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# Few-shot Non-line-of-sight Imaging with Signal-surface Collaborative Regularization

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#### Abstract

The non-line-of-sight imaging technique aims to reconstruct targets from multiply reflected light. For most existing methods, dense points on the relay surface are raster scanned to obtain high-quality reconstructions, which requires a long acquisition time. In this work, we propose a signal-surface collaborative regularization (SSCR) framework that provides noise-robust reconstructions with a minimal number of measurements. Using Bayesian inference, we design joint regularizations of the estimated signal, the 3D voxel-based representation of the objects, and the 2D surface-based description of the targets. To our best knowledge, this is the first work that combines regularizations in mixed dimensions for hidden targets. Experiments on synthetic and experimental datasets illustrated the efficiency of the proposed method under both confocal and non-confocal settings. We report the reconstruction of the hidden targets with complex geometric structures with only  $5 \times 5$  confocal measurements from public datasets, indicating an acceleration of the conventional measurement process by a factor of 10,000. Besides, the proposed method enjoys low time and memory complexity with sparse measurements. Our approach has great potential in real-time non-line-of-sight imaging applications such as rescue operations and autonomous driving.

# 1. Introduction

The non-line-of-sight (NLOS) imaging technique enables reconstructions of targets out of the direct line of sight, which is attractive in various applications such as autonomous driving, remote sensing, rescue operations and medical imaging [1,5,6,10,15,16,19,21,26,33–35,38–40]. A typical scenario of NLOS imaging is shown in Figure 1. Several points on the visible surface are illuminated by a laser and the back-scattered light from the target is detected to reconstruct the target. The NLOS detection system is confocal if each illumination point is the same with



Figure 1. A typical non-line-of-sight imaging scenario. a) The time resolved signals are measured at only  $3 \times 3$  focal points. b) The three views of the reconstructed target obtained with the proposed SSCR method.

the detection point, and non-confocal otherwise. The timecorrelated single-photon counting (TCSPC) technique is applied in the detection process due to the extremely low photon intensity after multiple diffuse reflections. In practice, a single-photon avalanche diode (SPAD) in the Geiger-mode can be used to record the photon events with time-of-flight (TOF) information [3]. The first experimental demonstration of NLOS imaging dates back to 2012, where the targets are reconstructed with the back-projection (BP) method [37]. Extensions of this approach include its fast implementation [2], the filtering technique for reconstruction quality enhancement [17], and weighting factors for noise reduction [11].

A number of efficient methods have been designed

for fast reconstructions. The light cone transform (LCT) method [30] formulates the physical model as a convolution operator, so that the reconstructions can be obtained using the Wiener deconvolution method with the fast Fourier transform. The directional light cone transform (D-LCT) [42] generalizes the LCT and reconstructs the albedo and surface normal simultaneously. The method of frequency wavenumber migration (F-K) [20] formulates the propagation of light using the wave equation, and also provides a fast inversion algorithm with the frequency-domain interpolation technique. Whereas the LCT, D-LCT and F-K methods only work directly in confocal measurement scenarios, the phasor field (PF) method [23, 24, 32] converts the NLOS imaging scenarios to LOS cases and works for the general non-confocal setting with low computation complexity. For high-quality and noise-robust reconstructions, the signal-object collaborative regularization (SOCR) method can be applied, but brings additional computational cost. In recent years, deep learning-based methods are also introduced to the field of NLOS imaging [7, 8, 27, 43]. Besides, advances in hardware enhance the distance of NLOS detection to kilometers [39], or make it possible to reconstruct targets on the scale of millimeters [38].

Despite these breakthroughs, the trade off between the acquisition time and the imaging quality is inevitable. In the raster scanning mode, the acquisition time is proportional to the number of measurement points with fixed scanning speed. Due to the intrinsic ill-posedness of the NLOS reconstruction problem [22] and heavy measurement noise [11], dense measurements are necessary for high quality reconstructions [20, 23, 30]. The measurement process may take from seconds to hours, which poses a great challenge for applications such as autonomous driving, where realtime reconstruction of the video stream is needed. The acquisition process can be accelerated by reducing the number of pulses used for each illumination point. In the work [18], the pulse number that record the first returning photon is used to reconstruct the target. Another way to reduce the acquisition time is to design array detectors for non-confocal measurements. For example, the implementation of the phasor field method with SPAD arrays realizes low-latency real-time video imaging of the hidden scenes [28]. A third way to accelerate the NLOS detection process is to reduce the number of measurement points. It is shown that  $16 \times 16$ confocal measurements are enough to reconstruct the hidden target by incorporating the compressed sensing technique [41].

In this paper, we study the randomness in the photon detection process of NLOS scenarios and propose an imaging method that deals with a very limited number of spatial measurements, which we term the few-shot NLOS detection scenarios. We design joint regularizations of the estimated signal, the 3D voxel-based representation of the ob-



Figure 2. The least-squares solution of the statue with  $3 \times 3$  confocal measurements [20]. The target cannot be identified even though its simulated signal matches the measurements well (see Fig. 3). Strong regularizations are needed to reconstruct the target. See also Fig. 6 for a comparison.



Figure 3. Comparisons of the measured data and the simulated data of the least-squares solution for the instance of the statue [20]. The measured signals are shown in black. The simulated data of the least-squares solution are shown in red. The shapes of the signals are very close to each other.

jects, and the 2D surface-based description of the targets, which leads to faithful reconstruction results. The main contributions of this work are as follows.

- We propose a signal-surface collaborative regularization (SSCR) framework for few-shot non-line-of-sight reconstructions, which works under both confocal and non-confocal settings.
- We report the reconstruction of the hidden targets with complex geometric structures with only 5 × 5 confocal measurements from public datasets, indicating an acceleration of the conventional measurement process by a factor of 10,000.

# 2. Related work

From a mathematical point of view, the non-line-of-sight imaging task belongs to the category of inverse problem. The goal is to reconstruct the surface of the hidden target with the measured signal. The NLOS inverse problem is ill-posed due to the intrinsic structure of the physical model [22] and heavy measurement noise [11]. When the number of measurement points is small, the lack of data leads to rank-deficiency of the measurement matrix, making the reconstruction task even harder [41]. As an example, Fig. 2 shows the reconstruction results of the instance of statue with  $3 \times 3$  confocal measurements from the Stanford dataset [20] using the traditional least-squares method. The target cannot be identified in the reconstruction. The measured signal and the simulated signal of the least-squares solution are illustrated in Fig. 3, which shows that the shapes of these two signals are very similar. The experimental setup and more quantitative details are provided in the supplement. In such few-shot NLOS detection scenarios, regularization becomes a vital tool for high-quality reconstructions. Here, we briefly review the signal-object collaborative regularization method [25]. The proposed method is closely related to this method.

The signal-object collaborative regularization (SOCR) method [25] represents the hidden target with voxels and considers prior terms for both the reconstructed target and the signal. The joint regularization term concerns sparseness of the reconstruction, non-local self-similarity of the target and the smoothness of the signal. The method employs  $L_1$  regularization, orthogonal optimization and empirical Wiener filter to provide noiseless reconstructions with sharp boundaries, but at the expense of additional computational cost. The SOCR method provides high-quality reconstructions with dense measurements, but may fail in few-show NLOS detection scenarios. In such cases, the ill-posedness of the NLOS inverse problem is so strong that the method may result in biased estimations of the targets and artifacts in the background.

In this paper, we propose a signal-surface collaborative regularization method that provides faithful reconstructions of the targets in few-shot NLOS detection scenarios. The proposed approach differs from the SOCR method in two aspects. Firstly, the SOCR method uses the quadratic data misfit as the data fidelity term, which assumes noise of the Gaussian type. In the proposed SSCR method, we study the randomness of photon event stamping, and use logarithmic data fidelity terms derived from Bernoulli photon event assumptions. Secondly, the SOCR method considers regularizations for three-dimensional voxels, while the proposed method introduces two-dimensional regularizations for the surface of the hidden objects and incorporates priors in both 2D and 3D representations of the targets.

#### **3.** The physical model

In general, the reconstruction quality and the computation complexity of an NLOS imaging algorithm rely heavily on the forward model that simulates the physical measurement process. Fine physical models lead to reconstructions with clear geometric structures, but at the expense of high computational cost [13, 36]. Instead of putting forward a novel physical model, we aim at overcoming the ill-posedness of the NLOS imaging task with an extremely small number of measurements. We adopt a linear physical model which only considers the square fall-off of the photon intensity. Let  $x'_i$  and  $x'_d$  be the illumination and detection points on the visible surface, the photon intensity detected at time t is modeled as

$$\tau(x'_i, x'_d, t) = \int_{\Omega} \frac{u(x)}{\|x'_i - x\|^2 \|x'_d - x\|^2} \cdot \delta(\|x'_i - x\| + \|x'_d - x\| - ct) dx,$$
(1)

in which c is the speed of light, x is a point in the threedimensional reconstruction domain  $\Omega$ . The albedo value of the point x is represented by u(x). The  $\delta$  function describes the intrinsic domain of integration as the set of points with optical path length ct. When  $x'_i \neq x'_d$ , the domain of integration is a half ellipsoid with foci  $x'_i$  and  $x'_d$ . When  $x'_i = x'_d = x'$ , the domain of integration is a half sphere with center x' and the model reduces to the one used in the work [30], which writes

$$\tau(x',t) = \int_{\Omega} \frac{u(x)}{\|x'-x\|^4} \cdot \delta(2\|x'-x\|-ct)dx.$$
 (2)

# 4. The SSCR method

In this section, we study the randomness in the measurement process and propose a signal-surface collaborative regularization framework for few-shot NLOS imaging scenarios.

**Notation** The  $L_2$  norm of a vector **x** is denoted by  $||\mathbf{x}||$ . We use [N] as an abbreviation of the set  $\{1, 2, \ldots, N\}$ . We use  $\otimes$  to denote the Kronecker product of matrices. The three-dimensional reconstruction domain  $\Omega$  is discretized with voxels  $V = \{v_{ijk} = (x_i, y_j, z_k) | i \in [I], j \in [J], k \in$ [K], where  $x_i, y_j$ , and  $z_k$  are the coordinates of the point  $v_{ijk}$  in the horizontal, vertical and depth directions. Each point in V represents a cubic voxel of the same size. The grid function u is used to denote the discrete albedo values, with its components denoted by  $u_{ijk} = \mathbf{u}(v_{ijk})$ . To reconstruct the hidden targets, the signal is measured at P pairs of points  $\{(x'_p, y'_p)\}_{p=1}^P$ , in which  $x'_p$  and  $y'_p$  are the coordinates of the  $p^{th}$  illumination and detection points, respectively. For each measurement pair  $(x'_n, y'_n)$ , the time resolved signal contains Q time bins. The length of each time bin is a constant, usually at the scale of picoseconds in

real applications. We denote by  $\tau_{p,q}$  the photon intensity of the  $p^{th}$  measurement pair and the  $q^{th}$  time bin. The set of photon intensities is denoted by  $\tau$ .

**The reconstructed surface** We use  $\mathbf{u} \in \mathbb{R}^{I \times J \times K}$  to represent the albedo of the NLOS scene, which is a threediminsional tensor. However, it is only possible to reconstruct the portion of the hidden surface where photons are bounced back, which is a two dimenional geometric object. With this observation, we define a subset  $\mathcal{G} \subsetneq \mathbb{R}^{I \times J \times K}$  as follows

$$\mathcal{G} = \{ \mathbf{g} = (g_{ijk}) \in \mathbb{R}^{I \times J \times K} \mid \forall (i, j) \in [I] \times [J], \\ \exists \text{ at most one } k = k_{ij} \in [K], \text{ s.t. } g_{i,j,k_{ij}} \neq 0 \}.$$
(3)

Each element  $\mathbf{g} \in \mathcal{G}$  yields a trival two-dimensional parameterization. For each pixel  $(i, j) \in [I] \times [J]$ , only one of the following cases holds.

- Case 1: g<sub>ijk</sub> = 0 for all k ∈ [K]. In this case, the line x = x<sub>i</sub>, y = y<sub>j</sub> does not intersect with the target and we call (i, j) a background pixel.
- Case 2: There exists only one k = k<sub>ij</sub>, such that g<sub>i,j,k<sub>ij</sub></sub> ≠ 0. In this case, we call (i, j) a foreground pixel and the corresponding depth is z<sub>ij</sub>.

To express elements of  $\mathcal{G}$  with matrices, we show that there is a bijection from the set  $\mathcal{G}$  to the following set

$$\mathcal{G}' = \{ (\mathbf{e}, \mathbf{d}, \boldsymbol{\alpha}) \mid \mathbf{e} = (e_{ij})_{I \times J}, e_{ij} \in \{0, 1\}, \\ \mathbf{d} = (d_{ij})_{I \times J}, d_{ij} \in [K] \cup \{\text{NaN}\}, \\ \boldsymbol{\alpha} = (\alpha_{ij})_{I \times J}, \alpha_{ij} \in \mathbb{R} \cup \{\text{NaN}\}, \\ \forall (i, j), \ e_{ij} = 0 \iff d_{ij} = \text{NaN} \iff \alpha_{ij} = \text{NaN} \}.$$
(4)

In the definition of  $\mathcal{G}'$ , the placeholder NaN represents a background pixel and does not operate with real numbers. To construct a bijection from  $\mathcal{G}$  to  $\mathcal{G}'$ , for each element  $\mathbf{g} \in \mathcal{G}$ , let e be the indicator function of the set of foreground pixels, d be the depths of the foreground pixels and  $\alpha$  be the corresponding albedo values. Then, fill the matrices d and  $\alpha$  with NaNs where necessary. It is easy to check that this map:  $\mathcal{G} \to \mathcal{G}'$  is one to one and onto. We call e, d and  $\alpha$  the foreground indication matrix, the depth matrix and the albedo matrix of g, respectively. We will design regularizations for elements of  $\mathcal{G}$ , in which the matrix representations bring remarkable convenience.

**The data of photon event stamping** In NLOS detections, the intensity of the back scattered light is extremely weak after multiple diffuse reflections. For each measurement pair, a total of N laser pulses are emitted to the illumination point. We use the binary variable  $d_{p,q,n}$  to denote the recorded photon event

$$d_{p,q,n} = \begin{cases} 1, \text{ record a photon event} \\ 0, \text{ otherwise} \end{cases}, \qquad (5)$$

in which  $p \in [P]$ ,  $q \in [Q]$ , and  $n \in [N]$  are indices of the measurement pair, the time bin and the pulse number. For each measurement pair, the detector can record at most one photon event for each pulse, which means that  $\sum_{q} d_{p,q,n} \leq 1$ . However, the case of recording more than one photon event in a single pulse can be neglected [18]. The collection of photon event stamping is denoted by **d**.

**The Bayesian framework** We propose a unified Bayesian framework that reconstructs the hidden targets with the measured data of photon event stamping. For each measurement pair p, time bin q, and pulse number n, it is assumed that the detection of a photon event  $e_{p,q,n}$  follows the Bernoulli distribution with probability  $\mathbb{P}\left\{e_{p,q,n}=1\right\} = 1 - e^{-\eta \tau_{p,q}}$ , in which  $\eta > 0$  is the detection efficiency [30]. The collection of random variables  $e_{p,q,n}$  is denoted by e. In NLOS detection scenarios, the probability of detecting a photon event is extremely small. The first order approximation of the exponent is adopted and we assume

$$\mathbb{P}\left\{e_{p,q,n}=1\right\} = \eta \tau_{p,q}.$$
(6)

In Eq. (1), the photon intensity is linear with respect to the albedo, we choose  $\eta = 1$  without loss of generality. We also assume that the detection of different photon events is independent. Let  $\mathbf{g} \in \mathcal{G}$  be the albedo of the hidden surface. We view  $\mathbf{g}$  and  $\boldsymbol{\tau}$  as random vectors and find them simultaneously by maximizing the posterior probability  $\mathbb{P}(\mathbf{g}, \boldsymbol{\tau} | \mathbf{e} = \mathbf{d})$ , where  $\mathbf{e}$  is related with  $\boldsymbol{\tau}$  by Eq. (6). Noting that the set  $\mathcal{G}$  is not a convex subset of  $\mathbb{R}^{I \times J \times K}$ , the resulting optimization problem is non-convex and hard to solve. To tackle this problem, we introduce the random vector  $\mathbf{u} \in \mathbb{R}^{I \times J \times K}$  as an approximation of the surface  $\mathbf{g}$  and maximize  $\mathbb{P}(\mathbf{g}, \mathbf{u}, \boldsymbol{\tau} | \mathbf{e} = \mathbf{d})$ . Besides, we assume that the conditional probability of  $\mathbf{e}$  only depends on  $\boldsymbol{\tau}$ , which means

$$\mathbb{P}(\mathbf{e} = \mathbf{d} | \mathbf{g}, \mathbf{u}, \boldsymbol{\tau}) = \mathbb{P}(\mathbf{e} = \mathbf{d} | \boldsymbol{\tau}).$$
(7)

Using the Bayesian formula, we have

$$\arg \max_{\mathbf{g},\mathbf{u},\tau} \mathbb{P}(\mathbf{g},\mathbf{u},\tau|\mathbf{e} = \mathbf{d})$$

$$= \arg \max_{\mathbf{g},\mathbf{u},\tau} \mathbb{P}(\mathbf{e} = \mathbf{d}|\mathbf{g},\mathbf{u},\tau)\mathbb{P}(\mathbf{g},\mathbf{u},\tau)$$

$$= \arg \max_{\mathbf{g},\mathbf{u},\tau} \mathbb{P}(\mathbf{e} = \mathbf{d}|\tau)\mathbb{P}(\mathbf{g},\mathbf{u},\tau)$$

$$= \arg \max_{\mathbf{g},\mathbf{u},\tau} \prod_{p,q,n} \mathbb{P}(\mathbf{e}_{p,q,n} = \mathbf{d}_{p,q,n}|\tau_{p,q})\mathbb{P}(\mathbf{g},\mathbf{u},\tau)$$

$$= \arg \max_{\mathbf{g},\mathbf{u},\tau} \prod_{p,q} (\tau_{p,q})^{d_{p,q}} (1 - \tau_{p,q})^{N - d_{p,q}} \mathbb{P}(\mathbf{g},\mathbf{u},\tau)$$

$$= \arg \min_{\mathbf{g},\mathbf{u},\tau} \sum_{p,q} [(d_{p,q} - N) \ln(1 - \tau_{p,q}) - d_{p,q} \ln(\tau_{p,q})] + \Gamma(\mathbf{g},\mathbf{u},\tau),$$
(8)

where  $d_{p,q} = \sum_{n=1}^{N} d_{p,q,n}$  is the data of photon event histogram.  $\Gamma(\mathbf{g}, \mathbf{u}, \tau)$  is the joint regularization term of  $\mathbf{g}$ ,  $\mathbf{u}$  and  $\tau$ .

The joint regularization term  $\Gamma(\mathbf{g}, \mathbf{u}, \tau)$  plays a crucial role in the process of reconstruction. An ingenious design of this term not only results in faithful reconstructions, but also admits low-cost algorithms to solve the optimization problem (8). In this paper, we assume

$$\Gamma(\mathbf{g}, \mathbf{u}, \boldsymbol{\tau}) = \lambda \|\boldsymbol{\tau} - A\mathbf{u}\|^2 + J_1(\mathbf{u}) + J_2(\mathbf{u}, \boldsymbol{\tau}) + J_3(\mathbf{u}, \mathbf{g}),$$
(9)

in which A is the forward operator defined by Eq. (1).  $J_1$  describes the prior distribution of  $\mathbf{u}$ ,  $J_2$  is the joint prior of  $\mathbf{u}$  and  $\boldsymbol{\tau}$ ,  $J_3$  is the joint prior of  $\mathbf{u}$  and  $\mathbf{g}$ .  $\lambda$  is a fixed parameter.

**The prior**  $J_1(\mathbf{u})$  The priors of the voxel based representation of the targets have been widely used in existing works [14,20,30]. Two efficient priors are the sparseness and non-local self-similarity of the objects. In the work [25], these two priors are considered for the 4D tensor of the directional albedo. Here, we simplify the approach and directly use  $L_1$  norm of the albedo to impose the sparseness of the target. For the non-local self-similarity prior, we directly follow the block-matching and sparse representation method in the work [25], and set

$$J_{1}(\mathbf{u}) = s_{u} \|\mathbf{u}\|_{1} + \lambda_{u} \sum_{i} \left[ \|\mathcal{B}\mathbf{u}_{i} - D_{s}C_{i}D_{n}^{T}\|^{2} + \lambda_{pu}|C_{i}|_{0} \right],$$
(10)

in which  $s_u$ ,  $\lambda_u$ , and  $\lambda_{pu}$  are fixed parameters.  $|\cdot|_0$  denotes the number of nonzero elements. The summation is made over all possible local 3D albedo blocks. The matrix  $\mathcal{B}\mathbf{u}_i$  is constructed by putting the vectorizations of the  $i^{th}$  local block  $\mathbf{u}_i$  and its neighbors column by column. The orthogonal matrices  $D_s$  and  $D_n$  capture the local structure and non-local self-similarity of the albedo  $\mathbf{u}$ .  $C_i$  contains the transform-domain coefficients of the  $i^{th}$  block, whose sparseness is imposed by the term  $|C_i|_0$ . For more details, we refer the readers to [4,9,25].

**The prior**  $J_2(\mathbf{u}, \tau)$  We seek for a joint local sparse representation scheme for the estimated signal  $\tau$  and the simulated signal  $A\mathbf{u}$ . It is assumed that  $\tau$  is a three dimensional tensor of size  $N_x \times N_y \times Q$ , in which  $N_x$  and  $N_y$  are the number of measurement points in the horizontal and vertical directions. Q is the number of time bins. We call a three-dimensional sub-tensor of  $\tau$  a local patch. Consider the set of all possible patches of size  $r_x \times r_y \times r_q$ . We obtain the patch dataset  $\mathcal{P}(\tau)$  by generating the vectorization of each patch and put them together column by column. We use the orthogonal dictionary  $D = D_q \otimes D_y \otimes D_x$  as the transform basis, where  $D_q$ ,  $D_y$  and  $D_x$  are matrices of the discrete

consine transform of orders q, y and x, respectively. The joint prior of **u** and  $\tau$  is given by

$$J_2(\mathbf{u}, \boldsymbol{\tau}) = \lambda_t \| \mathcal{P}(\boldsymbol{\tau}) - DS \|^2 + \lambda_{ut} \| \mathcal{P}(A\mathbf{u}) - DS \|^2 + \lambda_{pt} |S|_0,$$
(11)

in which  $\lambda_t$ ,  $\lambda_{ut}$  and  $\lambda_{pt}$  are fixed parameters.  $\mathcal{P}(A\mathbf{u})$  represents the patch dataset generated by the simulated signal of  $\mathbf{u}$ . S contains the public transform domain coefficients of  $\mathcal{P}(\tau)$  and  $\mathcal{P}(A\mathbf{u})$ , whose sparseness is imposed by the  $L_0$  term.

**The prior**  $J_3(\mathbf{u}, \mathbf{g})$  We express the joint regularization of  $\mathbf{u}$  and  $\mathbf{g}$  as

$$J_3(\mathbf{u}, \mathbf{g}) = \lambda_g \left[ \|\mathbf{u} - \mathbf{g}\|^2 + \Upsilon(\mathbf{g}) \right], \qquad (12)$$

in which  $\Upsilon(\mathbf{g})$  describes the prior distribution of  $\mathbf{g} \in \mathcal{G}$ . The set  $\mathcal{G}$  is not convex, making it difficult to design  $\Upsilon(\mathbf{g})$  explicitly. In fact, it sufficies to update  $\mathbf{g}$  with fixed  $\mathbf{u}$  and vise vera in the final optimization problem. With fixed  $\mathbf{g}$ ,  $\mathbf{u}$  can be easily updated with the term  $\|\mathbf{u} - \mathbf{g}\|^2$ . To update  $\mathbf{g}$  with any fixed  $\mathbf{u} \in \mathbb{R}^{I \times J \times K}$ , we choose an element from the set  $\mathcal{G}$  which not only lies in the neighborhood of  $\mathbf{u}$  in the  $L_2$  sense, but also acts like the surface of some real-world object. With this motivation in mind, we construct a map  $\mathcal{S} : \mathbb{R}^{I \times J \times K} \to \mathcal{G}$  and view  $\mathcal{S}(\mathbf{u})$  as the solution to the following optimization problem.

$$\mathcal{S}(\mathbf{u}) = \underset{\mathbf{g}}{\arg\min} \|\mathbf{u} - \mathbf{g}\|^2 + \Upsilon(\mathbf{g}). \tag{13}$$

We call  $S(\mathbf{u})$  a *surfaciation* of  $\mathbf{u}$ . To construct the map S, we assign for each  $\mathbf{u} \in \mathbb{R}^{I \times J \times K}$  an indicator matrix  $\mathbf{e}$ , a depth matrix  $\mathbf{d}$  and an albedo matrix  $\boldsymbol{\alpha}$  (recall the definition of  $\mathcal{G}'$ ). Different methods that generate the surfaciation of  $\mathbf{u}$  lead to different surface regularizations. For clarity, we state a basic method here and provide more technical tricks in the supplement.

For each pixel (i, j) of **u**, let  $u_{i,j,k_{ij}^1}, \ldots, u_{i,j,k_{ij}^{n_{ij}}}$  be all  $n_{ij}$  non-zero albedo values in the depth direction. Define

$$\tilde{e}_{ij} = \begin{cases} 1, \ n_{ij} > 0\\ 0, \ n_{ij} = 0 \end{cases}$$
(14)

to be the indicator function of the set of foreground pixels. There could be many mislabeled pixels due to heavy background noise in **u**. To provide a noise-robust estimation of the indicator matrix **e**, we consider the correlations of all pixels and solve

$$\mathbf{e}^{*} = (e_{ij}^{*})_{I \times J}$$

$$= \underset{\{e_{ij}\}}{\operatorname{arg\,min}} \sum_{i=1}^{I} \sum_{j=1}^{J} \gamma_{ij} (e_{ij} - \tilde{e}_{ij})^{2}$$

$$+ \sum_{p=1}^{I} \sum_{q=1}^{J} \sum_{r=1}^{I} \sum_{s=1}^{J} w_{pq,rs}^{\mathbf{e}} (e_{pq} - e_{rs})^{2},$$
(15)

in which  $w_{pq,rs}^{\mathbf{e}}$  describes the weight of the pixels (p, q) and (r, s). The parameter  $\gamma_{ij}$  describes the confidence of indication of the originial pixel  $\tilde{e}_{ij}$ . This least-squares problem has a unique solution and can be solved using the standard LSQR method. The foreground indication matrix  $\mathbf{e} = (e_{ij})$  is determined by

$$e_{ij} = \begin{cases} 1, \ e_{ij}^* \ge 0.5\\ 0, \ e_{ij}^* < 0.5 \end{cases}$$
 (16)

To obtain the depth matrix  $\mathbf{d}$ , we solve the following least-squares problem

$$\mathbf{d}^{*} = (d_{ij}^{*})_{I \times J}$$

$$= \underset{\{d_{ij}\}}{\operatorname{arg\,min}} \sum_{i=1}^{I} \sum_{j=1}^{J} \sum_{n=1}^{n_{ij}} \lambda_{ijn} (d_{ij} - z_{k_{ij}^{n}})^{2}$$

$$+ \sum_{p=1}^{I} \sum_{q=1}^{J} \sum_{r=1}^{I} \sum_{s=1}^{J} w_{pq,rs}^{\mathbf{d}} (d_{pq} - d_{rs})^{2},$$
(17)

in which  $z_{k_{ij}^n}$  is the depth of the voxel  $(i, j, k_{ij}^n)$ .  $\lambda_{ijn}$  and  $w_{pq,rs}^{\mathbf{d}}$  are fixed parameters that control the weight of the corresponding terms. The depth matrix  $\mathbf{d} = (d_{ij})$  is then determined by

$$d_{ij} = \begin{cases} \arg\min_k \|z_k - d_{ij}^*\|, \ e_{ij} = 1\\ \text{NaN}, \ e_{ij} = 0 \end{cases} .$$
(18)

If there are two different values of k that minimizes  $||z_k - d_{ii}^*||$ , the smaller one is used.

The albedo matrix  $\alpha = (\alpha_{ij}^*)$  is obtained by solving the following optimization problem

$$\boldsymbol{\alpha} = (\alpha_{ij}^*)_{I \times J}$$

$$= \underset{\{\alpha_{ij}\}}{\operatorname{arg\,min}} \sum_{i=1}^{I} \sum_{j=1}^{J} \sum_{n=1}^{n_{ij}} \lambda_{ijn} (\alpha_{ij} - u_{ijk_{ij}^n})^2$$

$$+ \sum_{p=1}^{I} \sum_{q=1}^{J} \sum_{r=1}^{I} \sum_{s=1}^{J} w_{pq,rs}^{\boldsymbol{\alpha}} (\alpha_{pq} - \alpha_{rs})^2,$$

$$(19)$$

in which  $\lambda_{ijn}$  and  $w_{pq,rs}^{\alpha}$  are fixed parameters. Finally, the element  $\alpha_{ij}^{*}$  is reset as NaN if  $e_{ij} = 0$ .

Finally we obtain the optimization problem of the proposed signal-surface collaborative regularization (SSCR) framework as follows

$$\arg \min_{\substack{\boldsymbol{\tau}, \mathbf{u}, \mathbf{g}, \\ D_s, D_n, C, S}} \sum_{p,q} \left[ (d_{p,q} - N) \ln(1 - \tau_{p,q}) - d_{p,q} \ln(\tau_{p,q}) \right] \\
+ \lambda_t \| \mathcal{P}(\boldsymbol{\tau}) - DS\|^2 + \lambda_{ut} \| \mathcal{P}(A\mathbf{u}) - DS\|^2 + \lambda_{pt} |S|_0 \\
+ \lambda \| \boldsymbol{\tau} - A\mathbf{u}\|^2 + s_u \| \mathbf{u} \|_1 + \lambda_g \left[ \| \mathbf{u} - \mathbf{g} \|^2 + \Upsilon(\mathbf{g}) \right] \\
+ \lambda_u \sum_i \left[ \| \mathcal{B}\mathbf{u}_i - D_s C_i D_n^T \|^2 + \lambda_{pu} |C_i|_0 \right] \\
\text{s.t. } \mathbf{g} \in \mathcal{G}, \ D_s D_s^T = I_x, \ D_n D_n^T = I_y.$$
(20)



Figure 4. Reconstruction results of the pyramid with confocal synthetic signal. a) - f) The front view of the reconstructions obtained with the LOG-BP, F-K, LCT, D-LCT, SOCR, and the proposed SSCR methods.

in which x is the product of the block size in three directions, y is the number of neighbors for each block. This optimization problem is solved using the alternating iteration method, as summarized in Algorithm 1. In the supplement, we provide a detailed discussion of the solution to each sub-problem and the choices of parameters. The surface and voxel representations of the target are given by **u** and **g**, respectively.

#### 5. Results

To validate the capability of the proposed method in reconstructing the hidden targets with sparse measurements, we compare our reconstruction results with the Laplacian of Gaussian filtered back-projection (LOG-BP) [17] and SOCR [25] methods. We also bring the F-K [20], LCT [30], D-LCT [42] and the PF [23] methods into comparisons by constructing dense measurements with the linear interpolation technique. In the supplement, we provide a gallery of reconstruction results of these methods with other signal interpolation techniques, where similar reconstruction results are shown.

**Confocal experiments** We use the synthetic signal of the instance of the pyramid [25] to test the proposed method. Only  $3 \times 3$  of the original  $64 \times 64$  synthetic signals are chosen. The physical model used to generate the data considers consine attenuation of the photon intensity, and is finer than Eq. (2). The base length and height of the pyramid are 1 m and 0.2 m, respectively. The central axis of the regular quadrangular pyramid is vertical to the planer relay surface. The pyramid is 0.5 m away from the relay surface and the time resolution is 32 ps. The experimental setup and the three views of our reconstruction are shown in Fig. 1. The

#### Algorithm 1 The SSCR algorithm

# Require: $\mathbf{d}, N$ .

 $\begin{array}{l} \textbf{Ensure: } \textbf{u}, \textbf{g}. \\ \tau_{D,q}^{0} = d_{p,q}/N \\ \textbf{u}^{0} = \arg\min_{\textbf{u}} \lambda \| \boldsymbol{\tau}^{0} - A\textbf{u} \|^{2} + s_{u} \| \textbf{u} \|_{1} \\ \textbf{for } k = 1 \text{ to } K - 1 \textbf{do} \\ \textbf{g}^{k+1} = \arg\min_{\textbf{g}} \| \textbf{u}^{k} - \textbf{g} \|^{2} + \Upsilon(\textbf{g}) \\ (D_{s}^{k+1}, \textbf{C}^{k+1}, D_{n}^{k+1}) = \arg\min_{D_{s}, \textbf{C}, D_{n}} \| \mathcal{B}\textbf{u}_{i}^{k} - D_{s}C_{i}D_{n}^{T} \|^{2} + \lambda_{pu}|C_{i}|_{0} \\ S^{k+1} = \arg\min_{S} \lambda_{t} \| \mathcal{P}(\boldsymbol{\tau}^{k}) - DS \|^{2} + \lambda_{ut} \| \mathcal{P}(A\textbf{u}^{k}) - DS \|^{2} + \lambda_{pt}|S|_{0} \\ \boldsymbol{\tau}^{k+1} = \arg\min_{\tau} \sum_{p,q} \left[ (d_{p,q} - N) \ln(1 - \tau_{p,q}) - d_{p,q} \ln(\tau_{p,q}) \right] + \lambda_{t} \| \mathcal{P}(\boldsymbol{\tau}) - DS^{k+1} \|^{2} + \lambda \| \boldsymbol{\tau} - A\textbf{u}^{k} \|^{2} \\ \textbf{u}^{k+1} = \arg\min_{\textbf{u}} \lambda \| \boldsymbol{\tau}^{k+1} - A\textbf{u} \|^{2} + s_{u} \| \textbf{u} \|_{1} + \lambda_{ut} \| \mathcal{P}(A\textbf{u}) - DS^{k+1} \|^{2} + \lambda_{u} \sum_{i} \| \mathcal{B}\textbf{u}_{i} - D_{s}^{k+1} C_{i}^{k+1} (D_{n}^{k+1})^{T} \|^{2} + \lambda_{g} \| \textbf{u} - \textbf{g}^{k+1} \|^{2} \\ \textbf{end for} \\ \textbf{u} = \textbf{u}^{K} \\ \textbf{g} = \textbf{g}^{K} \end{array}$ 



Figure 5. Reconstruction results for the instances of the statue and the dragon. The number of focal points and exposure time are listed in the first column. The oracle is shown in the second column. Reconstructions of the FK, LCT, SOCR and SSCR algorithms are shown in the third to sixth columns.

coordinates of the focal points are provided in the supplementary code. Figure 4 shows the front views of the reconstruction results of different methods. The LOG-BP method fails to locate the hidden object. The F-K, LCT, D-LCT and SOCR reconstructions contain artifacts. The proposed SSCR method provides a faithful estimation of the hidden target. Supplementary Figure S4 shows the three views of these results, revealing a significant depth error in the F-K reconstruction.

We use the measured data of the instances of the statue and the dragon in the Stanford dataset [20] to test the proposed method in real-world applications. The original dataset contains  $512 \times 512$  confocal measurements over a square region of  $2 \times 2$  m<sup>2</sup>. For the instance of the statue, the distance to the relay is 1 m and the exposure time of the original  $512 \times 512$  measurements are 60 min and 10 min, respectively. We use  $5 \times 5$  sub-sampled signals for the reconstruction, which would only take 0.34 s and 0.05 s to measure the sub-sampled signals. For the instance of the dragon, the distance is 1.3 m to the relay surface and the total exposure time is 60 min. We use  $10 \times 10$  sub-sampled signals for the reconstructions, which would only take 1.37 s. For both instances, the time resolution is 32 ps. The focal points are provided in the supplementary code. Reconstruction results are compared in Fig. 5, where the oracle is generated with the SOCR method using  $64 \times 64$  measurements. It is shown that the F-K and LCT reconstructions are blurry. The D-LCT reconstructions are provided in the supplement, and are similar to those obtained with the LCT method. The SOCR reconstructions are discontinuous and noisy. The SSCR reconstructions have the highest PSNR values and does not contain background noise. For the instance of the dragon, the specularity of the material leads to a large bias of the physical model and the SSCR method reconstructs a portion of the target.

For the instance of the statue with original exposure time of 60 min of the  $512 \times 512$  measurements, we show three views of the SSCR reconstructions with different number of illumination points in Fig. 6. The target can be clearly reconstructed with  $7 \times 7$  confocal measurements, which is only 0.01% of the original dataset. With  $4 \times 4$  illuminations, the SSCR method still provides a reasonable estimation of the hidden target, which demonstrates the robustness of the proposed algorithm. More comparisons with existing methods are provided in the supplement.

**Non-confocal experiments** We use one simulated dataset and one measured dataset to test the method for non-confocal reconstructions. For the simulated experiment, we use the signal of the instance of letter 'K' from the NLoS



Figure 6. Reconstruction results of the statue with different illumination settings. The illumination points are shown in yellow in the first column. The front view, top view and side view of the reconstructions are shown in the second, third and fourth columns.

Benchmark dataset [12]. The oracle is generated with the SOCR method using measurements from  $64 \times 64$  illumination points in a region of  $0.512 \times 0.512$  m<sup>2</sup>. The detection point locates at the center of the illumination region. The photon travel distance is 0.001 m per second. A subsampled  $6 \times 6$  signal is used for the reconstruction. For the measured dataset, we use the data of the instance of the figure '4' provided by the work [23]. The original dataset contains  $130 \times 180$  measurements in 390 min and we use a  $6 \times 6$  sub-sampled dataset for the reconstruction. It would take 36 s to measure the sub-sampled signal. The coordinates of the illumination and detection points are provided in the supplementary code. Comparisons of the results with the LOG-BP [17], PF [23] and the SOCR methods [25] are shown in Fig. 7. The SSCR method yields the highest PSNR of the albedo values and does not contain background noise.



Figure 7. Reconstruction results of non-confocal experiments. The SSCR method yields the highest PSNR values.

#### 6. Discussion

When the reconstruction domain is discretized with  $L \times$  $L \times L$  voxels and the signal is detected at P measurement pairs  $(P \leq L^2)$ , the time and memory complexity of the proposed SSCR method is  $\mathcal{O}(PL^3)$  and  $\mathcal{O}(L^3)$  respectively. In the supplement, we provide a detailed analysis of the complexity. Notebly, when  $P = \mathcal{O}(1)$ , the time complexity of the method is  $\mathcal{O}(L^3)$ . This is smaller than the F-K, LCT, and D-LCT methods, which cost  $\mathcal{O}(L^3 \log L)$ . In this case, the LOG-BP and SOCR methods also yield a time complexity of  $\mathcal{O}(L^3)$ , but may provide biased estimations of the targets. This indicates the significance of the proposed two-dimensional regularization of the hidden surface. For the instance of the pyramid, the runtime of LOG-BP, F-K, LCT, D-LCT, SOCR and the proposed method are 0.1 s, 1.7 s, 1.1 s, 5.6 s, 142.0 s and 15.9 s on a laptop. In SOCR and SSCR methods, the sub-problems containing  $L_1$ regularization take 20 iterations.

We discuss two aspects that could help improve the proposed method. In Eq. (6), we did not consider the dark count of the detector and the background noise. Modeling the noise distribution within the Bayesian framework would lead to better reconstruction results. Besides, for the two-dimensional regularization of g, we simply use pixel level similarity in the SSCR method. Incorporating other regularizations [9, 29, 31] would lead to better estimations of the hidden surface.

### 7. Conclusion

We conclude that the two-dimensional surface regularization plays an important role in few-shot NLOS imaging scenarios. The joint regularization of the estimated signal, the voxel and surface representations of the target makes it possible to reconstruct the hidden object with certain complex geometric structures with only  $5 \times 5$  confocal measurements, even in cases with measurement noise.

Acknowledgments. This work was supported by the National Natural Science Foundation of China (61975087, 12071244, 11971258).

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