Learning Federated Visual Prompt in Null Space for MRI Reconstruction (Supplementary Material)

Contents

The following items are included in our supplementary material:

- Rationality analysis of the approximate null space of the global prompts in Section A.
- Communication efficiency analysis over state-of-thearts in Section B.
- Additional qualitative results for In-Federation and Out-of-Federation scenarios in Section C.

A. Rationality Analysis of the Approximation

To verify the rationality of the approximate null space of the global prompts [2], we denote the proportion of the sum of singular values of Λ_2^{z+1} in the sum of singular values of Λ^{z+1} as

$$R = \frac{\sum \operatorname{diag} \left\{ \mathbf{\Lambda}_2^{z+1} \right\}}{\sum \operatorname{diag} \left\{ \mathbf{\Lambda}^{z+1} \right\}},\tag{1}$$

where "diag" indicates the diagonal elements. If the value of R is very small, the sum of the smallest singular values U_2^{z+1} can be ignored, allowing the null space to be approximated through the spatial range of U_2^{z+1} . We record the R values of different layers under the In-Federation and Out-of-Federation scenarios in Fig. A1. As can be seen from this figure, the proportion R in each layer is smaller than 10^{-7} , indicating that the selected U_2^{z+1} , which is last $\gamma\%$ of singular values in Λ_2^{z+1} , can be ignored. Additionally, we observe that the value of R in the Out-of-Federation scenario is slightly greater than the value of R in the In-Federation scenario. We infer that this is primarily due to the fact that the distribution of the test set in the Out-of-Federation scenario is never seen by the training set, resulting in more severe catastrophic forgetting and thus a slightly higher R value. However, the values of R are still quite close to 0 in both two scenarios. As a result, our selected U_2^{z+1} is a reasonable approximation of the null space of the global prompt.

B. Communication Efficiency Analysis over SOTAs

To further evaluate our federated visual prompt mechanism regards to tackle the issue **3** catastrophic forgetting, we record the reconstruction accuracy over state-ofthe-arts under different communication rounds in Fig. A2. The number of the local epochs of each method is fixed at 10. As can be seen from this figure, all the baseline algorithms reached stability after 30 rounds while our method converges within 10 rounds. Although these methods also adopt the same pre-trained model as ours, the catastrophic forgetting due to the data heterogeneity mechanism still leads to slower convergence. For example, FedBN [1] (see the line - in Fig. A2) applies the batch normalization on each local client to alleviate the client-shift. However, when there are only a few local training data, batch normalization is performed at the feature level, still resulting in deviations and affecting convergence. Especially in the Out-of-Federation scenario, where testing data is unseen by the local models during training, the performance degrades significantly. However, the convergence speed for the FedReg [3] (see the line \rightarrow in Fig. A2), which tries to minimize catastrophic forgetting, is still subpar because the full fine-tuning mechanism still introduces some deviation. On the other hand, FedReg [3] adds the regularization loss on the local side increasing the complexity of the algorithm. In contrast, our method updates the local prompts in the approximate null space of global prompts, which can avoid forgetting previously acquired knowledge and accelerating convergence (see the line -- in Fig. A2).

C. Additional Qualitative Results

We provide additional qualitative improvements with regard to the reconstructed images with their PSNR and SSIM values and corresponding error maps in Fig.A3 and Fig.A4. The results are consistent with our previous results, *i.e.*, our method provides the best-quality reconstructed images, and error maps with the least texture, indicating that our FedPR is effective in relieving the issues **0**-**9**.



Figure A1. Rationality analysis of the approximate null space under In-Federation and Out-of-Federation scenarios.



Figure A2. Communication Efficiency Analysis over state-of-the-arts versus PSNR, SSIM, and NMSE under In-Federation and Out-of-Federation scenarios.



Figure A3. **Qualitative comparison** of different algorithms in terms of reconstruction images and error maps with corresponding quantitative measurements in PSNR/SSIM under In-Federation scenario. The less texture in the error map, the better reconstruction quality.



Figure A4. **Qualitative comparison** of different algorithms in terms of reconstruction images and error maps with corresponding quantitative measurements in PSNR/SSIM under Out-of-Federation scenario. The less texture in the error map, the better reconstruction quality.

References

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