Instant Volumetric Head Avatars

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1. Introduction

For immersive telepresence in AR or VR, we aim for digital humans (avatars) that mimic the motions and facial expressions of the actual subjects participating in a meeting. Besides the motion, these avatars should reflect the human’s shape and appearance. Instead of prerecorded, old avatars, we aim to instantaneously reconstruct the subject’s look to capture the actual appearance during a meeting. To this end, we propose Instant Volumetric Head Avatars (INSTA), which enables the reconstruction of an avatar within a few minutes (∼10 min) and can be driven at interactive frame rates. For easy accessibility, we rely on commodity hardware to train and capture the avatar. Specifically, we use a single RGB camera to record the input video. State-of-the-art methods that use similar input data to reconstruct a human avatar require a relatively long time to train, ranging from around one day [20] to almost a week [16,58]. Our approach uses dynamic neural radiance fields [16] based on neural graphics primitives [38], which are embedded around a parametric face model [25], allowing low training times and fast evaluation. In contrast to existing methods, we use a metrical face reconstruction [59] to ensure that the avatar...
has metrical dimensions such that it can be viewed in an AR/VR scenario where objects of known size are present. We employ a canonical space where the dynamic neural radiance field is constructed. Leveraging the motion estimation employing the parametric face model FLAME [25], we establish a deformation field around the surface using a bounding volume hierarchy (BVH) [12]. Using this deformation field, we map points from the deformed space into the canonical space, where we evaluate the neural radiance field. As the surface deformation of the FLAME model does not include details like wrinkles or the mouth interior, we condition the neural radiance field by the facial expression parameters. To improve the extrapolation to novel views, we further leverage the FLAME-based face reconstruction to provide a geometric prior in terms of rendered depth maps during training of the NeRF [36]. In comparison to state-of-the-art methods like NeRFace [16], IMAvatar [38], or Neural Head Avatars (NHA) [20], our method achieves a higher rendering quality while being significantly faster to train and evaluate. We quantify this improvement in a series of experiments, including an ablation study on our method.

In summary, we present Instant Volumetric Head Avatars with the following contributions:

- a surface-embedded dynamic neural radiance field based on neural graphics primitives, which allows us to reconstruct metrical avatars in a few minutes instead of hours or days,
- and a 3DMM-driven geometry regularization of the dynamic density field to improve pose extrapolation, an important aspect of AR/VR applications.

2. Related Work

INSTA is reconstructing animatable digital human avatars from monocular video data based on 3D neural rendering [48]. Current solutions are using implicit representations [8, 16, 29, 36, 40, 41] optimized via differentiable volumetric rendering, or are based on explicit models [5, 7, 20, 49] for instance, triangle or tetrahedral meshes using differentiable rasterization [10, 22, 30, 33]. For a concise overview of neural rendering methods and face reconstruction, we point the reader to the state-of-the-art reports by Zollhöfer et al. [60], and Tewari et al. [47, 48].

Static Neural Radiance Fields. Mildenhall et al. [36] and its many follow-up works [3, 4, 28, 35, 39, 44, 45, 51, 56], synthesize novel views of a complex static scene using differentiable volumetric rendering. Many methods suffer from a long training time (1-5 days). To this end, different acceleration methods have been proposed to improve the training time. Yu et al. [15] achieved 100x speedup by using a sparse voxel grid storing density and spherical harmonics coefficients at each node. The final color is the composition of tri-linearly interpolated values of each voxel intersecting with the ray. TensorRF [9] factorizes the 4D NeRF scene into multiple compact low-rank tensor components achieving high performance and compactness. The coordinate-based MLP is replaced with a voxel grid of features, and the final color is its vector-matrix outer product. Müller et al. [38] introduced a new computer graphics primitive in the form of tiny MLPs which benefit from a multi-resolution hashing encoding. The key idea is similar to Yu et al. [15]. The space is divided into an independent multi-level grid with feature vectors at the vertices of the grid. A spatial hash function [46] is used to store the voxel grid efficiently. Each point sampled on the ray is encoded by the interpolated feature vector of the corresponding grid level and passed to a tiny neural network to synthesize the final color. Our method uses this efficient architecture to model the face in a canonical space.

Some of the static NeRF methods [2, 13, 44, 52] use additional depth maps to improve alignment and quality for static scenes. The depth priors help guide the ray sampling and better estimate the transmittance, resulting in improved geometry and color recovery. While we are working with RGB images only, our method leverages the geometry prior of the 3DMM to guide the depth estimation during training, which results in an improved extrapolation ability w.r.t. view changes.

Deformable Neural Radiance Fields. After the introduction of NeRF [36] for static scenes, a natural research direction was to generalize it to dynamic, time-varying ones [14, 26, 40, 41, 43, 50]. The reconstruction problem is divided into two different spaces, the deformed scene, and the canonical space, with a neural network as the mapper between them. For human body modeling, a series of approaches have been proposed that leverage the kinematic chain of the SMPL [32] body model to condition the mapping function. Peng et al. [42] proposed to learn blend weights to estimate the linear blend skinning-based warping field between canonical and deformed space based on the body skeleton. Similarly, Neural Actor [29] uses a 3D body mesh proxy to learn pose-dependent geometric deformation and view-dependent appearance effects defined in the canonical space. Lombardi et al. [31], which defines surface-aligned neural volumes to improve the rendering speed. Garbin et al. [18] build a tetrahedral deformation graph around a radiance field based on the underlying mesh on which the deformations are defined, effectively transforming sampled points according to the current cage state. Xu et al. [53] propose surface-aligned neural radiance fields by projecting points in space to the surface of the body mesh. Our idea is based on a similar principle. However, instead of projecting points onto the mesh surface, we construct a 3D space around the head and deform points based on the deformation defined by the nearest triangles.
3. Instant Deformable Neural Radiance Field

Our goal is to create instant digital avatars which can be learned in a few minutes and rendered in interactive time. For this purpose, we are using a geometry-guided deformable neural radiance field embedded into a multi-resolution hashing grid [38] to represent the radiance field. The representation of the avatar is optimized using the differentiable volumetric rendering equation:

\[
\hat{C} = \int_0^D T(t) \cdot \sigma(t) \cdot c(t) \, dt + \mathcal{T}(D) \cdot c_{\text{bg}},
\]

where \(T(t_n) = \exp \left( - \int_0^{t_n} \sigma(t) \, dt \right)\) is the transmittance which indicates the probability of a ray traveling from \([0, t_n)\) without interaction with any other particles [36]. \(\sigma(t)\) is the density and \(c(t)\) is the radiance at position \(p_t\). Note that the sample points \(p_t\) are canonicalized to access the actual radiance field. Following NeRFace [16], we condition every sample \(p_t\) on the ray with the 3DMM facial expression code \(E_i \in \mathbb{R}^{16}\) of video frame \(i\). Please note that in contrast to NeRFace [16] and IMAvatar [58], we do not use additional per-frame learnable codes. The viewing vector \(v \in \mathbb{R}^3\) is encoded using spherical harmonics projection on four basis functions [1, 38] resulting in the final viewing vector encoding \(d \in \mathbb{R}^{16}\) which is concatenated with density MLP output. While the viewing conditioning is applied on the entire avatar, the conditioning on facial expressions is bounded to the dynamically changing mouth region and is set to a constant vector \(E_i = 1\) for the other regions.
Canonicalization. We define a mapping function $\Phi(p, M_i)$ that projects a point $p \in \mathbb{R}^3$ from the time-varying deformed space (where the volumetric rendering is performed) to the canonical space. The mapping function leverages the time-varying surface approximation $M_i$ and a predefined mesh in canonical space $M^{\text{canon}}$. We employ a nearest triangle search in deformed space to compute the deformation gradient $F \in \mathbb{R}^{3 \times 3}$ which is used to map point $p$ to the canonical counterpart $p'$. The deformation gradient $F$ is computed via the known Frenet frames of the deformed triangle $T_{\text{def}} \in M_i$ and the canonical triangle $T_{\text{canon}} \in M^{\text{canon}}$. Specifically, we compute the rotation matrices $\{R_{\text{canon}}, R_{\text{def}}\} \in \mathbb{R}^{3 \times 3}$ based on the corresponding tangent, bitangent, and normal vectors of a triangle. With the translations $\{t_{\text{canon}}, t_{\text{def}}\} \in \mathbb{R}^3$ defined by a vertex of the triangle, they form the Frenet coordinate system frames $L_{\text{canon}}$ and $L_{\text{def}} \in \mathbb{R}^{3 \times 4}$.

$$
L_{\text{def}} = \begin{bmatrix}
R_{\text{def}} & t_{\text{def}} \\
0^T & 1
\end{bmatrix},
L_{\text{canon}} = \begin{bmatrix}
R_{\text{canon}} & t_{\text{canon}} \\
0^T & 1
\end{bmatrix}.
\tag{2}
$$

To account for any potential triangle size change between deformed and canonical spaces, we compute an isotropic scaling factor $\lambda \in \mathbb{R}$ via the relative surface area change of the given triangle w.r.t. its canonical twin $\lambda = \frac{\omega_f}{\omega_{\text{canon}}}$. The deformation gradient $F$ is defined as:

$$
F = L_{\text{canon}} \cdot \Lambda \cdot L_{\text{def}}^{-1},
\Lambda = \begin{bmatrix}
\lambda I & 0 \\
0 & 1
\end{bmatrix}.
\tag{3}
$$

To avoid transformation discontinuity, which arises from the local coordinate system of each triangle, we additionally perform exponentially weighted averaging of the transformations of the adjacent faces of the triangle’s edges:

$$
\hat{F} = \frac{1}{\sum_{f \in A} \omega_f} \sum_{f \in A} \omega_f F_f,
\tag{4}
$$

where $\omega_f = \exp(-\beta \|c_f - p\|)$, $\beta = 4$ and $A$ is the set of adjacent faces to $T$ (including $T$ with $\beta = 1$) with corresponding centroids $c_f$. Please note that all vertex positions are defined in meters (FLAME metrical space).

To achieve interactive rendering as well as instantaneous optimization of the neural radiance field, we leverage a classical bounding volume hierarchy (BVH) [12] to significantly increase the nearest triangle search speed for the sampled points $p_i$ on the ray. Note that methods like IMAvatar [58] perform computation-heavy root-finding procedures to calculate surface points iteratively [11]. Our method builds a BVH based on the corresponding deformed mesh $M_i$ of frame $i$ to establish the mapping function to the canonical mesh. Our BVH is implemented on GPU to utilize massively parallel nearest triangle search [23]. To alleviate the triangle search for highly tessellated FLAME regions, we simplified the eyeballs and the eye region [19]. Moreover, an additional set of triangles in the mouth region is used to serve as a deformation proxy (see sup. mat.).

### 3.1. Training Objectives

The optimization of the neural radiance field is based on a color reproduction objective and a geometry prior based on the 3DMM. Following NeRF [36], we redefine the volumetric rendering Equation (1) with piece-wise constant density and color, and rewrite it in terms of alpha-compositing:

$$
\tilde{C}(t_{N+1}) = \sum_{n=1}^{N} \mathcal{T}_n \cdot \alpha_n \cdot c_n,
\tag{5}
$$

where $\mathcal{T}_n = \prod_{n=1}^{N-1} (1 - \alpha_n)$ weight $\alpha_n$ is defined as $\alpha_n = 1 - \exp(-\sigma_n \delta_n)$ and $\delta_n$ is a step size equal $\sqrt{2}$.

To measure the photometric error, we use a Huber loss [21] with $\rho = 0.1$:

$$
\mathcal{L}_{\text{color}} = \begin{cases}
\frac{1}{2} \left( C - \hat{C} \right)^2 & \text{if } \left| \left| C - \hat{C} \right| \right| < \rho \\
\rho \left( \left| \left| C - \hat{C} \right| \right| - \frac{1}{2} \rho \right) & \text{otherwise}
\end{cases}
\tag{6}
$$

We enforce a depth loss to leverage the geometry prior of the reconstructed face based on the 3DMM FLAME. Specifically, we rasterize the depth of the tracking mesh $M_i$ and apply an L1 distance between this map and the ray termination of the volumetric rendering. As the FLAME model does not contain details like hair, we restrict the geometry prior to the face region:

$$
\mathcal{L}_{\text{geom}} = \sum_{r} \left| \mathbb{I}_{\text{face}}(z(r) - \hat{z}(r)) \right|,
\tag{7}
$$

where $\hat{z} = \sum_{n=1}^{N} \mathcal{T}_n \cdot \alpha_n \cdot t_n$, and $t_n$ is the current sample position, and $\mathbb{I}_{\text{face}}(\cdot)$ is a segmentation indicator function which enables the loss for the face region. The $\mathbb{I}_{\text{face}}$ function uses face parsing information [55] to decide a given pixel membership. The total loss $\mathcal{L}$ is defined as:

$$
\mathcal{L} = \sum_{r} \lambda_{\text{color}}(r) \mathcal{L}_{\text{color}}(r) + \lambda_{\text{geom}} \mathcal{L}_{\text{geom}}(r),
\tag{8}
$$

where $\lambda_{\text{geom}} = 1.25$ controls the influence of the geometry prior and $\lambda_{\text{color}}(r)$ weights the color loss contribution based on a face parsing mask. Specifically, we weight the color loss higher for the mouth region with $\lambda_{\text{color}} = 40$ and $\lambda_{\text{color}} = 1$ otherwise.

We implemented our animatable dynamic radiance field using the Nvidia NGP C++ framework [37]. We use two fully fused MLPs [37], each with 64 neurons, for color and
density predictions. The density MLP outputs feature values vector \( \sigma \in \mathbb{R}^{16} \) where the first value is the log-space density. The vector \( \sigma \) is later concatenated with the encoded viewing vector \( d \) to be the input of the color network. For optimization, we used Adam [24] with an exponential moving average on the weights and fixed learning rate \( \eta = 2.5e^{-3} \). In our experiments, we train the network for 32k optimization steps. We randomly sample 1700 frames from the whole dataset during the training and load them into the processing buffer. Every 1500 steps, we repeat the procedure and resample the dataset.

4. Dataset

Our method takes a single video as input to generate the volumetric avatar of the depicted subject. For our experiments, we recorded multiple actors with a Nikon Z6 II Camera as well as used sequences from Youtube, resulting in a set of twelve actors. For the in-house recordings, we captured around 2-3min of monocular RGB Full HD videos, which later were cropped, sub-sampled to 25fps, and resized to \( 512^2 \) resolution. We additionally use background foreground segmentation using robust matting [27] and an off-the-shelf face parsing framework [55] for image segmentation and clothes removal.

Dataset Tracking Generation. An essential part of this project is temporally stable face tracking of the monocular input data. To this end, we use the analysis-by-synthesis-based face tracker from MICA [59], based on Face2Face [49] using a sampling-based differentiable rendering. We refer to the original paper [49] for more details. We extend the optimization with two extra blendshapes for eyelids and iris tracking using Mediapipe [34]. In contrast to MICA, we also optimize for FLAME shape parameters, with regularization towards MICA shape prediction instead of the average face shape as in Face2Face [49]. Note that for our prototype, we implemented the tracking in PyTorch, which is significantly slower than the original Face2Face implementation, which can track faces in real-time.

5. Results

In this section, we evaluate the quality of the synthesized digital human avatars generated by our method INSTA in comparison to state-of-the-art. For this purpose, we use the test sequences from our dataset, which consist of the last 350 frames of each video.

5.1. Image Quality Evaluation

To evaluate our method in terms of the image quality and novel view extrapolation, we make a comparison to NeRFace [16], IMAvatar [58], and Neural Head Avatars (NHA) [20]. For this comparison, we use the original implementations of the authors. Note that for IMAvatar, we use the most recent version of the author’s code, which contains additional semantic information for mouth interior and FLAME geometry supervision which is different from the original paper. Figure 4 depicts qualitative results evaluated on the test sequences. To evaluate the image quality of the results quantitatively, we use several pixel-wise metrics; mean squared error, SSIM, PSNR, and the perceptual metric LPIPS [57] (see Table 1). Note that IMAvatar is trained at a resolution of \( 256^2 \) due to its computational complexity; for the comparison, we upsample the results to \( 512^2 \).

All methods produce sharp and photo-realistic images which are hard to distinguish from the ground truth. However, the most noticeable artifacts, especially for the ear regions, were generated by NHA. Moreover, IMAvatar, for some of the videos, had problems with convergence and stability, leading to diverging optimization and premature termination of the training. Compared to these methods,
Figure 4. Qualitative comparisons show that our method produces high-quality facial avatars which beat the state-of-the-art methods in terms of image quality (e.g., capturing fine details like lips and teeth) while being significantly faster to obtain.
Figure 5. Embedding the neural radiance field around the deformable face model allows us to model dynamic sequences in contrast to the static radiance field of NGP [38]. The expression conditioning and face-parsing-based weighting leads to sharper teeth reconstructions.

Table 1. Average photometric errors over 19 videos from our dataset, NHA, IMAvatar, and NeRFFace datasets (see Fig. 4). The average rendering time of a single frame in seconds is denoted as Time in the rightmost column. Our method is on par with NeRFFace of Gafni et al. w.r.t. the pixel-wise error metrics. Additionally, our approach achieves low perceptual error in comparison to all methods while being significantly faster to train and evaluate.

<table>
<thead>
<tr>
<th>Method</th>
<th>L2 ↓</th>
<th>PSNR ↑</th>
<th>SSIM ↑</th>
<th>LPIPS ↓</th>
<th>Time ↓</th>
</tr>
</thead>
<tbody>
<tr>
<td>NHA [20]</td>
<td>0.0022</td>
<td>27.71</td>
<td>0.95</td>
<td>0.04</td>
<td>0.63</td>
</tr>
<tr>
<td>IMAvatar [58]</td>
<td>0.0023</td>
<td>27.62</td>
<td>0.94</td>
<td>0.06</td>
<td>12.34</td>
</tr>
<tr>
<td>NeRFFace [16]</td>
<td>0.0018</td>
<td>29.28</td>
<td>0.95</td>
<td>0.07</td>
<td>9.68</td>
</tr>
<tr>
<td>Ours</td>
<td>0.0018</td>
<td>28.97</td>
<td>0.95</td>
<td>0.05</td>
<td>0.05</td>
</tr>
</tbody>
</table>

Figure 6. Ablation study w.r.t. the conditioning and deformation field. From left to right: ground truth, ours, ours with global conditioning, and ours without deformation field but with per-frame learnable codes (NeRFFace).

Geometric Prior. We leverage the geometric prior of the 3DMM FLAME [25] to regularize the depth estimations of our volumetric rendering method. During training, we render depth maps of the per-frame 3DMM reconstructions and measure a loss between the estimated ray termination and the depth of the rendered face model. In Figure 8, we show an ablation study w.r.t. this geometric prior. The generated digital avatar is shown from an unseen profile view, an extreme extrapolation from the training data which observed views in a range of ±40°. Using the additional geometric prior improves the stability and quality of the results.

Expression Conditioning. Most publicly available 3DMMs [6,25] do not explicitly model teeth. However, this region is especially challenging for the reconstruction of 3D facial avatars due to highly dynamic lips, which can occlude the teeth depending on the given expressions. To compen-
Figure 7. INSTA allows training personalized volumetric avatars from RGB videos within a couple of seconds. Already after 30 seconds of optimization, we achieve good results where the geometry and appearance match the input. To improve the reconstruction of high-frequency details like teeth, the method needs to train approximately 10 min.

Figure 8. The geometric prior of the 3DMM helps for extrapolation to extreme novel views, in this case, $90^\circ$.

6. Discussion

While our method INSTA shows better quality and speed compared to state-of-the-art RGB-video-based avatar generation techniques, there are still several challenges that need to be addressed in future work. Our model handles the dynamically changing facial expressions but does not capture dynamically changing hairs. Thus, the hair quality is not on par with the face interior and still needs improvements in the level of detail. Furthermore, the used 3DMM does not model teeth geometry. A better approximation of the mouth region would increase the viewpoint extrapolation with improved quality of teeth. While our method achieves real-time frame rates for rendering at a resolution of $512^2$, the rendering speed needs to be improved to enable high-quality video conferences in AR or VR, especially when a higher resolution is required. With additional engineering, the training process of our method could be moved to a background process that would continuously refine our canonical avatar after an initial warm-up stage. For example, regions initially not visible could be captured during the conversation, and the avatar would be updated accordingly.

7. Limitations

An important quality factor of our method is face tracking, as misalignments of the geometry and the images will be propagated to the final avatar. Another limiting aspect is the mouth interior quality due to the lack of geometry in that region, as can be seen in Figure 9.

Figure 9. Failure cases: (a) and (b) exhibit outline artifacts at the chin and hair which stem from geometry misalignment of the tracker, (c) extreme expressions can cause artifacts in the mouth region, and (d) extrapolation of expressions can lead to artifacts.

8. Conclusion

Instant Volumetric Head Avatars (INSTA) is a novel approach that instantaneously optimizes geometry-guided 3D digital avatars. Our method takes a monocular RGB video as input and optimizes a subject’s dynamic neural radiance field in less than 10 minutes using neural graphics primitives embedded around a 3DMM. In comparisons and ablation studies, we demonstrate the capabilities of INSTA, which enable us to instantaneously create avatars that reflect reality and not a prerecorded appearance that might deviate from the current look of the person. We believe this paradigm change to adaptable online avatars is a stepping stone toward immersive telepresence applications.

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