# Supplementary material for 'RepKPU: Point Cloud Upsampling with Kernel Point Representation and Deformation'



Figure 1. (a) Details of dense block (DB), where VA indicates vector attention mechanism [11]. (b) Details of our encoder.

## A. Encoder

We show the complete details of our encoder in Figure 1. There are no downsampling operations in the encoder. The process of vector attention mechanisms [11] is as follows: Given coordinates  $\mathcal{P} \in \mathbb{R}^{N \times 3}$  and current features  $\mathcal{F}_a \in \mathbb{R}^{N \times C_e}$ , we first adopt three linear layers to project  $\mathcal{F}_a$  to  $\mathcal{Q} \in \mathbb{R}^{N \times C_e}$ ,  $\mathcal{K} \in \mathbb{R}^{N \times C_e}$ , and  $\mathcal{V} \in \mathbb{R}^{N \times C_e}$ , respectively. Then we conduct feature aggregation like:

$$\mathbf{a}_{ij} = \underset{C_e \to C_e}{\operatorname{MLP}} (\mathbf{q}_i - \mathbf{k}_j) + \underset{3 \to C_e}{\operatorname{MLP}} (\mathbf{p}_i - \mathbf{p}_j), j \in \operatorname{knn}(\mathbf{p}_i),$$
(1)

$$\mathbf{f}_{i}^{'} = \underset{C_{e} \to C_{e}}{\operatorname{Conv}} \left( \sum_{j \in \operatorname{knn}(\mathbf{p}_{i})} \frac{\exp(\mathbf{a}_{ij})}{\sum_{j \in \operatorname{knn}(\mathbf{p}_{i})} \exp(\mathbf{a}_{ij})} \odot \mathbf{v}_{j} \right) + \mathbf{f}_{i},$$
(2)

where,  $\mathbf{q}_i \in \mathcal{Q}$ ,  $\mathbf{k}_j \in \mathcal{K}$ ,  $\mathbf{v}_j \in \mathcal{V}$ ,  $\mathbf{p}_i \in \mathcal{P}$ , and  $\mathbf{f}_i \in \mathcal{F}_a$ . Conv indicates a linear projection.  $\mathcal{F}_b = {\mathbf{f}'_i}$  is the output of VA operation. All the addition, division, and multiplication operations are element-wise.

We replace vector attention mechanisms with graph convolutions [8] to build another encoder. Instead of using knn searching in the feature space, we use it in the geometric space:

$$\mathbf{f}_{i}^{'} = \underset{C_{e} \to C_{e}}{\operatorname{Conv}} \left( \underset{j \in \operatorname{knn}(\mathbf{p}_{i})}{\operatorname{MaxPooling}} \underset{2C_{e} \to C_{e}}{\operatorname{MLP}} \left( \operatorname{cat}(\mathbf{f}_{i}, \mathbf{f}_{j} - \mathbf{f}_{i}) \right) \right) + \mathbf{f}_{i}.$$
(3)

We also construct a variant of the encoder based on Set

Abstraction [4]:

$$\mathbf{f}_{i}^{\prime} = \operatorname{Conv}_{C_{e} \to C_{e}} (\operatorname{MaxPooling}_{j \in \operatorname{knn}(\mathbf{p}_{i})} \operatorname{MLP}_{C_{e}+3 \to C_{e}} (\operatorname{cat}(\mathbf{f}_{j}, \mathbf{p}_{j} - \mathbf{p}_{i}))) + \mathbf{f}_{i}.$$
(4)

# **B.** Cross-attention Transformer

Before feeding  $\mathcal{F}_q$  and  $\mathcal{F}_k$  into transformer, we first project them to  $\mathcal{F}_{q'} \in \mathbb{R}^{N_q \times C_d}$  and  $\mathcal{F}_{k'} \in \mathbb{R}^{N_k \times C_d}$  with two linear layers. We define the input query vectors of the *i*-th crossattention layer as  $\mathcal{F}_q^i$ . Note that  $\mathcal{F}_q^0 = \mathcal{F}_{q'}$ . Following [7], we then obtain  $\mathcal{Q}_i, \mathcal{K}_i$ , and  $\mathcal{V}_i$  via linear projection layers.

$$\mathcal{Q}_i, \mathcal{K}_i, \mathcal{V}_i = \operatorname{Conv}_q^i(\mathcal{F}_q^i), \operatorname{Conv}_k^i(\mathcal{F}_{k'}), \operatorname{Conv}_v^i(\mathcal{F}_{k'}).$$
(5)  
$$C_d \to C_d$$

Next, features are aggregated in a multi-head manner:

$$\mathcal{A}^{i} = \operatorname{cat}(\mathcal{A}_{0}^{i}, \mathcal{A}_{1}^{i}, ..., \mathcal{A}_{h-1}^{i}), \mathcal{A}_{j}^{i} = \operatorname{Attention}(\mathcal{Q}_{ij}, \mathcal{K}_{ij}, \mathcal{V}_{ij}),$$
(6)

here, h indicates the number of head, and we set h = 4. Finally, with residual connections and FFN (*i.e.*, MLP), the *i*-th cross-attention layer outputs  $\mathcal{F}_q^{i+1}$ :

$$\mathcal{B}^{i} = \mathcal{A}^{i} + \mathcal{F}_{q}^{i}, \mathcal{F}_{q}^{i+1} = \underset{C_{d} \to C_{d}}{\operatorname{FFN}}(\mathcal{B}^{i}) + \mathcal{B}^{i}.$$
(7)

After passing through transformer, KP-Queries are converted into displacement features  $\mathcal{F}_d$ , where  $\mathcal{F}_d = \mathcal{F}_q^3$ .

## **C.** Loss Function

Given ground-truth point cloud  $\mathcal{P}_{gt} \in \mathbb{R}^{N_{gt} \times 3}$  and upsampled point cloud  $\mathcal{P}_u \in \mathbb{R}^{N_u \times 3}$ , the CD loss  $(\mathcal{L}_{cd})$  is formulated as:

$$\mathcal{L}_{cd} = \frac{1}{N_u} \sum_{a \in \mathcal{P}_u} \min_{b \in \mathcal{P}_{gt}} \|a - b\| + \frac{1}{N_{gt}} \sum_{b \in \mathcal{P}_{gt}} \min_{a \in \mathcal{P}_u} \|b - a\|.$$
(8)

For each center point  $\mathbf{p} \in \mathcal{P}$ , we will search for its local positions  $\mathcal{P}_r$  and subsequently get the positions of RepK-Points  $\mathcal{P}_k$ . If unrestricted, the positions of RepKPoints will be pulled away from the input points, and the model subsequently cannot perceive any geometry. The same phenomenon can also be seen in KPConv [6]. To alleviate this

issue, we use the fitting loss  $(\mathcal{L}_{fit})$  to enforce kernel points to fit the local region:

$$\mathcal{L}_{fit} = \alpha \times \frac{1}{N} \sum_{\mathbf{p} \in \mathcal{P}} \sum_{\mathbf{p}_k \in \mathcal{P}_k} \min_{\mathbf{p}_r \in \mathcal{P}_r} \left( \frac{\|\mathbf{p}_k - (\mathbf{p}_r - \mathbf{p})\|}{\sigma} \right)^2.$$
(9)

Additionally, we use repulsive loss  $(\mathcal{L}_{rep})$  to avoid kernel points' receptive areas overlapping:

$$\mathcal{L}_{rep} = \frac{\beta}{N \times N_k \times (N_k - 1)} \sum_{\mathbf{p} \in \mathcal{P}} \sum_{\mathbf{p}_k^i \in \mathcal{P}_k} \sum_{i \neq j} \max\left(0, 1 - \frac{\|\mathbf{p}_k^i - \mathbf{p}_k^j\|}{\sigma}\right)^2.$$
(10)

 $\mathcal{L}_{rep}$  and  $\mathcal{L}_{fit}$  facilitate model optimization, but they also harm the flexibility of RepKPoints. To strike a balance, we set coefficients  $\alpha$  and  $\beta$  to 0.1.

# **D.** Robusteness Test

We report detailed quantitative results of the robustness test in Table 1, which corresponds to Table 3 in the main paper.



Figure 2. Impacts of the kernel point number in terms of Chamfer Distance ( $\times 10^3$ ) on PU1K dataset.

## E. Supplemental Ablation study

We show the impacts of kernel point number in RepKPoints (*i.e.*,  $N_k$ ) in Figure 2. According to the results, we set  $N_k$  to 15 to achieve the best performance.

# F. Parameters and Latency

We report the numbers of parameters, training-time memory usage, and inference speeds (in terms of latency) in Table 2. It is well known that latency and training-time memory are not proportionate to the number of parameters. All models in this table was trained on a single Nvidia 1080Ti with a batch size of 32, our model takes significantly less memory. The inference speed was evaluated on a single Nvidia 1080Ti with a batch size of 1.

# **G. More Visual Results**

As discussed in the experimental section of the main paper, visual results have the same importance as quantitative results. Therefore, we provide more visual results in the supplementary material. Figure 3 shows the upsampling results at three different input resolutions and patterns on the robustness test dataset. Figure 4 shows the visual results of arbitrary-scale upsampling compared with Grad-PU. We also select several shapes of PU1K dataset and show them in Figure 5.

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		U	niform inp	outs	1	Noisy inpu	its	R	andom inp	outs	No	isy + Ran	dom
Input resolution	Methods	$\underset{\times 10^{3}}{\text{CD}}$	$\substack{\text{HD}\\ \times 10^3}$	$\begin{array}{c} \text{P2F} \\ \times 10^3 \end{array}$	$\underset{\times 10^{3}}{\text{CD}}$	$\substack{\text{HD}\\ \times 10^3}$	$\begin{array}{c} \mathrm{P2F} \\ \times 10^3 \end{array}$	$\begin{array}{c} \text{CD} \\ \times 10^3 \end{array}$	$\substack{\text{HD}\\ \times 10^3}$	$\begin{array}{c} \mathrm{P2F} \\ \times 10^3 \end{array}$	$\begin{array}{c} \text{CD} \\ \times 10^3 \end{array}$	$\substack{\text{HD}\\ \times 10^3}$	$\begin{array}{c} \mathrm{P2F} \\ \times 10^3 \end{array}$
	PU-Net [10]	0.444	3.931	4.578	0.579	5.980	9.769	0.439	4.957	4.117	0.632	7.046	9.636
	MPU [9]	0.280	3.910	2.842	0.445	6.750	7.166	0.324	4.993	3.021	0.497	6.725	7.529
	PU-GAN [2]	0.260	4.707	1.991	0.514	7.621	9.063	0.256	4.528	2.314	0.541	7.541	9.433
2048 points	Dis-PU [3]	0.264	4.411	2.020	0.418	6.964	6.729	0.278	3.773	2.172	0.464	5.796	8.081
	PU-GCN [5]	0.278	3.579	2.549	0.435	5.121	7.076	0.316	4.201	2.820	0.471	6.000	7.407
	Grad-PU [1]	0.264	2.623	1.982	0.440	4.393	6.439	0.480	6.285	2.119	0.601	7.223	6.445
	RepKPU	0.248	2.880	1.906	0.404	4.817	<u>6.721</u>	0.268	<u>3.850</u>	<u>2.147</u>	0.449	<u>5.892</u>	7.020
1024 points	PU-Net [10]	0.933	7.648	7.252	1.007	9.042	11.401	0.785	8.572	6.461	1.013	10.807	10.890
	MPU [9]	0.597	5.705	4.502	0.742	7.870	7.912	0.666	9.922	4.770	0.900	11.032	8.380
	PU-GAN [2]	0.569	6.259	3.448	0.834	8.858	9.778	0.580	8.994	3.973	0.883	11.926	10.480
	Dis-PU [3]	0.548	5.642	3.355	0.679	7.508	6.999	0.603	8.778	3.699	<u>0.783</u>	10.237	7.373
	PU-GCN [5]	0.595	5.277	4.133	0.731	7.272	7.719	0.680	8.198	4.555	0.885	10.275	8.111
	Grad-PU [1]	0.563	4.989	3.349	0.705	6.496	6.887	0.947	11.583	3.597	1.051	12.657	6.887
	RepKPU	0.539	5.086	3.178	0.679	7.156	6.857	0.594	7.706	3.520	0.770	9.786	7.095
	PU-Net [10]	1.792	13.327	11.881	1.769	12.904	14.660	1.722	15.610	10.122	1.973	18.786	13.512
512 points	MPU [9]	1.221	11.512	7.197	1.295	13.140	9.721	1.475	15.278	7.446	1.622	18.720	10.286
	PU-GAN [2]	1.176	10.839	6.114	1.388	13.296	11.405	1.202	14.816	6.708	1.547	18.487	12.318
	Dis-PU [3]	1.073	10.671	5.707	<u>1.190</u>	12.627	8.464	1.223	<u>13.272</u>	6.056	<u>1.419</u>	17.017	8.963
	PU-GCN [5]	1.197	<u>9.179</u>	6.703	1.297	11.142	9.450	1.416	13.566	7.179	1.620	16.774	10.032
	Grad-PU [1]	<u>1.094</u>	8.707	5.464	1.256	9.945	8.117	1.946	19.889	5.889	2.048	20.559	8.310
	RepKPU	1.080	10.022	<u>5.476</u>	1.189	11.312	8.207	1.215	13.224	<u>5.966</u>	1.345	16.720	<u>8.585</u>
Average	PU-Net [10]	1.056	8.302	7.904	1.118	9.309	11.943	0.982	9.713	6.900	1.206	12.213	11.346
	MPU [9]	0.699	7.042	4.847	0.827	9.253	8.266	0.822	10.064	5.079	1.006	12.159	8.732
	PU-GAN [2]	0.668	7.268	3.851	0.912	9.925	10.082	0.679	9.446	4.332	0.990	12.651	10.744
	Dis-PU [3]	0.628	6.908	3.694	<u>0.762</u>	9.033	7.397	0.701	<u>8.608</u>	3.976	<u>0.889</u>	<u>11.017</u>	8.139
	PU-GCN [5]	0.690	6.012	4.462	0.821	7.845	8.082	0.804	8.655	4.851	0.992	11.016	8.517
	Grad-PU [1]	0.640	5.440	3.598	0.800	6.945	7.148	1.124	12.586	3.868	1.233	13.480	7.214
	RepKPU	0.622	<u>5.996</u>	3.520	0.757	7.762	7.262	0.692	8.260	<u>3.878</u>	0.855	10.799	7.567

Table 1. Detailed quantitative results of the robustness test. The best and second-best results are highlighted in bold and underline, respectively.

Table 2. The number of parameters, training memory usage, and latency.

Methods	Params kb	Training Memory $G$	Latency s
PU-Net [10]	814.3	7.596	0.314
MPU [9]	76.2	7.050	0.303
PU-GAN [2]	684.2	7.058	0.403
Dis-PU [3]	1047.0	7.060	0.579
PU-GCN [5]	76.0	7.562	0.317
Grad-PU [1]	67.1	7.144	0.306
RepKPU	1458.6	5.860	0.215

the IEEE/CVF international conference on computer vision, pages 16259–16268, 2021. 1



Figure 3. Visual results of robustness test. We show upsampling results at three different input resolutions (*i.e.*, 512, 1,024, 2,048). For three different resolutions, we select noisy, random, and noisy + random patterns, respectively.



Figure 4. Visual results of arbitrary-scale upsampling compared with Grad-PU. The upsampling rates are 5, 6, 7, 11, 15, and 19, respectively.



Figure 5. Visual results of RepKPU on PU1K dataset.