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DRESS **C**: Instructing Large Vision-Language Models to Align and Interact with Humans via Natural Language Feedback WARNING: This paper contains qualitative examples which are offensive in nature.

https://dresslvlm.github.io/

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Abstract

We present **DRESS**⁴, a large vision language model (LVLM) that innovatively exploits Natural Language feedback (NLF) from Large Language Models to enhance its alignment and interactions by addressing two key limitations in the state-of-the-art LVLMs. First, prior LVLMs generally rely only on the instruction finetuning stage to enhance alignment with human preferences. Without incorporating extra feedback, they are still prone to generate unhelpful, hallucinated, or harmful responses. Second, while the visual instruction tuning data is generally structured in a multiturn dialogue format, the connections and dependencies among consecutive conversational turns are weak. This reduces the capacity for effective multi-turn interactions. To tackle these, we propose a novel categorization of the NLF into two key types: critique and refinement. The critique NLF identifies the strengths and weaknesses of the responses and is used to align the LVLMs with human preferences. The refinement NLF offers concrete suggestions for improvement and is adopted to improve the interaction ability of the LVLMs- which focuses on LVLMs' ability to refine responses by incorporating feedback in multi-turn interactions. To address the non-differentiable nature of NLF, we generalize conditional reinforcement learning for training. Our experimental results demonstrate that DRESS[®] can generate more helpful (9.76%), honest (11.52%), and harmless (21.03%)responses, and more effectively learn from feedback during multi-turn interactions compared to SOTA LVLMs.

1. Introduction

Large vision-language models (LVLMs) can perceive the visual world and follow the instructions to generate user-friendly responses [6, 43, 90]. This is achieved by effectively combining large-scale visual instruction finetuning [78] with



Figure 1. We instruct DRESS³ to improve both alignment with human preferences and interaction ability via natural language feedback, which is categorized into critique and refinement.

large language models (LLMs) [5, 53].

However, existing LVLMs solely leverage the LLMsgenerated or hand-crafted datasets to achieve alignment via supervised fine-tuning (SFT) [6, 43, 78]. While it's effective at transforming LVLMs from caption generators to instruction-following models, LVLMs can still generate responses that are unhelpful, hallucinated, or even harmful (see Figure 4). This indicates that their present level of alignment with human preference is still relatively low [81]. In addition, although existing work motivates to structure visual instruction tuning samples in multi-turn formats, the connection and dependencies among various turns are weak, which restricts the interaction ability of the LVLMs. Here the interaction ability measures whether LVLMs can effectively leverage the previous context in multi-turn interactions and refine their responses [72]. These two limitations restrict the potential of LVLMs to serve as visual assistants in practice.

In this work, we introduce **DRESS**⁽⁴⁾, an LVLM distinctively trained through the application of Natural

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Language Feedback (NLF) generated by LLMs (see Figure 1). We provide LLMs with dense annotation for images and detailed guidelines, instructing them to give fine-grained feedback on the LVLM's responses. This feedback annotation considers 3H criteria– helpfulness, honesty, and harmlessness, consistent with the practice in developing human-aligned LLMs [51]. The generated feedback includes the numerical score and NLF that measure the overall quality of the responses along the 3H criteria.

In our approach, we introduce a novel categorization of NLF into two distinct types: *critique* and *refinement*. The critique NLF provides an assessment of the strengths and weaknesses of the responses, whereas the refinement NLF provides specific suggestions to LVLMs on improving their responses to align with the ground truth reference. This categorization offers a natural utilization of two types of NLF to align the LVLMs with human preferences and improve their interaction capabilities. To train the LVLMs with such feedback, we generalize the conditional reinforcement learning algorithm to address the non-differentiable nature of NLF. In particular, we train DRESS⁴ to produce corresponding responses conditioned on the two NLF using language modeling (LM) loss on the responses. By learning from the numerical scores and critique NLF, we improve the alignment of DRESS⁴ with human preferences. While, by leveraging refinement NLF, we train DRESS it to acquire the meta-skill of refining its initial responses by utilizing NLF through multi-turn interactions during inference.

We evaluate DRESS on open-ended visual question answering for helpfulness evaluation, image captioning for honesty evaluation, adversarial prompting for harmlessness evaluation, and also on multi-turn interactions. Experimental results demonstrate that DRESS can generate responses that are better aligned with human values as compared to previous LVLMs, and also demonstrates better interaction ability that can effectively learn from feedback to refine the responses on the fly. To the best of our knowledge, we are the first work to address all the 3H criteria as well as interaction ability for LVLMs. We summarize our contributions as follows:

- We propose the distinct use of natural language feedback (NLF), specifically categorized into critique and refinement NLF, to improve the alignment with human preferences and interaction capabilities of LVLMs.
- We generalize the conditional reinforcement learning algorithm to effectively incorporate the NLF, which is non-differentiable, by training the model to generate corresponding responses conditioned on the NLF.
- We produce and open-source 63K annotated visionlanguage NLF samples covering 3H aspects. In addition, we also open-source a dataset with 4.7K examples for harmlessness alignment and evaluation of LVLMs. The datasets are released at https://huggingface. co/datasets/YangyiYY/LVLM_NLF.

2. Related Work

Large Vision-Language Models. The current research motivates the creation of LVLMs that can tackle various tasks without specific adaptations $[36, 69, 73]^1$. Given the strong fundamental abilities of LLMs [5, 6, 49], most recent LVLMs typically adopt frozen LLMs as the language component [43, 90], accompanied by a substantial scaling in the model sizes. LVLMs capitalize on large-scale imagecaption pairs to train a projector to transform the image features into the embedding space of LLMs to align the two modalities [2, 36, 43, 84, 90]. In addition, large-scale vision-language instruction tuning data is adopted to align LVLMs with human preferences, ensuring that they can effectively understand instructions and generate user-friendly responses [20, 22, 32, 42, 64, 75]. In this work, we further calibrate the human preference alignment in responses generated by LVLMs and improve their interaction ability by leveraging the feedback provided by LLMs.

Learning from Feedback. Incorporating feedback to train and align LLMs has emerged as a pivotal approach [11, 17, 51, 57]. External feedback is often associated with reinforcement learning to train LLMs to optimize some goals that are hard for data annotation, such as becoming helpful [3, 30, 63], harmless [4, 21], and honest [51]. Depending on the form, the feedback can be formatted as numerical scores [18, 41], preference ranking [4, 51], or natural language [1, 57]. The numerical scores and preference ranking feedback are relatively easier to collect via human annotations [51, 63], while NLF is much harder and more expensive for annotation. Thus, in this work, we rely on LLMs to provide NLF [1, 4, 79], which is different from [66] that pivots on preference ranking data collection and adopts numerical score reward for training. In addition, we categorize the NLF into two types: critique and refinement, which can be adopted respectively to improve the alignment and interaction of LVLMs. We use generalized conditional reinforcement learning to force the LVLM to learn directly from NLF and differentiate between aligned or misaligned responses and effective or ineffective interaction behaviors. We further discuss related work on multi-turn interactions that incorporate human feedback for refinement in Appendix A.

3. DRESS 🅉

We describe DRESS[®], an LVLM designed to leverage NLF from LLMs to improve two key aspects missing in prior work: (1) Alignment with human preferences, and (2) Interaction capabilities. The first focuses on whether the responses respect human values, especially the 3H criteria (helpfulness, honesty, and harmlessness) [51]. The second aspect focuses on the ability to refine responses based

¹More related research on vision-language modeling is in Appendix A

on feedback provided during multi-turn interactions. We achieve this by proposing an innovative classification of NLF into two primary categories: Critique and Refinement. For training DRESS with NLF, we propose a generalization of conditional reinforcement learning specially designed to address the non-differentiable nature of the NLF.

In this section, we first describe the training recipe to produce $DRESS_{ft}$, the LVLM that subsequently serves as the data source for collecting NLF, along with the data splits. We then describe the procedure for collecting feedback from LLMs. We finally discuss the training framework that effectively uses the NLF to enhance alignment and interaction.

3.1. Training Recipe for DRESS ft & Dataset Split

Model Architecture. DRESS and DRESS f_{t} share the same model architecture design, which follows the common LVLMs design principle that connects a frozen image encoder and an LLM with a transformation module [6, 43]. We use EVA-CLIP-Giant [65] with 1.3B parameters and Vicuna-13b-v1.5 [87] to initialize the pretrained image encoder and the LLM respectively, and the linear projector is randomly initialized. We also add a LoRA [24] module to the LLM for adaptation, and the details are described in Appendix B.

Training Recipe & Dataset Split. DRESS_{ft} **adopts a** two-stage training process, including pretraining and instruction fine-tuning (a.k.a, SFT). For pretraining, we utilize 8 million synthetic captions generated by BLIP [35], with the image sourced from CC3M [60], CC12M [7], and SBU [50]. For SFT, we adopt the high-quality LLaVA visual instruction tuning dataset, which contains 80K samples and covers 2 data types: conversation and reasoning. We partition the multi-turn LLaVA data into separate turns because of the limited relevance among them, effectively increasing the number of samples. We retain 25K and 5K samples of conversation and reasoning data types respectively for gathering feedback following 2 principles: (1) There should be no duplicate images in the feedback dataset; (2) The questions can only be answered with the visual information². We achieve this through a filtering process using LLMs. The remaining 161K samples are adopted for SFT. In addition, due to the lack of visual safety data for alignment along the harmlessness aspect, Based on the COCO dataset, we create a new dataset- VLSafe that contains adversarial promptings to train and validate the harmlessness alignment of LVLMs. An example is shown in Figure 4. The construction process involves an LLM-Human-in-the-Loop process that iteratively creates and filters the datasets [8] (see Appendix F for more details). In total, VLSafe contains 4,764 training samples and 1,110 testing samples. We retain 3K samples from the training set for feedback annotation, and the other 1,764 samples are used for SFT. The dataset statistics are summa-

Aspect	Helpfulness & Honesty		Helpfulness & Honesty Harmlessness	
Data Type	Conversation Reasoning		Adversarial	Number
SFT	156,333	35,000	1,764	193,097
Feedback	25,000	5,000	3,000	33,000

Table 1. The dataset statistics for SFT and feedback collection. We use 3 types of data and consider 3 fine-grained feedback aspects.

rized in Table 1. The hyper-parameter configurations are described in Appendix B.

3.2. Gathering Feedback From LLMs

Dataset Collection for Obtaining Feedback. We use the DRESS_{ft}, trained with SFT on the dataset described earlier, to collect examples that will be used for obtaining feedback from the LLM subsequently. For each question in the *Feedback* subset of the dataset described earlier, we instruct DRESS_{ft} to generate a response using greedy decoding. Finally, each sample is composed of an image m_i , a question q_i , the response r_i^1 generated by DRESS_{ft}, and the ground truth reference g_i from the LLaVA dataset.

Feedback via LLMs. We leverage GPT-4 [49] to provide feedback on the responses generated by DRESS_{ft}. This is motivated by two key factors: (1) The images in our dataset are sourced from the COCO dataset, which includes meticulously annotated dense captions and bounding boxes of objects for each image. Consequently, GPT-4 can effectively comprehend the images based on this annotated information; (2) Prior studies, such as [4], highlight the efficacy of using strong LLMs for simulating human preferences. Given our additional focus on collecting detailed NLF, GPT-4 emerges as a feasible alternative to human annotation when resources are limited. This is further corroborated by findings in [72], indicating that GPT-4 can produce NLF on par with human annotation if provided with enough contextual information and appropriate instructions.

We instruct GPT-4 to provide feedback on the generated responses based on the human annotation from COCO and annotation guidelines (see Figure 2). We decompose the feedback into 3 fine-grained aspects, including helpfulness, honesty, and harmlessness (3H), for better characterization:

- **Helpfulness** evaluates the overall quality of responses, extensively evaluating the usefulness, relevancy, and adherence to the given question. Specifically, GPT-4 needs to determine whether the responses offer practical and beneficial information regarding the image that aligns with the given question and pertains exclusively to the user's question, excluding unrelated details.
- Honesty measures whether the responses include content that does not align with the images. To be specific, GPT-4 needs to determine whether DRESS_{ft} hallucinates visual information that doesn't exist in the given images.

· Harmlessness examines whether the responses contain

²Some questions on the LLaVA dataset can be addressed without images.



Figure 2. The annotation instruction and the annotation pipeline. For training, the cross entropy loss is only applied to the model response and ground truth reference. In this case, the model can learn from the critique NLF about the strengths and weaknesses in the response to achieve alignment and also obtain the meta-skill of interaction by learning from the refinement NLF.

any harmful content that does not align with human ethics and values [61].

Specifically, the conversation and reasoning types of data are used for helpfulness and honesty annotation, and the adversarial type of data is used for harmlessness annotation. For illustration, we provide an outline of the instruction in Figure 2. The complete instructions are shown in Appendix J. The instruction starts by providing the annotation guidelines and outlining the score-quality correspondence, and then requires GPT-4 to first generate the reason l_i for scoring, then give a numerical score rating $n_i \in [1, 4]$, and finally provide the suggestion s_i for guiding the response towards the ground truth reference annotated on the LLaVA dataset. Based on our preliminary experiments, the generated reason l_i can function as a type of chain-of-thought rationales [74], which enhances the precision of numerical scores generated using GPT-4. Using the (l_i, n_i, s_i) produced by GPT-4, we obtain the specific feedback types:

- Numerical Scores: We directly adopt the n_i as the numerical score feedback, which evaluates the overall quality of the response along the 3H criteria.
- Critique NLF: The produced l_i can be verbose and redundant. We instruct GPT-4 to summarize the l_i into the concise critique NLF l'_i, containing 5-7 words, that pinpoint the strengths and weaknesses in the response.
- **Refinement NLF**: We directly adopt the *s_i* as the refinement NLF, which provides concrete advice to guide the model toward the ground truth reference.

The proposed categorization of NLF into two categories enables the natural utilization of the feedback data to improve the alignment and interaction respectively, which will be elaborated on later.

In addition, we introduce an interactive generationannotation process to create multi-turn interaction data with NLF. The motivation is that by training on extensive multiturn horizontal interaction data, LVLMs can enhance their interaction ability to refine previous responses more effectively through the incorporation of NLF. For each turn, we collect samples rated lower in the previous turns, and prompt DRESS f_t to generate the new responses conditioned on the question, previous responses, and the refinement NLF. Following the same feedback annotation procedure, we obtain NLF and numerical score ratings for the new responses. We provide the detailed implementation in Appendix G.

In summary, for each 3H aspect, we produce a curated feedback dataset, where each sample is organized as $\{m_i, q_i, \{r_i^j, n_i^j, l_i^{\prime j}, s_i^j\}_{j=1}^{k_i}\}$, where m_i and q_i are the original image and question on the LLaVA dataset. In addition, each sample includes k_i turns interactions, where each turn j contains the response r_i^j generated by DRESS_{ft} and feedback provided by GPT-4 including the numerical score n_i^j , the critique NLF $l_i^{\prime j}$, and the refinement NLF s_i^j . Note that in the concluding iteration, the response is denoted by the ground truth reference, which correspondingly yields the optimal numerical score and critique NLF. We describe the human annotation results of the quality of LLM-generated NLF in Appendix C.

3.3. Harnessing Feedback for Training

We introduce our training framework that effectively leverages the annotated feedback dataset to improve the alignment and interaction of LVLMs. This framework operates during the reinforcement learning from LLMs (AI) feedback (RLAIF) stage, following the completion of the SFT stage. We generalize conditional reinforcement learning [44, 47, 71] to facilitate the use of both the numerical score and the non-differentiable NLF. The fundamental concept involves training the model to produce appropriate responses conditioned on NLF, enabling it to differentiate between aligned or misaligned responses and effective or ineffective interaction behaviors. We initialize DRESS i with the weights of DRESS ft i, and conduct continual training to optimize the likelihood of generating the *j*-th turn response, given the image, question, numerical score, the critique NLF, the refinement NLF, and all preceding interaction turns. This is achieved by minimizing the cross-entropy loss, defined as:

$$O_f = \mathbb{E}_{x_i \sim D} \left[-\log P(r_i^j | m_i, q_i, n_i^j, l_i'^j, \{r_i^k, n_i^k, l_i'^k, s_i^k\}_{k < j}) \right]$$
(1)

where x_i is sampled from the feedback dataset D, and other denotations are introduced in the previous subsection. We

Dataset	LLaVA Eval			LLaVA Bench				
Model	Conversation	Description	Reasoning	Average	Relevance	Accuracy	Level of detail	Helpfulness
BLIP-2	66.08	31.33	22.00	39.80	25.00	16.00	16.00	17.67
InstructBLIP	74.08	61.67	82.17	72.64	34.00	21.00	19.67	22.67
LLaVA	65.17	42.17	61.50	56.28	31.83	19.83	18.67	20.83
LLaVA-HF	69.74	60.87	85.33	71.98	34.33	18.50	17.67	23.50
mPLUG	66.08	44.17	75.83	62.03	35.17	20.33	16.33	20.33
miniGPT4	54.92	51.50	74.67	60.36	32.45	20.33	20.17	24.17
DRESS🌋	77.67	62.17	84.27	74.70	37.18	20.12	21.87	26.45

Table 2. The helpfulness evaluation on the open-ended visual question answering task. The evaluation is based on GPT-4 scoring.

show the data format used for training in Figure 2. Specifically, we use verbalizers to transform the 4 scales of the numerical score into descriptive words, namely bad, mediocre, good, and excellent. Intuitively, we aim to achieve two-fold objectives: (1) Alignment: DRESS is trained to generate the *j*-th turn response based on the numerical score and critique NLF in the *j*-th turn, and thus it can directly learn from the critique NLF which clearly states the strengths and weaknesses regarding alignment with the 3H aspects in this response; (2) Multi-turn Interaction Ability: DRESS is trained to generate the (j + 1)-th turn response based on the responses in previous turns and the refinement NLF in the (j+1)-th turn. Based on the critique NLF in the (j+1)-th turn, the model can distinguish between effective and ineffective interactions. In this way, the model can acquire the meta-skill of incorporating the provided language feedback in multi-turn interactions.

Regularization. To preserve the knowledge and visual concepts acquired during the pretraining stage in DRESS^{*}, we incorporate a regularization term, denoted as O_r . This term represents the image captioning loss utilized in pretraining. The total loss, O, is calculated as $O = O_f + \alpha \cdot O_r$, with α being a weighting factor set to 1 in our implementation.

3.4. Inference

In the training time, DRESS[®] is trained to generate corresponding responses conditioned on the numerical score verbalizers and the critique NLF. In this way, the model can learn the distinct features in various responses respectively. In the inference time, we expect DRESS[®] to generate the best response. So we require DRESS[®] to generate the response based on the "<excellent> [Nice response.]" prefix.

4. Experiment

We describe our experiments in this section. We first discuss the previous SOTA LVLMs used for comparison (Sec. 4.1). We then discuss the evaluation setting and results on helpfulness alignment using open-ended visual question-answering (Sec. 4.2), honesty alignment using image captioning (Sec. 4.3), harmlessness alignment using adversarial prompting (Sec. 4.4), and multi-turn interaction ability

Dataset	Instru	ction-1	Instruction-2		
Model	CHAIR _i	CHAIR_s	CHAIR _i	CHAIR_s	
BLIP-2	3.40	4.00	2.75	3.50	
InstructBLIP	2.38	3.45	5.16	14.48	
LLaVA	9.98	31.10	23.40	61.50	
LLaVA-HF	4.26	5.40	6.05	10.80	
mPLUG	15.10	21.65	25.89	73.50	
miniGPT4	5.70	13.40	10.60	30.45	
DRESS 🅉	2.34	3.30	4.74	9.84	

Table 3. The honesty evaluation on the image captioning task using CHAIR metrics (lower is better), which account for the mismatch between generated and annotated objects.

(Sec. 4.5). In addition, we also conduct the fundamental capability evaluation (Sec. 4.6) and ablation study (Sec. 4.7), and conclude with a qualitative analysis (Sec. 4.8). Note that for automatic evaluation that leverages GPT-4, we provide all the evaluation prompts used in Appendix J. We also provide human annotation results that verify the effectiveness of using GPT-4 for automatic evaluation in Appendix D.

4.1. Prior SOTA LVLMs

We consider the following LVLMs for comparison: (1) **BLIP-2** [36] with the T5-XXL [10] as the LLM and trained on large-scale image-caption pairs; (2) **LLaVA** [43] with the LLaMA-13B as the LLM and trained on high-quality visual instruction tuning data; (2) **LLaVA-HF** [66] with the Vicuna-13B as the LLM and trained on human-annotated feedback and a collection of supervised visual-language tasks; (3) **InstructBLIP** [13] with the Vicuna-13B as the LLM and trained on a collection of supervised visual-language tasks; (4) **MiniGPT-4** [90] with the Vicuna-13B as the LLM and trained on high-quality and detailed image captioning tasks; (5) **mPLUG-Owl** [83] with LLaMA-7B as the LLM component and trained on both language and visual instructions.

4.2. Open-ended Visual Question Answering for Helpfulness Evaluation

We evaluate the helpfulness of DRESS³ using the openended visual question-answering task. This task requires LVLMs to jointly consider both visual images and their internal knowledge to answer complex open-ended questions.

Model	Relevance	Safety	Persuasiveness	
BLIP-2	41.08	12.61	40.27	
InstructBLIP	99.19	30.63	71.71	
LLaVA	99.19	38.20	73.42	
LLaVA-HF	100.0	20.00	46.81	
mPLUG	99.91	10.72	43.96	
miniGPT4	100.0	75.05	74.14	
DRESS	100.0	88.56	91.98	

Table 4. The harmlessness evaluation on the resistance to adversarial prompting. The evaluation is based on GPT-4 scoring.

Evaluation Setting. We consider two evaluation datasets: (1) LLaVA-Eval [43], which is created by GPT-4 and contains 3 categories of questions including visual conversation, detailed description, and complex reasoning. We leverage GPT-4 for evaluation by providing it with the humanannotated dense captions from the COCO dataset and request an overall helpfulness score ranging from 1-10. We report the average score for each category. We use a different evaluation prompt as compared to the original paper, where we explicitly require GPT-4 to assign low scores to responses that contain hallucinated elements or unrelated content; (2) LLaVA-Bench³, which is a curated set of images with complex questions, encompassing indoor and outdoor scenes, memes, paintings, and sketches. Each image is associated with a highly detailed description, which is used to provide visual information as a reference for LLMs during evaluation. For evaluation, we require GPT-4 to not only generate the overall helpfulness score for each response but also provide fine-grained scores regarding relevance, accuracy, and level of detail aspects. All the scores are ranged from 1-10.

Evaluation Results. The results are shown in Table 2. DRESS can achieve overall better helpfulness scores compared to previous SOTA LVLMs regarding 3 types of questions on the LLaVA Eval dataset. For the challenging LLaVA Bench dataset, DRESS also achieves overall better helpfulness scores. Specifically, it gains higher scores on the "Relevance" and "Level of Detail" dimensions compared to other methods. This can be attributed to the NLF-conditioned training that explicitly requires the responses to be highly related to the questions and provide enough visually grounded visual details. However, we acknowledge that using external feedback for alignment does not improve the overall fundamental ability of LVLMs, thus DRESS achieves comparable performance regarding the "Accuracy" dimension that examines the visual understanding ability.

4.3. Image Captioning for Honesty Evaluation

We evaluate the honesty (a.k.a, hallucination control) of DRESS using the image captioning task following [12, 56,



Figure 3. Evaluation of multi-turn interactions. The results are averaged among two feedback providers.

89]. The key idea is to evaluate whether the generated captions contain objects that are not in the human annotation.

Evaluation Setting. We use the same 2,000 samples from the COCO dataset and instructions for image captioning as used in [40]. We adopt the metrics defined in [56]: (1) CHAIR_i quantifies the ratio of non-existent objects to annotated objects, providing an average across all data samples; (2) CHAIR_s measures the ratio of generated captions having at least one hallucinated object to all captions.

Evaluation Results. The results are shown in Table 3. We observe that instruction finetuning can potentially lead to a higher production of non-existent objects in LVLMs, evidenced by a higher hallucination rate when comparing BLIP-2, devoid of instruction fine-tuning, with other LVLMs. However, by incorporating external feedback regarding honesty, DRESS can significantly reduce the hallucination compared to previous LVLMs trained with instruction finetuning. This illustrates the advantages of incorporating an additional RLAIF stage with NLF, which enhances the model's capability to produce high-quality responses akin to instruction finetuning while concurrently teaching the model to recognize and avoid the hallucination of non-existent objects.

4.4. Robustness to Adversarial Prompting for Harmlessness Evaluation

We evaluate the harmlessness of DRESS is using the adversarial prompting task [52], which measures the ability of LVLMs to refrain from producing harmful responses while maintaining the relevance and persuasiveness of the content.

Evaluation Setting. We use VLSafe test that contains 1,110 samples for evaluation. For each image, we require LVLMs to generate a response given the associated adversarial prompt. The response is scored by GPT-4 considering relevance, safety, and persuasiveness. The relevance measures whether the response is related to the question and

³https://github.com/haotian-liu/LLaVA/blob/ main/docs/LLaVA_Bench.md

Model Dataset	VQAV2	OK-VQA	GQA	Average
BLIP-2	62.4	60.2	45.7	56.1
InstructBLIP	<u>69.4</u>	<u>61.9</u>	66.6	66.0
LLaVA	58.5	63.1	48.8	56.8
LLaVA-HF	<u>62.6</u>	70.5	48.3	60.5
mPLUG	59.5	65.1	40.9	55.2
miniGPT4	53.7	58.1	40.2	50.7
DRESS 🅉	62.8	67.8	58.9	63.2
- regularization	59.1	58.9	50.1	56.0

Table 5. The fundamental capability evaluation on 3 standard visual question answering tasks. We use _ to denote that the training split of the dataset is in the model's training distribution.

extracts related visual information from the image. The safety measures whether the response contains any harmful content that is misaligned with human values and ethics. The persuasiveness measures whether the response can effectively persuade humans from causing harm to society. Note that while we meticulously direct the evaluation via GPT-4 with detailed instructions, this evaluation is limited to the range of harmful behaviors as defined within GPT-4, which may not encompass all subtleties of harmful content.

Evaluation Results. The results are shown in Table 4. We show that all LVLMs with instruction tuning can effectively follow human instructions to provide related visual information, consistently attaining scores close to 100 in terms of response relevance. However, compared to DRESS³, existing LVLMs without undergoing the harmlessness alignment are much easier to be elicited to generate responses that are misaligned with human values and ethics, such as providing concrete suggestions for people to train cats to attack humans (Figure 4). In addition, the responses generated by DRESS³ can also effectively persuade the humans from causing harm, indicating a high level of harmlessness alignment.

4.5. Multi-turn Interaction

We evaluate the multi-turn interaction ability of DRESS[®] during inference. This task examines the ability to incorporate external natural language feedback provided in context to refine previous responses in multi-turn interactions.

Evaluation Setting. Due to the lack of a standard evaluation benchmark for multimodal multi-turn interaction ability evaluation, we adopt a simulated setting using the LLaVA Eval dataset, which provides the ground truth reference for evaluation. We leverage LLMs to provide concrete natural language feedback based on LVLMs' responses and the ground truth references and evaluate whether LVLMs can continually improve their previous responses by increasing the interaction turns. Specifically, we consider two feedback providers, including GPT-3.5-Turbo and GPT-4, and measure the performance with a maximum of 4-turn interaction. The results are averaged among two feedback providers.

Evaluation Results. The results are shown in Figure 3. We observe that DRESS[®] can effectively learn from the

Dataset	LLaVA Eval					
Model	Conversation	Description	Reasoning	Average		
DRESS	77.67	61.33	84.27	74.42		
- RLAIF	72.17	56.33	81.66	70.05		
 Critique NLF 	76.93	59.50	79.12	71.59		
- Refinement NLF	77.14	60.18	83.10	73.47		
- Honesty	75.34	60.92	85.38	73.88		
- Helpfulness	76.48	58.29	84.92	73.23		

Table 6. Ablation study of the design strategies in DRESS 4.

provided natural language feedback to continually refine the previous responses through multi-turn interactions while existing LVLMs cannot take advantage of the provided feedback. The effectiveness of DRESS can be attributed to the strategic incorporation of the refinement NLF within the training dataset. The model's enhanced proficiency in the meta-skill of interaction can be ascribed to the utilization of our multi-turn interaction data, which demonstrates a marked improvement over previous multi-turn examples.

4.6. Fundamental Capability

We evaluate the fundamental capability of DRESS is using standard visual question-answering tasks that evaluates the basic visual understanding ability of LVLMs. This evaluation aims to make sure that the model has preserved this ability after RLAIF stage with NLF.

Evaluation Setting. We adopt 3 standard visual question answering datasets, including VQAV2 [23], OK-VQA [48], and GQA [27]. Different from open-ended visual question answering datasets, these 3 datasets mainly require LVLMs to extract some basic visual information from the images, while OK-VQA requires the use of outside knowledge. Due to the extensive time consumption of auto-regressive generation, we randomly sample 1,000 test cases from each dataset for evaluation. For evaluation metrics, we use GPT-3.5-Turbo to judge the validity of predictions based on the reference answers since most LVLMs tend to generate dialogue-style responses, which are significantly different from the short golden answers in the evaluation datasets.

Evaluation Results. The results are shown in Table 5. We observe that DRESS^{II} can achieve comparable performance with existing LVLMs regarding fundamental capability, especially excelling on the knowledge-extensive OK-VQA dataset. We also compare the results of DRESS^{II} without the regularization during the RLAIF stage. The degraded performance underscores the necessity of implementing this regularization to maintain the essential knowledge and visual concepts acquired in the pretraining stage.

4.7. Ablation Study

We conduct an ablation study to investigate the influence of several design strategies in DRESS **(1)** Learning from feedback: We evaluate the LVLM that undergoes only SFT without incorporating external feedback for alignment; (2)



Figure 4. The qualitative examples show that compared to previous LVLMs, DRESS can generate more helpful, honest, and harmless responses. In addition, DRESS can effectively incorporate the provided feedback to refine the initial response on the fly, indicating better multi-turn interaction ability. We use red to denote the harmful questions and responses.

Critique NLF: We evaluate the LVLM trained using only the numerical score feedback without using the critique NLF that directly pinpoints the strengths and weaknesses in the responses; (3) **Refinement NLF**: We evaluate the LVLM trained in a single-turn manner without the incorporating of refinement NLF that provides concrete suggestions for improvement; (4) **Fine-grained Feedback**: We include two ablations regarding the fine-grained feedback, each examining the LVLM trained exclusively with a single type of feedback, specifically helpfulness or honesty.

Evaluation Setting. Due to the constrained budget for GPT-4 evaluation, this ablation study is conducted on the LLaVA Eval dataset. The evaluation setting and metrics are introduced in Sec. 4.2.

Evaluation Results. The results are shown in Table 6. We observe that the introduction of the RLAIF stage can significantly enhance the alignment with human preference, with 6.24% relative improvement. We also quantify the extra advantage of harnessing the NLF beyond the numerical scores. We show that learning from both the critique NLF and refinement NLF can benefit the alignment. In addition, we demonstrate that providing fine-grained feedback regarding helpfulness and honesty respectively can contribute to more precisely measuring the preference alignment and improve the overall performance in a supplementary manner.

4.8. Case Study

We perform a case study to understand the efficacy of utilizing NLF in the training of LVLMs (see Figure 4). For the harmlessness evaluation, existing LVLMs tend to produce specific suggestions that may inadvertently lead individuals toward engaging in harmful activities. In contrast, DRESS is designed to not only withhold responses in such scenarios but also actively dissuade individuals from pursuing detrimental actions. For the helpfulness and honesty evaluation, DRESS can generate user-friendly and more helpful responses compared to InstructBLIP, and ground the responses on visual information without hallucination compared to LLaVA. In addition, DRESS exhibits superior interaction capabilities, as demonstrated by its refined responses that effectively integrate provided feedback.

5. Conclusion

We harness NLF to enhance the alignment and interaction ability of LVLMs. We create an NLF dataset, which provides fine-grained annotation regarding helpfulness, honesty, and harmlessness, and innovatively provide two categories of NLF: critique and refinement. We generalize conditional reinforcement learning to leverage NLF for training DRESS, an LVLM that effectively aligns with human preferences and demonstrates better multi-turn interaction capabilities. Potential future work is discussed in Appendix I.

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