# Supplementary Materials

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In this Supplementary Materials, we provide more details and experimental results for further understanding of the proposed Space-Channel Hybrid (SCH) framework with window-based channel attention and wavelet transform for learned image compression (LIC).

## A Traditional Image Compression Codecs Settings

#### A.1 VTM-23.1

VVC Test Model (VTM) from Versatile Video Coding (VVC) [17] standard is available at https://vcgit.hhi.fraunhofer.de/jvet/VVCSoftware\_VTM. We use the benchmark script from CompressAI [3] platform to evaluate VTM-23.1, and the command is listed as follows:

python -m compressai.utils.bench vtm [path of dataset]

-c [path of VTM]/cfg/encoder\_intra\_vtm.cfg

-b [path of VTM]/bin

-q [quantization step size].

We set the quantization step size as {24, 26, 28, 30, 32, 34, 36, 38, 40, 42, 44}.

#### A.2 BPG

Better Portable Graphics (BPG) [4] is available at https://bellard.org/bpg/, and the command using CompressAI is as follows: python -m compressai.utils.bench bpg [path of dataset] -encoder-path [path of bpg]/bpgenc -decoder-path [path of bpg]/bpgdec -q [quantization step size]. We set the quantization step size as {26, 28, 30, 32, 34, 36, 38, 40, 42, 44}.

#### A.3 JPEG2000

JPEG2000 [14] is integrated into CompressAI as follows: python -m compressai.utils.bench jpeg2000 [path of dataset] -q [quantization step size]. We set the quantization step size as {10, 15, 20, 25, 30, 35, 40, 45}.

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Fig. 1: The overall architecture of our model.



**Fig. 2:** (a) Residual Block (RB), (b) Residual Block with Stride (RBS), and (c) Residual Block Upsampling (RBU) from [5].

#### **B** Detailed Network Architecture

The architecture of our model is illustrated in Fig. 1. The numbers of heads of SCH blocks in  $g_a$  and  $g_s$  are {8,16,32,32,16,8}. Space attention modules and channel attention modules share the same number of heads in the same level of blocks. Following [10], the number of channel slices in the channel-wise autoregressive model is set as 5.

The structures of Residual Block (RB), Residual Block with Stride (RBS) and Residual Block Up-sampling (RBU) are shown in Fig. 2. Generalized Divisive Normalization (GDN) [2] is used in LIC instead of batch normalization [8] because of its spatial-adaptive learning, which is formulated as:

$$y_i(m,n) = x_i(m,n) \cdot \frac{1}{\sqrt{\beta_i + \sum_j \gamma_{ij} (x_j(m,n))^2}},$$
(1)

where *i* is the output channel index, *j* is the input channel index,  $\gamma$  acts as the role of the  $1 \times 1$  convolutional kernel, and  $\beta$  is the bias. This normalization is invertible, and we use GDN in the analysis transform  $g_a$  and IGDN in the

synthesis transform  $g_s$ . IGDN is formulated as follows:

$$y_i^{inv}(m,n) = x_i(m,n) \cdot \sqrt{\beta_i + \sum_j \gamma_{ij}(x_j(m,n))^2}.$$
 (2)

In RBU, "subpel  $3 \times 3$  conv" is  $3 \times 3$  sub-pixel convolution for up-sampling, combining a  $3 \times 3$  convolutional layer and a Pixel Shuffle module from PyTorch [13].

Note that we do not replace RBS and RBU between successive SCH blocks with the proposed wavelet transform module for down-sampling and up-sampling. This is because the channel size of intermediate features of  $g_a$  and  $g_s$  is a constant N with a large value of 256. For the  $H \times W \times N$  input, the wavelet transform module transforms it into the shape of  $\frac{H}{2} \times \frac{W}{2} \times 4N$ . Therefore, we need to perform the transformation on the channel dimension to maintain the consistency of our LIC framework. One option is to use the residual block with input channel 4N and output channel N to reduce the channel size after wavelet transform, but it results in a large quantity of parameters in this residual block. The other option is to use the residual block with input channel  $\frac{N}{4}$  to reduce the channel size before wavelet transform, but it causes information loss in the network. As a result, we only use the wavelet transform module to process raw input images.

## C Detailed Rate-Distortion Results

We provide detailed Rate-Distortion results in Figs. 3 to 6, corresponding to Rate-Distortion results in Section 4.2 of the main paper.

Kodak [9] dataset is available at http://r0k.us/graphics/kodak.

Tecnick [1] dataset is available at https://sourceforge.net/projects/testima ges/files/OLD\_OLD\_SAMPLING/testimages.zip.

CLIC Professional Validation [15] dataset is available at https://data.vision. ee.ethz.ch/cvl/clic/professional valid 2020.zip.

CLIC 2021 Test [16] dataset is available at https://storage.googleapis.com/c lic2021 public/professional test 2021.zip.



Fig. 3: Rate-Distortion results on Kodak [9] (24 images, 768×512 or 512×768).



Fig. 4: Rate-Distortion results on Tecnick [1] (100 images, 1200×1200).

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Fig. 5: Rate-Distortion results on CLIC Professional Validation [15] (41 images, from  $512 \times 384$  to  $2048 \times 1370$ ).



Fig. 6: Rate-Distortion results on CLIC 2021 Test [16] (60 images, from  $751\times500$  to  $2048\times1415).$ 

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#### D Discussions on the Parameters of Attention Module

If we ignore parameters in bias and normalization, for the  $L \times C$  input, a space attention module has  $12C^2$  parameters. The self-attention module contains three input projection layers and one output projection layer, their matrices  $W_Q$ ,  $W_K$ ,  $W_V$ , and  $W_O$  have  $4 \times (C \times C) = 4C^2$  parameters in total. Multilayer Perceptron (MLP) layer contains two linear projection layers, having  $C \times 4C + 4C \times C = 8C^2$ parameters given the MLP ratio of 4. The sum of parameters in a space attention module is  $4C^2 + 8C^2 = 12C^2$ . After the space-channel dimension transposition, our window-based channel attention module contains  $12(hw)^2$  parameters if the window size is  $h \times w$ .

If we include parameters in bias and normalization, the space attention module has  $12C^2 + 13C$  parameters. Biases of four linear projection layers in the self-attention module have 4C parameters. Biases of two linear projection layers in the MLP layer have 4C + C = 5C parameters. Layer normalization modules have two learnable parameters,  $\gamma$  and  $\beta$ , whose lengths are both C. Consequently, layer normalization modules in the self-attention module and MLP layer have 2C + 2C = 4C parameters. The sum of parameters in bias and normalization is 4C + 5C + 4C = 13C. For our window-based channel attention module, it contains  $12(hw)^2 + 13hw$  parameters. Since hw is generally smaller than C, our channel attention module is more parameter-efficient in both cases.

## E More Ablation Studies

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We provide ablation studies on the Kodak [9] dataset in Fig. 7. Our SCH framework benefits from the global information learning in our window-based channel attention module, so the performance gain on this lower-resolution dataset is reduced. These results still validate the effectiveness of the proposed channel attention module and wavelet transform module.

## F More Visualization Examples

#### F.1 Effective Receptive Fields

We provide more examples in Figs. 8 and 9 to visualize Effective Receptive Fields (ERF) [12] of computing modules from our SCH, TCM [10] and DaViT [6]. ERF can be obtained as gradients of one point in the feature map to all pixels at the input, and we select the output feature from modules of the last block in  $g_a$  to generate it. Results are normalized and clipped by a threshold of 0.3 for appropriate visualization.

We compare our window-based channel attention with residual block [7], window attention [11], shifted-window attention [11] and channel group attention [6]. For Figs. 8a to 8c, 8e and 8g, and Figs. 9a to 9c, 9e and 9g, these modules work with wavelet transform, and it is obvious that the proposed window-based channel attention provides the largest ERF. Since ERF indicates the ability to



**Fig. 7:** Ablation studies on the Kodak [9] dataset (24 images, 768×512 or 512×768). (a) Channel attention modules (with or without our channel attention, with channel group attention [6]). (b) Wavelet transform module (with or without wavelet transform).

capture global dependencies in the image, our window-based channel attention module excels in global information learning compared with other modules from [6,7,10,11]. For Figs. 8d, 8f and 8h, and Figs. 9d, 9f and 9h, these modules work without wavelet transform, and they offers smaller ERFs compared with modules with wavelet transform, validating the effectiveness of the wavelet transform module in enlarging ERF. It is worth noticing that shifted-window attention provides an ERF significantly smaller than residual block and window attention, confirming the restricted growth of receptive fields for shifted-window attention argued by [18]. Therefore, we replace the shifted-window attention with our window-based channel attention in our SCH block to capture global dependencies in image features for LIC.



Fig. 8: Effective Receptive Fields (ERF) on kodim07 from computational modules. The color changes from blue to red as the value increases.

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Fig. 9: Effective Receptive Fields (ERF) on kodim20 from computational modules. The color changes from blue to red as the value increases.



Fig. 10: Reconstructed images of *kodim07* from Kodak [9].

## F.2 Qualitative Results

We provide more qualitative results in the Kodak [9] and the Tecnick [1] datasets to compare our SCH method with an available learn method STF [19] and traditional image codecs in Figs. 10 to 13. Our method reconstructs the clearest details while maintaining low bit rates in the following results.



Fig. 11: Reconstructed images of *kodim01* from Kodak [9].



(a) Ground Truth (bpp↓/PSNR↑)



(c) STF [19] (0.251/35.609dB)



(b) SCH (ours) (0.220/35.903dB)







(f) JPEG2000 [14] (0.231/30.782dB)



Fig. 12: Reconstructed images of RGB\_OR\_1200x1200\_003 from Tecnick [1].



Fig. 13: Reconstructed images of RGB\_OR\_1200x1200\_026 from Tecnick [1].

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