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# **Model-Agnostic Gender Debiased Image Captioning**

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baseline a young boy riding a skateboard

+LIBRA a young girl riding a skateboard



baseline a man riding a wave on a surfboard +LIBRA a woman catching a wave on a surfboard

(a) context  $\rightarrow$  gender bias mitigation



baseline a man wearing a suit holding a banana +LIBRA a man in a jacket holding a banana



baseline a young boy holding a baseball bat +LIBRA a young boy holding a plastic frisbee

### (b) gender $\rightarrow$ context bias mitigation

Figure 1. Generated captions by a baseline captioning model (UpDn [2]) and LIBRA. We show the baseline suffers from context  $\rightarrow$  gender/gender  $\rightarrow$  context biases, predicting incorrect gender or incorrect word (*e.g.*, in the left example, *skateboard* highly co-occurs with men in the training set, and the baseline incorrectly predicts *boy*). Our proposed framework successfully modifies those incorrect words.

#### Abstract

Image captioning models are known to perpetuate and amplify harmful societal bias in the training set. In this work, we aim to mitigate such gender bias in image captioning models. While prior work has addressed this problem by forcing models to focus on people to reduce gender misclassification, it conversely generates gender-stereotypical words at the expense of predicting the correct gender. From this observation, we hypothesize that there are two types of gender bias affecting image captioning models: 1) bias that exploits context to predict gender, and 2) bias in the probability of generating certain (often stereotypical) words because of gender. To mitigate both types of gender biases, we propose a framework, called LIBRA, that learns from synthetically biased samples to decrease both types of biases, correcting gender misclassification and changing genderstereotypical words to more neutral ones.

### 1. Introduction

In computer vision, societal bias, for which a model makes adverse judgments about specific population subgroups usually underrepresented in datasets, is increasingly concerning [4, 6, 7, 11, 17, 22, 41, 43, 52, 57]. A renowned example is the work by Buolamwini and Gebru [7], which demonstrated that commercial facial recognition models predict Black women with higher error rates than White men. The existence of societal bias in datasets and models is extremely problematic as it inevitably leads to discrimination with potentially harmful consequences against people in already historically discriminated groups.

One of the computer vision tasks in which societal bias is prominent is image captioning [49,58], which is the task of generating a sentence describing an image. Notably, image captioning models not only reproduce the societal bias in the training datasets, but also amplify it. This phenomenon is known as bias amplification [10,15,24,42,64] and makes models produce sentences more biased than the ones in the original training dataset. As a result, the generated sentences can contain stereotypical words about attributes such as gender that are sometimes irrelevant to the images.

Our study focuses on gender bias in image captioning models. First, based on the observations in previous work [5,8,18,44,51], we hypothesize that there exist two different types of biases affecting captioning models:

*Type 1.* context  $\rightarrow$  gender bias, which makes captioning models exploit the context of an image and precedently



Figure 2. Overview of LIBRA. For the original captions (*i.e.*, ground-truth captions written by annotators), we synthesize biased captions with context  $\rightarrow$  gender or/and gender  $\rightarrow$  context bias (Biased Caption Synthesis). Then, given the biased captions and the original images, we train an encoder-decoder captioner, Debiasing Caption Generator, to debias the input biased captions (*i.e.*, predict original captions).

generated words, increasing the probability of predicting certain gender, as shown in Figure 1 (a).

*Type 2.* gender  $\rightarrow$  context bias, which increases the probability of generating certain words given the gender of people in an image, as shown in Figure 1 (b).

Both types of biases can result in captioning models generating harmful gender-stereotypical sentences.

A seminal method to mitigate gender bias in image captioning is Gender equalizer [8], which forces the model to focus on image regions with a person to predict their gender correctly. Training a captioning model using Gender equalizer successfully reduces gender misclassification (reducing context  $\rightarrow$  gender bias). However, focusing only on decreasing such bias can conversely amplify the other type of bias [18,51]. For example, as shown in Figure 6, a model trained to correctly predict the gender of a person can produce other words that are biased toward that gender (amplifying gender  $\rightarrow$  context bias). This suggests that methods for mitigating bias in captioning models must consider both types of biases.

We propose a method called LIBRA  $\Phi$  (model-agnostic debiasing framework) to mitigate bias amplification in image captioning by considering both types of biases. Specifically, LIBRA consists of two main modules: 1) Biased Caption Synthesis (BCS), which synthesizes gender-biased captions (Section 3), and 2) Debiasing Caption Generator (DCG), which mitigates bias from synthesized captions (Section 4). Given captions written by annotators, BCS synthesizes biased captions with gender  $\rightarrow$  context or/and context  $\rightarrow$  gender biases. DCG is then trained to recover the original caption given a

(synthetic biased caption, image) pair. Once trained, DCG can be used on top of any image captioning models to mitigate gender bias amplification by taking the image and generated caption as input. Our framework is model-agnostic and does not require retraining image captioning models.

Extensive experiments and analysis, including quantitative and qualitative results, show that LIBRA reduces both types of gender biases in most image captioning models on various metrics [8, 18, 44, 66]. This means that DCG can correct gender misclassification caused by the context of the image/words that is biased toward a certain gender, mitigating context  $\rightarrow$  gender bias (Figure 1 (a)). Also, it tends to change words skewed toward each gender to less biased ones, mitigating gender  $\rightarrow$  context bias (Figure 1 (b)). Furthermore, we show that evaluation of the generated captions' quality by a metric that requires human-written captions as ground-truth (*e.g.*, BLEU [30] and SPICE [1]) likely values captions that imitate how annotators tend to describe the gender (*e.g.*, women posing vs. men standing).

### 2. Related work

Societal bias in image captioning In image captioning [2, 62], societal bias can come from both the visual and linguistic modalities [8, 51, 65]. In the visual modality, the image datasets used to train captioning models are skewed regarding human attributes such as gender [14, 37, 59, 65, 66], in which the number of images with men is twice as much as those of women in MSCOCO [26]. Additionally, captions written by annotators can also be biased toward a certain gender because of gender-stereotypical expressions [5, 65], which can be a source of bias from the linguistic modality.

Models trained on such datasets not only reproduce societal bias but amplify it [8, 18, 51, 65]. This phenomenon is demonstrated by Burns et al. [8], which showed that image captioning models learn the association between gender and objects and make gender distribution in the predictions more skewed than in datasets. We show that LIBRA can mitigate such gender bias amplification in various captioning models. What is better, we demonstrate that our model often produces less gender-stereotypical captions than the original captions.

Mitigating societal bias Mitigation of societal bias has been studied in many tasks [19, 20, 44, 46, 50, 53, 55, 56, 60, 63, 66], such as image classification [34] and visual semantic role labeling [61]. For example, Wang et al. [53] proposed an adversarial debiasing method to mitigate gender bias amplification in image classification models. In image captioning, Burns et al. [8] proposed the Gender equalizer we described in Section 1 to mitigate context  $\rightarrow$  gender bias. However, recent work [18, 51] showed that focusing on mitigating gender misclassification can lead to generating gender-stereotypical words and amplifying gender  $\rightarrow$ context bias. LIBRA is designed to mitigate bias from the two types of biases.

**Image caption editing** DCG takes a (caption, image) pair as input and debiases the caption. This process is aligned with image caption editing [39, 40, 54] for generating a refined caption. These models aim to correct grammatical errors and unnatural sentences but not to mitigate gender bias. In Section 5.3, we compare DCG with a state-of-the-art image caption editing model [40] and show that a dedicated framework for addressing gender bias is necessary.

#### **3. Biased caption synthesis**

Figure 2 shows an overview of LIBRA, consisting of BCS and DCG. This section introduces BCS to synthesize captions with both context  $\rightarrow$  gender or/and gender  $\rightarrow$ context biases.

Notation Let  $\mathcal{D} = \{(I, y)\}$  denote a training set of the captioning dataset, where I is an image and  $y = (y_1, \ldots, y_N)$ is the ground-truth caption with N tokens.  $\mathcal{D}_{g}$  denotes a subset of  $\mathcal{D}$ , which is given by filter  $F_{GW}$  as

$$\mathcal{D}_{\rm g} = F_{\rm GW}(\mathcal{D}),\tag{1}$$

 $F_{\rm GW}$  keeps captions that contains either women or men words (e.g., girl, boy).<sup>1</sup> Therefore, samples in  $\mathcal{D}_{g}$  come with a gender attribute  $g \in \mathcal{G}$ , where  $\mathcal{G} = \{\text{female, male}\}^2$ . We define the set that consists of women and men words as gender words.



Gender-swapping A man with a beer is wearing a gray tie

T5-generation A woman with a umbrella is wearing a grav shirt

#### Merged

A man with a hat is wearing a grav tie

A woman with a beer is wearing a gray tie



A man in the water trying to grab a frisbee T5-generation A woman in the kitchen trying to grab a pizza

Mergeo A man in the air trying to grab a frisbee

#### Original

A woman in the water trying to grab a frisbee

Figure 3. Biased captions synthesized by BCS. Gender-swapping denotes synthesized captions by swapping the gender words (Section 3.1). T5-generation denotes synthesized captions by T5 (Section 3.2). Merged represents biased captions synthesized by applying T5-generation and Gender-swapping (Section 3.3).

#### **3.1.** Context $\rightarrow$ gender bias synthesis

Context  $\rightarrow$  gender bias means gender prediction is overly contextualized by the image and caption. Therefore, the gender should be predictable from the image and caption context when the caption has context  $\rightarrow$  gender bias. The idea of synthesizing context  $\rightarrow$  gender biased captions is thus to swap the gender words in the original caption to make it consistent with the context when the gender predicted from the context is skewed toward the other gender. Since an original caption faithfully represents the main content of the corresponding image [3, 45], we can solely use the caption to judge if both image and caption are skewed. To this end, we train a sentence classifier that predicts gender from textual context to synthesize biased captions. We introduce the detailed steps.

**Masking** Captions with context  $\rightarrow$  gender bias are synthesized for  $\mathcal{D}_{g}$ . Let  $F_{PG}$  denote the filter that removes captions whose gender is predictable by the sentence classifier. Given  $y \in \mathcal{D}_{g}$ ,  $F_{PG}$  instantiated by first masking gender words and replacing corresponding tokens with the mask token to avoid revealing the gender, following [18]. We denote this gender word masking by  $mask(\cdot)$ .

**Gender classifier** We then train<sup>3</sup> gender classifier  $f_g$  to predict the gender from masked caption as

$$\hat{g} = f_{g}(y) = \operatorname{argmax}_{a} p(G = g|\operatorname{mask}(y))$$
 (2)

<sup>&</sup>lt;sup>1</sup>We pre-defined women and men words. The list is in the appendix.

<sup>&</sup>lt;sup>2</sup>In this paper, we focus on binary gender categories in our framework and evaluation by following previous work [8, 66]. We recognize that the more inclusive gender categories are preferable, and it is the future work.

<sup>&</sup>lt;sup>3</sup>Refer to the appendix for training details.

where p(G = g|mask(y)) is the probability of being gender g given masked y.  $F_{PG}$  is then applied to  $\mathcal{D}_{g}$  as:

$$F_{\rm PG}(\mathcal{D}_{\rm g}) = \{ y \in \mathcal{D}_{\rm g} | \hat{g}(y) \neq g \},\tag{3}$$

recalling  $\hat{g}$  is a function of y.

**Gender swapping** The inconsistency of context y' and gender g means that y' is skewed toward the other gender; therefore, swapping gender wards (*e.g.*,  $man \rightarrow woman$ ) in  $y \in F_{PG}(\mathcal{D}_g)$  results in a biased caption. Letting swap( $\cdot$ ) denote this gender swapping operation, the augmenting set  $\mathcal{A}_{CG}$  is given by:

$$\mathcal{A}_{\mathrm{CG}} = \{ \mathrm{swap}(y) | y \in F_{\mathrm{PG}}(\mathcal{D}_{\mathrm{g}}) \}.$$
(4)

Figure 3 shows some synthetically biased captions (refer to Gender-swapping). We can see that the incorrect gender correlates with context skewed toward that gender. For instance, in the top example, *tie* is skewed toward men based on the co-occurrence of men words and *tie*.

### **3.2. Gender** $\rightarrow$ **context** bias synthesis

Our idea for synthesizing captions with gender  $\rightarrow$  context bias is to sample randomly modified captions of y and keep ones with the bias. Sampling modified captions that potentially suffer from this type of bias is not trivial. We thus borrow the power of a language model. That is, captions with gender  $\rightarrow$  context bias tend to contain words that well co-occur with gender words, and this tendency is supposedly encapsulated in a language model trained with a large-scale text corpus. We propose to use the masked token generation capability of T5 [33] to sample modified captions.

**T5 masked word generation** T5 is one of the state-ofthe-art Transformer language models. For better alignment with the vocabulary in the captioning dataset, we finetune T5 with  $\mathcal{D}$  by following the process of training the masked language model in [13].<sup>4</sup> After finetuning, we sample randomly modified captions using T5. Specifically, we randomly mask 15% of the tokens in  $y \in \mathcal{D}$ . Note that we exclude tokens of the gender words if any as they serve as the only cue of the directionality of bias (either men or women).

Let  $y_{\mathcal{M}}$  denote a modified y whose m-th token ( $m \in \mathcal{M}$ ) is replaced with the mask token. The masked token generator by T5 can complete the masked tokens solely based on  $y_{\mathcal{M}}$ , *i.e.*,  $\hat{y} = \text{T5}(y_{\mathcal{M}})$ . With this, we can sample an arbitrary number of  $\hat{y}$ 's to make a T5-augmented set  $\mathcal{D}_{\text{T5}}$  as<sup>5</sup>:

$$\mathcal{D}_{\mathsf{T5}} = \{ \hat{y} = \mathsf{T5}(y_{\mathcal{M}}) | y \in \mathcal{D}, \mathcal{M} \sim \mathcal{R} \},$$
(5)

where  $\mathcal{M}$  is sampled from set  $\mathcal{R}$  of all possible masks.

**Filtering** We then apply a filter to  $\mathcal{D}_{T5}$  to remove captions that decrease gender  $\rightarrow$  context bias, which is referred to as gender filter. We thus borrow the idea in Eq. (3). For this, we only use captions in  $\mathcal{D}_{T5}$  that contain the gender words, *i.e.*,  $\mathcal{D}_{T5,g} = F_{GW}(\mathcal{D}_{T5})$ , to guarantee that all captions have gender attribute g. To collectively increase gender  $\rightarrow$  context bias in the set, we additionally use condition  $d(y', y) = p(G = g|mask(y')) - p(G = g|mask(y)) > \delta$ , which means the gender of  $y' \in \mathcal{D}_{T5,g}$  should be more predictable than the corresponding original  $y \in \mathcal{D}_g$  by a predefined margin  $\delta$ . Gender filter  $F_{GF}$  is given by:

$$F_{\rm GF}(\mathcal{D}_{\rm T5,g}, \mathcal{D}_{\rm g}) = \{ y' \in \mathcal{D}_{\rm T5,g} | \hat{g}(y') = g, d(y', y) > \delta \}.$$
(6)

The appendix shows that  $F_{\text{GF}}$  can keep more genderstereotypical sentences than the original captions.

With the gender filter, augmenting set  $A_{GC}$  is given as the intersection of the filtered sets as:

$$\mathcal{A}_{\rm GC} = F_{\rm GF}(\mathcal{D}_{\rm T5,g}, \mathcal{D}_{\rm g}). \tag{7}$$

As a result, the synthesized captions contain genderstereotypical words that often co-occur with that gender as shown in Figure 3 (refer to T5-generation). For example, in the bottom sample, *kitchen* co-occurs with women words about twice as often as it co-occurs with men words in  $\mathcal{D}$ , amplifying gender  $\rightarrow$  context bias.

#### **3.3.** Merging together

For further augmenting captions, we merge the processes for augmenting both context  $\rightarrow$  gender and gender  $\rightarrow$ context biases, which is given by:

$$\mathcal{A} = \{ \operatorname{swap}(y) | y \in F_{\operatorname{PG}}(\mathcal{D}_{\operatorname{T5},g}) \},$$
(8)

which means that the process for synthesizing context  $\rightarrow$  gender bias in Eqs. (3) and (4) is applied to T5 augmented captions. In this way, the textual context becomes skewed toward the new gender. Some synthesized samples can be found in Figure 3 (refer to Merged).

#### 4. Debiasing caption generator

DCG is designed to mitigate the two types of gender bias in an input caption to generate a debiased caption.

Architecture DCG has an encoder-decoder architecture. The encoder is a Transformer-based vision-and-language model [23] that takes an image and text as input and outputs a multi-modal representation. The decoder is a Transformer-based language model [32] that generates text given the encoder's output. The encoder's output is fed into the decoder via a cross-attention mechanism [38].

**Training** Let  $\mathcal{D}^* = \mathcal{A}_{CG} \cup \mathcal{A}_{GC} \cup \mathcal{A} = \{(I, y^*)\}$  denote the set of synthetic biased captions where  $y^*$  is a biased

<sup>&</sup>lt;sup>4</sup>Refer to the appendix for the details of this finetuning.

<sup>&</sup>lt;sup>5</sup>We remove trivial modification that replaces a word with its synonyms based on WordNet [27] and unnatural captions with dedicated classifier. More details can be found in the appendix.

Table 1. Dataset construction. Swap denotes synthesized captions by Gender-swapping (Section 3.1). T5 denotes synthesized captions by T5-generation (Section 3.2). Ratio represents the ratio of the number of each type of biased data.

Synt	hesis	method		
Swap	T5	Merged	Ratio	Num. sample
$\checkmark$	$\checkmark$	-	1:1:0	57,284
-	$\checkmark$	$\checkmark$	0:1:1	114,568
$\checkmark$	$\checkmark$	$\checkmark$	1:2:1	114,568

caption. When training DCG, given a  $(I, y^*)$  pair, we first mask 100 $\eta$  percent of words in the input caption. The aim is to add noise to the input sentence so DCG can see the image when refining the input caption, avoiding outputting the input sentence as it is by ignoring the image. The masked caption is embedded to  $\bar{y}$  by word embedding and position embedding. The input image I is embedded to  $\bar{I}$  through linear projection and position embedding.  $\bar{y}$  and  $\bar{I}$  are fed into the DCG encoder, and the output representation of the encoder is inputted to the DCG decoder via a cross-attention mechanism. DCG is trained to recover the original caption y with a cross-entropy loss  $\mathcal{L}_{ce}$  as

$$\mathcal{L}_{ce} = -\sum_{t=1}^{N} \log p(y_t | y_{1:t-1}, I, y^*)$$
(9)

where p is conditioned on the precedently generated tokens, and I and  $y^*$  through the cross-attention from the encoder. The trained DCG learns to mitigate two types of biases that lie in the input-biased captions.

**Inference** We apply the trained DCG to the output captions of captioning models. Let  $y_c$  denote a generated caption by an image captioning model. As in training, given a pair of  $(I, y_c)$ , we first mask  $100\eta$  percent of words in the input caption. Then, DCG takes the masked caption and image and generates a debiased caption. DCG can be used on top of any image captioning models and does not require training in captioning models to mitigate gender bias.

### 5. Experiments

**Dataset** We use MSCOCO captions [9]. For training captioning models, we use the MSCOCO training set that contains 82, 783 images. For evaluation, we use a subset of the MSCOCO validation set, consisting of 10, 780 images, that come with binary gender annotations from [65]. Each image has five captions from annotators.

For synthesizing biased captions with BCS, we use the MSCOCO training set. The maximum number of synthetic captions by Gender-swapping is capped by  $|F_{PG}(\mathcal{D}_q)| =$ 

28, 642, while T5-generation and Merged can synthesize an arbitrary number of captions by sampling  $\mathcal{M}$ . We synthesize captions so that the number of captions with gender swapping (*i.e.*, Gender-Swapping and Merged) and T5generation can be balanced as in Table 1.

**Bias metrics** We mainly rely on three metrics to evaluate our framework: 1) **LIC** [18], which compares two gender classifiers' accuracies trained on either generated captions by a captioning model or human-written captions. Higher accuracy of the classifier trained on a model's predictions means that the model's captions contain more information to identify the gender in images, indicating gender  $\rightarrow$ **context** bias amplification, 2) **Error** [8], which measures the gender misclassification ratio of generated captions. We consider Error to evaluate context  $\rightarrow$  gender bias whereas it does not directly measure this bias (discussed in the appendix), and 3) **BiasAmp** [66], a bias amplification measurement based on word-gender co-occurrence, which is possibly the cause of gender  $\rightarrow$  context bias. More details about these bias metrics are described in the appendix.

**Captioning metrics** The accuracy of generated captions is evaluated on reference-based metrics that require humanwritten captions to compute scores, specifically BLEU-4 [30], CIDEr [48], METEOR [12], and SPICE [1]. While those metrics are widely used to evaluate captioning models, they often suffer from disagreements with human judges [16]. Thus, we also use a reference-free metric, CLIPScore [16], that relies on the image-text matching ability of the pre-trained CLIP. CLIPScore has been shown to have a higher agreement with human judgment than reference-based metrics.

**Captioning models** We evaluate two standard types of captioning models as baselines: 1) CNN encoder-LSTM decoder models (NIC [49], SAT [58], FC [36], Att2in [36], and UpDn [2]) and 2) state-of-the-art Transformer-based models (Transformer [47], OSCAR [25], ClipCap [28], and GRIT [29]). Note that most of the publicly available pre-trained models are trained on the training set of the Karpathy split [21] that uses the training and validation sets of MSCOCO for training. As we use the MSCOCO validation set for our evaluation, we retrain the captioning models on the MSCOCO training set only.

**Debiasing methods** As debiasing methods, we compare LIBRA against Gender equalizer [8]. Gender equalizer utilizes extra segmentation annotations in MSCOCO [26], which are not always available. The method is not applicable to captioning models that use object-based visual features such as Faster R-CNN [35] because the pre-trained detector's performance drops considerably for human-masked

Table 2. Gender bias and captioning quality for several image captioning models. Green/red denotes LIBRA mitigates/amplifies bias with respect to the baselines. For bias, lower is better. For captioning quality, higher is better. LIC and BiasAmp are scaled by 100. Note that CLIPScore for ClipCap can be higher because CLIPScore and ClipCap use CLIP [31] in their frameworks.

	Gender bias $\downarrow$			Captioning quality ↑				
Model	LIC	Error	BiasAmp	BLEU-4	CIDEr	METEOR	SPICE	CLIPScore
NIC [49]	0.5	23.6	1.61	21.9	58.3	21.6	13.4	65.2
+LIBRA	-0.3	5.7	-1.47	24.6	72.0	24.2	16.5	71.7
SAT [58]	-0.3	9.1	0.92	34.5	94.6	27.3	19.2	72.1
+LIBRA	-1.4	3.9	-0.48	34.6	95.9	27.8	20.0	73.6
FC [36]	2.9	10.3	3.97	32.2	94.2	26.1	18.3	70.0
+LIBRA	-0.2	4.3	-1.11	32.8	95.9	27.3	19.7	72.9
Att2in [36]	1.1	5.4	-1.01	36.7	102.8	28.4	20.2	72.6
+LIBRA	-0.3	4.6	-3.39	35.9	101.7	28.5	20.6	73.8
UpDn [2]	4.7	5.6	1.46	39.4	115.1	29.8	22.0	73.8
+LIBRA	1.5	4.5	-2.23	37.7	110.1	29.6	22.0	74.6
Transformer [47]	5.4	6.9	0.09	35.0	101.5	28.9	21.1	75.3
+LIBRA	2.3	5.0	-0.26	33.9	98.7	28.6	20.9	75.7
OSCAR [25]	2.4	3.0	1.78	39.4	119.8	32.1	24.0	75.8
+LIBRA	0.3	4.6	-1.95	37.2	113.1	31.1	23.2	75.7
ClipCap [28]	1.1	5.6	1.51	34.8	103.7	29.6	21.5	76.6
+LIBRA	-1.5	4.5	-0.57	33.8	100.6	29.3	21.4	76.0
GRIT [29]	3.1	3.5	3.05	42.9	123.3	31.5	23.4	76.2
+LIBRA	0.7	4.1	1.57	40.5	116.8	30.6	22.6	75.9

images.<sup>6</sup> In the experiment, we apply Gender equalizer and LIBRA to debias NIC+, which is a variant of NIC with extra training on images of female/male presented in [8].

For LIBRA, we use  $\delta = 0.2$ . The vision-and-language encoder of DCG is Vilt [23], and the decoder is GPT-2 [32]. Unless otherwise stated, we use the combination of biased data composed of T5-generation and Merged in Table 1. We set  $\eta = 0.2$  and conduct ablation studies of the settings in Section 5.4 and the appendix.

### 5.1. Bias mitigation analysis

We apply LIBRA on top of all the captioning models to evaluate if it mitigates the two types of gender biases. We also report caption evaluation scores based on captioning metrics. Results are shown in Table 2. We summarize the main observations as follows:

**LIBRA mitigates gender**  $\rightarrow$  **context bias.** The results on LIC show that applying LIBRA consistently decreases gender  $\rightarrow$  context bias in all the models. We show some examples of LIBRA mitigating bias in Figure 1 (b). For example, in the second sample from the right, the baseline, UpDn [2], produces the incorrect word, *suit*. The word *suit* is skewed toward men, co-occurring with men 82% of the time in the MSCOCO training set. LIBRA changes *suit* to



GT gender: Male

OSCAR a man flying through the air while riding a skateboard +LIBRA

a girl glides through the air while riding a skateboard

GT gender: Female

a **man** riding a wave on top of a surfboard

+LIBRA a woman riding a wave on top of a surfboard

Figure 4. Gender misclassification of LIBRA (Top). Gender misclassification of OSCAR [25] (Bottom). GT gender denotes ground-truth gender annotation in [65].

OSCAR

*jacket*, mitigating gender  $\rightarrow$  context bias. Besides, in some cases where LIC is negative (*i.e.*, NIC, SAT, FC, Att2in, and ClipCap), the gender  $\rightarrow$  context bias in the generated captions by LIBRA is less than those of human annotators. In the appendix, we show some examples that LIBRA generates less biased captions than annotators' captions.

The results of BiasAmp, which LIBRA consistently reduces, show that LIBRA tends to equalize the skewed wordgender co-occurrences. For example, LIBRA mitigates the co-occurrence of the word *little* and women from 91% in captions by OSCAR to 60%. Results on BiasAmp support

 $<sup>^{6}\</sup>text{Faster-RCNN}$  mAP drops from 0.41 to 0.37, and for the person class recall drops from 0.79 to 0.68.



References - A man is standing next to his ice cream truck - A man is standing in front of a white ice cream truck - A man is standing in front of his ice cream vehicle - A ice cream truck parked on the side of the road with the driver standing beside it - A man is standing next to an ice cream truck

Baseline	+LIBRA		
A man standing in front of a white truck	A man posing in front of a white truck		
BLEU-4: 79.6 🕇 METEOR: 43.8 🕇	BLEU-4: 47.5 🖡 METEOR: 33.7 🖡		
SPICE: 42.9 🕇 CLIPScore: 74.6 🖡	SPICE: 30.8 🖡 CLIPScore: 76.7 🕇		

Figure 5. CLIPScore [16] vs. reference-based metrics [1, 12, 30]. References denote the ground-truth captions written by annotators. Bold words in the generated captions mean the difference between baseline and LIBRA. Highlighted words in references denote the words that match the bold word in the baseline. We can see that CLIPScore is more robust against word-changing.

the effectiveness of LIBRA regarding the ability to mitigate gender  $\rightarrow$  context bias.

LIBRA mitigates context  $\rightarrow$  gender bias in most models. The Error scores show that LIBRA reduces gender misclassification in most models except for OSCAR and GRIT  $(3.0 \rightarrow 4.6 \text{ for OSCAR}, 3.5 \rightarrow 4.1 \text{ for GRIT})$ . We investigate the error cases when LIBRA is applied to OSCAR and find that gender misclassification of LIBRA is often caused by insufficient evidence to identify a person's gender. For instance, in the top example in Figure 4, the ground-truth gender annotation is male, and OSCAR generates man although the person is not pictured properly enough to determine gender.<sup>7</sup> This may suggest that OSCAR learns to guess the gender based on the context, in this case, skateboard<sup>8</sup> to increase gender classification accuracy. However, this causes context  $\rightarrow$  gender bias for images with a gendercontext combination rarely seen in the dataset (e.g., womensurfing). In Figure 4 (bottom), OSCAR predicts incorrect gender for the image with a male-biased context.<sup>9</sup> In the appendix, we discuss possible solutions for reducing gender misclassification without relying on the context.

**LIBRA is good at CLIPScore.** The results of the captioning metrics show that CLIPScore is better or almost as high as the baselines when applying LIBRA. As CLIPScore is based on an image-caption matching score, we can confirm that LIBRA does not generate less biased sentences by producing irrelevant words to images. This observation verifies that applying LIBRA on top of the captioning models does not hurt the quality of captions.

**CLIPScore versus other metrics.** While LIBRA works well on CLIPScore, the score in the reference-based met-

Table 3. Comparison with Gender equalizer [8]. Green/red denotes the bias mitigation method mitigates/amplifies bias.

	Gende	er bias ↓	Caption	Captioning quality $\uparrow$		
Model	LIC	Error	SPICE	CLIPScore		
NIC+ [49]	1.4	14.6	17.5	69.9		
+Equalizer [8]	6.8	7.8	16.8	69.9		
+LIBRA	0.4	5.1	18.9	72.7		



Figure 6. LIBRA vs. Gender equalizer [8].

rics decreases for some models. We examine the cause of the inconsistency between CLIPScore and reference-based metrics and find that generating words that reduce bias hurts reference-based metrics. We show an example in Figure 5. LIBRA changes *standing* to *posing*, which is also a valid description of the image. However, the scores of reference-based metrics substantially drop (*e.g.*, 79.6  $\rightarrow$ 47.5 in BLEU-4). Human annotators tend to use *posing* for women.<sup>10</sup> Therefore, reference-based metrics value captions that imitate how annotators describe each gender. On the other hand, LIBRA tends to change words skewed toward each gender to more neutral ones, which can be the cause of decreasing scores in the reference-based metrics.

## 5.2. Comparison with other bias mitigation

We compare the performance of LIBRA and Gender equalizer [8] on NIC+ [49], following the code provided by the authors. The results are shown in Table 3. As reported in previous work [18,51], Gender equalizer amplifies gender  $\rightarrow$  context bias (1.4  $\rightarrow$  6.8 in LIC) while mitigating gender misclassification (14.6  $\rightarrow$  7.8 in Error). In contrast, LIBRA mitigates gender  $\rightarrow$  context and context  $\rightarrow$  gender biases, specifically 1.4  $\rightarrow$  0.4 in LIC and 14.6  $\rightarrow$  5.1 in Error. In the upper sample of Figure 6, LIBRA predicts the correct gender while not generating gender-stereotypical words. The results of the comparison with Gender equalizer highlight the importance of considering two types of

<sup>&</sup>lt;sup>7</sup>Previous work [5] has shown human annotators possibly annotate gender from context for images without enough cues to judge gender.

<sup>&</sup>lt;sup>8</sup>*Skateboard* is highly skewed toward men in the dataset, which cooccurs with men more than 90%.

<sup>&</sup>lt;sup>9</sup>Surfboard highly co-occur with men in MSCOCO.

 $<sup>^{10}\</sup>mbox{The co-occurrence}$  of women and posing is more than 60% of the time in the MSCOCO training set.

Model	Gender bias ↓ LIC Error		Caption SPICE	ing quality ↑ CLIPScore
OSCAR [25]	2.4	3.0	24.0	75.8
+ENT [40]	5.7	2.8	21.9	72.8
+LIBRA	0.3	4.6	23.2	75.7

Table 4. Comparison with image caption editing model. Bold numbers represent the best scores in ENT [40] or LIBRA.

Table 5. Comparison of data used for training DCG. Bold numbers denote the best scores among the types of synthetic datasets.

	Synt	hesis	Gende	Gender bias $\downarrow$	
Model	Swap T5 Merged		Merged	LIC	Error
UpDn [2]	-	-	-	4.7	5.6
+LIBRA	$\checkmark$	$\checkmark$	-	2.3	6.2
+LIBRA	-	$\checkmark$	$\checkmark$	1.5	4.5
+LIBRA	$\checkmark$	$\checkmark$	$\checkmark$	1.1	5.2
OSCAR [25]	-	-	-	2.4	3.0
+LIBRA	$\checkmark$	$\checkmark$	-	-0.8	6.8
+LIBRA	-	$\checkmark$	$\checkmark$	0.3	4.6
+LIBRA	$\checkmark$	$\checkmark$	$\checkmark$	0	5.0

biases for gender bias mitigation.

#### 5.3. Comparison with image caption editing model

We compare LIBRA with a state-of-the-art image caption editing model [40] (refer to ENT). Specifically, we apply LIBRA and ENT on top of the various captioning models and evaluate them in terms of bias metrics and captioning metrics. We re-train ENT by using the captions from SAT [58] for textual features. The results for OSCAR [25] are shown in Table 4. The complete results are in the appendix. As for LIC, while LIBRA consistently mitigates gender  $\rightarrow$  context bias, ENT can amplify the bias in some baselines (SAT, Att2in, OSCAR, ClipCap, GRIT). Regarding Error, LIBRA outperforms in most baselines except for OSCAR and GRIT. From these observations, we conclude that a dedicated framework for addressing gender bias is necessary to mitigate gender bias.

#### 5.4. Ablations

We conduct ablation studies to analyze the influence of different settings of LIBRA. Here, we show the results when applying LIBRA to UpDn [2] and OSCAR [25]. The complete results of all the baselines are in the appendix.

**Combinations of synthetic data** We compare the performance of the different dataset combinations for training DCG in Table 1. The results are shown in Table 5. The Error score of T5-generation and Merged is consistently the best among the combinations. As for LIC, the results are

Table 6. Comparison with random perturbation. Rand. pert. denotes DCG trained on data with random perturbation. Bold numbers denote the best scores in the DCG trained on either biased captions from BCS or captions with random perturbation.

	Gender bias $\downarrow$		Caption	ing quality ↑
Model	LIC Error		SPICE	CLIPScore
UpDn [2]	4.7	5.6	22.0	73.8
+Rand. pert.	2.2	5.9	21.8	74.4
+LIBRA	1.5	4.5	22.0	74.6
OSCAR [25]	2.4	3.0	24.0	75.8
+Rand. pert.	2.0	5.6	22.9	75.4
+LIBRA	0.3	4.6	23.2	75.7

not as consistent, but still DCG trained on all types of combinations decreases the score. We chose T5-generation and Merged as it well balances LIC and Error.

**Synthetic data evaluation** To demonstrate the effectiveness of BCS, we compare LIBRA and DCG trained on captions with random perturbation, which does not necessarily increase gender bias. In order to synthesize such captions, we randomly mask 15 percent of the tokens in the original captions in  $D_g$  and generate words by T5, but without using any filters in Section 3. When selecting masked tokens, we allow choosing gender words so that T5 can randomly change the gender. As a result, the synthesized captions contain incorrect words, which are not necessarily due to gender bias. We show the results in Table 6. Using biased samples from BCS to train DCG consistently produces the best results in LIC and Error. From this, we conclude that BCS, which intentionally synthesizes captions with gender biases, contributes to mitigating gender biases.

## 6. Conclusion

LIBRA  $\bigoplus^{11}$  is a model-agnostic framework to mitigate both context  $\rightarrow$  gender and gender  $\rightarrow$  context biases in captioning models. We experimentally showed that LI-BRA mitigates gender bias in multiple captioning models, correcting gender misclassification caused by context and changing to less gender-stereotypical words. To do this, LI-BRA synthesizes biased captions using a language model and filtering for intentionally increasing gender biases. Interestingly, the results showed these synthetic captions are a good proxy of gender-biased captions from various captioning models and facilitate model-agnostic bias mitigation. As future work, we will use LIBRA to mitigate other types of bias, such as age or skin-tone, which requires specific annotations, such as the ones in concurrent work [14], and mechanisms to identify each type of bias.

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