pFedMxF: Personalized Federated Class-incremental Learning with Mixture of Frequency Aggregation

Supplementary Material

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A. Additional Result on DomainNet

The results presented in Table 8 for the DomainNet dataset strongly support the paper's claims about pFedMxF's effectiveness in handling heterogeneous federated classincremental learning. Under quantity-based label imbalance (QBLI), pFedMxF achieves top performance with 78.9% average accuracy at $\alpha = 6$ and maintains robust performance (65.4%) even under severe imbalance ($\alpha = 2$), significantly outperforming both traditional methods (EWC: 51.4%, LwF: 51.6%) and recent approaches (TARGET: 55.9%, LGA: 39.5%). Similarly, for distribution-based label imbalance (DBLI), the method demonstrates consistent performance across different β values (78.3%, 73.1%, 68.9% average accuracy) and maintains a 3-5% improvement over closest competitors (PILoRA: 67.2%, InfLoRA: 65.2%) under severe conditions ($\beta = 0.05$). While the improvements over some recent methods are modest (1-3% in some cases), the results align with patterns observed in CIFAR-100 and Tiny-ImageNet, validating the method's generalizability across different data distributions and domains.

B. Details of Non-iid Settings

In quantity-based label imbalance, we randomly assign α different label IDs to each client at each stage. Then, for each labeled sample, we randomly and equally distribute it among the clients associated with that label. In distribution-based label imbalance, each client receives a portion of the samples for each label based on the Dirichlet distribution. Formally, we sample p_k from Dir(β) and allocate a proportion $p_{k,j}$ of class k instances to the client j.

C. Broader Impact

The pFedMxF framework for federated class-incremental learning presents significant broader implications for society. While it advances privacy-preserving machine learning by enabling model training without centralizing sensitive data, particularly valuable in healthcare and finance, its resource efficiency makes AI systems more accessible to resource-constrained devices and organizations. The reduced computational and communication requirements could have positive environmental impacts through lower energy consumption, though widespread adoption might offset these

| Table 8. Test Accuracy (%) on DomainNet. | Results | are for | 10 tasks |
|---|---------|---------|----------|
| (10 classes / task) under 2 non-IID settings. | | | |

| (a) Quantity-Based Label Imbalance (QBLI) | | | | | | | | |
|---|--|---|---|--|---|---|--|--|
| Non-IID | QBLI | | | | | | | |
| Partition | $\alpha = 6$ | | $\alpha = 4$ | | $\alpha = 2$ | | | |
| Methods | Last | Avg. | Last | Avg. | Last | Avg. | | |
| Joint | 77.7 | - | 74.2 | - | 71.3 | - | | |
| EWC LwF iCaRL L2P | 55.8 56.3 34.4 62.6 | 68.1 69.5 55.9 64.6 | 54.2 54.2 36.8 58.3 | 65.3 65.4 57.5 57.3 | 41.9 39.8 42.9 6.3 | 51.4 51.6 54.3 7.1 | | |
| TARGET GLFC LGA | 59.4 57.8 63.4 | 70.8 69.5 72.1 | 57.2 52.4 60.3 | 68.4 64.8 69.3 | 44.6 12.6 20.5 | 55.9 36.4 39.5 | | |
| PILoRA InfLoRA | 68.7 69.7 | 79.3 77.6 | 64.1 65.2 | 71.3 72.2 | 53.7 55.8 | 61.4 61.9 | | |
| pFedMxF | 70.1 | 78.9 | 66.2 | 4.6 | 56.3 | 65.4 | | |
| (b) Distribution-Based Label Imbalance (DBLI) | | | | | | | | |
| (b) | Distributi | on-Based | l Label Ir | nbalance | (DBLI) | | | |
| (b) Non-IID | Distributi | on-Based | l Label Ir DE | nbalance BLI | (DBLI) | | | |
| (b) Non-IID Partition | Distributi $\frac{ }{ } \beta =$ | on-Based | $\frac{1 \text{ Label Ir}}{DE}$ | nbalance BLI : 0.1 | (DBLI) $\beta =$ | 0.05 | | |
| (b) Non-IID Partition Methods | Distributi $ \qquad \beta = \\ \qquad Last$ | on-Based 0.5 Avg. | $\frac{1 \text{ Label Ir}}{\beta} = \frac{1}{\text{ Last}}$ | nbalance BLI 0.1 Avg. | $(DBLI)$ $\beta =$ Last | 0.05 Avg. | | |
| (b) Non-IID Partition Methods Joint | Distributi $\begin{vmatrix} & \beta \\ \beta$ | on-Based 0.5 Avg. | $\frac{1 \text{ Label Ir}}{\beta} = \frac{\beta}{12.8}$ | nbalance BLI 0.1 Avg. | $(DBLI)$ $\beta =$ Last 85.9 | 0.05 Avg. | | |
| (b) Non-IID Partition Methods Joint EWC LWF iCaRL L2P | Distributi $ \beta =$ Last 79.1 63.8 62.3 49.6 51.2 | 0.5 Avg. 75.5 75.4 65.4 49.3 | $\frac{\beta}{\beta} = \frac{\beta}{12000000000000000000000000000000000000$ | Avg. - 71.6 61.6 63.5 69.3 | (DBLI) $\beta =$ Last 85.9 41.6 43.8 42.4 36.5 | 0.05 Avg. - 55.1 62.4 62.1 30.8 | | |
| (b) Non-IID Partition Methods Joint EWC LwF iCaRL L2P TARGET GLFC LGA | Distributi $\beta = \frac{\beta}{1} $ | 0.5 Avg. - 75.5 75.4 65.4 49.3 75.9 73.3 76.1 | $\frac{1 \text{ Label Ir}}{\beta} = \frac{\beta}{12}$ $\frac{\beta}{12} = \frac{\beta}{12}$ $\frac{\beta}{12} = \frac{\beta}{12}$ $\frac{\beta}{12} = \frac{\beta}{12}$ | nbalance BLI - 0.1 Avg. - 71.6 61.6 63.5 69.3 69.5 65.4 70.5 | $(DBLI) \\ \hline \beta = \\ \hline B \\$ | 0.05 Avg. - 55.1 62.4 62.1 30.8 63.1 45.7 48.4 | | |
| (b) Non-IID Partition Methods Joint EWC LwF iCaRL L2P TARGET GLFC LGA InfLoRA PILoRA | Distributi $\beta = \frac{\beta}{1}$ Last 79.1 63.8 62.3 49.6 51.2 64.6 66.1 68.3 66.3 68.5 | 00n-Based 0.5 Avg. - 75.5 75.4 49.3 75.9 75.9 75.9 75.9 75.9 75.4 49.3 76.1 76.8 76.8 76.5 | $\begin{array}{c} \text{I Label Ir}\\ \hline \\ \hline$ | nbalance BLI Avg. - 71.6 61.6 63.5 69.3 69.5 69.5 69.5 71.4 71.4 71.8 | $(DBLI) \beta = Last 85.9 41.6 43.8 42.4 36.5 49.5 18.5 18.5 18.5 18.5 18.5 18.5 19.5 12.5 10.5 $ | 0.05 Avg. - 55.1 62.4 62.1 30.8 63.1 45.7 48.4 65.2 67.2 | | |

gains. Overall, while the research represents a significant advance in both technical capabilities and privacy preservation, its implementation requires careful consideration to ensure equitable access and responsible deployment.