Smooth Neighbors on Teacher Graphs for Semi-supervised Learning

Supplementary Material

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Table 1: The network architecture used in all experiments.

<table>
<thead>
<tr>
<th>Input: (32 \times 32 \times 3) image (28 (\times) 28 (\times) 1 for MNIST)</th>
<th>Gaussian noise (\sigma = 0.15)</th>
</tr>
</thead>
<tbody>
<tr>
<td>3 (\times) 3 conv. 128 lReLU ((\alpha = 0.1)) same padding</td>
<td>3 (\times) 3 conv. 128 lReLU ((\alpha = 0.1)) same padding</td>
</tr>
<tr>
<td>3 (\times) 3 conv. 256 lReLU ((\alpha = 0.1)) same padding</td>
<td>3 (\times) 3 conv. 256 lReLU ((\alpha = 0.1)) same padding</td>
</tr>
<tr>
<td>2 (\times) 2 max-pool, dropout 0.5</td>
<td>2 (\times) 2 max-pool, dropout 0.5</td>
</tr>
<tr>
<td>3 (\times) 3 conv. 512 lReLU ((\alpha = 0.1)) valid padding</td>
<td>1 (\times) 1 conv. 256 lReLU ((\alpha = 0.1))</td>
</tr>
<tr>
<td>1 (\times) 1 conv. 128 lReLU ((\alpha = 0.1))</td>
<td>Global average pool 6 (\times) 6 (5 (\times) 5 for MNIST) (\rightarrow) 1(\times)1</td>
</tr>
<tr>
<td>Fully connected 128 (\rightarrow) 10 softmax</td>
<td></td>
</tr>
</tbody>
</table>

A. Experimental setup

MNIST. It contains 60,000 gray-scale training images and 10,000 test images from handwritten digits 0 to 9. The input images are normalized to zero mean and unit variance.

SVHN. Each example in SVHN is a \(32 \times 32\) color house-number images and we only use the official 73,257 training images and 26,032 test images following previous work. The augmentation of SVHN is limited to random translation between \([-2, 2]\) pixels.

CIFAR-10. The CIFAR-10 dataset consists of \(32 \times 32\) natural RGB images from 10 classes such as airplanes, cats, cars and horses. We have 50,000 training examples and 10,000 test examples. The input images are normalized using ZCA following previous work \([7]\). We use the standard way of augmenting the CIFAR-10 dataset including horizontal flips and random translations.

CIFAR-100. The CIFAR-100 dataset consists of \(32 \times 32\) natural RGB images from 100 classes. We have 50,000 training examples and 10,000 test examples. The preprocessing of inputs images are the same to CIFAR-10.

Implementation. We implemented our code mainly in Python with Theano \([14]\) and Lasagne \([4]\). For comparison with VAT \([9]\) and Mean Teacher \([13]\) experiments, we use TensorFlow \([1]\) to match their settings. The code for reproducing the results is available at \url{https://github.com/xinmei9322/SNTG}.

Training details. In II model and TempEns based experiments, the network architectures (shown in Table 1) and the hyper-parameters are the same as our baselines \([7]\). We apply mean-only batch normalization with momentum 0.999 \([11]\) to all layers and use leaky ReLU \([3]\) with \(\alpha = 0.1\). The network is trained for 300 epochs using Adam Optimizer \([6]\) with mini-batches of size \(n = 100\) and maximum learning rate 0.003 (exceptions are that TempEns for SVHN uses 0.001 and MNIST uses 0.0001). We use the default Adam momentum parameters \(\beta_1 = 0.9\) and \(\beta_2 = 0.999\).

Following \([7]\), we also ramp up the learning rate and the regularization term during the first 80 epochs with weight \(w(t) = \exp \left[-5(1-\frac{t}{80})^2\right]\) and ramp down the learning rate during the last 50 epochs. The ramp-down function is \(\exp \left[-12.5(1-\frac{t}{300-120})^2\right]\). The regularization coefficient of consistency loss \(R_C\) is \(\lambda_1 = 100\) for II model and \(\lambda_1 = 30\) for TempEns (exception is that SVHN with \(L = 250\) uses \(\lambda_1 = 50\)).

For comparison with Mean Teacher and VAT, we keep the same architecture and hyper-parameters settings with the corresponding baselines \([13, 9]\). Their network architectures are the same as shown in Table 1 but differ in several hyper-parameters such as weight normalization, training epochs and mini-batch sizes, which are detailed in their papers. We just add the SNTG loss along with their regularization \(R_C\) and keep other settings unchanged as in their public code.

In all our experiments, the margin \(m\) in \(R_S\) is set to \(m = 1\) if we treat \(\|h(x_i) - h(x_j)\|^2\) as a distance averaged by the feature dimension \(p\). We sample half the number of mini-batch size pairs of \((x_i, x_j)\) for computing \(l_G\), e.g., \(s = 50\) for mini-batch size \(n = 100\). The regularization coefficient

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\(\lambda_2\) of SNTG loss \(R_\xi\) is set to \(\lambda_2 = k\lambda_1\) where \(k\) is the ratio of \(\lambda_2\) to \(\lambda_1\) (i.e., the regularization coefficient of consistency loss \(R_C\)). \(k\) is chosen from \(\{0.2, 0.4, 0.6, 1.0\}\) using the validation set and we use \(k = 0.4\) for most experiments by default.

**Training time.** SNTG does not increase the number of neural network parameters and the runtime is almost the same to the baselines, with only extra 1-2 seconds per epoch (the baselines usually need 100-200 seconds per epoch on one GPU).

**Synthetic benchmarks.** The synthetic dataset experiments adopt the default settings for II model [7] except for 0.001 maximum learning rate and 500 training epochs. We use weight normalization [11] and add Gaussian noise to each layer.

### B. Rethinking \(\Pi\) model objective

In II model [7], the consistency loss is defined in Eq. (2) where the teacher model shares the same parameter with the student model \(\theta' = \theta\). Suppose \(f(x) \in [0, 1]^K\), the consistency loss of II model is

\[
R_C(\theta, \mathcal{L}, \mathcal{U}) = \sum_{i=1}^{N} \mathbb{E}_{\xi', \xi}[\|f(x_i; \theta, \xi') - f(x_i; \theta, \xi)\|^2],
\]

\(\xi'\) and \(\xi\) are i.i.d random noise variables, \(\xi', \xi \sim p(\xi)\), then we have \(\mathbb{E}_\xi f(x_i; \theta, \xi') = \mathbb{E}_\xi f(x_i; \theta, \xi')\) and \(\mathbb{E}_\xi \|f(x_i; \theta, \xi')\|^2 = \mathbb{E}_\xi \|f(x_i; \theta, \xi')\|^2\)

\[
R_C = 2 \sum_{i=1}^{N} \mathbb{E}_\xi \|f(x_i; \theta, \xi')\|^2 - \mathbb{E}_\xi \|f(x_i; \theta, \xi)\|^2
\]

\[
= 2 \sum_{i=1}^{N} \sum_{k=1}^{K} \text{Var}_\xi [f(x_i; \theta, \xi)]_k
\]

where \([.]_k\) is \(k\)-th component of the vector.

Then minimizing \(R_C\) is equivalent to minimizing the sum of variance of the prediction each dimension. Similar idea of variance penalty was exploited in Pseudo-Ensemble [2]. If a data point is near the decision boundary, it is likely to have a large variance since its prediction might alternate to another class when some noise is added. Minimizing the variance explicitly penalizes such alternation behavior of training data.

### C. Comparison to classical SSL methods

As mentioned in Section 2, our method is different from classical graph-based SSL methods in many important aspects such as the construction of the graph and how to use it.

Table 2 is a comparison with several classical methods: (1) Label propagation (LP) [13]; (2) A variant of LP on \(k\)NN structure (LP+\(k\)NN) [12]; (3) Local and Global Consistency (LGC) [17]; (5) Transductive SVM (TSVM) [15]; (6) LapRLS [3]; (7) Dynamic Label propagation (DLP) [15]. The results of (1)-(7) are cited from [15]. We also compare with the best reported results in previously mentioned works: (8) EmbedNN [16]; (9) the Manifold Tangent Classifier (MTC) [10]; (10) Pseudo-Ensemble [2].

While the classical graph-based methods (e.g., LP, DLP, and LapRLS) were the leading paradigms, with the resurgence of deep learning, recent impressive results are mostly from deep learning based SSL methods, while classical methods fall behind on performance and scalability. Furthermore, they have no reported results on challenging natural image datasets, e.g., SVHN, CIFAR-10. Only one overlap is MNIST, see Table 2 for comparison. We show that our method SNTG surpasses these classical methods by a large margin.

### D. Significance test of the improvements.

Table 3 shows the independent two sample T-test on the error rates of baselines and our method. All the P-values are less than significance level \(\alpha = 0.01\). It indicates that the improvements of SNTG are significant.

### Table 3: T-test. The top rows are the experiments without augmentation and the bottom rows are with augmentation.

<table>
<thead>
<tr>
<th>Datasets &amp; Methods</th>
<th>T-statistic</th>
<th>P-value</th>
</tr>
</thead>
<tbody>
<tr>
<td>MNIST (L=200) I(i) model v.s. II+(\Pi)+SNTG</td>
<td>20.00227</td>
<td>9.07043e-09</td>
</tr>
<tr>
<td>MNIST (L=1000) I(i) model v.s. II+(\Pi)+SNTG</td>
<td>4.34867</td>
<td>0.000387026</td>
</tr>
<tr>
<td>SVHN (L=1000) VAT+Ent v.s. VAT+Ent+SNTG</td>
<td>4.08627</td>
<td>0.002732236</td>
</tr>
<tr>
<td>CIFAR-10 (L=4000) VAT+Ent v.s. VAT+Ent+SNTG</td>
<td>5.90681</td>
<td>0.000227148</td>
</tr>
<tr>
<td>SVHN (L=250) I(i) model v.s. II+(\Pi)+SNTG</td>
<td>12.31365</td>
<td>3.32742e-10</td>
</tr>
<tr>
<td>SVHN (L=500) TempEns v.s. TempEns+SNTG</td>
<td>7.52909</td>
<td>3.58188e-05</td>
</tr>
<tr>
<td>CIFAR-10 (L=1000) TempEns v.s. TempEns+SNTG</td>
<td>12.81875</td>
<td>1.73155e-10</td>
</tr>
<tr>
<td>CIFAR-10 (L=2000) TempEns v.s. TempEns+SNTG</td>
<td>11.80608</td>
<td>6.35694e-10</td>
</tr>
<tr>
<td>CIFAR-10 (L=4000) VAT+Ent v.s. VAT+Ent+SNTG</td>
<td>5.81409</td>
<td>0.000254937</td>
</tr>
</tbody>
</table>

### References


