Supplementary FREDOM: Fairness Domain Adaptation Approach to Semantic Scene Understanding

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1. Proof Of Eqn. (8)

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Taking the logarithm of Eqn. (6) and Eqn. (7), the optimization formula can be derived as follows:

$$\begin{split} \theta^{*} &= \arg\min_{\theta} \left[\mathbb{E}_{\mathbf{x}_{s} \sim p_{s}(\mathbf{x}_{s}), \hat{\mathbf{y}}_{s} \sim p_{s}(\hat{\mathbf{y}}_{s})} \mathcal{L}_{s}(\mathbf{y}, \hat{\mathbf{y}}_{s}) \frac{p_{s}'(\mathbf{y}_{s})}{p_{s}(\mathbf{y}_{s})} + \mathbb{E}_{\mathbf{x}_{t} \sim p_{t}(\mathbf{x}_{t})} \mathcal{L}_{t}(\mathbf{y}_{t}) \frac{p_{s}'(\mathbf{y}_{t})}{p_{s}(\mathbf{y}_{t})} \right] \\ &\simeq \arg\min_{\theta} \left[\mathbb{E}_{\mathbf{x}_{s} \sim p_{s}(\mathbf{x}_{s}), \hat{\mathbf{y}}_{s} \sim p_{s}(\hat{\mathbf{y}}_{s})} \log \left(\mathcal{L}_{s}(\mathbf{y}, \hat{\mathbf{y}}_{s}) \frac{p_{s}'(\mathbf{y}_{s})}{p_{s}(\mathbf{y}_{s})} \right) + \mathbb{E}_{\mathbf{x}_{t} \sim p_{t}(\mathbf{x}_{t})} \log \left(\mathcal{L}_{t}(\mathbf{y}_{t}) \frac{p_{s}'(\mathbf{y}_{t})}{p_{s}(\mathbf{y}_{t})} \right) \right] \\ &= \arg\min_{\theta} \left[\mathbb{E}_{\mathbf{x}_{s} \sim p_{s}(\mathbf{x}_{s}), \hat{\mathbf{y}}_{s} \sim p_{s}(\hat{\mathbf{y}}_{s})} \left(\log \mathcal{L}_{s}(\mathbf{y}_{s}, \hat{\mathbf{y}}_{s}) + \log \frac{p_{s}'(\mathbf{y}_{s})}{p_{s}(\mathbf{y}_{s})} \right) + \mathbb{E}_{\mathbf{x}_{t} \sim p_{t}(\mathbf{x}_{t})} \left(\log \mathcal{L}_{t}(\mathbf{y}_{t}) + \log \frac{p_{s}'(\mathbf{y}_{t})}{p_{s}(\mathbf{y}_{t})} \right) \right] \\ &= \arg\min_{\theta} \frac{1}{N} \left[\mathbb{E}_{\mathbf{x}_{s} \sim p_{s}(\mathbf{x}_{s}), \hat{\mathbf{y}}_{s} \sim p_{s}(\hat{\mathbf{y}}_{s})} \log \mathcal{L}_{s}(\mathbf{y}_{s}, \hat{\mathbf{y}}_{s}) + \mathbb{E}_{\mathbf{x}_{s} \sim p_{s}(\mathbf{x}_{s})} \frac{1}{N} \sum_{k=1}^{N} \log \left(\frac{p_{s}'(y_{s}^{k})p_{s}'(\mathbf{y}_{s}^{k}|y_{s}^{k})}{p_{s}(y_{s}^{k})p_{s}'(\mathbf{y}_{s}^{k}|y_{s}^{k})} \right) \right. \\ &\quad + \mathbb{E}_{\mathbf{x}_{t} \sim p_{t}(\mathbf{x}_{t})} \log \mathcal{L}_{t}(\mathbf{y}_{t}) + \mathbb{E}_{\mathbf{x}_{t} \sim p_{t}(\mathbf{x}_{t})} \frac{1}{N} \sum_{k=1}^{N} \log \left(\frac{p_{s}'(y_{s}^{k})p_{s}'(\mathbf{y}_{s}^{k}|y_{s}^{k})}{p_{s}(y_{s}^{k})p_{s}'(\mathbf{y}_{s}^{k}|y_{s}^{k})} \right) \right] \\ &= \arg\min_{\theta} \frac{1}{N} \left[\mathbb{E}_{\mathbf{x}_{s} \sim p_{s}(\mathbf{x}_{s}), \hat{\mathbf{y}}_{s} \sim p_{s}(\hat{\mathbf{y}}_{s}) \log \mathcal{L}_{s}(\mathbf{y}_{s}, \hat{\mathbf{y}}_{s}) + \mathbb{E}_{\mathbf{x}_{t} \sim p_{t}(\mathbf{x}_{t})} \log \mathcal{L}_{t}(\mathbf{y}_{t}) \right. \\ &\quad + \frac{1}{N} \sum_{KBk=1}^{N} \left(\mathbb{E}_{\mathbf{x}_{s} \sim p_{s}(\mathbf{x}_{s})} \log \left(\frac{p_{s}'(y_{s}^{k})}{p_{s}(y_{s}^{k})} \right) + \mathbb{E}_{\mathbf{x}_{t} \sim p_{t}(\mathbf{x}_{t})} \log \left(\frac{p_{s}'(y_{s}^{k})}{p_{s}(y_{s}^{k})} \right) \right) \\ &\quad + \frac{1}{N} \sum_{k=1}^{N} \left(\mathbb{E}_{\mathbf{x}_{s} \sim p_{s}(\mathbf{x}_{s})} \log \left(\frac{p_{s}'(y_{s}^{k}|y_{s}^{k})}{p_{s}(y_{s}^{k}|y_{s}^{k})} \right) + \mathbb{E}_{\mathbf{x}_{t} \sim p_{t}(\mathbf{x}_{t})} \log \left(\frac{p_{s}'(y_{s}^{k})}{p_{s}(y_{s}^{k}|y_{s}^{k})} \right) \right) \right] \end{aligned}$$

In addition, minimizing $\log \mathcal{L}_s(\mathbf{y}_s, \mathbf{\hat{y}}_s)$ and $\log \mathcal{L}_t(\mathbf{y}_t)$ is equivalent to minimizing $\mathcal{L}_s(\mathbf{y}_s, \mathbf{\hat{y}}_s)$ and $\mathcal{L}_t(\mathbf{y}_t)$. Therefore, the above formula can be further derived as follows:

$$\begin{aligned} \theta^* \simeq \arg\min_{\theta} \left[\mathbb{E}_{\mathbf{x}_s \sim p_s(\mathbf{x}_s), \hat{\mathbf{y}}_s \sim p_s(\hat{\mathbf{y}}_s)} \mathcal{L}_s(\mathbf{y}_s, \hat{\mathbf{y}}_s) + \mathbb{E}_{\mathbf{x}_t \sim p_t(\mathbf{x}_t))} \mathcal{L}_t(\mathbf{y}_t) \\ &+ \frac{1}{N} \sum_{k=1}^N \left(\mathbb{E}_{\mathbf{x}_s \sim p_s(\mathbf{x}_s)} \log\left(\frac{p_s'(y_s^k)}{p_s(y_s^k)}\right) + \mathbb{E}_{\mathbf{x}_t \sim p_t(\mathbf{x}_t)} \log\left(\frac{p_s'(y_t^k)}{p_s(y_t^k)}\right) \right) \\ &+ \frac{1}{N} \sum_{k=1}^N \left(\mathbb{E}_{\mathbf{x}_s \sim p_s(\mathbf{x}_s)} \log\left(\frac{p_s'(\mathbf{y}_s^{\setminus k}|y_s^k)}{p_s(\mathbf{y}_s^{\setminus k}|y_s^k)}\right) + \mathbb{E}_{\mathbf{x}_t \sim p_t(\mathbf{x}_t)} \log\left(\frac{p_s'(\mathbf{y}_t^{\setminus k}|y_t^k)}{p_s(\mathbf{y}_t^{\setminus k}|y_t^k)}\right) \right) \right] \end{aligned}$$

2. Implementation Details

Our proposed FREDOM approach is implemented based on the implementation of SAC [1], DAFormer [6], and ImageGPT [2]. In particular, we borrow the implementation of DeepLab-V2 of SAC ¹. We use DeepLab-V2 with ResNet-101 backbone. The Atrous Spatial Pyramid Pooling with a sampling rate of $\{6, 12, 18, 24\}$ has been implemented in our work. The predicted segmentation is computed from the output of layer *conv5*. For the Transformer backbone, we borrow the implementation of DAFormer². Our Transformer architecture also uses the MiT-B5 encoder as the backbone to produce a feature pyramid with dimensions of $\{64, 128, 320, 512\}$. To output the predicted segmentation, we use the decoder with a dilation rate of $\{1, 6, 12, 18\}$. Both DeepLab-V2 and Transformer of segmentation networks are pretrained on ImageNet-1K. For the Conditional Structure Network, we adopt the implementation of Image-GPT³. The structure of our conditional network structure is designed based on the base version of Image GPT [2], which is a variant of GPT-2 [8]. The Conditional Structure Network *G* is trained on the segmentation labels of the source domain.

For the adaptation loss in the target domain \mathcal{L}_t , we also use the self-supervised loss with pseudo labels [1]. Our training procedure and augmentation methods are implemented based on the implementation of SAC [1] and DAFormer [6]. We also adopt the data sampling technique of SAC [1] in our training. For the mask sampling technique, during training the conditional structure network, for each image in each iteration, we randomly generate a binary mask within three cases, as mentioned in the main paper.

3. Additional Experimental Results

3.1. Ablation Study

Table 1. Effectiveness of our Mask Sampling Approach to Fairness Improvement Using DeepLab-V2 (DL-V2).

	C	L	\mathcal{L}_{Cond}			Majority Group						Minority Group													STD
	$\mathcal{L}Class$	M1	M2	M3	Road	Build.	Veget.	Car	S.Walk	Sky	Pole	Person	Terrain	Fence	Wall	Sign	Bike	Truck	Bus	Train	Tr.Light	Rider	M.bike		5510
DL-V2	X	X	X	X	90.3	87.2	88.1	88.6	53.5	87.3	44.4	67.3	42.2	28.5	41.1	50.1	54.4	52.5	56.9	33.7	48.9	33.1	42.6	57.4	20.9
$+\mathcal{L}_{NG}$	X	X	X	X	90.4	87.1	88.0	88.6	53.6	87.2	44.7	67.4	42.3	28.4	41.2	49.8	54.9	53.0	57.2	37.8	48.8	33.0	42.5	57.7	20.7
$+\mathcal{L}_{CB}$	X	X	X	X	90.5	87.2	88.2	88.7	53.5	87.3	44.8	67.6	42.2	28.5	41.6	51.6	53.8	54.3	57.6	37.5	49.2	33.6	43.5	58.0	20.6
DL-V2	1	X	X	X	90.6	87.3	88.1	88.8	53.7	87.4	44.9	67.7	42.3	28.6	41.9	52.9	57.6	55.2	57.5	47.6	50.8	36.9	44.9	59.2	19.8
DL-V2	1	1	X	X	90.6	87.3	88.2	88.8	53.7	87.5	44.9	67.8	42.2	29.0	41.9	53.0	57.7	55.2	57.7	48.8	50.8	37.5	45.1	59.4	19.7
DL-V2	1	1	1	X	90.8	87.5	88.5	88.9	54.0	87.6	45.1	68.4	42.3	30.4	42.1	53.6	57.8	55.3	58.7	53.7	50.8	39.5	46.1	60.1	19.3
DL-V2	1	1	1	1	90.9	87.8	88.6	89.7	54.1	89.5	45.2	68.8	42.6	32.6	44.1	57.1	58.1	58.4	62.6	55.3	51.4	40.0	47.7	61.3	19.1

Effectiveness of Mask Sampling Approach To further illustrate the effectiveness, we conduct additional ablation studies using DeepLabV2 (DL-V2) under the domain adaptation setting trained on the GTA5 \rightarrow Cityscapes benchmark. We consider experiments of the pre-defined weight-balancing different classes [13] (\mathcal{L}_{CB}), normalizing gradients (\mathcal{L}_{NG}). In addition, we evaluate the impact of the mask sampling approaches to the fairness improvement. There are three different strategies of binary mask samplings that will be evaluated, i.e., (1) If m contains only one unmasked pixel (denoted as M1), G learns to capture structural information of segmentation conditioned on a given pixel, (2) If m contains more than one unmasked pixel (denoted as M2), it increases the flexibility of G on learning segmentation structures conditioned on unmasked pixels, (3) If m does not contain any unmasked pixels (denoted as M3), it is equivalent to learning the log-likelihood of segmentation maps. The experimental results in Table 1 show the advantages of our method. We found that \mathcal{L}_{NG} stabilizes the training procedure and \mathcal{L}_{CB} brings a minor improvement. Also, while \mathcal{L}_{Cond} with simple binary masks sampled as M1 is not powerful enough to model the conditional structures, combining three strategies of mask samplings brings a significant performance improvement of the segmentation model, especially in classes of the minority group, and promotes fairness in the model.

3.2. Quantitative Results

Table 2 reports our experimental results using DeepLab-V2 and Transformer networks compared to prior unsupervised domain adaptation methods. The table includes the results of both benchmarks, i.e., SYNTHIA \rightarrow Cityscapes and GTA5 \rightarrow Cityscapes. Overall, our proposed approach has achieved State-of-the-Art performance on both benchmarks and significantly improved the IoU results of classes in the minority which means promoting fairness of the model predictions.

¹https://github.com/visinf/da-sac

²https://github.com/lhoyer/DAFormer

³https://github.com/teddykoker/image-gpt

Table 2. Comparison of Semantic Segmentation Performance with UDA Methods Using DeepLab-V2 (DL-V2) and Transformer (Trans.).

Approach N	Vetwork	Majority Group							Minority Group													STD
Approach	i tet work	Road	Build.	Veget.	Car	S.Walk	Sky	Pole	Person	Terrain	Fence	Wall	Sign	Bike	Truck	Bus	Train	Tr.Light	Rider	M.bike	mioo	510
SYNTHIA \rightarrow Cityscapes																						
CBST [15]	DL-V2	68.0	76.3	77.6	81.6	29.9	78.3	33.9	60.6	_	1.4	10.8	29.5	39.8	_	23.5	_	22.8	28.3	18.8	42.6	26.8
DACS [9]	DL-V2	80.6	81.9	83.7	82.9	25.1	90.8	37.2	67.6	_	2.9	21.5	24.0	47.6	_	38.9	_	22.7	38.3	28.5	48.3	28.4
CorDA [13]	DL-V2	93.3	85.3	84.9	85.6	61.6	90.4	37.8	69.7	_	5.1	19.6	42.8	53.9	_	38.4	_	36.6	41.8	32.6	55.0	27.3
AdvEnt [11]	DL-V2	87.0	79.7	80.1	72.7	44.1	83.6	24.3	56.4	_	0.6	9.6	7.2	33.7	_	32.6	_	4.8	23.7	12.8	40.8	31.4
DADA [12]	DL-V2	89.2	81.4	81.8	79.7	44.8	84.0	26.2	54.7	_	0.3	6.8	11.1	38.8	_	40.7	-	8.6	19.3	14.0	42.6	32.0
MaxSquare [3]	DL-V2	82.9	80.3	82.5	79.0	40.7	82.2	25.8	53.1	_	0.8	10.2	18.2	35.6	_	31.4	_	12.8	18.0	10.4	41.4	30.6
IntraDA [7]	DL-V2	84.3	79.5	80.0	78.0	37.7	84.1	24.9	57.2	_	0.4	5.3	8.4	36.5	_	38.1	_	9.2	23.0	20.3	41.7	31.0
BiMaL [10]	DL-V2	92.8	81.5	82.4	85.7	51.5	84.6	30.4	55.9	-	1.0	10.2	15.9	38.8	-	44.5	-	17.6	22.3	24.6	46.2	30.9
SAC [1]	DL-V2	89.3	85.6	87.1	87.0	47.3	89.1	43.1	63.7	-	1.3	26.6	32.0	52.8	_	35.6	-	45.6	25.3	30.3	52.6	27.9
ProDA [14]	DL-V2	87.8	84.6	88.1	88.2	45.7	84.4	44.0	74.2	-	0.6	37.1	37.0	45.6	-	51.1	-	54.6	24.3	40.5	55.5	26.4
FREDOM	DL-V2	86.0	87.0	87.1	87.1	46.3	89.1	48.7	71.2	-	5.3	33.3	46.8	59.9	-	54.6	-	53.4	38.1	51.3	59.1	24.0
TransDA-S [4]	Trans.	82.1	86.2	89.2	90.9	40.9	90.3	53.0	68.0	-	1.0	25.8	36.1	45.4	-	58.4	-	53.7	26.2	41.2	55.5	27.1
TransDA [4]	Trans.	90.4	86.4	90.3	92.3	54.8	93.0	53.8	71.2	-	1.7	31.1	37.1	49.8	_	66.0	-	61.1	25.3	44.4	59.3	27.3
ProCST [5]	Trans.	84.3	87.7	86.1	87.6	41.1	87.9	50.7	74.7	_	6.1	42.6	54.2	62.5	-	61.4	_	55.5	47.2	53.3	61.4	22.6
DAFormer [6]	Trans.	84.5	88.4	86.0	87.2	40.7	89.8	50.0	73.2	_	6.5	41.5	54.6	61.7	_	53.2	-	55.0	48.2	53.9	60.9	22.8
FREDOM	Trans.	89.4	89.3	89.9	90.5	50.8	93.7	57.3	79.4	-	9.3	48.8	60.1	68.1	-	66.0	-	65.1	51.6	62.3	67.0	22.0
									GTA	$5 \rightarrow Cit$	yscapes											
CBST [15]	DL-V2	91.8	80.5	83.9	82.7	53.5	80.9	34.0	53.1	34.2	21.0	32.7	20.4	42.8	30.3	35.9	16.0	28.9	24.0	25.9	45.9	25.4
DACS [9]	DL-V2	89.9	87.9	88.0	84.5	39.7	88.8	38.5	67.2	44.0	39.5	30.7	52.8	34.0	45.7	50.2	0.0	46.4	35.8	27.3	52.1	25.4
CorDA [13]	DL-V2	94.7	87.6	87.6	90.2	63.1	89.7	40.2	66.7	47.0	40.6	30.7	51.6	56.0	48.9	57.5	0.0	47.8	35.9	39.8	56.6	24.8
AdvEnt [11]	DL-V2	89.9	81.6	83.9	83.7	36.5	77.1	28.5	57.4	34.0	25.2	29.2	22.4	23.3	29.4	39.1	1.5	32.3	27.9	28.4	43.8	26.4
MaxSquare [3]	DL-V2	89.4	82.1	85.3	84.6	43.0	78.2	30.3	63.0	39.4	21.3	30.5	24.0	33.5	36.4	43.0	5.5	34.7	22.9	34.7	46.4	25.7
IntraDA [7]	DL-V2	90.6	82.6	85.2	86.4	36.1	80.2	27.6	59.3	39.3	21.3	29.5	23.1	37.6	33.6	53.9	0.0	31.4	29.4	32.7	46.3	26.7
BiMaL [10]	DL-V2	91.2	82.7	85.4	86.6	39.6	80.8	29.6	59.7	44.0	25.2	29.4	25.5	36.8	38.5	47.6	1.2	34.3	30.4	34.0	47.3	25.9
SAC [1]	DL-V2	90.3	86.6	87.5	88.5	53.9	86.0	45.1	67.6	40.2	27.4	42.5	42.9	45.1	49.0	54.6	9.8	48.6	29.7	26.6	53.8	24.2
ProDA [14]	DL-V2	87.8	79.7	88.6	88.8	56.0	82.1	45.6	70.7	45.2	44.8	46.3	53.5	56.4	45.5	59.4	1.0	53.5	39.2	48.9	57.5	21.7
FREDOM	DL-V2	90.9	87.8	88.6	89.7	54.1	89.5	45.2	68.8	42.6	32.6	44.1	57.1	58.1	58.4	62.6	55.3	51.4	40.0	47.7	61.3	19.1
TransDA-S [4]	Trans.	92.9	88.2	89.6	91.4	59.1	94.1	47.6	74.3	42.0	32.0	42.5	39.2	51.4	54.0	58.0	44.4	57.6	45.3	48.3	60.6	20.8
TransDA [4]	Trans.	94.7	89.2	90.4	92.5	64.2	93.7	50.1	76.7	50.2	45.8	48.1	40.8	55.4	56.8	60.1	47.6	60.2	47.6	49.6	63.9	19.1
ProCST [5]	Trans.	95.8	89.8	90.2	92.3	69.6	93.0	49.8	72.2	50.3	45.0	55.8	63.3	63.1	72.2	78.8	65.1	56.8	44.9	56.4	68.7	17.1
DAFormer [6]	Trans.	95.7	89.4	89.9	92.3	70.2	92.5	49.6	72.2	47.9	48.1	53.5	59.4	61.8	74.5	78.2	65.1	55.8	44.7	55.9	68.3	17.3
FREDOM	Trans.	96.7	90.9	91.6	94.1	74.8	94.4	57.5	78.4	52.1	49.0	58.1	71.4	68.9	83.9	85.2	72.5	63.4	53.1	62.8	73.6	15.8

3.3. Qualitative Results

Figure 1 illustrates additional qualitative results of the SYNTHIA \rightarrow Cityscapes experiments. In particular, we compare our results with AdvEnt [11], BiMaL [10], SAC [1], and DAFormer [6]. Overall, our approach produces better quality compared to prior methods. The predictions of segmentation maps of classes in minority groups have been improved and well-segmented compared to other methods.

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Figure 1. **SYNTHIA** \rightarrow **Cityscapes**. Qualitative Results of Our Proposed Approach. Columns 1-6 are the results of AdvEnt [11], BiMaL [10], SAC [1], DAFormer [6], our FREDOM approach, and Ground Truth (Best view in color and 2× zoom).

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