ARAPReg: An As-Rigid-As Possible Regularization Loss for Learning Deformable Shape Generators

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Figure 1: Our approach learns a shape generator from a collection of deformable shapes. The shape generator is trained with a novel as-rigid-as-possible regularization (ARAPReg) loss that promotes the preservation of multi-scale shape features.

Abstract

This paper introduces an unsupervised loss for training parametric deformation shape generators. The key idea is to enforce the preservation of local rigidity among the generated shapes. Our approach builds on an approximation of the as-rigid-as possible (or ARAP) deformation energy. We show how to develop the unsupervised loss via a spectral decomposition of the Hessian of the ARAP energy. Our loss nicely decouples pose and shape variations through a robust norm. The loss admits simple closed-form expressions. It is easy to train and can be plugged into any standard generation models, e.g., variational auto-encoder (VAE) and auto-decoder (AD). Experimental results show that our approach outperforms existing shape generation approaches considerably on public benchmark datasets of various shape categories such as human, animal and bone. Our code and data are available at https://github.com/GitBoSun/ARAPReg.

1. Introduction

This paper considers learning a parametric mesh generator from a deformable shape collection with shapes that exhibit the same topology but undergo large geometric variations (see examples below of a deforming human, animal, and bone). This problem arises in numerous visual computing and relevant fields such as recovery of neural morphogenesis, data-driven shape reconstruction, and image-based reconstruction, to name just a few (c.f. [54]).

Deformable shapes differ from many other visual objects (e.g., images and videos) because there are natural constraints underlying the shape space. One such example is the local rigidity constraint; namely, corresponding surface patches among neighboring shapes in the shape space undergo approximately rigid transformations. This constraint manifests the preservation of geometric features (e.g., facial features of humans and toes of animals) among local neighborhoods of the underlying shape space. An interesting problem thus is the use of this constraint to train shape generators from a collection of training shapes, where
the local rigidity constraint accurately and efficiently propagates features of the training shapes to new synthetic shapes produced by the generator.

In this paper, we study how to model the local rigidity constraint as an unsupervised loss functional for generative modeling. The proposed loss can be combined with standard mesh generators such as variational auto-encoders (VAEs) [44, 28, 39, 7] and auto-decoders (ADs) [59, 61]. A key property of our loss functional is that it is consistent with other training losses. This property offers multiple advantages. For example, the learned generator is insensitive to the tradeoff parameters among the loss terms. As another example, the training procedure converges faster than the setting where loss terms may compete against each other.

Our approach, called ARAPReg, builds on the established as-rigid-as-possible (or ARAP) deformation model [43, 49, 55] that measures the non-rigid deformation between two shapes. Its key ingredients include use of the Hessian of the ARAP deformation model to derive an explicit regularizer for the Jacobian of the shape generator and a robust norm on the Hessian to model pose and shape variations of deformable shapes. The outcome is a simple closed-form formulation for training mesh generators. ARAPReg differs from prior works that enforce ARAP losses between synthetic shapes and a base shape [17, 27, 63], that may introduce competing losses when the underlying shape space has large deformations.

We have evaluated ARAPReg across a variety of public benchmark datasets such as DFAUST [5], SMAL [66], and an in-house benchmark dataset of Bone. The evaluations include both generator settings of VAE and AD. Experimental results show that ARAPReg leads to considerable performance gains across state-of-the-art deformable shape generators both qualitatively and quantitatively. As shown in Figure 1 for example, the interpolated shapes using ARAPReg greatly preserve the local geometric details of the generated shapes and avoids unrealistic shape poses.

2. Related Works

This section organizes the relevant works into three groups, namely, 3D generative models, regularization for generative modeling, and shape space modeling.

3D generative models. Learning 3D generative models relies on developing suitable 3D representations to encode 3D models into vectorized forms. Examples include volumetric grid [53, 45, 12, 34, 32, 19], implicit surfaces [35, 9], point clouds [1, 57, 56, 25], meshes [22, 28, 14], parametric surfaces [16, 31], spherical representations [10, 13, 8], geometric arrangements [47, 62], and multi-views [30].

This paper is mostly relevant to generative models under the mesh representation, which falls into four categories. The first category of approaches [44, 48, 28, 46, 38] is based on defining variational auto-encoders on meshes. A typical strategy is to treat triangular meshes as graphs and define convolution and deconvolution operations to synthesize triangular meshes (c.f. [48, 28, 46]). [44] introduced a geometric encoding scheme that operates in the gradient domain. The second category of approaches builds upon recurrent procedures for geometric synthesis. This methodology has been extensively applied for primitive-based assembly [26, 40, 41, 65]. [18] extended this approach to meshes, in which edge contraction operations are applied recursively. The third category of approaches [60, 51] deforms a base mesh to generate new meshes, where the deformation is learned from data. The last category utilizes surface parameterization [42, 31, 16, 4].

While these approaches focused on adopting generative modeling methodologies under the mesh setting, ARAPReg studies the novel problem of explicitly enforcing an ARAP loss among synthetic shapes with similar latent codes.

Regularization for generative modeling. Regularization losses have been explored in prior works for 3D generative modeling. In [36], Peebles et al. studied a Hessian regularization term for learning generative image models. A spectral regularization loss is introduced in [2] for 3D generative modeling. Several works [52, 50, 21, 3] studied geometric regularizations for image-based reconstruction. In contrast, ARAPReg focuses on regularization terms that are consistent with other terms. Several other works [17, 27, 63] employed ARAP losses between any synthetic shapes with a base shape. The novelty of ARAPReg is that it is consistent with other loss terms even when the underlying shape space presents large deformations. The reason is that the local rigidity constraint is only enforced among neighboring shapes in the underlying shape space. Our initial experiments show that enforcing ARAP losses between synthetic shapes and a base shape leads to worse results than dropping the ARAP losses.

Shape space modeling. Finally, ARAPReg is relevant to early works on modeling tangent spaces of shape manifolds [23, 20, 58]. However, unlike the applications in shape interpolation [23], shape segmentation [20], and mesh-based geometric design [37, 58], ARAPReg focuses on devising an unsupervised loss for network training.

3. Overview

Following [39, 7, 64], we are interested in learning a mesh generator that takes a latent code as input and outputs the vertex positions of a triangular mesh with given mesh connectivity (See Figure 2). Formally speaking, we denote this mesh generator as

$$g^\theta : \mathcal{Z} := \mathcal{R}^k \rightarrow \mathcal{R}^{3n}.$$  

Here $\mathcal{Z}$ represents the latent space, and $\mathcal{R}^{3n}$ encodes the vector that concatenates the vertex positions, i.e., $n$ is the number of vertices. We organize the remainder of this paper as follows.

In Section 4, we introduce the key contribution of this paper, ARAPReg, an unsupervised loss for training $g^\theta$. The loss only requires a prior distribution of the latent space $\mathcal{Z}$. In this paper, we assume the prior distribution is the Normal distribution $\mathcal{N}_k$ of dimension $k$. The key idea of ARAPReg is to ensure that the local rigidity constraint is pre-
4. Formulation of the ARAPReg Loss

Formulating the preservation of local rigidity is quite challenging because the resulting loss term has to be simple enough to facilitate network training. One straightforward approach is to enforce the local rigidity constraint between a generated shape \( g^\theta(z) \) and its perturbation \( g^\theta(z + dz) \). Here \( dz \) characterizes an infinitesimal displacement in the parameter space. However, this approach requires sampling a lot of shape pairs. Besides, typical formulations of shape deformations between \( g^\theta(z) \) and \( g^\theta(z + dz) \) require solving optimization problems that are computationally expensive (c.f. [6]).

ARAPReg stitches several novel ideas to derive a simple unsupervised loss that does not adversely compete with typical losses used in generative modeling (See Section 5.1).

4.1. Step I: Decoupling Smoothness and Jacobian regularization

First, ARAPReg decouples the enforcement of local rigidity into two terms. The first term enforces the smoothness of the generator. This smoothness penalty enables the second term, which formulates the preservation of local rigidity as potentials on the Jacobian of the generator, i.e.,

\[
\frac{\partial g^\theta}{\partial z}(z) \in \mathbb{R}^{(3n) \times k}.
\]

Specifically, we define the unsupervised loss as

\[
\mathcal{L}_{\text{reg}}(\theta) := E_{z \sim N_k} \left( E_{\delta z \sim N_k} \| g^\theta(z + \delta z) - 2 g^\theta(z) + g^\theta(z - \delta z) \|^2 + \lambda_R \cdot r_R(g^\theta(z), \frac{\partial g^\theta}{\partial z}(z)) \right),
\]

where the first term promotes the smoothness of the generator \( g^\theta \); \( s \) is a hyper-parameter of ARAPReg. Note that unlike enforcing

\[
g^\theta(z + \delta z) \approx g^\theta(z) + \frac{\partial g^\theta}{\partial z}(z) \cdot \delta z,
\]

the formulation in (1) does not involve the first-order derivatives of \( g \). It follows that network training is more efficient as it only requires computing the first-order derivatives of \( g \). On the other hand, it penalizes the second-order derivatives of \( g^\theta \). It therefore implicitly enforces (2). The second term \( r_R(g^\theta(z), \frac{\partial g^\theta}{\partial z}(z)) \) in (1), which will be defined shortly, formulates the regularization loss concerning the generated mesh \( g^\theta(z) \) and infinitesimal perturbations specified by the Jacobian \( \frac{\partial g^\theta}{\partial z}(z) \) (See Figure 3). \( \lambda_R \) is another hyper-parameter of ARAPReg.

In other words, instead of enforcing the local rigidity between shape pairs, ARAPReg enforces the preservation of the local rigidity in the tangent space specified by the Jacobian. The tangent space is a first-order approximation of the shape space. The smoothness potential ensures that this first-order approximation is accurate, i.e., the rigidity constraint propagates to the shape space’s local neighborhood. As we will discuss later, another appealing property of this formulation is that the Jacobian enables us to easily model pose and shape variations (where pose variations are more rigid than shape variations). This goal is hard to achieve using generic pairwise regularizations.

Although the smoothness constraint involves shape pairs, our experiments suggest that there is no need to sample a large number of shape pairs. One interpretation is that deep neural network training has implicit regularizations (c.f. [33]), which promotes smoothness.

4.2. Step II: Jacobian Regularization

We proceed to introduce the local rigidity term \( r_R \) that regularizes the Jacobian of the generator. To make the notations uncluttered, we focus on formulating \( r_R(g, J) \). Here \( g \in \mathbb{R}^{3n} \) denotes a vertex position vector, and \( J \in \mathbb{R}^{3n \times k} \) is a Jacobian matrix that specifies infinitesimal perturbations to \( g \).

Our formulation is inspired by the as-rigid-as possible (or ARAP) potential function [43, 49, 55]. This standard model measures the deformation between a pair of shapes. Consider a mesh with vertex position \( g \in \mathbb{R}^{3n} \) and the same mesh with perturbed vertex position \( g + x \in \mathbb{R}^{3n} \). Denote \( O_i \in SO(3) \) as the latent rotation associated with the \( i \)-th
where \( \overline{\mathcal{P}}_R(g, J) := J^T H_R(g) J \).

(5) provides the rigidity potential along a direction \( y \) in the latent space. Our formulation of \( r_R \) seeks to integrate (5) over all possible directions \( y \). To motivate the final formulation of ARAPReg, let us first define an initial potential energy by integrating \( y^T \overline{\mathcal{P}}_R(g, J) y \) over the unit-sphere \( S^k \) in \( \mathbb{R}^k \) that specifies all possible \( y \):

\[
r_R^{L2}(g, J) := \frac{k}{\text{Vol}(S^k)} \int_{y \in S^k} y^T \overline{\mathcal{P}}_R(g, J) y \, dy. \tag{6}
\]

**Proposition 2**

\[
r_R^{L2}(g, J) = \text{Tr}(\overline{\mathcal{P}}_R(g, J)) = \sum_{i=1}^k \lambda_i(\overline{\mathcal{P}}_R(g, J)) \tag{7}
\]

where \( \lambda_i(\overline{\mathcal{P}}_R(g, J)) \) is the \( i \)-th eigenvalue of \( \overline{\mathcal{P}}_R(g, J) \).

### 4.3. Step III: Pose and Shape Variation Modeling

We present a simple formulation that decouples enforcing pose and shape variations. Specifically, the eigenvalues \( \lambda_i(\overline{\mathcal{P}}_R(g, J)) = u_i^T \overline{\mathcal{P}}_R(g, J) u_i \), where \( u_i \) is the corresponding eigenvector of \( \lambda_i(\overline{\mathcal{P}}_R(g, J)) \), reveal the deformations in different directions of the tangent space. From the definition of the as-rigid-as possible deformation energy, each vertex’s one-ring neighborhood is mostly rigid under pose variations. In contrast, the one-ring neighborhoods may change drastically under shape variations. This means eigenvectors with small eigenvalues correspond to pose variations, while eigenvectors with large eigenvalues correspond to shape variations (See Figure 4).

The limitation of the L2 formulation described in (2) is that all directions are penalized equally. ARAPReg employs a robust norm to model the local rigidity loss to address this issue

\[
r_R(g, J) = \sum_{i=1}^k \lambda_i^\alpha(\overline{\mathcal{P}}_R(g, J)), \tag{8}
\]

where we set \( \alpha = \frac{1}{2} \) in this paper. Similar to the effects of using robust norms for outlier removal, (8) imposes small weights on the subspace spanned by eigenvectors of large eigenvalues, which correspond to shape variations. In other words, minimizing (8) minimizes the small eigenvalues of \( \overline{\mathcal{P}}_R(g, J) \) automatically, which correspond to pose variations. Note that several prior works [63, 11, 2] aimed to decouple pose and shape in the latent space. In contrast, our goal is to model the regularization term by taking pose and shape variations into account.

### 4.4. Step IV: Final Loss Term

Substituting (6) into (1), we have

\[
\mathcal{L}_{reg}(\theta) := \mathbb{E}_{z \sim N_k} \left( \mathbb{E}_{\delta z \sim N_k} \| g^\theta(z + \delta z) - 2g^\theta(z) + g^\theta(z - \delta z) \|^2 \right) + \lambda_R \sum_{i=1}^k \lambda_i^\alpha(\overline{\mathcal{P}}_R(g^\theta(z), \partial g^\theta/\partial z(z))) \tag{9}
\]

where \( \lambda_R \) is a hyperparameter controlling the trade-off between pose and shape variations.
In this paper, we set $s = 0.05$ and $\lambda_R = 1$ for all of our experiments.

The major challenge of using (9) for training is to compute the gradient of the Jacobian regularization term. Similar to the formulation of generator smoothness, we introduce a gradient computation approach that only requires computing the derivatives of $g^\phi$. Please refer to the supp. material for details.

5. Application in Learning Mesh Generators

This section introduces the applications of the unsupervised loss for learning mesh generators. We first introduce the network architecture used in this paper for experimental evaluation. We then introduce how to insert the unsupervised loss $L_{\text{reg}}$ described above into two formulations of training mesh generators, i.e., variational auto-encoders [28, 39, 7] and auto-decoders [59, 61].

5.1. Network Architecture

We focus on describing the decoder network $g^\theta$. When training variational auto-encoders, we utilize another encoder network $h^\phi : \mathbb{R}^{3n} \to \mathcal{Z}$, the mirror of $g^\theta$ but has different network weights. In other words, $h^\phi$ has the identical network layers as $g^\theta$, but the connections are reversed.

In this paper, we model $g^\theta$ using six layers. The second to the sixth layers are the same as the network architecture of [28]. Motivated from [64], we let the first layer concatenate the latent features associated with each vertex of the coarse mesh as input. Between the input and the first activation is a fully connected layer. Please refer to the supp. material for details.

5.2. Variational Auto-Encoder

Given a collection of training meshes $T = \{g_i | 1 \leq i \leq N\}$, we solve the following optimization problem to train the auto-encoder that combines $g^\theta$ and $h^\phi$:

$$
\min_{\theta, \phi} \frac{1}{N} \sum_{i=1}^{N} \|g^\theta(h^\phi(g_i)) - g_i\| + \lambda_{KL} KL(\{h^\phi(g_i)\} | \mathcal{N}_k) + \lambda_{\text{reg}} L_{\text{reg}}(\theta) \tag{10}
$$

where the first two terms of (10) form the standard VAE loss. In this paper, we set $\lambda_{KL} = 1$ and $\lambda_{\text{reg}} = 10$. For network training, we employ ADAM [24].

5.3. Auto-Decoder

The auto-decoder formulation [59, 61] replaces the encoder with latent variables $z_i$ associated with the training meshes:

$$
\min_{\theta, \{z_i\}} \frac{1}{N} \sum_{i=1}^{N} \|g^\theta(z_i) - g_i\| + \lambda_{KL} KL(\{z_i\} | \mathcal{N}_k) + \lambda_{\text{reg}} L_{\text{reg}}(\theta) \tag{11}
$$

where we use the same hyper-parameters as Section 5.2.

We apply alternating minimization to solve (11). The latent parameters $z_i$ are initialized as an empirical distribution of $\mathcal{N}_k$. When $z_i$ are fixed, (11) reduces to

$$
\min_{\theta} \frac{1}{N} \sum_{i=1}^{N} \|g^\theta(z_i) - g_i\| + \lambda_{\text{reg}} L_{\text{reg}}(\theta) \tag{12}
$$
We again employ ADAM [24] to solve (12). Our implementation applies one epoch of optimizing \( \theta \) for each alternating optimization iteration. When the network parameters \( \theta \) are fixed, (11) reduces to

\[
\min_{\{z_i\}} \frac{1}{N} \sum_{i=1}^{N} \| g^\theta(z_i) - g_i \| + \lambda_{KL}KL(\{z_i\}|N_k)
\]

(13)

We again employ ADAM [24] to optimize \( z_i \). Similarly, our implementation applies one epoch of optimizing \( z_i \) for each alternating iteration. The total number of alternating iterations is set as 30 in this paper.

6. Experimental Evaluation

This section presents an experimental evaluation of ARAPReg. In Section 6.1, we present the experimental setup. We then analyze the experimental results in Section 6.2. Finally, Section 6.3 and Section 6.4 describe an ablation study of the ASARReg loss and an evaluation of the shape interpolation application. Due to space issues, we defer more results and comparisons to the supp. material.

6.1. Experimental Setup

Datasets. The experimental evaluation considers three datasets: DFAUST [5], SMAL [66], and Bone. The DFAUST dataset consists of 37,197 human shapes for training and 4,264 shapes for testing. All the shapes are generated using the SMPL model [29]. For the SMAL dataset, we randomly generate 400 shapes for following the shape sampling method in [15], where latent vectors are sampled from a normal distribution with zero mean and 0.2 standard deviations. We split them into 300 training shapes and 100 testing shapes. The Bone dataset consists of four categories of real bones: Femur, Tibia, Pelvis, and Scapula, where each category has 40 training and 10 testing shapes. The consistent correspondences are obtained from interpolating landmark correspondences marked by experts.

Baseline approaches. We evaluate on four baselines: SP-Disentangle [63], CoMA [39], 3DMM [7], and MeshConv [64]. They together represent the state-of-the-art results on learning mesh generators from a collection of meshes with dense correspondences. We evaluate the effectiveness of ARAPReg on these baselines and the absolute performance of our approach against these baselines.

Evaluation metrics. Besides qualitative evaluations, we employ the reconstruction error metric (c.f. [39, 7, 64]) for quantitative evaluations. Specifically, we compute the average per-vertex Euclidean distance for the input and reconstructed meshes. For VAE, the latent variable is given by the encoder. For AD, we optimize the latent variable to find the best reconstruction (c.f. [59, 61]). The output shape is obtained by feeding the latent variable to the decoder.

6.2. Analysis of Results

Table 1 compares our approach and baseline approaches in terms of the reconstruction error. Under the AD framework, our approach reduces the reconstruction error of baseline approaches by 16.8%, 16.6%, and 6.7% on DFAUST, SMAL, and Bone, respectively. As the optimal latent-variable is optimized, AD framework achieves better quality than VAE framework.

Figure 5 illustrates the reconstruction errors visually. Our approach improves from baseline approaches considerably. In particular, it improves from the top-performing approach MeshConv [64] at locations with large deformations (e.g., arms of humans) and non-rigid deformations (e.g., arms and torsos of humans). These improvements come from modeling the preservation of the local rigidity among neighboring shapes in the underlying shape space. Please refer to the supp. material for more results.

Figure 8 and the supp. material shows randomly generated shapes under our trained full VAE and AD models (i.e., with ARAPReg). We can see that the generated shapes nicely preserve important shape features such as fingers and faces of human shapes and tails of animal shapes. Moreover, the generated shapes are different from the closest training shape, indicating that the learned mesh generator has a strong generalization ability.

6.3. Ablation Study

Table 1 shows our quantitative reconstruction results with and without ARAPReg under the VAE and AD settings. The effects of ARAPReg are salient. Under the AD setting, ARAPReg leads to 12.6%, 23.5%, and 4.5% reductions of the reconstruction error on DFAUST, SMAL, and Bone, respectively. Table 2 further shows the effect of ARAPReg on various baselines under the VAE reconstruction pipeline. ARAPReg reduces the reconstruction error by building a better shape space that preserves the local rigidity constraint.
6.4. Shape Interpolation

We proceed to evaluate the effects of ARAPReg for the application of shape interpolation. Given two shapes $g_1$ and $g_2$, we first obtain their corresponding latent parameters $z_1$ and $z_2$. For the VAE model, $z_i$ comes from the encoder. For the AD model, $z_i$ comes from optimizing the reconstruction error. The interpolation is then done by linearly interpolating $z_1$ and $z_2$.

Figure 6 compares our approach and baseline approaches on shape interpolation. We can see that our approach’s interpolated shapes are smoother and more shape-preserving than those of the baseline approaches. Specifically, prominent shape features such as fingers are better preserved in our approach. Moreover, our approach introduces less distortion among joint regions.

6.5. Shape Extrapolation

We also evaluate the effects of ARAPReg for the application of shape extrapolation. Given a center shape $g$, we first obtain its corresponding latent parameters $z$. For the VAE model, $z$ comes from the encoder. For the AD
model, \( z \) comes from optimizing the reconstruction error. The extrapolation is then done by randomly sampling \( \tilde{z} \sim z + \mathcal{N}(0, \sigma^2 S) \), where \( S \) denotes scale for each latent dimension. We choose \( \sigma = 0.2 \) for all datasets.

Figure 7 compares our approach and baseline approaches on shape extrapolation. We can see that our approach’s generated shapes are smoother and more reasonable than baseline approaches in areas such as tails of animals, hands and arms of human.

7. Conclusions and Limitations

This paper introduces ARAPReg, an unsupervised loss functional for training shape generators. Experimental results show that enforcing this loss on meshed shape generators improves their performance. The resulting mesh generators produce novel generated shapes that are shape-preserving at multiple scales.

ARAPReg has several limitations which can inspire future work. First, so far, ARAPReg only applies to training datasets with given correspondences. An interesting problem is to address unorganized shape collections that do not possess dense correspondences. Besides pre-computing correspondences, a promising direction is to explore the simultaneous learning of the shape correspondences and the shape generator. Another limitation of ARAPReg is that it targets realistically deformable shapes. Future directions are to study how to extend the formulation to handle synthetically generated shapes of any form and function.

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