# GCFSR: a Generative and Controllable Face Super Resolution Method Without Facial and GAN Priors Supplementary File

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#### Abstract

In this supplementary file, we first give the detailed descriptions of our GCFSR in Section 1. Secondly, we provide the quantitative results to demonstrate the effectiveness of our proposed style modulation module (Section 2) and feature modulation module (Section 3). Then, we show more qualitative comparison with state-of-the-art methods (Section 4) and qualitative results of modulation on generative strength (Section 5). In Section 6, more visualization on feature modulation for different levels are illustrated. In the end, we present the qualitative results of GCFSR<sub>adv</sub> in Section 7.

#### 1. More detailed descriptions on GCFSR.

As for the architecture of GCFSR, the encoder contains six  $3 \times 3$  convolutional layers with stride 2, and each convolutional layer is followed by a leakyrelu activation layer. These strided convolutional layers gradually downsample the feature maps from resolution  $1024^2$  to resolution  $16^2$ . Besides, the encoder uses another two strided convolutional layers and one fully connected layer to generate the latent codes w. Then, the generator takes the topmost encoded  $16 \times 16$  feature maps as well as the latent codes to generate realistic facial details by style modulation. The number of parameters in GCFSR is 66.69M.

#### 2. The effectiveness of style modulation module in quantitative evaluation.

In this section, we provide the quantitative comparison of GCFSR with and w/o style modulation module for  $16 \times$ ,  $32 \times$  and  $64 \times$  SR. In general, the style modulation module improves the overall performance in most metrics.

| Table 1. Quantitative comparison of GCFSR with and w/o style modulation module on CelebA-HQ for $16\times$ , $32\times$ and $64\times$ SR. <b>Bolded</b> |
|--|
| texts represent the best performance.  |

|             | style<br>modulation | $\mathbf{PSNR}\uparrow$ | SSIM $\uparrow$ | LPIPS $\downarrow$ | $FID\downarrow$ | similarity $\uparrow$ |
|-------------|---------------------|-------------------------|-----------------|--------------------|-----------------|-----------------------|
| $16 \times$ | W                   | 27.17                   | 0.7100          | 0.2604             | 30.48           | 0.9631                |
|             | w/o                 | 27.16                   | 0.7081          | 0.2713             | 33.18           | 0.9602                |
| $32\times$  | W                   | 24.95                   | 0.6748          | 0.3061             | 43.34           | 0.7911                |
|             | w/o                 | 24.94                   | 0.6735          | 0.3207             | 44.97           | 0.7887                |
| $64 \times$ | W                   | 22.39                   | 0.6315          | 0.3663             | 57.15           | 0.6620                |
|             | w/o                 | 22.42                   | 0.6336          | 0.3750             | 60.03           | 0.6463                |
|             |                     |                         |                 |                    |                 |                       |

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#### 3. The effectiveness of feature modulation in quantitative evaluation.

In this section, we aim to demonstrate the effectiveness of our proposed feature modulation. Specifically, we remove the feature modulation from GCFSR and use the remaining network architecture (namely as GFSR) to train three single models for three upscaling factors ( $16 \times$ ,  $32 \times$ ,  $64 \times$ ), separately. The quantitative results are presented in Table 2 (the results of GLEAN are also provided as reference). As can be seen, in contrast with GCFSR, the single model GFSR achieves slightly better performance on  $16 \times$  SR, performs comparably on  $32 \times$  SR, but obtains worse results on  $64 \times$  SR. Nevertheless, the difference between GCFSR and GFSR in performance is minor (see Figure 2), indicating the superiority of our proposed Feature Modulation. On the other hand, our GFSR outperforms GLEAN for all upscaling factors, which again demonstrates the effectiveness of our proposed network architecture and the end-to-end training strategy.

Table 2. Comparison of GCFSR, GFSR (our single version), and GLEAN on CelebA-HQ for  $16 \times, 32 \times$ , and  $64 \times$  SR. GFSR and GLEAN both use three models for three different SR tasks. **Red** and blue indicate the best and the second best performance. Similarity. represents Cosine similarity of ArcFace Embeddings. The absolute difference between GCFSR and GFSR is given.

|             | •         |        |                 |                    |                 | e                     |
|-------------|-----------|--------|-----------------|--------------------|-----------------|-----------------------|
|             |           | PSNR ↑ | SSIM $\uparrow$ | LPIPS $\downarrow$ | $FID\downarrow$ | similarity $\uparrow$ |
| $16 \times$ | GLEAN [1] | 26.88  | 0.6953          | 0.2693             | 29.99           | 0.9682                |
|             | GCFSR     | 27.17  | 0.7100          | 0.2604             | 30.48           | 0.9631                |
|             | GFSR      | 27.16  | 0.7085          | 0.2560             | <b>29.57</b>    | 0.9747                |
|             | diff(abs) | 0.01   | 0.0015          | 0.0044             | 0.91            | 0.0116                |
| $32\times$  | GLEAN     | 24.34  | 0.6534          | 0.3257             | 46.57           | 0.7750                |
|             | GCFSR     | 24.95  | 0.6748          | 0.3061             | 43.34           | 0.7911                |
|             | GFSR      | 24.89  | 0.6761          | 0.3059             | 45.08           | 0.7979                |
|             | diff(abs) | 0.06   | 0.0013          | 0.0002             | 1.74            | 0.0068                |
| $64 \times$ | GLEAN     | 21.38  | 0.6016          | 0.4109             | 62.93           | 0.6118                |
|             | GCFSR     | 22.39  | 0.6315          | 0.3663             | 57.15           | 0.6620                |
|             | GFSR      | 22.21  | 0.6377          | 0.3689             | 59.79           | 0.6261                |
|             | diff(abs) | 0.18   | 0.0062          | 0.0026             | 2.64            | 0.0359                |
|             |           |        |                 |                    |                 |                       |

## 4. More qualitative comparison with state-of-the-art methods.



Figure 1. Qualitative comparisons of GLEAN [1], GPEN [7], GFPGAN [5], and GCFSR (ours) on CelebA-HQ [3] for  $16 \times$  SR. The GT image (Right) has a resolution of  $1024^2$ . **Zoom in for best view.** 



Figure 2. Qualitative comparisons of GLEAN [1], GPEN [7], GFPGAN [5], and GCFSR (ours) on CelebA-HQ [3] for  $32 \times$  SR. The GT image (Right) has a resolution of  $1024^2$ . **Zoom in for best view.** 



Figure 3. Qualitative comparisons of GLEAN [1], GPEN [7], GFPGAN [5], and GCFSR (ours) on CelebA-HQ [3] for  $64 \times$  SR. The GT image (Right) has a resolution of  $1024^2$ . **Zoom in for best view.** 



## 5. More qualitative results of modulation on generative strength.

Figure 4. The results obtained by modulation on the generative strength. We change the conditional upscaling factor s from s = 4 to s = 64 continuously, and find satisfactory results (e.g., results denoted by yellow rectangles) between two ends. **Zoom in for best view.** 

#### 6. More visualization on feature modulation.



Figure 5. The visualization on feature modulation. The histograms of scaling vectors  $\sigma_{enc}^{16}$ ,  $\sigma_{gen}^{16}$ ,  $\sigma_{enc}^{256}$ , and  $\sigma_{gen}^{256}$  for different conditional upscaling factors are presented.

Here we provide the histograms of scaling vectors that correspond to level 16 and level 256:  $\sigma_{enc}^{16}$ ,  $\sigma_{gen}^{16}$ ,  $\sigma_{enc}^{256}$ , and  $\sigma_{gen}^{256}$ , which are illustrated in Figure 5. For  $\sigma_{enc}^{16}$  and  $\sigma_{enc}^{256}$ , their values are approaching 0 as the conditional upscaling factor s increases. Reversely, the values of  $\sigma_{gen}^{16}$  and  $\sigma_{gen}^{256}$  are approaching 1. This indicates that higher conditional upscaling factor corresponds to stronger generative effect, since the features from the encoder are weakened while the features from the decoder are strengthened.

## 7. Qualitative results of GCFSR<sub>adv</sub>





PSFRGAN

HiFaceGAN

GFPGAN



Figure 6. Visual comparisons of GCFSR<sub>adv</sub> (trained with only one adversarial loss) and other blind face restoration methods (PSFRGAN [2], DFDNet [4], HiFaceGAN [6], GFPGAN [5], GPEN [7]) on 4× and 8× SR, evaluated on CelebA-HQ. The GT image has a resolution of  $512\times512.$  Zoom in for best view.

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