure 1. Qualitative illustration of the mid-level (left-hand side) and S&H (right-hand side) OAR segmentation using UaNet and the proposed SOARS. The seven columns are seven representative axial slices in the RTCT image. The 1^{st} column shows the OAR labels from a radiation oncologist, while the 2^{nd} and 3^{rd} columns are the predicted segmentation results by the UaNet and the proposed SOARS, respectively. For better comparison, we use red arrows to indicate the improvements. For visualization purpose, the dashed rectangles are enlarged for highlighting improvements on S&H OAR segmentation.

In Tab. 1, we report the category-by-category Dice score (DSC) of the proposed SOARS against UNet [2], P-HNN [1], and UaNet [3]. In Tab. 2, we report the category-by-category Hausdorff distance (HD) of the proposed SOARS against UNet, P-HNN, and UaNet. For both metrics, SOARS achieved 30 out of 42 OARs best performance. SOARS performed slightly worse than UaNet on temporal lobe and temporomandibular joint segmentations in terms of DSC. Yet, the DSC differences are relatively small. We demonstrate some qualitative comparison results against UaNet in Fig. 1, where the improvements are indicated using red arrows.

Organ	UNet	P-HNN	UaNet	SOARS
Basal Ganglia Lt	64.0±12.4	63.5±16.6	63.6±13.7	63.8±13.7
Basal Ganglia Rt	64.7 ± 13.9	$63.5 {\pm} 14.2$	67.4±15.0	$63.6{\pm}11.6$
Brachial Lt	$59.8 {\pm} 13.7$	$48.8 {\pm} 11.8$	$49.9 {\pm} 10.3$	66.8±17.1
Brachial Rt	$58.8{\pm}13.7$	$49.4 {\pm} 7.0$	$53.5{\pm}8.0$	65.5±14.2
Brainstem	81.7±5.4	$80.1 {\pm} 6.8$	$80.6 {\pm} 6.3$	$81.0{\pm}5.7$
Cerebellum	$83.2{\pm}2.7$	$88.8{\pm}2.8$	$90.1 {\pm} 2.8$	90.2±2.3
Cochlea Lt	$64.0{\pm}17.6$	$67.2 {\pm} 10.4$	66.5 ± 12.6	72.3±12.2
Cochlea Rt	$64.2 {\pm} 10.0$	$67.2 {\pm} 10.4$	$68.2 {\pm} 12.6$	69.5±12.4
Const. inf	$63.4{\pm}17.1$	$61.8 {\pm} 14.9$	73.6±10.6	$65.0{\pm}18.3$
Const. mid	$64.9 {\pm} 15.4$	63.1 ± 14.5	66.1±11.3	66.9±15.1
Const. sup	$64.0{\pm}10.2$	64.1 ± 10.0	62.3 ± 11.3	67.4±9.2
Epiglottis	$65.5{\pm}8.6$	65.5 ± 11.0	$65.4{\pm}13.1$	67.3±8.2
Esophagus	66.3 ± 23.2	$61.6 {\pm} 12.0$	69.1±12.9	$67.0{\pm}14.0$
Eye Lt	$83.4{\pm}7.4$	86.4±3.4	$85.7 {\pm} 7.4$	86.4±3.3
Eye Rt	$82.7 {\pm} 6.3$	85.9±3.3	86.7±4.3	$86.6{\pm}4.0$
Hippocampus Lt	$62.4{\pm}12.5$	46.2 ± 17.3	$50.0{\pm}17.3$	67.4±16.0
Hippocampus Rt	62.2 ± 14.3	$45.2{\pm}12.1$	52.2 ± 17.6	67.9±18.9
Hypothalamus	$63.6 {\pm} 17.3$	$39.2{\pm}16.8$	$28.7 {\pm} 22.9$	72.6±17.1
Innerear Lt	$62.4{\pm}12.1$	$58.4{\pm}10.6$	$68.8{\pm}10.9$	78.8±8.1
Innerear Rt	$63.2{\pm}16.8$	60.1 ± 10.3	73.0±12.2	76.9±9.1
Lacrimalgland Lt	$59.2{\pm}10.5$	54.7 ± 11.5	64.1 ± 16.0	70.7±8.0
Lacrimalgland Rt	$58.7 {\pm} 10.5$	54.7 ± 11.5	52.1±14.3	70.6±11.0
Larynx core	57.9 ± 17.1	$53.9{\pm}17.1$	$56.9 {\pm} 20.1$	69.7±20.8
Mandible Lt	$87.4{\pm}2.9$	$90.2{\pm}2.0$	88.2 ± 12.1	91.7±1.8
Mandible Rt	89.1±2.3	$90.8 {\pm} 1.8$	$88.0{\pm}6.0$	91.1±2.5
Optic Chiasm	49.9±15.4	50.9 ± 13.6	$60.4{\pm}22.1$	72.9±9.2
Optic Nerve Lt	61.7 ± 11.1	$67.6 {\pm} 11.0$	69.9 ± 9.3	74.3±7.8
Optic Nerve Rt	$62.0{\pm}12.2$	$67.6 {\pm} 10.2$	69.9±11.0	72.3±8.7
Oralcavity	$64.0{\pm}5.1$	$76.3 {\pm} 5.1$	$77.8 {\pm} 10.2$	82.6±5.3
Parotid Lt	$64.7 {\pm} 5.8$	$78.2{\pm}5.1$	$82.8 {\pm} 6.2$	84.5±4.2
Parotid Rt	$64.7 {\pm} 6.1$	$78.8{\pm}6.5$	$82.3 {\pm} 6.6$	84.1±5.0
Pineal Gland	$46.4{\pm}29.3$	$60.2{\pm}16.5$	$63.6{\pm}26.4$	70.4±14.7
Pituitary	$60.4{\pm}11.0$	65.2±11.0	$57.0{\pm}14.8$	$61.5 {\pm} 18.4$
Spinalcord	$83.5 {\pm} 6.2$	83.7±3.6	82.7±7.4	84.6±2.4
SMG Lt	$64.2{\pm}16.8$	$71.3 {\pm} 8.8$	77.3±9.1	$76.9{\pm}9.8$
SMG Rt	$63.2{\pm}16.8$	69.5±11.7	75.2 ± 9.4	76.1±9.0
Temporal Lobe Lt	66.7±3.6	$80.9 {\pm} 3.7$	82.6±6.4	$81.0{\pm}5.2$
Temporal Lobe Rt	65.1 ± 5.1	73.6±17.4	82.4±5.7	$80.5 {\pm} 4.0$
Thyroid Lt	64.9 ± 18.9	76.7±7.7	$81.2 {\pm} 6.1$	81.6±5.0
Thyroid Rt	64.4±17.7	$77.0{\pm}6.0$	$80.5 {\pm} 10.5$	82.2±5.1
TMjoint Lt	$79.2{\pm}6.5$	$77.2 {\pm} 6.5$	79.3±12.8	77.6 ± 7.0
TMjoint Rt	$76.5 {\pm} 8.8$	75.2 ± 9.3	77.4±9.6	76.2±7.1
Average	66.6	67.6	70.4	75.1

Table 1. Dice score comparison on the H&N 42 OAR dataset (unit: %): Lt is short for left and Rt is short for right. Const. is short for constrictor muscle, SMG is short for submandibular gland, and TMjoint is short for temporomandibular joint. The proposed SOARS achieved the best performance in 30 (in bold) out of 42 OARs.

Organ	UNet	P-HNN	UaNet	SOARS
Basal Ganglia Lt	$10.0{\pm}2.8$	9.8±3.2	10.5 ± 4.0	9.3±3.2
Basal Ganglia Rt	9.3±3.8	10.2 ± 3.3	$10.5 {\pm} 3.8$	11.1 ± 3.4
Brachial Lt	$14.9{\pm}6.2$	15.1 ± 9.6	$14.2{\pm}11.7$	$17.3 {\pm} 10.9$
Brachial Rt	17.9 ± 8.2	$11.4{\pm}5.0$	$16.2 {\pm} 9.6$	$14.0{\pm}7.3$
Brainstem	$8.4{\pm}2.9$	$8.8 {\pm} 2.9$	10.3 ± 3.8	8.1±2.2
Cerebellum	$8.9 {\pm} 3.8$	9.4±4.7	$14.1 {\pm} 9.8$	7.7±3.1
Cochlea Lt	$3.6 {\pm} 9.0$	$1.8 {\pm} 0.5$	$2.3 {\pm} 0.8$	1.6±0.4
Cochlea Rt	$2.1{\pm}0.8$	$2.0{\pm}1.0$	$2.4{\pm}0.9$	1.9±0.6
Const. inf	$5.7 {\pm} 2.6$	$8.5 {\pm} 3.9$	$7.5 {\pm} 4.9$	5.4±2.4
Const. mid	7.4±2.8	8.7 ± 3.1	$14.7 {\pm} 10.1$	7.4±3.3
Const. sup	7.4 ± 3.0	$8.0{\pm}3.6$	12.7 ± 8.2	7.0±3.6
Epiglottis	6.7±2.3	$6.9 {\pm} 3.6$	$9.9{\pm}8.5$	$6.9{\pm}2.5$
Esophagus	$25.1{\pm}26.4$	$21.9{\pm}13.7$	$24.0{\pm}15.0$	21.1±15.8
Eye Lt	$2.8{\pm}0.8$	$3.0{\pm}1.8$	$4.0{\pm}5.4$	$3.3{\pm}1.1$
Eye Rt	$3.1 {\pm} 0.9$	$3.4{\pm}0.9$	3.1 ± 0.7	3.0±1.0
Hippocampus Lt	11.0±6.7	$16.9 {\pm} 8.6$	$15.9 {\pm} 8.9$	12.2 ± 7.7
Hippocampus Rt	$10.7{\pm}6.1$	$12.7 {\pm} 5.8$	$13.3{\pm}6.6$	12.5 ± 8.2
Hypothalamus	$16.9 {\pm} 8.6$	9.3±4.3	10.3 ± 3.7	2.5±1.3
Innerear Lt	$12.7 {\pm} 5.8$	11.9 ± 33.7	$4.0{\pm}1.4$	2.6±0.7
Innerear Rt	9.3±4.3	4.1±1.3	$4.7 {\pm} 2.8$	2.9±0.8
Lacrimal Gland Lt	$4.3 {\pm} 1.0$	4.3 ± 1.3	4.6 ± 1.6	2.9 ±1.1
Lacrimal Gland Rt	4.1 ± 1.2	5.5 ± 1.5	5.1 ± 2.2	2.9±0.9
Larynx core	12.4 ± 7.3	10.4 ± 7.3	$9.2{\pm}7.2$	9.0±7.1
Mandible Lt	$7.9{\pm}2.9$	$6.7 {\pm} 2.8$	10.3 ± 24.4	5.3±2.3
Mandible Rt	$7.0{\pm}2.6$	$5.6 {\pm} 2.3$	12.2 ± 15.8	5.5±1.6
Optic Chiasm	$8.0{\pm}3.9$	$8.4{\pm}5.3$	$11.4{\pm}7.8$	5.3±4.2
Optic Nerve Lt	4.2 ± 3.6	4.6 ± 3.5	5.2 ± 3.1	3.4±1.9
Optic Nerve Rt	4.1 ± 2.3	$3.9{\pm}1.7$	4.9 ± 4.2	3.3±1.4
Oralcavity	$16.4{\pm}5.0$	$18.4{\pm}5.0$	7.6 ± 10.3	$13.8{\pm}6.2$
Parotid Lt	9.0±3.4	$10.0{\pm}2.8$	$8.0{\pm}5.8$	$7.0{\pm}2.5$
Parotid Rt	$8.9{\pm}7.8$	$8.3 {\pm} 2.0$	$9.7{\pm}4.2$	6.8±1.6
Pineal Gland	$3.4{\pm}1.8$	2.5 ± 1.1	$4.0{\pm}1.9$	1.7±0.6
Pituitary	3.9±1.4	$4.4{\pm}1.6$	$4.4{\pm}1.3$	4.2 ± 2.2
Spinalcord	34.9 ± 13.9	$10.2{\pm}18.1$	17.3 ± 27.2	5.7±2.2
SMG Lt	$7.3 {\pm} 4.0$	$18.6 {\pm} 30.3$	6.1±5.4	6.5 ± 3.1
SMG Rt	$7.3 {\pm} 4.0$	11.1 ± 8.3	$7.0{\pm}4.9$	6.1±2.3
Temporal Lobe Lt	$14.3{\pm}21.4$	$16.0{\pm}6.8$	$16.5 {\pm} 6.7$	$14.6 {\pm} 6.9$
Temporal Lobe Rt	12.8 ± 3.6	$38.6{\pm}85.2$	$15.0{\pm}5.0$	$13.5 {\pm} 5.9$
Thyroid Lt	$9.0{\pm}2.9$	$6.9 {\pm} 3.2$	$7.4{\pm}4.8$	5.1±2.5
Thyroid Rt	$8.7 {\pm} 10.4$	$7.9 {\pm} 3.3$	$7.1{\pm}4.0$	5.5±2.3
TMjoint Lt	3.5±1.2	$3.9{\pm}1.4$	$4.4{\pm}2.4$	$3.6{\pm}1.7$
TMjoint Rt	3.6±1.7	4.6 ± 1.1	4.3±2.9	3.5±1.3
Anchor OARs	9.3	9.4	9.2	7.0

Table 2. Average Hausdorff distance comparison on the H&N 42 OAR dataset (unit: mm): Lt is short for left and Rt is short for right. Const. is short for constrictor muscle, SMG is short for submandibular gland, and TMjoint is short for temporomandibular joint. The proposed SOARS achieved the best performance in 30 (in bold) out of 42 OARs.

2. Performance of S&H OAR detection

In Tab. 3, we report the category-by-category detection accuracy of the regressed center points using the detection-bysegmentation network. Moreover, we binaries both the regressed and ground-truth heat maps by keeping the top 1000 largest intensity voxels, and report their HD. Note, as cochlea is spatially enclosed by inner-ear, we use a single heat map, *i.e.* ear, for both OARs detection. As shown in Tab. 3, we achieve an average HD reduction of 13.7 mm (from 18.9 mm to 6.2 mm) as compared to the detection using only RTCT images. The HD for all OARs are reduced, especially the lacrimal gland, optic chiasm, and pineal gland. These significant HD reductions indicate that the anchor OARs serve as effective references to better detect the S&H OAR locations.

	Dist (mm)		HD (mm)	
	CT Only	CT+Anchor	CT Only	CT+Anchor
Ear Lt	3.9±2.5	$3.9{\pm}2.6$	6.7±3.3	5.7±2.1
Ear Rt	$1.9{\pm}1.4$	$1.6{\pm}1.0$	$4.4{\pm}1.8$	3.4±1.3
Hypothalamus	$2.6{\pm}1.7$	2.3±1.5	$4.0{\pm}2.0$	3.6±1.5
Lacrimal Gland Lt	$5.6 {\pm} 5.7$	4.6±3.1	$28.0{\pm}76.8$	$14.7{\pm}20.7$
Lacrimal Gland Rt	$3.3{\pm}1.9$	3.0±1.7	$47.4{\pm}112.0$	4.7±1.4
Optic Chiasm	$3.9{\pm}2.5$	3.4±1.9	$26.6 {\pm} 71.8$	$10.6{\pm}25.6$
Optic Nerve Lt	2.5±1.6	$2.6{\pm}1.5$	$4.6{\pm}1.8$	4.5±1.2
Optic Nerve Rt	3.0±1.2	3.1±1.6	$21.9{\pm}61.0$	4.9 ±1.6
Pineal Gland	$2.5{\pm}2.5$	1.8±0.7	27.7 ± 72.2	3.9±1.3
Average	3.3	2.9	18.9	6.2

Table 3. The detailed S&H detection results measuring the average distances between regressed and true center points, as well as the Hausdorff distances between the binarised regressed and binarised true heat maps. Lt is short for left and Rt is short for right. The best performance is highlighted in bold.

References

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