



# THRONE: An Object-based Hallucination Benchmark for the Free-form Generations of Large Vision-Language Models

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#### **Abstract**

Mitigating hallucinations in large vision-language models (LVLMs) remains an open problem. Recent benchmarks do not address hallucinations in open-ended free-form responses, which we term "Type I hallucinations". Instead, they focus on hallucinations responding to very specific question formats—typically a multiple-choice response regarding a particular object or attribute—which we term "Type II hallucinations". Additionally, such benchmarks often require external API calls to models which are subject to change. In practice, we observe that a reduction in Type II hallucinations does not lead to a reduction in Type I hallucinations but rather that the two forms of hallucinations are often anti-correlated. To address this, we propose THRONE, a novel object-based automatic framework for quantitatively evaluating Type I hallucinations in LVLM free-form outputs. We use public language models (LMs) to identify hallucinations in LVLM responses and compute informative metrics. By evaluating a large selection of recent LVLMs using public datasets, we show that an improvement in existing metrics do not lead to a reduction in Type I hallucinations, and that established benchmarks for measuring Type I hallucinations are incomplete. Finally, we provide a simple and effective data augmentation method to reduce *Type I and Type II hallucinations as a strong baseline.* 

# 1 Introduction

This paper proposes a benchmark to evaluate hallucinations by large vision-language models (LVLMs) when generating free-form responses, specifically detailed descriptions, based on a given image.

The rapid advancement in large language models (LLMs) [52] has pushed the development of large vision-language models (LVLMs). [1, 6, 7, 17, 24, 25, 30, 36, 44, 48, 54] LVLMs take input text *and images* and generate text responses to enable multi-modal perception and com-

prehension.

LVLMs are largely built on LLMs and therefore inherit both their advantages and their disadvantages. LLMs have been shown to produce hallucinations [47, 51], generated text responses that are coherent and plausible but factually incorrect. LVLMs echo this behavior with generated text contradicting with the visual or text input [53]. Hallucinations prevent the use of LVLMs in safety-critical situations and therefore evaluating and mitigating hallucinations in LVLMs is crucial for their deployment in such settings. Determining the presence and cause of hallucinations in LVLMs remains an open question [45, 53].

We divide LVLM hallucinations into two types. Type I hallucinations occur in response to open-ended questions with a very large set of possible responses —e.g. What is happening in this image?. Type II hallucinations are incorrect responses to a factual question regarding a specific concept about the image with a fixed set of options such as yes/no—e.g. Is there a traffic light in this image? Fig. 3 illustrates the difference between these two types of hallucination. Reducing hallucinations in both cases is required for useful, multi-purpose LVLMs. However, later in Fig. 4 we observe that the same LVLM can give contradicting answers when being evaluated for Type I vs. Type II hallucinations. This implies that measuring and reducing one type does not necessarily reduce the other.

Existing works to evaluate LVLMs often avoid direct quantification of hallucinations and instead develop comprehensive benchmarks that judge various other desirable abilities such as: optical character recognition, fine-grained recognition and attribute detection [14, 22, 32]. The extent of hallucinations in these benchmarks is obfuscated, since it is only one of many factors influencing other metrics. It requires human effort to inspect individual predictions. There are two major established works which specifically develop a benchmark for evaluating hallucinations in vision-language models: POPE [26] and CHAIR [40], which we discuss in detail in Sec. 2. However, we observe they both have shortcomings in effectively evaluating hallucinations:

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Figure 1. **THRONE** (**Ours**): LVLMs are prompted with a concept neutral instruction. An external LM performs abstractive QA on the response to establish the existence of **Type I** hallucinations.

POPE [26] is a recent work addressing Type II hallucinations with respect to object classes. However, we find Type I and Type II hallucinations are disconnected, and that POPE gives an incomplete picture on LVLM hallucinations. Moreover, POPE systematically under-samples negative object categories leading to a large underestimation of Type II hallucinations (see Sec. 5.4).

CHAIR [40] does address Type I hallucinations—establishing object category hallucination in short image captions using simple text matching. However, CHAIR is not suited to current LVLMs because the simple text matching it employs cannot comprehend abstract or hypothetical concepts present in today's LVLMs (see Fig. 4). Further, hand-crafted rules for each set of classes are required for usable text matching, and trivial model answers can attain a perfect CHAIR score.

To address the issues of current LVLM hallucination benchmarks, we propose THRONE (*Text-from-image Hallucination Recognition with Object-probes for open-eNded Evaluation*). THRONE leverages language models (LMs) to evaluate Type I hallucinations in free-form, open-ended image descriptions with respect to a pre-defined object vocabulary of interest. By utilizing LMs, THRONE is able to accurately judge whether an object mentioned in an LVLM response is implied to exist in the image or is abstractly mentioned with no implication about its existence (see Fig. 4).

Moreover, in THRONE, we provide easy access to our benchmark, by leveraging open-source LMs that can run on common GPUs, instead of relying on closed-source commercial models [35] that are subject to arbitrary change, as done in other works [4, 22, 32]. Through combining multiple open-source LMs, we mitigate any single-model biases in judging hallucinations when calculating Type-I hallucination scores with THRONE.

We make four contributions: *first*, we establish an accurate and accessible benchmark to quantitatively evaluate object hallucinations in free-form responses, leveraging LMs to judge the existence of Type I hallucinations—quantitatively showing half the judgement errors of CHAIR;

Figure 2. **POPE:** Questions with specific concepts prompt an LVLM directly to evaluate **Type II** hallucinations [26]. Handcrafted rules parse LVLM responses to give yes/no labels.

second, we evaluate a number of current LVLMs on THRONE and demonstrate that observed progress in reducing Type II hallucinations do not translate to a corresponding reduction in Type I hallucinations; third, we show a recent method, POPE, is inaccurately capturing the extent of Type II hallucination discovery due to its sampling strategy; we mitigate this issue in our implementation of THRONE while presenting results for a complete version of POPE; and fourth, we provide a simple augmentation of visual instruction tuning data which significantly improves performance on Type I hallucinations while maintaining or improving Type II hallucination performance.

# 2 Related Work

Hallucination Benchmarks for LVLMs. to the development of LVLMs, few evaluation benchmarks focusing on hallucinations have been introduced. CHAIR [40], one of the first works to assess hallucinations, is designed for short image captions. CHAIR uses a fixed set of object classes (extended with their synonyms) as a set of text strings to find predicted object classes in image captions via exact text matching. Subsequently, each class matched in the caption is compared to the COCO [27] captions and bounding box annotations to establish object hallucinations. CHAIR was developed prior to current instruction-tuned LVLMs which produce long free-form responses ( $10 \times$  longer than image captions) with a diverse vocabulary, limiting its applicability to modern LVLMs. As shown in Fig. 3, exact text matching in CHAIR is prone to incorrectly matching the vocabiliary classes with abstract concepts in the free-form response and the and synonyms of the class list must be manually selected to prevent confusion during evaluation. For example, in CHAIR the word "chair" will match all responses for the phrase "toilet seat", because the exact text matching classifies "seat" as the COCO class "chair". Finally, CHAIR metrics compare the overall number of predicted objects to the overall number of predicted objects judged to be hallucinationsignoring the recall of ground-truth objects and the distribution of object classes. This means that a single correct prediction across the entire evaluation dataset along with a generic response otherwise  $e.g.\,\mathrm{A}$  natural scene, achieves a perfect score ( $\frac{0}{1}$  predicted objects = 0.0). See the Supplementary Material for a full overview of CHAIR. In contrast, THRONE uses pre-trained LMs that go beyond direct synonym matching, to automatically judge the existence of concepts and hallucinations in free-form responses. In addition, our method considers both recall and precision to yield a holistic benchmark and does not require any manual curation of synonyms. THRONE and CHAIR both evaluate Type I hallucinations—hallucinations in response to concept-neutral prompts e.g. Describe this image in detail.

POPE [26] is a recently proposed benchmark to evaluate object hallucinations in LVLMs—specifically Type II hallucinations, in which an LVLM is directly queried with a yes-no question regarding the existence of a particular object of the form: Is there {a/an} {object\_class\_name} in the image?. The LVLM response is parsed using simple rules to determine whether a Type II hallucination has occurred. Precision and recall metrics are compiled using the parsed LVLM responses and the ground-truth annotation data. Despite focusing on measuring hallucinations, POPE only queries an LVLM with 3 positive and 3 sampled negative questions per COCO image i.e. the evaluation is artificially balanced. This means many potential hallucinations with respect to the COCO categories are not captured by their method. In Sec. 5.4, we show POPE dramatically underestimates Type II hallucinations and present the results of a complete version. Our method, THRONE, evaluates the prevalence of Type I hallucinations, which we observe are disjoint to Type II hallucinations.

Comprehensive Benchmarks for LVLMs have recently grown in number. MMBench [32] and MM-Vet [49] assess various aspects of LVLM performance such as: color perception, celebrity recognition, and numerical calculation. However many of these works integrate evolving APIs which are modified often (or even discontinued) and are inherently stochastic. Over time this greatly reduces the consistency of these benchmarks. Exceptions are MME [14] and SEED-Bench [22], but the impact of Type II hallucinations on final metrics is conflated with a number of other aspects of model performance. Our method, THRONE, directly addresses Type I hallucinations, only making use of open-source language models and datasets.

Large Vision Language Models (LVLMs) have rapidly developed by harnessing advancements in large language models (LLM) [5, 39, 43] and by directly integrating pre-trained LLMs into their architectures. In contrast to earlier vision-language models such as CLIP [18, 38], LVLMs are generally comprised of a pretrained LLM and image encoder, aligned with a connector module of varying complexity. Some works are highlighted here. Frozen [44] is

Image	Prompt	Response	
	What is happening in this image?	In the image, a man is hanging clothes on a clothesline that is attached to the back of a moving car.	
	Is there a traffic light in this image?	Yes, there is a traffic light in the image, and the man is standing on the back of a car near it.	

Figure 3. **Type I vs. Type II Hallucinations:** (Top) LVLMs prompted with concept-neutral instructions produce Type I hallucinations. (Bottom) Instructions specifying a concept produce Type II hallucinations. Examples from LLaVA-v1.5 [29].

an early work fine-tuning the vision encoder to dynamically prefix the prompt to a frozen LLM. Flamingo [1] combines visual and language features using cross-attention layers in an otherwise frozen LLM. BLIP-2 [25] uses a frozen image encoder to learn a Querying-Transformer (Q-Former) on image-text pairs that is used as the connector. This architecture is adapted in [7] for dialogue via training with visual instruction tuning. LLaVA [30] uses COCO [27] annotations and GPT-4 [35] to generate visual instruction tuning data in a plain-text pipeline. Combining this generated data with standard VQA datasets (VQAv2 etc.) further boosts performance [29]. Different works modify the training approach by using efficient adaptation [16, 48] multiple training stages [3] or introduce the use of discrete tokens for localization [36]. We note however, that most of these works evaluate performance on traditional vision-language datasets like VQAv2 [2], which do not consider the extent of hallucinations, a known problem with LLMs [47, 51].

# 3 THRONE

Recap of existing methods. POPE [26] and other benchmarks (MME [14], MMBench [32]) directly query LVLMs with a restricted desired answer space, *e.g.* yes-no (MME, POPE) and A-B-C-D multiple choice (MMBench), as shown in Fig. 2. These benchmarks only consider such short answer formats, whereas a key quality of LVLMs is in their ability to generate free-form coherent text. Moreover, POPE, which addresses Type II hallucinations, undersamples negative classes meaning hallucinations are dramatically underestimated (see Sec. 5.4 and Fig. 7). In contrast, we skip class subsampling and *enumerate all classes for every image*, ensuring a full evaluation of Type I hallucinations of the ground-truth classes.

CHAIR [40] also evaluates Type I hallucinations, but was developed when typical vision-language models could only generate short and simple captions similar to those in COCO Captions [12]. Moreover, it lacks accurate comprehension of responses (see the right side of Fig. 4) and ig-



Type II Hallucir	action E	valuat	ion (DC	DE
	120	-/-	The state of	

Instruction [GT]	Response [Prediction]
Is there	
a person [No]	No, there is no person in the image. [No]
a banana [Yes]	Yes, there is a banana in the image. [Yes]
an apple [No]	No, there is not an apple in the image. [No]
an orange [No]	No, there is no orange in the image. [No]
in the image?	No Type II Hallucinations Found

# Type I Hallucination Evaluation

Instruction		Respon	se					
Describe this image in detail.  - GT Class - Type II Hallucination - Hypothetical Content (not a Hallucination)	sto dis wit in dif pre ban dis lef pla sta	the image showcases a fruit stand at a groce tore, featuring a variety of fruits on isplay. There are several bunches of banana ith some placed in the foreground and other in the background. The bananas are arranged ifferent sections, creating an appealing resentation for customers. In addition to tananas, there are also apples and oranges of isplay. The apples are located towards the eft side of the image, while the oranges are laced in the middle and right side of the tand. The fruits are well-organized and resented in an attractive manner, making it in inviting sight for shoppers.  Type   Hallucinations Present and Four						
MSC	occ	Object Prediction from De	escription					
Human	CHAIR THRONE (Ours)							
banana apple orange	banana person banana apple orange apple orange							

Figure 4. A Comparison of POPE, CHAIR and THRONE: Directly querying LVLMs for object existence (person, banana etc.) using concept-specific instructions, as in POPE (bottom left), does not produce the same hallucinations as using concept-neutral instructions (right). We highlight the Type I hallucinations in orange. CHAIR relies on exact text matching to a fixed set of objects and synonyms, thus incorrectly labels "customers" and "shoppers" as hallucinations, highlighted in red. THRONE is designed for the rich vocabulary and the free-form generations of modern LVLMs by harnessing LMs to establish object existence. By using an LM to pass judgement, our evaluation correctly captures "customers" and "shoppers" as hypothetical content in the free-form generation.

nores the recall of ground-truth objects. In Sec. 5.5, we describe quantitative evaluations, using a human oracle, which demonstrate THRONE *halves* the rate of hallucination misjudgement in CHAIR. See Sec. 2 and the Supplementary Material for more details on CHAIR and its shortcomings. Fig. 4 shows an overview of the three aforementioned methods: POPE, CHAIR and our method, THRONE.

# 3.1 Evaluating Hallucinations with THRONE

To address these limitations, we propose a framework, THRONE, shown in Fig. 1, to evaluate the prevalence of Type I hallucinations in LVLM responses conditioned on an image and a neutral text prompt.

For each image in a labeled dataset,  $\mathcal{I}$ , addressing a set of classes, C, the LVLM is gueried with the same instruction: Describe this image in detail., regardless of image content. The LVLM response, which is expected to be long free-form text containing an image description, is generated and stored. Next, a publicly available, open-source, external language model (LM) performs abstractive question answering (AQA) using the LVLM response as context and a question of the form: Please answer yes or no. there  $\{a/an\}$  {object class name} in this image? Or similar, for every class in C (right side of Fig. 1). By selecting an appropriate LM and using a simple prompt template (see Sec. 4 for specific details), we ensure the AQA response is either yes or no-our method does not require any additional parsing. This is in contrast to other works which require added parsing or interpretation by a closedsource model.

After performing AQA on each response generated by the LVLM for every class in C, we obtain an array of pre-

dicted labels:

$$\hat{\mathbf{Y}} \in \{0, 1\}^{|\mathcal{I}| \times |\mathcal{C}|} \tag{1}$$

where 0/1 indicates a negative/positive existence *judgement* by the LM with respect to the relevant LVLM response.

Similarly using the ground-truth data for  $\mathcal{I}$ , an array of ground-truth labels can be constructed:

$$\mathbf{Y} \in \{0, 1\}^{|\mathcal{I}| \times |\mathcal{C}|} \tag{2}$$

Using these two arrays, we calculate four metrics: (1) Overall Precision,  $P_{\rm ALL}$ ; (2) Overall Recall,  $R_{\rm ALL}$ ; (3) Class-wise Precision,  $P_{\rm CLS}$ ; and (4) Class-wise Recall,  $R_{\rm CLS}$ . Overall metrics are calculated in a class-agnostic manner. Class-wise metrics are calculated in a class-conscious manner by computing precision and recall for each category separately and then averaging. This follows common practice in object detection and instance segmentation [10, 27].

False positives in LVLMs reduce precision and are dominated by hallucinations—precision indicates the extent of Type I hallucinations in LVLM responses. The recall metrics inform the level of class coverage by an LVLM when producing image descriptions. The class-wise metrics give a general measure of performance as the overall metrics are skewed towards the most common categories. A common way to combine precision, P, and recall, R, metrics is through the generalized F score,  $F_{\beta}$ :

$$F^{\beta} = (1 + \beta^2) \cdot \frac{P \cdot R}{(\beta^2 \cdot P) + R} \tag{3}$$

Prior work such as POPE [26], use the common balanced F-score (or  $F^1$ -score) which equally weights precision and

recall. However, given that THRONE is concerned with measuring hallucinations and is therefore particularly interested in precision, we choose  $\beta=0.5$  or the  $F^{0.5}$ -score, thus weighing precision twice as important as recall. The  $F^{0.5}$ -score is commonly used in pandemic misinformation filters [37], recommender systems [34], active stock selection [41] and other areas where false positives are costlier than false negatives. From this we can calculate the overall and class-wise  $F^{0.5}$ -scores,  $F^{0.5}_{\rm ALL}$  and  $F^{0.5}_{\rm CLS}$ , respectively. To mitigate against class imbalance issues, we use  $F^{0.5}_{\rm CLS}$  as the principle metric of comparison between LVLMs in THRONE.

# 3.2 Ensuring Robustness via Ensembling

Any LM used for AQA in THRONE may misjudge Type II hallucinations—no LM is perfect. Fig. 5 (top) shows two different variants from the same model family (FLAN-T5 [33]), yielding opposite responses when prompted with the same response and question. Moreover, an LM may yield different answers to semantically identical questions, despite conditioning on the same response—shown in Fig. 5 (bottom). To ensure THRONE is robust to spurious performance by any one LM, we ensemble various LMs and semantically equivalent question formats. The use of N distinct LMs and M distinct question formats yields NM answers for each (LVLM response, class) combination. This set of NM answers is combined based on equal voting by each (LM, question) pair to "elect" the predicted answer. Continuing the notation from Eq. (1), stacking predictions from each (LM, question) pair yields a 3D array of predicted labels:  $\bar{\mathbf{Y}} \in \{0,1\}^{|\mathcal{I}_{\text{OURS}}| \times |\mathcal{C}_{\text{OURS}}| \times NM}$ . To combine the answers from each (LM, question) pair via voting, we require agreement between at least k answers. Where sufficient agreement does not exist we introduce an "ignore" label. Mathematically, the elements in  $\hat{\mathbf{Y}}$  (from Eq. (1)) are calculated as:

$$\hat{Y}_{i,j} = \begin{cases} 0, & \sum_{k=1}^{NM} \bar{Y}_{i,j,k} \le (NM - k) \\ 1, & \sum_{k=1}^{NM} \bar{Y}_{i,j,k} \ge k \\ -1, & \text{otherwise} \end{cases}$$

where an "ignore" label exists in  $\overline{\mathbf{Y}}$ , it is removed from the calculations of  $P_{\rm ALL}$ ,  $R_{\rm ALL}$ ,  $P_{\rm CLS}$ , and  $R_{\rm CLS}$ , as we cannot be confident in the AQA process for that particular (LVLM response, class) combination. The choice of k reflects the desired level of confidence. We make use of a *unanimous* voting mechanism (k=NM)—use the prediction only if all (LM, question) pairs agree, otherwise ignore. Human evaluation of our benchmark and choice of voting mechanism is found in the Supplementary Material. Once we have applied this voting mechanism, we can calculate the metrics described at the end of Sec. 3.1.



Figure 5. **AQA Ensembling in Evaluation:** Using different LMs or different prompts when running AQA on LVLM generated responses can produce opposing answers to *identical prompts* or *identical LMs*. To ensure THRONE is robust to this, we ensemble *multiple LMs* and *multiple prompts* in our evaluation pipeline.

# 4 Implementation

We provide details of our framework regarding the selection of public datasets, public LMs and LM prompts.

#### 4.1 Dataset

Any proper evaluation of object hallucinations (a type of false positive error) requires knowing, with certainty, which classes are absent in an image. In our benchmark, we use COCO [27] for a number of reasons: (1) its annotations of 80 categories are exhaustive *at an image level* (imagelevel recall≈99% [27])—if there are many book instances in a COCO image, at least one is annotated with bounding boxes; (2) many LVLMs are partly trained on COCO data and so should be familiar with the set of categories; (3) its images generally contain complex scenes suitable for generating long free-form descriptions unlike image recognition datasets like ImageNet [8].

We utilize the validation set of COCO 2017, which contains  $|\mathcal{I}|=5000$  images and  $|\mathcal{C}|=80$  categories. Using the single LVLM text prompt Describe this image in detail., we generate 5000 responses. As we query each LVLM response for each category in  $\mathcal{C}$ , a single LM performs AQA  $|\mathcal{I}| \times |\mathcal{C}| = 400$ k times across the LVLM responses—one instance of AQA per (image response, class) pair. In Sec. 5.3, we also present results using Objects365 [42] which is rarely used in LVLM training.

# 4.2 Language Models

To assess Type I hallucinations in an LVLM response using THRONE, we require a language model (LM) which can answer questions on the existence of object categories based on the LVLM response. MMBench [32] makes use of a ChatGPT model to identify multiple choice answer selections and still reports mistakes. In our experience, some LMs give rather incoherent judgements when used to assess hallucinations when the prompt is changed (see supplemental material). Therefore for THRONE, we choose FLAN-T5 models [33, 39]. We make this choice because FLAN-T5 model family: (1) have undergone instruction tuning with thousands of tasks [33]; (2) are open-source and

Model		$P_{\mathrm{ALL}}$	$R_{\mathrm{ALL}}$	$F_{\mathrm{ALL}}^{1}$	$F_{ m ALL}^{0.5}$	$P_{\mathrm{CLS}}$	$R_{\text{CLS}}$	$F^1_{\mathrm{CLS}}$	$F_{\mathrm{CLS}}^{0.5}$
Adapter-v2 [15]	514	63.6	73.3	68.1	65.3	68.2	70.6	69.4	68.7
Adapter-v2.1 [15]	512	63.8	73.7	68.4	65.5	67.4	71.2	69.3	68.1
InstructBLIP [7]	525	70.8	74.3	72.5	71.5	77.2	71.9	74.5	76.1
Otter-Image [23]	257	33.0	31.2	32.1	32.7	25.2	16.9	20.2	22.9
MiniGPT4 [54]	473	81.7	59.8	69.0	76.1	79.9	61.8	69.7	75.5
MiniGPT-v2 [6]	381	79.0	66.6	72.3	76.2	77.6	67.0	71.9	75.2
mPLUG-Owl [48]	555	55.5	71.9	62.6	58.1	66.3	68.3	67.3	66.7
LRV-Instruction-v2 [28]	103	82.0	56.7	67.0	75.3	78.4	58.8	67.2	73.5
LLaVA-v1.3* [30]	532	80.5	65.2	72.1	76.9	79.9	65.3	71.9	76.5
LLaVA-v1.5 [29]	509	68.1	61.0	64.4	66.6	69.9	56.4	62.5	66.8
LLaVA-Mistral [19, 31]	524	86.8	71.8	78.3	83.6	84.4	64.2	70.8	77.5

Table 1. THRONE Results with COCO for a selection of instruction-tuned LVLMs. We select  $F_{\text{CLS}}^{0.5}$  as the principal metric for evaluation in our benchmark to balance across classes and to prioritize precision (which reflects the extent of hallucination) over recall. Best and second-best performance are denoted by blue and red, respectively. \*Our implementation using official code to enable fair comparison. L corresponds to the median response length (measured in # of characters).

therefore accessible to the community; (3) can fit locally on a single GPU for the models we consider; (4) follow user's instruction to only respond yes or no; and (5) are optimized for use in the free and public Text-Generation-Inference API [11] for acceleration. As described in Sec. 3.2, we utilize N LMs to ensure our method is robust. Specifically, we use N=3 variants of FLAN-T5, namely: FLAN-T5-Base (250M parameters), FLAN-T5-Large (780M parameters), and FLAN-T5-XL (3B parameters).

# 4.3 Prompt Ensembling

To guarantee each of these FLAN-T5 variants faithfully produce responses of either yes or no only during AQA, we use the following input template to each LM, reflecting the format used when training FLAN-T5<sup>1</sup>:

{LVLM Response} Read the text about an image and answer the question. Question: Please answer yes or no.  ${Question}$ 

#### We use M=3 semantically identical questions:

- Is there a/an {class\_name} in this image? Does the text imply a/an {class\_name} is in the
- Does the text explicitly mention a/an {class\_name} is in the image?

As outlined in Sec. 3.2, we use a unanimous voting mechanism to combine the answers from each (LM, question) pair and so  $k = 3 \times 3 = 9$ .

# **Evaluation Results**

In this section, we: (1) outline our LVLM selection and reasoning; (2) present THRONE on COCO for evaluating Type I hallucinations; (3) extend THRONE to Objects365 (containing a larger vocabulary); (4) analyze and extend POPE to enable improved evaluation of Type II hallucinations; and (5) highlight results from our ablation studies found in the Supplemental Material.

#### 5.1 Models

For fair comparison between existing LVLMs, each publicly available model we evaluate uses an LLM with  $\sim$ 7B parameters. The LVLMs generally have different sized image encoders–e.g. LLaVA [30] uses a CLIP ViT-L/14 [9, 38] with an input resolution of  $336 \times 336$ , while Instruct-BLIP [7] uses a ViT-g/14 [50] trained with EVA [13] and an input resolution of  $224 \times 224$ . Note that image encoder size and resolution is not something we can easily control in a pre-trained model. Each model we consider contains instruction tuning in the final training phase—instruction tuned models provide free-form descriptions; THRONE focuses on models that can generate free-form descriptions. E.g. we leave out BLIP-2 [25] (response median length 31 characters) in favor of InstructBLIP (median response length 525).

# **5.2** THRONE **Results on COCO**

Results are shown in Tab. 1. The principal metric that we use to judge model performance is the classwise  $F^{0.5}$ -score (highlighted gray). We also report all the metrics outlined in Sec. 3.1,  $(P, R, F^1, F^{0.5})$  for overall (left) and classwise averaging (right), utilizing the unanimous voting presented in Sec. 3.2. See the Supplementary Material for results and an analysis of different voting mechanisms. These results demonstrate that improvements on other benchmarks (POPE, MME, MMBench etc.) may be orthogonal and potentially at odds with improved performance on THRONE. Using the results of the 11 LVLMs that we evaluate, THRONE and POPE, which measure Type I and Type II hallucinations, respectively, have a Spearman's rank correlation coefficient of just 0.2, and THRONE vs POPE-C has just 0.4—the relationship between performance on POPE and THRONE on the same dataset is far from monotonic. For class-wise precision— $P_{CLS}$ , the best performing models hallucinate  $\sim 20\%$  of the objects. We show in the Supplementary Material that the vast majority of false positive objects in the free-form image descriptions evaluated are direct hallucinations rather than misclassifications of visually similar objects (e.g. mistaking a squash racket for a tennis racket). These results demonstrate that much work still remains to adequately suppress Type I hallucinations in LVLMs.

#### THRONE Results on Objects365 5.3

Many LVLMs train on COCO directly or indirectly, thus to demonstrate generality we apply THRONE to the Objects365 dataset [42]. Like COCO, Objects365 aims to be exhaustive in its image-level class labeling (it aims to label at least one instance for each class present), but it has a larger object vocabulary and is not used as training data for the LVLMs that we evaluate. To gather a manageable subset of the Objects 365 validation set (80k images), we use the natural sampling algorithm from [21], resulting in 5110 im-

https://tinyurl.com/5n6nexze

Model	$P_{ m ALL}$	$R_{\mathrm{ALL}}$	$F^1_{ m ALL}$	$F_{ m ALL}^{0.5}$	$P_{\text{CLS}}$	$R_{\text{CLS}}$	$F^1_{\mathrm{CLS}}$	$F_{\mathrm{CLS}}^{0.5}$
Adapter-v2 [15]	46.7	33.9	39.3	43.4	48.9	28.5	36.0	42.8
Adapter-v2.1 [15]	46.8	34.0	39.4	43.5	48.8	28.8	36.2	42.8
InstructBLIP [7]	54.5	37.2	44.2	49.8	53.7	33.6	41.3	48.0
Otter-Image [23]	21.4	12.7	16.0	18.8	9.5	4.4	6.0	7.7
MiniGPT4 [54]	53.0	32.9	40.6	47.2	49.9	31.9	39.0	44.9
MiniGPT-v2 [6]	54.5	36.0	43.4	49.4	51.3	34.6	41.3	46.8
mPLUG-Owl [48]	43.7	33.4	37.8	41.2	48.2	29.0	36.2	42.6
LRV-Instruction-v2 [28]	57.5	26.7	36.5	46.7	51.4	26.6	35.1	43.3
LLaVA-v1.3* [30]	57.6	32.9	41.9	50.1	52.6	30.5	38.6	45.9
LLaVA-v1.5 [29]	54.0	39.5	45.6	50.3	53.9	34.3	41.9	48.4
LLaVA-Mistral [19, 31]	58.3	39.1	46.9	53.1	57.8	35.9	44.3	51.5

Table 2. **THRONE Evaluation with Objects365.** Evaluation results for a selection of instruction-tuned LVLMs, we use a subset of Objects365 for the THRONE evaluation. Best and second-best performance are denoted by **blue** and *red*, respectively. \*Our implementation using official code to enable fair comparison.

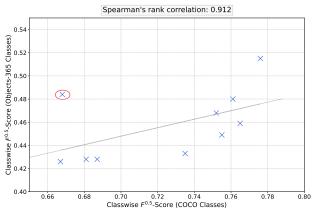


Figure 6. Comparing THRONE on COCO and Objects365. We observe that despite variations in the data distribution, THRONE metrics, which measure Type I hallucinations generalize and have a strong Spearman's rank correlation of r=0.900. One model (red circle) designated as an outlier and ignored when calculating ranking correlation.

ages (the COCO validation set has 5k images). We present the results for THRONE on Objects365 in Tab. 2. Figure 6 shows the strong correlation in THRONE performance between evaluating on COCO and Objects365. This demonstrates that measuring Type I hallucinations in an LVLM using THRONE with a relatively small dataset like COCO, is indeed indicative of the intrinsic level of Type I hallucination in a given LVLM.

### 5.4 Completing POPE for Type II Hallucinations

Our experiments have used THRONE to evaluate the prevalence of Type I hallucinations in LVLM responses on COCO. POPE evaluates Type II hallucinations on COCO, but we find POPE is largely incomplete. First, POPE only evaluates on 500 COCO validation set images. Second, for each image only a subset of classes (at most 12) are evaluated—each image is only queried with 15% of possible questions. Finally, POPE artificially balances evaluation questions between positives and negatives, despite object class existence in images being inherently imbalanced.

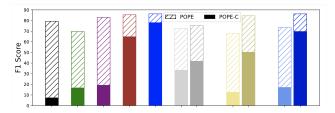


Figure 7. **Instability of POPE to complete evaluation of Type II Hallucinations.** Extending POPE to an exhaustive analysis on all COCO images and classes (POPE-C) leads to a dramatic reduction in performance across all 11 models. POPE is sensitive to the sampling mechanism, and by undersampling negative classes, POPE severely underestimates Type II hallucinations in LVLMs.

The above reasons make the evaluation of Type II hallucinations using POPE insufficient (see Sec. 2 for more details on POPE). To correct this, we complete POPE by using all images to exhaustively query each LVLM for every class in the COCO vocabulary, as done in THRONE. We name this POPE-Complete (POPE-C). Fig. 7 shows the extreme difference in evaluation between POPE and our exhaustive version, POPE-C—reporting  $F^1$ -score (POPE does not utilize  $F^{0.5}$ -score). As POPE only evaluates at most 9 negative classes, only a small subset of potential hallucinations of COCO classes are evaluated, thereby heavily underestimating the extent of Type II hallucination. For each LVLM analyzed, we observe a large—in many cases an extreme—reduction in precision and therefore in F1-score.

Our evaluation contains three pairs of LVLMs in which one is the follow-up work to the other, where each follow-up work generally trains on more data for more tasks with a more advanced language model. Comparing the right hand side of Fig. 7 to Tab. 1, we observe that these follow-up works generally show an improvement in POPE (and POPE-C), but surprisingly indicate a small reduction in performance on THRONE with COCO. This observation suggests that progress in reducing Type II hallucinations can be orthogonal to reducing Type I hallucinations.

# 5.5 Ablations

In the Supplementary Material we present three key ablation experiments and give an executive summary of results here.

First, after subsampling COCO images and LVLM responses, we replace the LMs in THRONE with human judgement as an oracle for Type I hallucination occurrence. When comparing THRONE and CHAIR with human judgements, we estimate using THRONE improves the precision of judging Type I hallucinations to 96% versus 91% when using CHAIR—this *reduces the false discovery rate by more than 50%*. Note that we find most estimated errors in THRONE arise from the particular class definitions in COCO, *e.g.* the COCO class to includes computer monitors, which the oracle judgement is aware of.

Second, we apply the same class (and image) sampling

strategy as in POPE to THRONE and show this sampling overestimates  $F_{\rm CLS}^{0.5}$  by an average of 12.3 points compared to the complete use of classes in THRONE (Tab. 1).

Finally, we vary the choice of k *i.e.* the voting mechanism used to combine answers from multiple (LM, question) pairs. We use the *unanimous* voting mechanism (k = 9) in THRONE to minimize the false discovery rate and find the valid alternatives of *simple majority* (k = 5) or *all-but-one* (k = 8) voting mechanisms have strong correlations and rank correlations across all compute metrics, in THRONE, of > 0.99 and > 0.94, respectively.

# **6** Improved Baselines

Much needs to be done to study Type I hallucinations. As a first step to their mitigation, we demonstrate a baseline method to augment the visual instruction tuning data for LLaVA models [29, 30], yielding improvements on THRONE while maintaining similar performance regarding Type II hallucinations on POPE.

# 6.1 Visual Instruction Tuning Data Augmentation

Similar to chain-of-thought learning [46], during instruction tuning, we augment all visual instruction tuning samples constructed by LLaVA [30] by prepending the task of enumerating a list of objects (present and absent) and indicating approximate locations, if applicable. Other than this, the LLaVA data and training pipeline remains unchanged. To generate the new data for this object enumeration task, we use the same COCO bounding box annotations used to generate the vision instruction tuning data in LLaVA. The simple text-only format (no special tokens) we use is:

where location\_i is a plain text indicator representing the location of the center point of the relevant object in the image on a  $3 \times 3$  grid (e.g. bottom left). To provide negatives in the training data, if class\_name\_i is not present, we use the plain text indicator absent. Prior work [53] shows that classes that frequently co-occur in the training data are the most common hallucinations, therefore we bias our negative sampling towards class pairs that frequently co-occur using a correlation matrix. We detail and ablate this choice in the Supplementary Material.

# **6.2** Improved Baseline Results

Tab. 3 shows the result of evaluating our improved baseline method on THRONE, POPE, and POPE-C. During inference, we approximate our training data augmentation by first prompting the LVLM to perform the object enumeration tasks *and then* generating a response to the prompt from the relevant benchmark. We additionally show results of utilizing VisualGenome bounding box annotations [20] on the

M- 4-1	Object	THRONE				POPE		POPE-C		
Model Enumeration Data		$P_{\text{CLS}}$	$R_{\mathrm{CLS}}$	$F_{\mathrm{CLS}}^{0.5}$	P	R	$F^1$	P	R	$F^1$
	X	79.9	65.3	76.5	58.0	98.4	73.0	7.7	99.2	14.3
LLaVA-v1.3		83.2	68.8	79.9						
	COCO + VG	86.2	67.0	81.5	83.0	82.5	82.8	13.8	50.4	21.7
	×	69.9	56.4	66.8						
LLaVA-v1.5		87.2	76.6	84.9						
	COCO + VG	86.1	<b>77.0</b>	84.1	89.8	83.7	86.7	64.5	86.1	73.7

Table 3. Improved Baseline via Object Enumeration: Adding our object enumeration task to LLaVA training and inference leads to large improvements on THRONE particularly in terms of classwise precision,  $P_{\rm CLS}$ , over standard LLaVA models, demonstrating a reduction in Type I hallucinations, as well as small reductions in Type II hallucinations judged by POPE and POPE-C.

COCO images where available. On THRONE, we observe a large increase in classwise precision and therefore  $F_{\rm CLS}^{0.5}$ , particularly for LLaVA-v1.5, demonstrating the ability of our method to reduce Type I hallucinations. Moreover, on POPE and POPE-C, using our object enumeration yields small improvements in precision, indicating reduced Type II hallucinations as well. In the Supplementary Material, we ablate the sampling of negatives during object enumeration training and the effect of removing the object enumeration task during inference.

#### 7 Conclusion

We establish a novel benchmark, THRONE, for evaluating hallucinations generated by LVLMs in free-form image descriptions i.e. Type I hallucinations. Our benchmark utilizes multiple LMs and prompt formats with a simple voting mechanism to yield an accurate evaluation of Type I hallucinations in LVLM responses. We ensure that THRONE is broadly accessible by utilizing opensource LMs capable of running on a single commercial GPU. Using THRONE, we benchmark 11 publicly available LVLMs on two datasets, COCO and Objects365, and demonstrate that limited progress has been made in addressing Type I hallucinations. Moreover, we show how the established benchmark, POPE, underestimates Type II hallucinations, which occur in response to specific questions e.g. yes-no questions. We present results for a completed version (POPE-C) to enable a comparison of Type I hallucinations through THRONE and Type II hallucinations using POPE-C. Finally, we propose a simple data augmentation for LVLM training that can result in a large reduction in Type I hallucinations whilst maintaining or improving Type II hallucination performance.

Limitations and Ethical Considerations are discussed in the Supplementary Material.

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