

# Monocular and Generalizable Gaussian Talking Head Animation

## Supplementary Material

### A. Limitations and Future Work

Despite the progress achieved in our talking head animation approach MGGTalk, several limitations warrant further investigation. We identify four primary areas for improvement: (1) Unnatural connection among the head, neck, and torso. Future work could employ a unified model of head, neck, and torso to enhance realism in their transitions and overall alignment. (2) Insufficient utilization of video information to improve context consistency. Incorporating multi-frame constraints during training could better estimate identity-specific shapes and maintain temporal coherence, thereby strengthening the naturalness of generated outputs [66]. (3) Potential inaccuracies in single-view depth estimation. Errors in depth estimates can compromise 3D modeling accuracy; adopting more robust approaches—such as DPT [67] or Sapiens [68]—may substantially improve reconstruction fidelity. (4) Unnatural results under severe asymmetry or challenging illumination. Complex lighting conditions can lead to unrealistic renderings, suggesting the need for illumination-aware control that adapts generation to diverse lighting environments. Addressing these limitations will further refine the quality, realism, and robustness of Talking Head Animation methods.

### B. Ethics Consideration

The proposed talking head animation method is primarily intended for applications in virtual communication and entertainment. Nonetheless, it may raise ethical and legal concerns if exploited for deceptive or harmful purposes by malicious actors. To mitigate these risks, it is essential to establish clear ethical guidelines and responsible usage practices that explicitly prohibit misuse. By doing so, we can help ensure that this technology is employed in a manner that promotes beneficial applications while minimizing potential harm.

### C. Preliminary of 3DGS

3D Gaussian Splatting (3DGS) [21] utilizes anisotropic 3D Gaussians as geometric primitives to learn an explicit 3D representation. The geometry of each 3D Gaussian is defined as follows:

$$g(x) = e^{-\frac{1}{2}(x-\mu)^T \Sigma^{-1}(x-\mu)} \quad (8)$$

where  $\mu \in \mathbb{R}^3$  is the center of the Gaussian and  $\Sigma \in \mathbb{R}^{3 \times 3}$  is the covariance matrix that defines its shape and size. The covariance matrix  $\Sigma$  can be further decomposed into

$\Sigma = R S S^T R^T$ , where  $S$  denotes a scaling matrix determined by a scaling vector  $s \in \mathbb{R}^3$ , while  $R$  indicates a rotation matrix defined by a quaternion  $r \in \mathbb{R}^4$ . Additionally, each Gaussian has an opacity value  $o \in \mathbb{R}$  which determines its visibility, and a color feature defined by  $c \in \mathbb{R}^{12}$ . Collectively, these parameters define each Gaussian as  $\mathcal{G} = \{\mu, r, s, o, c\}$ . Specifically,  $\mu$  represents the position parameter of the Gaussian, which will be equivalently referred to as the three-dimensional coordinates of points in the Gaussian point cloud  $\mathbf{P}$  in the subsequent discussion.

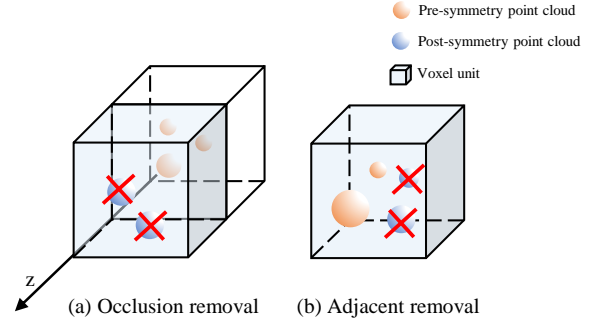


Figure 9. Visualization of voxel filter rules. (a) illustrates occlusion removal along the  $z$ -axis, while (b) shows adjacent removal.

### D. Implementation Details

#### D.1. Voxel-Based Filter

We propose a voxel-based filter  $\mathcal{F}_{voxel}$  to effectively remove occluded or closely overlapping mirrored points from point clouds. The method voxelizes both the original and mirrored point clouds, then performs two key operations:  $z$ -axis occlusion detection and neighborhood occupancy checking. For  $z$ -axis occlusion, we compute the maximum  $z$ -values at each  $(x, y)$  voxel index in the original point cloud and compare them with mirrored points to discard occluded regions, as shown in Figure 9 (a). In the neighborhood check, we determine if mirrored points fall within the same voxel as the original points, considering them as neighboring points, and thus discarding them, as shown in Figure 9 (b). This combined approach efficiently retains essential mirrored points while removing those that are occluded or redundant.

#### D.2. Motion Deformation

The Motion Deformation module is designed to deform the 3D point cloud  $[\mathbf{P}_f; \mathbf{P}_f^s]$  to synchronize it with the driving

audio or driving image. Unlike directly editing the 3D point cloud using only the driving source, we also incorporate expression information from the source image to reduce the complexity of mapping arbitrary source expressions to arbitrary target expressions. We use 3DMM reconstruction [47] to extract the source expression basis  $\beta_s$  from the source image. For the driving input, the driving expression basis  $\beta_d$  is obtained via 3DMM reconstruction for driving images or an audio-to-expression method [7] for driving audio. The MLP then drives the source point cloud  $[\mathbf{P}_f; \mathbf{P}_f^s]$  to generate the driven point cloud  $[\mathbf{P}_d; \mathbf{P}_d^s]$  (Equation 9).

$$[\mathbf{P}_d; \mathbf{P}_d^s] = \text{MLP}([\mathbf{P}_f; \mathbf{P}_f^s], \beta_d, \beta_s) \quad (9)$$

### D.3. Gaussian Decoder

The Gaussian Decoder  $D_{gs}$  is designed to predict the remaining four Gaussian parameters—scaling  $\mathbf{s}$ , rotation  $\mathbf{r}$ , color  $\mathbf{c}$ , and opacity  $\mathbf{o}$ —for the visible deformed region point cloud  $\mathbf{P}_d$ . First, the input point cloud is reshaped into the form of a position map with dimensions (3, H, W). This map is then concatenated with the identity feature  $\mathbf{F}$  extracted from the source image and fed into a UNet-based network to generate the  $\mathbf{s}$ ,  $\mathbf{r}$ ,  $\mathbf{c}$ , and  $\mathbf{o}$ . Finally, these maps are reshaped back into point cloud format and concatenated to form the complete set of Gaussian parameters  $\mathcal{G}_d = \{\mathbf{P}_d \in \mathbb{R}^{H \cdot W \times 3}, \mathbf{s} \in \mathbb{R}^{H \cdot W \times 3}, \mathbf{r} \in \mathbb{R}^{H \cdot W \times 4}, \mathbf{c} \in \mathbb{R}^{H \cdot W \times 12}, \mathbf{o} \in \mathbb{R}^{H \cdot W \times 1}\}$ .

### D.4. Sym-Gaussian Decoder

The Sym-Gaussian Decoder  $D_{gs}^s$  is designed to generate Gaussian parameters for the non-visible regions of the point cloud  $\mathbf{P}_d^s$ . Given the challenge of obtaining sufficient information for these regions from the source image alone, facial symmetry priors are introduced as additional guidance. Specifically, the previously generated Gaussian parameters for the visible regions  $\mathcal{G}_d$ , identity features  $\mathbf{F}$ , and the symmetric point cloud  $\mathbf{P}_d^s$  are concatenated and fed as input to a convolutional network to predict the offset relative to the already generated parameters. The networks for generating the biases of each Gaussian parameter are denoted as  $D_s^s$ ,  $D_r^s$ ,  $D_c^s$ , and  $D_o^s$ , respectively, and the generation process can be expressed as follows:

$$\begin{cases} \mathbf{s}^s = \mathbf{s} + D_s^s(\mathbf{F}, \mathbf{P}_d^s, \mathbf{s}) \\ \mathbf{r}^s = \mathbf{r} + D_r^s(\mathbf{F}, \mathbf{P}_d^s, \mathbf{r}) \\ \mathbf{c}^s = \mathbf{c} + D_c^s(\mathbf{F}, \mathbf{P}_d^s, \mathbf{c}) \\ \mathbf{o}^s = \mathbf{o} + D_o^s(\mathbf{F}, \mathbf{P}_d^s, \mathbf{o}) \end{cases} \quad (10)$$

Finally, we obtain the Gaussian parameters  $\mathcal{G}_d^s = \{\mathbf{P}_d^s, \mathbf{s}^s, \mathbf{r}^s, \mathbf{c}^s, \mathbf{o}^s\}$  representing the non-visible facial regions of the source image.

### D.5. Rendering and Inpainting

We use differentiable rasterization to render the Gaussian parameters  $\mathcal{G}_{den}$  from the target viewpoint, resulting in an

RGB image  $\mathbf{I}_{tgt}^h$ . To stabilize the training process, we additionally render the Gaussian parameters  $\mathcal{G}$  before densification into a coarse image  $\mathbf{I}_c^h$ . Subsequently, we inpaint the torso and background regions of  $\mathbf{I}_{tgt}^h$  using  $\mathbf{I}_s^{bg}$ , producing the final predicted image  $\mathbf{I}_{tgt}$ . Inspired by S<sup>3</sup>D-NeRF [40], we employ a GAN-based network that takes the head image and the torso-background image as inputs to generate a  $512 \times 512$  composite image.

## E. Additional Results

To demonstrate the effectiveness of our approach, we provide additional visualizations and experimental results within the context of the video-driven talking head generation task.

### E.1. Visualization of Gaussian Point Cloud Construction in DSGR

We utilize visualizations in Figure 10 to observe the three stages of point cloud construction in DSGR module. Initially, we use depth maps combined with normal maps as input for the Surface Reconstruction module, forming the initial facial geometry. Subsequently, a refinement network adjusts the initial construction, and facial symmetry is introduced to supplement the missing geometric structure in the occluded areas of the face.

For the first stage, the combination of depth and normal maps is critical. As illustrated in Figure 11, although the geometry derived directly from the depth map exhibits a stronger sense of three-dimensionality, it is often inaccurate due to monocular depth estimation limitations. For example, the first row shows an exaggerated nose, and the second row an overly sharp chin. Additionally, the geometric continuity of point clouds obtained through depth map back-projection is often inadequate, which hinders network training convergence. To address these inaccuracies, we incorporate normal maps to enhance geometric details. Both depth and normal maps are then used as inputs to the BINI algorithm [50] for surface reconstruction, producing more continuous and accurate 3D facial point clouds, as shown in Figure 11 and 10 (a), where surface reconstruction achieves smoother geometric continuity without the aforementioned structural inaccuracies.

The refinement network, detailed in the next phase, further adjusts the geometry to correct any residual inaccuracies, as illustrated in the Figure 10 (b). Although the initial point cloud constructed from depth and normal maps provides a good foundation, it may appear flat and fail to accurately represent the 3D facial structure. The refinement module effectively addresses these issues.

Finally, the application of symmetry plays a crucial role in reconstructing occluded regions of the face, which are often left incomplete in the initial stages. As shown in Figure 10 (c), The symmetry approach fills these gaps, ensuring a

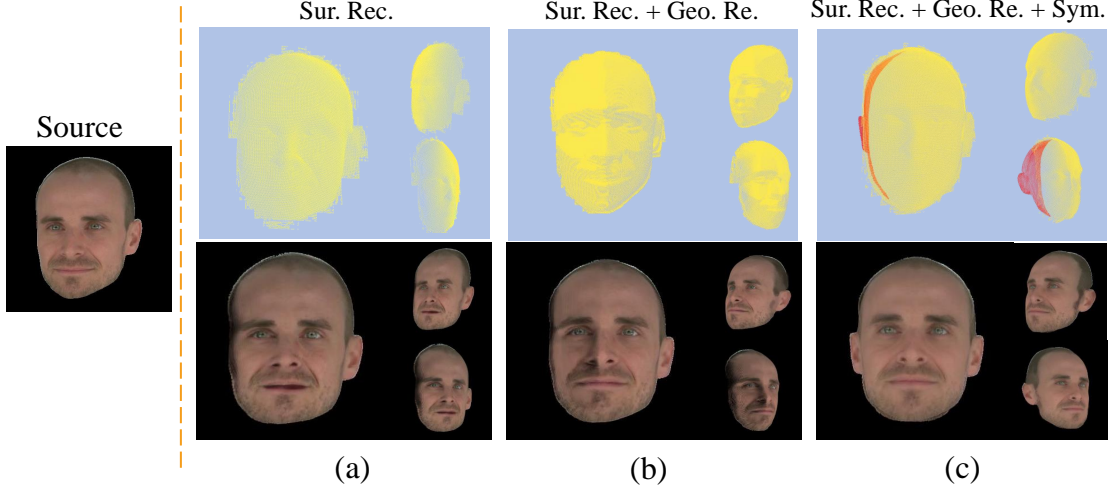


Figure 10. Visualization of the Gaussian point cloud construction in DSGR. The first row shows Gaussian point clouds obtained by ablating different construction modules, while the second row presents the corresponding rendered images from the SGP module. The yellow point cloud represents the geometry obtained from depth information, while the red point cloud indicates the symmetric augmentation of the geometry. Front, left, and right viewpoints are displayed.

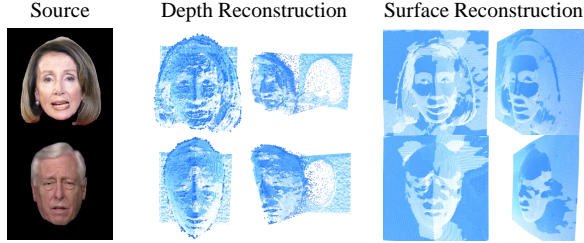


Figure 11. Visualization of geometric structures obtained from depth map projection versus surface reconstruction, including both frontal and side views of the 3D point cloud.

Table 5. Quantitative results of video-driven methods on the CelebV-HQ dataset [69]. We use **bold text** to indicate the best results and underline to denote the second-best results.

Methods	Self-Reenactment					Cross-Reenactment		
	PSNR $\uparrow$	SSIM $\uparrow$	FID $\downarrow$	AED $\downarrow$	APD $\downarrow$	FID $\downarrow$	AED $\downarrow$	APD $\downarrow$
Styleheat [10]	30.36	0.634	71.57	0.157	0.383	83.12	0.224	0.405
DaGAN [11]	<u>30.81</u>	0.626	57.72	0.113	0.196	60.45	0.244	0.308
ROME [53]	30.74	0.657	62.66	0.140	<u>0.179</u>	78.02	0.257	0.283
OTAvatar [54]	30.37	0.681	50.03	0.136	0.352	64.21	0.205	0.371
Real3DPortrait [18]	30.67	<b>0.696</b>	73.17	<u>0.109</u>	0.231	75.16	<b>0.191</b>	<b>0.254</b>
Portrait4D-v2 [14]	29.96	0.613	<u>46.19</u>	0.112	0.216	<u>57.13</u>	0.208	0.262
<b>Ours</b>	<b>30.84</b>	<u>0.683</u>	<b>42.23</b>	<b>0.104</b>	<b>0.173</b>	<b>56.43</b>	<u>0.195</u>	<u>0.256</u>

more comprehensive and accurate representation of the facial geometry across the entire point cloud.

## E.2. Additional Results on HDTF and NeRSemble-Mono

In Figure 12, we present additional cross-identity reenactment results on the HDTF dataset (first four rows) and the NeRSemble-Mono dataset (rows five to eight). The results demonstrate that our method achieves strong identity consistency and 3D coherence, while effectively synchronizing facial expressions and poses with the driving source.

## E.3. Additional Experiments on CelebV-HQ

**Experimental Setups.** To further evaluate the model’s performance, we employed an additional dataset, CelebV-HQ [69], for quantitative and qualitative experiments on video-driven methods. Specifically, no training was conducted on this dataset; instead, 40 video clips were selected for inference. Data preprocessing and evaluation metrics were consistent with those used in the main text.

**Quantitative Results.** Experimental results on the CelebV-HQ dataset are presented in Table 5. For Self-Reenactment, our method outperforms others in appearance quality metrics (PSNR and FID) and is comparable to Real3DPortrait [18] in SSIM, indicating structural similarity. For Cross-Reenactment, our approach maintains a lead in FID, demonstrating superior identity preservation. Additionally, our AED and APD scores are close to Real3DPortrait [18], indicating effective control of facial expressions and poses.

**Qualitative Results.** The visual results on the CelebV-HQ dataset are shown in the last two rows of Figure 12. De-





Figure 12. Qualitative comparisons with previous video-driven methods on the HDTF [51], NeRSemble-Mono [52] and CelebV-HQ [69] dataset. The first four rows show cross-identity driving results on the HDTF dataset, rows five to eight present results on the NeRSemble-Mono dataset, and the final two rows display results from the CelebV-HQ dataset. To demonstrate the multi-view consistency of our generated results, the last three columns display the fixed viewpoints at  $-30^\circ$ ,  $0^\circ$  and  $+30^\circ$ .

spite using significantly less training data compared to some methods [14, 18], our approach demonstrates competitive performance on unseen, in-the-wild dataset [69], maintaining strong 3D consistency as well as effective synchronization of expressions and poses.

#### E.4. Further Enhancement of Lip Synchronization

Our MGGTalk framework already achieves the second-best performance in terms of lip synchronization (LSE-C, LSE-D). As shown in Table 6, introducing SyncNet [64] provides additional performance improvements, suggesting that adopting an audio-based synchronization module can

further refine the lip-sync accuracy of our method.

Table 6. Audio-riven results on HDTF [51] with the SyncNet [64] supervision.

Method	LSE-C $\uparrow$	LSE-D $\downarrow$
Wav2Lip [2]	8.84	6.48
MGGTalk	7.68	6.91
MGGTalk+SyncNet [64]	<b>8.87</b>	<b>6.35</b>

### E.5. A Fairer Comparison with Lip-Sync Methods

In Table 2 of the main paper, we note that both Wav2Lip [2] and IP-LAP [27] rely on the ground-truth upper-half region to achieve pose alignment. To enable a more equitable comparison, we conducted experiments under a fixed pose setting, and as shown in Table 7, our method attains the highest image quality.

Table 7. Audio-driven results on HDTF [51] with fixed pose.

Method	PSNR $\uparrow$	SSIM $\uparrow$	FID $\downarrow$	LMD $\downarrow$
Wav2Lip [2]	30.02	0.664	30.53	3.94
IP-LAP [27]	29.47	0.631	36.14	3.87
Ours	<b>30.25</b>	<b>0.686</b>	<b>23.09</b>	<b>3.82</b>

### E.6. Robustness of the Deformation Module

To evaluate the robustness of our Deformation module under inaccuracies in expression basis estimation, we introduce Gaussian noise into the expression basis and monitor the performance of the module. As shown in Figure 13 and Table 8, when the standard deviation of the Gaussian noise increases from 0 to 0.2, the predicted results remain relatively stable.

Table 8. Self-reenactment results on HDTF [51] with varying noise intensities added to the estimated expression features.

Noise std	FID $\downarrow$	AED $\downarrow$
0.00	18.95	0.102
0.05	19.13	0.104
0.10	19.46	0.117
0.20	19.74	0.121

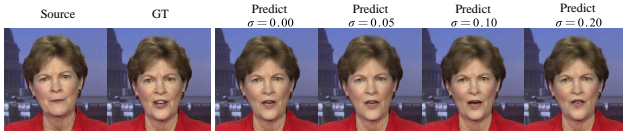


Figure 13. Visualization of adding noise to expression features.

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