Explaining 3D Point Cloud Semantic Segmentation Models Through Adversarial Attacks

Supplementary Material

7. Algorithm

In our implementation, we address the restrictions of the CTA-seg algorithm mentioned in Sec. 3.1 as follows. We ensure that constraint 1 is achieved by passing only the initial probabilities for the target class to the loss function calculation. We then mask the obtained loss values before the backpropagation step by setting to zero the values for points not originally in the target class. This ensures that only such values are considered for the gradient computation. For constraint 2, we mask the obtained gradient values, setting to zero all gradient values that do not belong to the critical points and the target feature(s) currently being shifted. Algorithm 1 shows the in-detail algorithm for CTA-seg.

8. Visualizations

Fig. 8 and Fig. 9 show sample successful adversarial attacks for the spatial and color features, respectively, across all architectures for the *Chair* class. In both cases, the degree of change needed for PointNet++ is so small as to be imperceptible.

Algorithm 1: CTA-seg

```
Data: Input point cloud P with shape N \times D, deep learning model M, IG saliency map IG(P) with shape N \times D,
      optimization rate \alpha, distance-penalizing weight \beta, spatial distance function D_s, color distance function D_c,
      target_class, target_features list, local_max_iterations, global_max_iterations, iou_threshold below
      which we consider the attack successful
Result: Adversarial example P_{adv} with shape N \times D
attributions \leftarrow flatten(sum of IG(P) across target_features);
attr_indexes \leftarrow indices of attributions elements sorted in descending order;
max\_points \leftarrow count(attributions > 0);
global\_iter\_count \leftarrow 0;
first_it \leftarrow True;
for n_points from 1 to max_points with step = 50 \text{ do}
                                   /* Initializing activation track for local stopping */
   activation\_track \leftarrow list();
   updated\_point\_cloud \leftarrow deepcopy(P);
   target_idxs \leftarrow attr_indexes[0:n_points];
   for local_iteration from 0 to local_max_iterations do
       predictions \leftarrow \text{softmax}(M(updated\_point\_cloud));
       predicted\_classes \leftarrow \operatorname{argmax}(predictions, dim = 1);
       optimizer \leftarrow newly initialized Adam optimizer;
       if first_it then
           original\_target\_class\_idxs \leftarrow flatten(argwhere(predicted\_classes = target\_class));
           first_it \leftarrow False;
       end
       updated\_target\_class\_idxs \leftarrow flatten(argwhere(predicted\_classes = target\_class));
       local_iou \leftarrow IoU(original_target_class_idxs, updated_target_class_idxs);
       if local_iou < iou_threshold then
           return updated_point_cloud;
                                                                                 /* Successful attack */
       end
       activation \leftarrow mean(predictions[:, target\_class]);
       activation_track.append(activation);
       loss \leftarrow \alpha * \log(predictions):
        , target_class]) + \beta * [D_s(P, updated_point_cloud) + D_c(P, updated_point_cloud)];
                                                                                                     /* We are
        only interested in decreasing the prediction of the target class \star/
       loss\_mask \leftarrow zero-mask of the same shape as loss;
       loss_mask[original_target_class_idxs] \leftarrow 1; /* Mask the loss values to only consider
        the points belonging to the target class for the gradient computation */
       loss \leftarrow loss * loss\_mask;
       loss.backward();
       grad\_mask \leftarrow zero-mask of the same shape as updated\_point\_cloud;
       grad_mask[target_idxs, target_features] \leftarrow 1; /* Mask the gradients to only optimize
        for the points to be shifted across the target feature(s) */
       updated\_point\_cloud.grad \leftarrow updated\_point\_cloud.grad * grad\_mask;
       optimizer.step();
                                    /* Updating the adversarial example updated_point_cloud */
       if mean increase in activation_track then
           break;
                                                                      /* Local stopping criterion */
       end
   end
    global\_iter\_count \leftarrow global\_iter\_count + 1;
   if global_iter_count > global_max_iterations then
       break ;
                                                                     /* Global stopping criterion */
   end
end
return Failed;
                                                                              /* Unsuccessful attack */
```

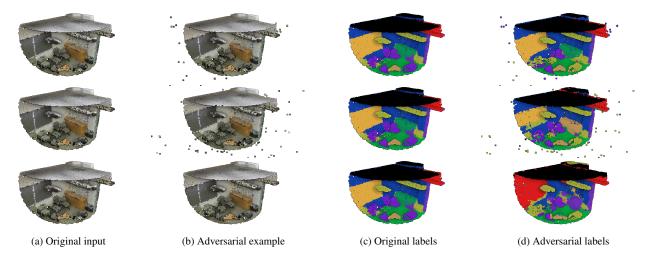


Figure 8. Sample CTA-seg outputs for attacks on spatial features for the *Chair* class (purple labels). From top to bottom, PTv3, KP-FCNN, and PointNet++.

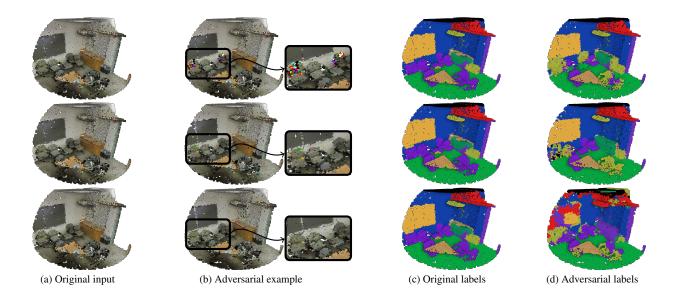


Figure 9. Sample CTA-seg outputs for attacks on color features for the *Chair* class (purple labels). From top to bottom, PTv3, KP-FCNN, and PointNet++.