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# ESCNet: Gaze Target Detection with the Understanding of 3D Scenes

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### Abstract

This paper aims to address the single image gaze target detection problem. Conventional methods either focus on 2D visual cues or exploit additional depth information in a very coarse manner. In this work, we propose to explicitly and effectively model 3D geometry under challenging scenario where only 2D annotations are available. We first obtain 3D point clouds of given scene with estimated depth and reference objects. Then we figure out the front-most points in all possible 3D directions of given person. These points are later leveraged in our ESCNet model. Specifically, ESCNet consists of geometry and scene parsing modules. The former produces an initial heatmap inferring the probability that each front-most point has been looking at according to estimated 3D gaze direction. And the latter further explores scene contextual cues to regulate detection results. We validate our idea on two publicly available dataset, GazeFollow and VideoAttentionTarget, and demonstrate the state-of-the-art performance. Our method also beats the human in terms of AUC on GazeFollow. Our code can be found here https://github.com/bjj9/ESCNet.

# 1. Introduction

Gaze target detection is important to understand human's intention. Therefore, it plays an important role in applications such as human computer interface [26] and social awareness tracking [27]. Though physical equipment such as wearable eye trackers [10] is available to perform gaze estimation, they are not desired due to location or calibration limitations. A more general setting takes third person view image as well as a given person in this scene as input and aims to locate where this person is looking in 2D image space [31]. Conventional methods typically leverage 2D visual cues to regulate gaze predictions by not only salient objects but also estimated gaze orientation [6,31]. More recent approach [9] proposes to incorporate 3D gaze estimation and depth cues. Though demonstrating advanced performance, it requires additional human annotations [18] to



Figure 1. We propose to explicitly model 3D geometry in 2D gaze target detection task. We propose to reconstruct the scene with 3D point cloud in single-image setting in (1) and demonstrate that our ESCNet is able to effectively exploit such information in (2).

explicitly model 3D gaze and due to coarse depth representation, it lacks the ability to handle more general scenarios, e.g. multiple salient objects lie in the same depth layer and field of view. To this end, we propose to perform gaze target detection by complete understanding and explicit modelling of 3D scenes, with 2D gaze annotations only.

Promising as it sounds, lacking of 3D information in existing dataset makes our task hard. Also, effectively representing such information remains a vital problem.

We address these challenges through two key insights. Firstly, 3D geometry can be reconstructed by absolute depth and camera parameters, which can be estimated with relative depth and certain assumptions of reference objects. Specifically, we use "person" as our reference category as humans occur most frequently in images collected for gaze estimation task and their sizes are of certain distributions. With the assumption of human sizes, we can estimate the absolute depth and focal length of each image, leading to 3D point clouds (See (1) in Fig. 1). Second, occlusion plays an important role in gaze estimation given the fact that one cannot see through occluders. Such fact provides strong pri-

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ors to regulate where one person may look at. Inspired by this, we propose to represent the 3D geometry with frontmost points, or occluders. This is achieved by modelling points in all possible 3D directions of a given person, and then leaving only the front-most one in each direction.

Further, we propose a novel model ESCNet that consists of gEometry and SCene parsing modules. The former leverages geometric cues, e.g. 3D gaze direction and 3D geometry, and outputs an initial heatmap as an intermediate representation, inferring the probability that each front-most point being looking at (See (2) in Fig. 1). The latter further incorporates scene contextual cues such as saliency in RGB image and generates final heatmap predictions.

We test on GazeFollow [31] and VideoAttentionTarget [6], and obtain state-of-the-art (SOTA) performance. Our intermediate representation is not only visually and conceptually meaningful, but also allows deep supervision, leading to performance boost. Finally, our method even outperforms human performance on AUC metric.

To summarize, our key contributions are:

- A novel method that explicitly models full 3D geometry, especially occlusion, in 2D gaze target detection.
- An end-to-end deeply supervised model ESCNet that explores 3D geometry, 2D/3D gaze and scene contex-tual cues, with gaze annotations only available in 2D.
- State-of-the-art results on publicly available datasets and superior performance over human.

# 2. Related Work

We organize our related work into three areas: gaze target prediction, 3D gaze estimation and 3D scene understanding from single image.

Gaze Target Detection Gaze target detection initially aims to locate gaze target of a given person in an image [4, 6, 6]9, 21, 31, 44]. The pioneer work [31] takes the first step towards gaze target detection and publishes a large-scale image dataset with annotations of head position and corresponding gaze targets. Following their design, most of the proceeding gaze target detection approaches [4, 6, 9, 21] consider gaze and object saliency estimation in 2D image space when addressing this problem. Out of frame cases are first considered in [4, 6] where the person may look somewhere out of the image. One of the main limitations of existing work is that they rely on 2D visual cues and lacks the ability to reason in 3D. More recent method [9] proposes to incorporate depth cues to distinguish fore/background points. Impressive as it is, it requires additional 3D gaze labels [18] to specifically train its 3D gaze estimator. Without such 3D gaze labels, the core depth re-basing part of [9] will not work due to the lack of predictions in depth channel. In contrast, our work explicitly models 3D geometry with point clouds and effectively represents the 3D geometry by modelling only occluders from all 3D directions of given person, with gaze annotations only available in 2D. We further introduce an intermediate representation about the probability of the given person looking at each occluer. Such representation not only offers meaningful understanding of 3D scene and gaze, but also allows deep supervision.

**3D Gaze Estimation** 3D gaze estimation focuses on more basic gaze estimation problem where eye/face image of a single person is provided and its goal is to predict 3D gaze direction of this person. Existing methods can generally be categorized into model-based [2, 7, 15, 35] or appearancebased [25, 28, 33]. With the benefits of large scale datasets, e.g. MPIIGaze [43], CNN-based methods [1, 42, 43] further push gaze estimation field fast forward. Various techniques related to model design, including model structures, input and intermediate representations [29] have been explored. For instance, [11,43] propose complex or ensembles of CNNs to exploit their representation power and [3] models the two-eye asymmetry in face images. As for model input, multi-modal input [19, 39] and data normalization [41] have been proposed. 3D gaze also plays an important role in [9] to explicitly distinguish depth layers. In contrast to [9] that requires additional 3D gaze labels [18] to train its 3D gaze prediction module, we rely on gaze annotations in 2D only. Moreover, we explicitly model 3D geometry with front-most points and implicitly leverage 3D gaze cues in our model to regulate our predictions.

**3D** Understanding from Single Image Understanding 3D with only single image is an ill-posed problem as one single image can be generated from an infinite number of realistic scenarios [13]. Researchers have propose various representations for geometry, e.g., depth and normal [37], layer [16, 38] and layout [20, 23], semantics, e.g. 2D and 3D object [34], and combination of both [24, 40]. In this work, we choose point cloud as our representation as it is fine enough to model pixel-level occlusions. Specifically, we rely on predictions of relative depth from monocular method and that of reference objects to estimate the absolute depth and focal length. In order to model pixel-level occlusions, we represent each scene with occluders, or the front-most points in all 3D directions w.r.t. a given person.

# 3. Our Framework

As described above, our method consists of two stages and is illustrated in Fig. 2. The stage one explicitly models 3D geometry by reconstructing the entire scene from single RGB image, leading to 3D point clouds. At the second stage, our ESCNet effectively leverages the obtained 3D geometry to perform gaze estimation task. ESCNet consists of two sub-modules, the geometry and the scene parsing module. Specifically, the geometry parsing module (Sec. 3.1) estimates the probability of where a given person



Figure 2. Our method takes a single RGB image and one given person as input and outputs the target gaze position in 2D. The stage (1) reconstructs the 3D scene with point clouds and in stage (2) ESCNet effectively represents the scene geometry with front-most points w.r.t. this person and makes predictions by further incorporating 3D gaze and scene contextual cues. Our ESCNet is deeply supervised and end-to-end trainable, with initial heatmap as an meaningful intermediate representation. We highlight our novelties in pink.

might look at by considering 3D geometric cues. The scene parsing module (Sec. 3.2) later incorporates both the scene contextual cues and the predicted probabilities to refine the target fixation prediction in 2D image space. For clarity, we first assume that 3D point clouds are available when introducing each sub-module and then describe how to obtain them from single RGB in Sec. 3.3.

Assuming that we have a dataset  $\mathcal{D} = \{I_i, \mathbf{t}_i\}_{i=1}^{N}$  consists of N images as well as their annotations, where  $I_i \in \mathbb{R}^{H_i \times W_i \times 3}$  denotes the *i*-th image, with height  $H_i$  and width  $W_i$ .  $\mathbf{t}_i = [t_i^x, t_i^y]$  denotes the x, y locations of ground truth gaze fixation in 2D image space. We can automatically generate 3D point clouds of all images  $\mathcal{P} = \{\mathcal{P}_i\}_i = \{\{\mathbf{p}_i^m\}_m\}_i$  and  $\mathbf{p}_i^m = [p_i^{m,x}, p_i^{m,y}, p_i^{m,z}]$  is a 3-dimensional vector representing the 3D position of *m*-th pixel in the *i*-th image, with  $m = [1, ..., H_i \times W_i]$ . xy denote the image plane, and z is for depth direction. We further denote the gaze fixation and the head center in 3D space as  $\mathbf{p}_i^t$  and  $\mathbf{p}_i^h$ . Equivalently, we can represent 3D points/vectors in angular space, or by its angle  $\alpha, \beta$  and norm. Taking  $\mathbf{p}_i^m$  as an example,  $\mathbf{p}_i^m$  can be represented by  $[f_\alpha(\mathbf{p}_i^m), f_\beta(\mathbf{p}_i^m), f_n(\mathbf{p}_i^m)]$ , where  $f_\alpha(\mathbf{p}_i^m) = \arctan 2(\frac{p_i^{m,y}}{p_i^m}) \in [-\pi,\pi], f_\beta(\mathbf{p}_i^m) = \arccos(\frac{p_i^{m,z}}{\|\mathbf{p}_i^m\|}) \in [-\pi/2, \pi/2]$  and  $f_n(\mathbf{p}_i^m) = \|\mathbf{p}_i^m\|$  denote the angle in coronal and sagittal plane, and norm of vector

 $\mathbf{p}_i^m$ , respectively. The definition of angular space can be found in the bottom right of Fig. 2.

# 3.1. Geometry Parsing Module

The geometry parsing module  $f_{gp}$  aims to predict where a given person might view in 3D w.r.t. geometric cues.

The design of  $f_{gp}$  follows three main intuitions. Firstly, head image contains important information such as 3D head pose [46], which gives strong prior about gaze direction. Secondly, there exists strong correlations between where the head is located in 2D image and this person's fixation [31]. Lastly and most importantly, if there are multiple objects/points lie in one visual ray of one person, he/she can only focus on the closest object/point. Inspired by these three assumptions,  $f_{gp}$  takes head image  $I_i^h \in \mathbb{R}^{H_i^h \times W_i^h \times 3}$ , head position in 2D image  $M_i^h \in \mathbb{R}^{H_i \times W_i}$  and the 3D geometric cues  $R_i \in \mathbb{R}^{H_i \times W_i \times 4}$  as inputs. The output of  $f_{gp}$ is an initial heatmap  $A_i \in \mathbb{R}^{H_i \times W_i} = \{a_i^m\}_m$  where  $a_i^m$ represents the probability of the given person in image  $I_i$ focusing on the *m*-th point in 3D space. We provide more details of  $f_{gp}$  in Fig. 3 and it is defined as:

$$A_i = f_{gp}(I_i^h, M_i^h, R_i) \tag{1}$$

Though it seems that our  $f_{gp}$  provides a probability map about where a given person might look at in the scene and is



Figure 3. We provide more details of  $f_{gp}$ . Input, output and modules are visualized in pink, green and yellow, respectively.

similar to existing work [6, 9, 31], there are three main differences. Firstly,  $f_{gp}$  exploits the full 3D information while these existing methods either leverage only 2D cues [6,31] or incorporate depth information at very coarse level [9]. Due to the 3D modelling, our intermediate representation  $A_i$  actually reflects probability of 3D points rather than pixels in 2D image space. Secondly, we explicitly represent front-most points in  $R_i$  and the intuition of  $R_i$ , or one cannot see through occluers so that only front-most points/objects are visible to given person, is lacking in existing methods. Finally, due to the explainable design of  $A_i$ ,  $f_{qp}$  allows deep supervision for this intermediate representation during training while existing methods only receive heatmap-wise losses at the final prediction step. We will explain in below about how to obtain geometric cues  $R_i$  and ground truth  $A_i^*$ , and leave details of  $I_i^h$  and  $M_i^h$  to Sec. 3.3. Geometric cues  $R_i$  consist of both the full 3D information of the current scene and the front-most point along each visual ray from the head center of a given person. Given the head center  $\mathbf{p}_i^h$ , the former can be easily obtain by converting all  $\mathbf{v}_i^m = \mathbf{p}_i^m - \mathbf{p}_i^h$  to angular space, or  $[\{f_{\alpha}(\mathbf{v}_{i}^{m})\}_{m}, \{f_{\beta}(\mathbf{v}_{i}^{m})\}_{m}, \{f_{n}(\mathbf{v}_{i}^{m})\}_{m}]$ . The latter is a binary map reflecting our intuition that when occlusion happens, only occluders are visible to human. In another word, if there are multiple objects/points along a visual ray, only the front-most one can be our focus. To achieve that, we first define visual rays and then figure out 3D points that lie in each visual ray. Lastly, we select out only the point with the minimum distance to a given person along each ray.

Given the head center  $\mathbf{p}_i^h$ , we assume that all visual rays must pass  $\mathbf{p}_i^h$ . Instead of working on the original continuous space, we propose to discretize them for better efficiency. Specifically, we discretize the coronal angle  $\alpha$  into J = 180



Figure 4. We visualize RGB image with the given person highlighted with red bounding box. The binary map of front-most points that this person can view is visualized on the right.

bins and sagittal angle  $\beta$  into K = 90 bins, leading to 16200 possible discretized visual rays in total.

Our next step is to figure out which bin each point belongs to based on its angle w.r.t. head center. Denoting  $\mathbf{v}_i^m = \mathbf{p}_i^m - \mathbf{p}_i^h, \forall m \neq h$ , such angle can be represented by  $[f_\alpha(\mathbf{v}_i^m), f_\beta(\mathbf{v}_i^m)]$ . Based on the angle values and our discretization, we can further determine which bin each  $\mathbf{p}_i^m$ falls into. When occlusion happens, there would be multiple points in one bin indicating more than one point occurs in this visual ray. We denote the  $b_i^{m,\alpha} \in 1, ..., J$  and  $b_i^{m,\beta} \in 1, ..., K$  as the bins that *m*-th point belongs to, e.g. it belongs to bin *j*, *k* if and only if  $b_i^{m,\alpha} = j$  and  $b_i^{m,\beta} = k$ .

After determining the membership of each 3D point, we then remove occluded points in each bin. Or in another word, when multiple points belong to one bin, only the one with the smallest norm will be kept. We define a set  $\mathcal{V}_i^{j,k}$  that consists of indexes of points that belong to the bin j, k. Then we have:

$$m_{j,k}^* = \arg\min_m f_n(\mathbf{v}_i^m), m \in \mathcal{V}_i^{j,k}$$
(2)

where  $m_{j,k}^*$  denotes the index of point that has the minimal distance to head center in bin j, k. Grouping all such indexes together, or  $\{m_{j,k}^*\}_{j=1,k=1}^{J,K}$ , provides us the information about where the given person might look at in all possible 3D directions based on only 3D geometry. Then we generate a binary map of size  $H_i \times W_i$  reflecting only the front-most points. If  $m \in \{m_{j,k}^*\}_{j,k}$ , we set its pixel value in this binary map to 1. Otherwise we set it to 0.

We provide some visual examples of the above mentioned binary map and the paired  $I_i$  in Fig. 4. We highlight the given person with red bounding box and show the generated binary map of front-most points. As can be seen in this figure, the generated binary map gives a satisfactory estimation about the front-most points in all possible 3D directions that a given person can focus on with scene geometry only. **Ground Truth**  $A_i^*$  starts with the generated  $R_i$  and further incorporates gaze cues. Specifically,  $A_i^*$  aims to estimate the probability of each 3D direction that a given person is viewing. Intuitively, directions that are closer to ground truth gaze direction should have higher probabilities. Otherwise, their probabilities should be turned down.



Figure 5. We show four pairs of examples. We show on the left the RGB image, with the given person and the annotated ground truth highlighted. And our generated  $A_i^*$  is shown on the right.

To start with, we first generate the ground truth gaze direction, which can be obtained by  $[f_{\alpha}(\mathbf{v}_{i}^{t}), f_{\beta}(\mathbf{v}_{i}^{t})]$ , where  $\mathbf{v}_{i}^{t} = \mathbf{p}_{i}^{t} - \mathbf{p}_{i}^{h}$ . We again discretize them into J and K bins by convolving a dirac delta function centered at  $b_{i}^{t,\alpha}, b_{i}^{t,\beta}$ with a Gaussian of fixed variance, leading to the independent probabilities  $\mathbf{Pr}(\alpha_{i})$  and  $\mathbf{Pr}(\beta_{i})$ .  $Pr(\alpha_{i})^{j}$  and  $Pr(\beta_{i})^{k}$ are the *j*-th and *k*-th value in vector  $\mathbf{Pr}(\alpha_{i})$  and  $\mathbf{Pr}(\beta_{i})$ , denoting the probability of looking at the *j*-th and *k*-th discretized direction. Finally, we generate our probability map  $A_{i}^{*} = {\hat{a}_{i}^{m}}_{m} \in \mathbb{R}^{H_{i} \times W_{i}}$  such that if  $m \in {m_{j,k}^{*}}_{j,k}$ , we have  $\hat{a}_{i}^{m} = Pr(\alpha_{i})^{j} \cdot Pr(\beta_{i})^{k}$ . Otherwise we set  $\hat{a}_{i}^{m}$  to 0.

We visualize the generated  $A_i^*$  in Fig. 5. Again, we can see that  $A_i^*$  not only narrows down the target areas, but also provides meaningful probabilities w.r.t. ground truth 3D gaze directions compared to  $R_i$ . Our  $A_i^*$  takes into account the 3D cues thus it gives more diverse and meaningful guesses about where the given person might look at. One can expect that with contextual cues, we are able to refine our predictions one step further. Another interesting observation is about the multi-modal estimations and the potential ambiguity in human annotations. For instance, given only the single RGB image, it is hard to identify where the the girl in top-left figure really looks like at in pixel-level. She is more likely to focus on the left face or nose or mouth area of the lady than the right face/ear/shoulder due to its visibility. And we believe our  $A_i^*$  does reflect our observation. We will discuss this observation later in Sec. 4.

#### **3.2. Scene Parsing Module**

As discussed above, we have  $R_i$  that reflects where a given person might view in 3D space regardless of the head poses or contextual cues. We also obtain  $A_i$  that aims to predict the probability of each point/direction being looked at w.r.t. gaze related cues. Our next step is to incorporate contextual cues to refine our target position estimation.

Therefore, we introduce a scene parsing module that takes the current image  $I_i$ , the head position  $M_i^h$ , the probability map  $A_i$  and intermediate head features  $\mathbf{f}_i^h$  from  $f_{gp}$  as input and outputs the final heatmap  $G_i \in \mathbb{R}^{H_i \times W_i}$  reflecting the the confidence that a given person is fixating in each pixel location. Details of  $f_{gp}$  is shown in Fig. 6. Mathemat-



Figure 6. We provide more details of  $f_{sp}$ . Similarly, input, output and modules are visualized in pink, green and yellow, respectively. The heat feature  $\mathbf{f}_i^h$  is obtained from  $f_{gp}$ .

ically, we have:

$$G_i = f_{sp}(I_i, A_i, M_i^h, \mathbf{f}_i^h) \tag{3}$$

To obtain the ground truth  $G_i^*$ , we first generate a binary map where we set the value at  $\mathbf{t}_i$  to 1 and elsewhere to 0. Then we convolve a dirac delta function centered at  $\mathbf{t}_i$  with a 2D Gaussian of fixed variance.

Our overall loss function is then defined as:

$$\mathcal{L} = \mathcal{L}_{mse}(A_i, A_i^*) + \lambda \cdot \mathcal{L}_{mse}(G_i, G_i^*)$$
(4)

where  $\mathcal{L}_{mse}$  denotes the MSE loss.

#### 3.3. Data Preparation

In this section, we provide more details about how to obtain 3D point clouds  $\mathcal{P}_i$ , head image  $I_i^h$  and head position  $M_i^h$  with single RGB image.

To generate  $\mathcal{P}_i$ , we first estimate the relative depth of  $I_i$  and reference objects, or "persons". We rely on the assumption that human sizes are within certain distribution to estimate hyper-parameters. Specifically, the relative depth  $D_i \in \mathbb{R}^{H_i \times W_i}$  is obtained by existing monocular depth estimator  $f_d$  by  $D_i = f_d(I_i)$ .  $D_i^r = \frac{1}{a(D_i - b)}$  is absolute depth we desired. Our next step is to estimate  $D_i^r$ , or equivalently a, b, and focal length c for each image with the help from reference objects. Given the absolute depth map  $D_i^r$  as well as the focal length c, all pixels in  $I_i$  can be mapped to 3D with geometry [13], leading to our  $\mathcal{P}_i$ .

As described above, we use humans in  $I_i$  as reference objects. Specifically, we deploy pose estimator  $f_{dp}$  on each image and it gives masks of body parts of all persons.  $E_i =$   $f_{dp}(I_i) \in \mathbb{R}^{H_i \times W_i \times C \times N_i}$ , where *C* is the number of body parts and  $N_i$  denotes the number of individuals in the *i*th image. We also exploit 2D/3D key point detectors, or  $f_{kd2}$  and  $f_{kd3}$  to provide detailed locations of body joints. Specifically, we have  $K_i = f_{kd2}(I_i) \in \mathbb{R}^{C' \times 2 \times N_i}$  and  $S_i = f_{kd3}(I_i)$ , where  $S_i \in \mathbb{R}^{C' \times 3 \times N_i}$  and C' denotes the number of key point categories.

For each individual l in  $I_i$ , we can obtain the tightest bounding box for head region from  $E_i$ . Then we denote the reciprocal of longest edge of this bounding box as  $e_i^{h,l}$ . We can further obtain its average relative depth on its head mask w.r.t.  $E_i$  and  $D_i$ , which we denote as  $d_i^{h,l}$ . Then b can be obtained by:

$$b = -\frac{\sum_{l} (e_{i}^{h,l} - \overline{e_{i}^{h,l}}) \cdot (e_{i}^{h,l} \cdot d_{i}^{h,l} - \overline{e_{i}^{h,l} \cdot d_{i}^{h,l}})}{\sum_{l} (e_{i}^{h,l} - \overline{e_{i}^{h,l}})^{2}}$$
(5)

where  $\overline{*} = \frac{\sum_{l}(*)}{N_{i}}$  denotes the average function over \*. To compute *a*, we turn to the best represented person in

To compute *a*, we turn to the best represented person in image  $I_i$ . Intuitively, an individual that 1) provides large tightest mask 2) has majority of its key points detected in  $f_{kd2}$  and 3) gives high confidence score as person would be considered as our candidate. Denoting the index for the best represented person as  $l^*$ , we can obtain the relative depth  $D_i$  over its detected full-body mask in  $E_i$  and denote it as  $\mathbf{d}_i^{l*}$ . We further get the maximum and minimum depth of this person by  $\max(\mathbf{d}_i^{l*})$  and  $\min(\mathbf{d}_i^{l*})$ . Given the 3D key points of person  $l^*$ , we can compute depth gap as well as width gap between any two key points. And we denote its maximum depth and width gap as  $s_i^{d,l*}$  and  $s_i^{w,l*}$ . Then we can obtain *a* by:

$$a = (\frac{1}{\min(\mathbf{d}_i^{l*}) + b} - \frac{1}{\max(\mathbf{d}_i^{l*}) + b}) / s_i^{d,l*}$$
(6)

With a and b, we can easily obtain absolute depth with  $D_i^r = \frac{1}{a(D_i - b)}$ . Similarly, the average absolute depth of  $l^{*-}$ th person is defined over its 2D mask and denoted as  $d_i^{r,l*}$ . By computing the tightest bounding box of this person in  $E_i$ , we can further get the width of this person, which we denote as  $1/e_i^{w,l*}$ . Then the focal length c is defined as:

$$c = d_i^{r,l*} / (s_i^{w,l*} \cdot e_i^{w,l*})$$
(7)

We refer the readers to supplementary materials for more details to obtain a, b and c.

To obtain **head image**  $I_i^h$ , we directly exploit  $E_i$  to get the head mask. Then we crop image  $I_i$  w.r.t. the tightest bounding box of this mask to get  $I_i^h$ . Similarly, head **position mask**  $M_i^h$  is obtained by generating a binary map and set the values of only pixels that inside the above mentioned tightest bounding box to 1. To obtain **head center**  $p_i^h$ , we use the key points of our target person detected in  $K_i$ , find the center of the key points for left and right eye location in 2D image space and then map this center to 3D. Our ground truth gaze target  $\mathbf{p}_i^t$  is also obtained by mapping  $\mathbf{t}_i$  to 3D. We refer the readers to Sec. 4 for more details.

# 4. Experiments

In this section, we demonstrate the effectiveness of our proposed method by conducting several experiments on two publicly available datasets, GazeFollow [31] and VideoAttentionTarget [6]. We demonstrate the state-of-theart (SOTA) performances on these datasets and perform ablation study by validating the effectiveness of each module. Datasets GazeFollow is a large scale gaze-following dataset where 130,339 people in 122,143 images are collected from various existing datasets, e.g. ImageNet [8], with diverse activities and annotated with Amazon's Mechanical Turk (AMT). Following the split in [31], 4,782 people of GazeFollow are used for testing and the rest are for training. To ensure the annotation quality, every individual in the same image belongs to the same split and overall their fixations are uniformly distributed across the image. More importantly, to evaluate the human performance, 10 human annotations are collected per person on test images. VideoAttentionTarget gathers videos from 50 different shows from YouTube. And short clips ranges from 1 to 80 seconds are extracted from these shows where dynamic gaze behaviors as well as a person of interest can be continuously observed. During annotation process, both head bounding box and gaze target point of this person are annotated densely in clips, leading to 164,541 frame-level bounding boxes and corresponding gaze targets. About 20% of annotations are held out for testing, or 31,978 gaze annotations in 10 shows  $^{1}$ .

Evaluation Metrics We adopt four evaluation metrics [6, 9,31] to evaluate the performance of gaze following methods. Area Under Curve (AUC) criteria [17] exploits the predicted heatmap as confidences to produce an ROC curve. We follow [6] to have a fair comparison. Specifically, ground truth is a binary map with the size of original RGB image where target locations from 10 annotations are set to 1 on GazeFollow dataset. While on VideoAttentionTarget dataset, the ground truth is obtained by thresholding a Gaussian confidence mask centered at the human annotator's target location. And AUC is measured under  $64 \times 64$ resized space.  $L_2$  Distance (**Dist.**) measures the Euclidean distance between the averaged ground truth annotations and predicted gaze location, or the averaged pixel location in the predicted heatmap. Note that height and width of images are all normalized to 1. Angular error (Ang.) reports the angular difference between the prediction and averaged

<sup>&</sup>lt;sup>1</sup>Though the authors mentioned that 2 annotators work on test set [6], we only find one annotation available per test image in their released dataset. Thus we omit the comparison with human performance.

ground truth gaze vectors. Finally, Out of frame AP (**AP**) utilizes the average precision (AP) to assess the accuracy of out-of-frame identifying.

Implementation Details We use pre-trained MiDaS [30] as our monocular depth estimator  $f_d$ . As for pose estimator  $f_{dp}$ , we turn to Dense Pose [12] that pre-trained with COCO [22]. Our 2D key point detector  $f_{kd2}$  is of the same structure of X101-FPN [32, 36] that pre-trained on COCO [22]. We adopt pre-trained SMAP [45] as  $f_{kd3}$ . Since the original SMAP does not perform well on general gaze estimation dataset, we replace the 2D key points detection module in SMAP with the results obtained with  $f_{kd2}$ , or  $K_i$ , in practice. Note that we apply these pre-trained models directly on two datasets without re-training or finetuning. Our field view, head and scene feature extractors use ResNet50 as backbone [14]. The encoder-decoder in  $f_{qp}$ ,  $f_{sp}$ , the in-out feature extractor and MLP share the same structure with that of [6,9]. We implement our method with PyTorch and use ADAM as optimizer and set the learning rate to 0.00025. Please note our method does not depend on the specific details of these sub-modules and we choose the above mentioned structures mainly for re-production purpose. We refer the readers to supplementary materials for more details about model structure of each components.

## 4.1. Performance on GazeFollow

**Quantitative Results** We demonstrate our quantitative results on GazeFollow dataset in Tab. 1. We highlight the best and second best number in bold and underline. To have a fair comparison, Video\* does not include the temporal part of [6]. Ours+ replaces the head feature extractor in Ours with a model pre-trained on [18], or Whenet [46], so that the supervisions are the same w.r.t. [9]. **AP** is not reported as all annotations are in frame.

As can be seen from this table, compared to SOTA methods that require the same supervision, our method can always achieve superior performance. Even compared to method [9] that requires additional training data [18], Ours is comparable as well. We would like to high-light that our proposed method can beat the human performance under AUC metric. Unlike Dist. or Ang. that focuses on the average location of human annotations, which may be meaningless to some extend (See ground truth in Fig. 9), AUC actually provides a way to the measure the multi-modality property in predictions. Outperforming human performance shows that our method can indeed provides meaningful multi-modal and potentially more concentrated predictions on given images.

**Visualization** We visualize our results on GazeFollow dataset in Fig. 7. We demonstrate our prediction in yellow and the ground truth annotation in red. There are actually 10 annotations for each person during test time, we only visualize the average position of these 10 annotations in RGB

	Sup	ervision	Evaluation Metric			
Method	[31]	3D gaze	AUC↑	Dist.↓	Ang.(°) $\downarrow$	
Random [31]	$\checkmark$		.504	.484	69.0	
Center [31]	$\checkmark$		.633	.313	49.0	
Fixed bias [31]	$\checkmark$		.674	.306	48.0	
Recasens [31]	$\checkmark$		.878	.190	24.0	
Chong [5]	$\checkmark$		.896	.187	-	
Lian [21]	$\checkmark$		.906	.145	17.6	
Video* [6]	$\checkmark$		.921	.137	-	
Fang [9]	<ul> <li>✓</li> </ul>	$\checkmark$	<u>.922</u>	.124	14.9	
Human			.924	.096	11.0	
Ours	$\checkmark$		.928	.126	15.3	
Ours+	<ul> <li>✓</li> </ul>	$\checkmark$	.928	.122	14.6	

Table 1. Evaluation on the GazeFollow dataset [31] for singleimage gaze target detection. Numbers of baselines are from [6,9].



Figure 7. We show our prediction and average human annotation in yellow and red, respectively.

and leave the discussion of the annotation reliability to later paragraphs. We show good examples on the left column while visualize our results in the middle and right column when human annotations are ambiguous. We can see that we can almost always give reasonable predictions.

Ablation Studies To evaluate the effectiveness of our 3D representation as well as intermediate representation, we perform two ablation studies. Firstly, we remove  $R_i$  in  $f_{gp}$  and train our model with only  $\mathcal{L}_{mse}(G_i, G_i^*)$ . In our second setting, we keep  $R_i$  but do not enforce losses in  $A_i$ . In both settings, the model structure the same as the ESCNet. Denoting the former as -geo-Aloss and the later as -Aloss, we report the performance on test set of [31] in Tab. 2.

By comparing -Aloss to our full model, we find that the absence of explicit modelling of  $A_i$  leads to inferior performance, which demonstrates the effectiveness of our intermediate representation and deep supervision. The performance drop from -Aloss to -geo-Aloss further showcases that  $R_i$  is truly effective and beneficial as 3D geometry rep-

	Evaluation Metric					
Method	AUC ↑	Dist. $\downarrow$	Ang. (°) $\downarrow$			
Ours	.928	.126	15.3			
-Aloss	.921	.139	17.6			
-geo-Aloss	.910	.161	21.1			

Table 2. Evaluation on the GazeFollow dataset [31] for singleimage gaze target detection. We gradually remove the loss for intermediate representation  $A_i$  and  $R_i$  to demonstrate the effectiveness of intermediate and our 3D geometry representation.



Figure 8. We visualize the RGB with given person on the left and our paired predicted  $A_i$  on the right. We can see that  $A_i$  reflects the 3D geometry and probabilities well.

resentation. We further visualize our predicted  $A_i$  in Fig. 8. We observe that our model can indeed generate meaningful intermediate representation about where a given person might look at w.r.t. 3D geometry. For instance, though clearly exists as salient object, the lady on the top-left cannot see the face of the kid in front of her due to occlusions. Similarly, the given person on the top-right figure cannot see the lady in red as she is occluded by the player in white. Multi-modality in Human Annotation and Our Predictions Fig. 9 provides more details about our step-wise predictions and overall human annotations. From left to right, we visualize the input RGB image, our intermediate representation  $A_i$ , 10-annotation ground truths and our final prediction  $G_i$ . We can see that  $A_i$  and  $G_i$  share the same multi-modality property with real-world human annotations. Though missing in literature, we argue that such property is desired for gaze estimation task.

#### 4.2. Performance on VideoAttentionTarget

We demonstrate our quantitative results on VideoAttentionTarget dataset in Tab. 3. Ours\* shows the performance of directly applying our model that trained in GazeFollow to VideoAttentionTarget. To obtain **AP**, we just add additional BCELoss to Equ. 4. Again, we can always beat the SOTA approaches [5,6] that require the same gaze supervision. Our performance is even better than Video [6], which is obtained with additional temporal cues. While ours is slightly worse than [9] that requires additional gaze-related



Figure 9. From left to right, we show RGB with given person highlighted,  $A_i$ , human annotation and  $G_i$ . We can see that human annotations and our predictions share multi-modal property.

	Supervision			Evaluation Metric		
Method	[ <mark>6</mark> ]	[18]	Video	AUC ↑	Dist. $\downarrow$	AP↑
Random [6]	$\checkmark$			.505	.458	.621
Center [6]	$\checkmark$			.728	.326	.624
Chong [5]	$\checkmark$			.830	.193	.705
Video* [6]	$\checkmark$			.854	.147	.848
Video [6]	$\checkmark$		$\checkmark$	.860	.134	.853
Fang [9]	$\checkmark$	$\checkmark$		.905	.108	.896
Ours*	$\checkmark$			.872	.167	-
Ours	$\checkmark$			<u>.885</u>	<u>.120</u>	<u>.869</u>

Table 3. Evaluation on the VideoAttentionTarget dataset [6]. Baseline performances are from [6,9].

dataset to train. It is also interesting to see that even without training on VideoAttentionTarget, Ours\* generalizes well and achieves satisfactory results.

# 5. Conclusion

We propose a novel method for gaze target detection. Our method explicitly models 3D geometry from single RGB image by reconstructing a given scene with 3D point clouds and effectively leverages such information later in ESCNet. To achieve that, we introduce an intermediate representation, or a probability map of front-most 3D points being viewed, and incorporate 3D gaze and scene contextual cues to further regulate the final gaze position. We show that such representation not only offers meaningful understanding of 3D geometry but also allows deep supervision. Our results on two datasets showcase our advantages over existing methods and even human performance.

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