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# LightOctree: Lightweight 3D Spatially-Coherent Indoor Lighting Estimation

## Supplementary Material

#### **1. Details in Rendering Layer**

In this section, we delve into the rendering layer employed
 within our framework. Leveraging a multi-level octree for
 sparse illumination representation, we have devised a fast
 differentiable cone tracing method to render 2D panoramic
 environment maps from lighting octree.

1007 In classical volume rendering, we calculate the light radiation L(s) from a viewpoint x in direction  $\omega$  using the rendering equation (Equation 1). The radiance function is defined as the integral L(s) of transmittance T(t), density  $\sigma(t)$ , and radiance intensity  $L_e(t)$  over the path length s.

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$$L(s) = \int_{t=0}^{s} T(t)\sigma(t)L_e(t)\mathrm{d}t \tag{1}$$

To solve this equation, we discretize it with a sampling distance  $\delta_k$  between consecutive sampling points:

$$L(s) = \sum_{n=1}^{N} C_n T_n \left( 1 - e^{-\sigma_n \delta_n} \right), \quad T_n = e^{-\sum_{k=1}^{n-1} \sigma_k \delta_k}$$
(2)

We optimize the rendering process with cone tracing to
reduce samples and enhance sampling efficiency, inspired by
prior works [1, 3]. This approach also effectively integrates
multi-scale outputs from the lighting estimation network,
adapting to the characteristics of octree-based networks.

021Given the angle  $\theta$  of the cone, the sampling position  $s_n$ 022and sampling step distance  $\delta_n$  of each sampling point are023computed based on the distance traveled and the angle of024the previous point (Equation 3). This approach allows for025more frequent sampling of nearby light sources, enhancing026sensitivity to critical lighting information.

$$s_n = \sum_{k=0}^n \delta_k, \quad \delta_n = \begin{cases} c_0, & n=0\\ c \cdot s_{n-1} \tan \theta, & n \ge 1 \end{cases}$$
(3)

Then, by calculating the cone radius at the sampling point location, we sample octree nodes at depth  $d_n$ :

$$d_n = \lceil \log_2 \frac{\delta_n}{l_0} \rceil \tag{4}$$

where  $l_0$  illustrate the minimum side length of leaf node in the octree. Based on these processes, the new rendering equation can be formulated as equation 5.

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$$L(s) = \sum_{n=1}^{N} w_n C_n^{d_n} T_n \left( 1 - e^{-\sigma_n^{d_n} \delta_n} \right)$$
(5)

where  $C_n^{d_n}$  and  $\sigma_n^{d_n}$  represents the radiance and density of sampling point n at a depth of  $d_n$ . And  $w_n = \delta_n/s_n$  is the weight for each sampling point. 037

To ensure the differentiability of the above process, we derive the derivatives of the color and opacity with respect to the forward rendering process, as shown in equation 6, where  $E_n = 1 - e^{-\sigma_n^{d_n} \delta_n}$  to simplify the formula. 041

$$\frac{\partial L(\mathbf{x},\omega)}{\partial \sigma_t^{d_t}} = w_t C_t^{d_t} T_{t+1} \delta_t - \left[ L(\mathbf{x},\omega) - \sum_{n=1}^t w_n C_n^{d_n} T_n E_n \right] \delta_t$$
(6) 042

$$\frac{\partial L(\mathbf{x},\omega)}{\partial C_t^{d_t}} = \frac{\partial \left(\sum_{n=1}^N C_n^{d_n} T_n \left(1 - e^{-\sigma_n^{d_n} \delta_n}\right)\right)}{\partial C_t^{d_t}} = T_t E_t$$
(7) 044

The above equations describe how to calculate derivatives045during rendering. The derivatives of color can be computed046during the sampling process, while the derivatives of density047require the use of the final rendering results. Building upon048the derived formulas, we have implemented a differentiable049orenderer using Taichi[2], enabling rapid forward rendering050and gradient calculations.051

## 2. Details in Object Insertion

Given that common object representations are typically meshes, while point clouds are prevalent in AR applications, and our lighting representation employs a voxel octree structure, we've devised a fusion rendering method that seamlessly combines point clouds, meshes, and octrees.

#### 2.1. Scene Representation

Background Representation Differential rendering en-059 compasses the rendering of virtual objects and the shading 060 computation, which requires multiple environment lighting 061 queries. Each query involves casting a cone from the surface 062 position x into the environment to find radiance incident 063 from the ray direction (solid angle direction  $w_i$ ). We employ 064 a point cloud to represent the original scene, including depth 065 and color information from the viewpoint to the surfaces. 066 This point cloud is per-pixel, allowing us to skip ray colli-067 sions from the viewpoint to the scene surfaces and directly 068 use the projected depth from the point cloud as the position. 069 We utilize this information to determine the spatial relation-070 ship between the scene and virtual objects. We perform cone 071 tracing to illuminate the rendered virtual objects. 072

Virtual Object Representation To efficiently perform ray 073 intersections and integrate it into the Taichi framework, we 074

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normalizes the bounding boxes of virtual objects to the range
of 0 to 1. For each triangular face, a multi-level regular grid
space division is performed in this three-dimensional space,

078 which is managed using the SNode structure.

## 079 2.2. Differential Rendering

080 Due to the fact that virtual objects in the scene typically oc-081 cupy only a small portion, yet they may consist of thousands 082 or even tens of thousands of triangles, achieving real-time 083 rendering with three different data structures in AR applications requires a new approach to index lookup. During the 084 ray traversal through the bounding box, we can calculate the 085 index of the first intersected object grid based on the ray's 086 origin  $R_o$  and direction  $R_d$ , as shown below: 087

$$H_{min} = \max\left(\min\left(\frac{Box_{min} - R_o}{R_d}, \frac{Box_{max} - R_o}{R_d}\right)\right)$$
$$I_0 = floor\left(\frac{R_o + R_d H_{min} - Box_{min}}{Box_{size}}\right)$$
(8)

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089 where  $Box_{min}$  and  $Box_{max}$  represent the coordinates of 090 the bounding box's vertices, and  $Box_{size}$  represents the size 091 of the bounding box.

In subsequent tracing steps, the index of the next grid can be obtained based on the ray's current position  $R_t$  and normalized forward direction  $R'_d$  as follows:

$$R'_{t} = (1 - R_{t}) * (R'_{d} \ge 0) + R_{t} * (R'_{d} < 0)$$
  
$$I_{t+1} = I_{t} + G\left(\frac{R'_{t}}{R'_{d}}\right) * \operatorname{sign}(R'_{d})$$
(9)

096 where  $G(\cdot)$  calculates the axis with the smallest advancement in the axis directions. For example, if G((0.5, 0.2, 0.7)) =097 (0, 1, 0). During rendering, we emit a ray from the camera 098 viewpoint for each pixel on the screen and employ ray tracing 099 100 to trace it until it intersects with an object. Upon detecting an 101 intersection, we emit a cone of rays from the object's surface 102 in various directions based on the surface normal. This cone of rays is then traced and rendered using ray marching, with 103 the results obtained from volume rendering serving as the 104 illumination for surface shading. Same to the rendering layer, 105 we begin with a minimum step size  $\delta_{min}$  and later perform 106 107 long-distance mip-map sampling based on the cone's radius.

As the scene is managed using an octree, obtaining the 108 voxel index directly from the sampling point's position is 109 not feasible. Therefore, we trace the voxel recursively based 110 111 on the current sampling point's location within the volume. To improve performance, we utilize a shared recursive stack 112 for all cone rays, modifying the sampling order based on 113 the ray's direction. Instead of clearing the stack after each 114 sampling, we continue the recursion in the next sampling, en-115 116 hancing efficiency. Once we determine the sampling point's 117 position and its associated node, we perform trilinear interpolation using the values within the node block, accumulate 118 the results along the ray and apply for shading. 119

## 3. Details in Dataset Construction

We train our model using photorealistic renderings of in-121 door scenes from the FutureHouse synthetic dataset[4]. This 122 dataset consists of artist-designed indoor panoramas with 123 high-quality geometry and HDR environment maps. We can 124 extract photographs from this dataset to obtain input/output 125 pairs for training. It is worth mentioning that we chose to 126 use panorama datasets instead of InteriorNet dataset used 127 by [5] and [12], or the OpenRoom dataset used by [9] and 128 [10]. This is because the images in InteriorNet have low 129 dynamic range, and the resolution of the panoramic data in 130 OpenRoom is too low. These shortcomings make these two 131 datasets less ideal for lighting estimation tasks. Although 132 InteriorVerse proposed by [11] is a better choice, at the time 133 of writing this paper, the lighting data of this dataset are 134 still unavailable. Fortunately, with the HDR panoramas and 135 related geometry information provided by FutureHouse, we 136 can construct the training data that meets our needs. 137



Figure 1. Schematic visualization of the constructed dataset.

Each training sample  $\{I, D, O_s, \{I_{nv}, P_{nv}\}_N\}$  contains 138 one LDR perspective image I as inputs and paired data as 139 ground truth to supervise depth and lighting voxel octree. 140 The construction process of a single sample is as follows: 141 For a perfect 360-degree camera, we can treat its imaging 142 plane as a sphere, and any point in world coordinates can be 143 projected onto this sphere as a spherical projection. There-144 fore, for each RGB panoramic image and its corresponding 145 depth panoramic image in the dataset, we can reproject all 146 points onto the world coordinate system using the inverse 147 transformation of spherical projection, thus obtaining the 3D 148 point cloud of the scene  $\mathcal{P}$ . Based on the 3D point cloud 149  $\mathcal{P}$ , we can construct all the required ground truth. For the 150 input image I and its corresponding depth map D, we use 151 a perspective camera model with a field of view (FOV) of 152 90 degrees and an aspect ratio of 1 (similar to what [6] did) 153 to reproject points on the sphere onto a cube face, obtaining 154 four perspective images that cover a 360-degree horizontal 155 view. For the ground truth of the scene's voxel octree, we 156 can directly construct it from the point cloud  $\mathcal{P}$  using the 157 method introduced by [7, 8]. For the new viewpoint images 158

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 $\{I_{nv}, P_{nv}\}_N$ , we construct a uniform voxel grid with a res-159 olution of  $256^3$  from the point cloud  $\mathcal{P}$ , and then render N 160 HDR panoramic images at locations that are visible in the 161 perspective input image I using a rendering method similar 162 163 to NeRFs. The corresponding camera positions  $P_{nv}$  are also recorded during rendering. Based on the 28,579 panoramic 164 views from 1.752 house-scale scenes provided by Future-165 House, we construct and select 113,232 pairs of data for 166 167 model training. We use 90% (1,570) of the scenes to train our model and reserve 10% (180) for evaluation. 168

## **169 4. Additional Details**

Bad cases. Depth estimation inaccuracies may lead to unforeseen outcomes in environments with complex lighting or
geometry, as depicted in Figure 2. Artifacts observed in both
Wang et al. and ours are presumably due to erroneous initial
depth compromising alpha prediction accuracy. However,
the distant environmental illumination assumption may also
fail in these scenarios with intense spatially-varying lighting.



(a) Gardner et al. [21] (b) Li et al. [37] (c) Wang et al. [42] (d) Ours (e) Reference Im Figure 2. Cases of failure in certain special circumstances.

Data used in user study. Images are showcased in Figure
3, where video frames in virtual object insertion results were
extracted and amalgamated into a single composite image.



) Gardner et al. [21] (b) Li et al. [37] (c) Lighthouse [24] (d) Ours (e) Input Image Figure 3. Virtual object insertion examples used in user study.

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