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Learning Skeletal Graph Neural Networks for Hard 3D Pose Estimation

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Abstract

Various deep learning techniques have been proposed to solve the single-view 2D-to-3D pose estimation problem. While the average prediction accuracy has been improved significantly over the years, the performance on hard poses with depth ambiguity, self-occlusion, and complex or rare poses is still far from satisfactory. In this work, we target these hard poses and present a novel skeletal GNN learning solution. To be specific, we propose a hop-aware hierarchical channel-squeezing fusion layer to effectively extract relevant information from neighboring nodes while suppressing undesired noises in GNN learning. In addition, we propose a temporal-aware dynamic graph construction procedure that is robust and effective for 3D pose estimation. Experimental results on the Human3.6M dataset show that our solution achieves 10.3% average prediction accuracy improvement and greatly improves on hard poses over state-of-the-art techniques. We further apply the proposed technique on the skeleton-based action recognition task and also achieve state-of-the-art performance. Our code is available at https://github. com/ailingzengzzz/Skeletal-GNN.

1. Introduction

Single-view skeleton-based 3D pose estimation problem plays an important role in numerous applications, such as human-computer interaction, video understanding, and human behavior analysis. Given the 2D skeletal positions detected by a 2D keypoint detector (e.g., [3, 26, 37]), this task aims to regress the 3D positions of the corresponding joints. It is a challenging task and has drawn lots of attention from academia in recent years. Even though the average performance increases steadily over the years, the prediction errors on some poses are still quite high.

Fig. 1 shows some examples in a widely used dataset, Human3.6M [9]. Some actions (e.g., "Sit" and "Sit Down") contain many poses with depth ambiguity, self-occlusion, or complex poses. Also, there inevitably exist some poses rarely seen in the training dataset. Similar to the definition of hard examples in object detection [16] and semantic segmentation [14], we collectively regard those poses with high prediction errors as *hard poses*.

Early attempts [23, 28] simply use fully-connected networks (FCN) to lift the 2D keypoints into 3D space. However, the dense connection of FCN is prone to overfit, leading to relatively poor performance. To tackle this problem, geometric dependencies are incorporated into the network in [7, 27, 38, 45], which significantly improve prediction accuracy. As articulated human body can be naturally modeled as a graph, with the recent development of graph neural networks (GNN) [10, 12, 1, 40, 46], various GNN-based methods [48, 6, 19, 2, 50] are proposed in the literature for 2D-to-3D pose estimation.

GNN-based solutions naturally capture the relationship between body joints. For a target node, aggregating features from its neighboring nodes facilitates bringing in semantic information to relieve the uncertainty in estimating its 3D position. In other words, for the estimation of a particular node in the graph (e.g., left hand), both its direct neighbor (i.e., left elbow) and other nodes that are multiple hops away in the graph (e.g., left shoulder and even right foot in some poses) may provide useful information that contributes to the position estimation of the target node, and the learning of skeletal graph neural networks is to capture such context information for better 2D-to-3D pose estimation. However, existing GNN-based solutions do not fully tap the potential of the skeleton graph. The reasons are two-fold:

- The power of graph neural networks lies in the aggregation of neighboring nodes, which, however, contributes both useful information and undesired noises. On the one hand, aggregating distant nodes in the skeletal graph does provide useful information; On the other hand, the more distant the nodes, the more likely undesired noises are introduced into the aggregation procedure. Existing works do not consider such signal-to-noise issues in message passing over the GNN.
- The relationship between body joints varies with different poses. For example, for poses in "running", the hand-foot joints are closely related, while for poses in "Sitting", there is no such strong relationship. It is rather difficult to capture such information with a static

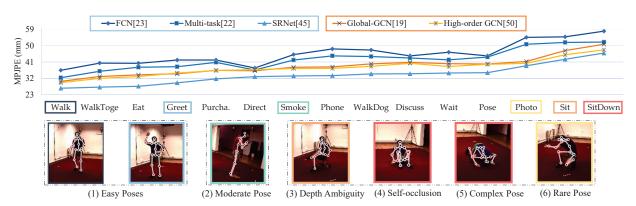


Figure 1: The examples of two *easy poses*, one *moderate pose*, and four kinds of *hard poses* in 2D-to-3D pose estimation with 2D detected poses as inputs (shown in the images). Although rapid progress has been made in this field, both non-graph methods and graph-based ones yield large prediction error on these *hard poses*.

skeleton graph across all poses.

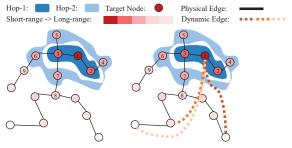
This work proposes novel skeletal GNN learning solutions to mitigate the above problems, especially for hard poses. Our contributions are summarized as follows:

- We propose a *hop-aware* hierarchical *channel-squeezing* fusion layer to extract relevant information from neighboring nodes effectively while suppressing undesired noises. This is inspired by the feature squeezing works [49, 43, 44], wherein channel size is reduced to keep valuable information in each layer. Specifically, we squeeze long-range context features (i.e., information from distant nodes) and fuse them with short-range features in a hierarchical manner.
- Inspired by GNN-based action recognition work [35, 42, 20], we build dynamic skeletal graphs, wherein the edges between nodes are not only from the fixed human skeleton topology but also the node features to capture action-specific poses. To cope with the change of dynamic graphs over time and relieve outliers from frame-level features, we further integrate temporal cues into the learning process of dynamic graphs. The proposed temporal-aware dynamic graph construction procedure is robust and effective for 2D-to-3D pose estimation.

We conduct experiments on Human3.6M dataset [9], and the proposed solution outperforms state-of-the-art techniques [45] in 3D pose estimation by 10.3% on average, and greatly improves on *hard poses*. Compared to state-of-the-art GNN-based solutions, we surpass [6] by **16.3**%. As the proposed method is a plug-and-play module, we further integrate it into the skeleton-based action recognition framework, achieving state-of-the-art performance.

2. Preliminaries and Motivation

This work focuses on GNN-based 3D pose estimation. We first describe the general skeletal GNN construction



(1) Standard Physical Topology (2) Physical with Dynamic Topology

Figure 2: The illustration of a skeletal graph with human physical edges and action-specific dynamic edges.

procedure in Sec. 2.1. Next, we discuss existing GNNbased solutions for 3D pose estimation in Sec. 2.2. Finally, Sec. 2.3 motivates this work.

2.1. Skeletal Graph Neural Network

The human skeleton can be naturally modeled as a graph as shown in Fig. 2(a). The nodes in the graph represent the 2D positions of human joints, and the edges between two joints denote bone connections. Hop-k demonstrates the shortest path k between two nodes. For instance, take node 1 (right shoulder) as the target node, node 2 (right elbow) and node 3 (neck) are its hop-1 neighbors (masked in dark blue); while nodes 4 (right hand), 5 (head), 6 (left shoulder), and 7 (spine) are its hop-2 neighbors (masked in light blue).

In a graph neural network, adjacency matrix determines the information passing among nodes, and the objective of the learning procedure is to obtain each node's features by aggregating features from its neighboring nodes. As hop-kincreases during message passing, the information passed from the corresponding neighbors varies from short-range context to long-range context.

2.2. GNN-Based 3D Human Pose Estimation

Recently, various GNN-based methods [48, 6, 2, 18, 19, 50] are proposed in the literature for 3d pose estimation. As

weight sharing strategy restricts the representation power, a locally connected network (LCN) [6] is proposed to learn the weight of each node individually for enhancing the representation differences among nodes, achieving better generalization capability.

High-order GCN [50] explores different aggregation methods on high-order neighbors to capture the long-range dependencies among nodes. However, it may introduce more noises from less-related nodes without differentiating the impacts between short-range and long-range contexts.

Furthermore, some recent works [48, 6] try to learn the edge weights of the skeletal graph. However, without changing the graph topology, the effectiveness of such a dynamic solution is limited, especially for rare poses.

2.3. Observation and Motivation

Fig. 1 shows the prediction accuracy of recent 3D pose estimation methods [23, 31, 45, 19, 50]. As can be observed from this figure, most of them suffer from poor performance for some complex actions, such as, "Sit," "Sit Down," and "Take Photos." We attribute this phenomenon to that these hard poses require both short-range and long-range context information for better estimation. Meanwhile, existing solutions do not fuse them effectively in the learning process.

Distant neighbors pass not only valuable semantic information but also irrelevant noise. Existing GNN-based methods try to aggregate the semantic information in both short-range and long-range neighbors. However, they ignore that the messages passed from distant neighbors also contain irrelevant noise. For example, "Walking" has a clear pattern, which contains strong correlation between arm and leg. Intuitively, we need to take both of them into account. However, due to some personalized style, besides the action pattern, there is also some heterogeneous noise. Therefore, it is necessary to suppress such irrelevant noise. Interestingly, through experiments, we observe that such noise is sensitive to the channel dimension. The channel dimension can constrain the amount of information and noise passed among nodes in a skeleton graph. In other words, an effective channel squeezing strategy could filter out undesired noise while keeping valuable information. Consequently, we propose a hop-aware hierarchical channelsqueezing transform on long-range features to improve aggregation effectiveness in skeletal GNN learning.

Dynamic graph construction is useful but should be delicately designed. Existing GNN-based methods construct the graph based on the physical skeleton topology [6, 48], like Fig. 2(a). However, the strong hidden relationships among nodes vary with actions. By constructing a dynamic graph as shown in Fig. 2(b), such a relationship might be more useful than physical features. Although the dynamic graphs seem intuitive for representing different motion-specific relations, it usually seems vulnerable to the

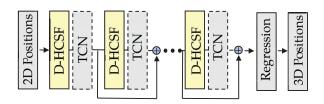


Figure 3: The framework of our method. The key is a specially designed module called Dynamic Hierarchical Channel-Squeezing Fusion layer (*D*-*HCSF*), shown in Fig. 4 with details.

single-frame outliers. Thus, we introduce temporal information to make dynamic graph learning robust.

3. Method

In this work, our goal is to reduce errors of 3D human pose estimation, especially on *hard poses*. More specifically, given 2D keypoints $X \in \mathbb{R}^{N \times 2}$, with N nodes, the model outputs better 3D positions $Y \in \mathbb{R}^{N \times 3}$. The framework is designed based on the observations and motivations in Sec. 2.3, and shown in Fig 3. The core of our framework is the module: a Dynamic Hierarchical Channel-Squeezing Fusion Layer (*D*-*HCSF*) shown in Fig 4. It contains a hierarchical channel-squeezing fusion scheme for updating features of each node (Fig 4), and a temporal-aware dynamic graph learning component for updating the dynamic graph.

In this section, we first revisit the formulation of generic GCN [10] and LCN [6] in Sec. 3.1. Then, we introduce our hierarchical channel-squeezing fusion scheme in Sec. 3.2. Finally, we propose the dynamic graph learning and consider temporal-aware strategy in this process in Sec 3.3.

3.1. Vanilla Graph Neural Network

Given a graph $\mathcal{G} = (\mathcal{V}, \mathcal{E})$, it consists of the nodes \mathcal{V} and the edges \mathcal{E} . We revisit a generic GCN [10] layer defined as follows:

$$\mathbf{H} = \sigma(\mathbf{AXW}),\tag{1}$$

where $\mathbf{A} \in \mathbb{R}^{N \times N}$ is an adjacency matrix with N nodes, indicating the connections between nodes. If the joint j is dependent on the joint i, then $a_{ij} = 1$. Otherwise, the connections are set to zero $a_{ij} = 0$. We denote the input node features as $\mathbf{X} \in \mathbb{R}^{N \times C_{in}}$, the learnable weight matrix as $\mathbf{W} \in \mathbb{R}^{C_{in} \times C_{out}}$, and the activation function as $\sigma(\cdot)$. For simplification, we ignore the $\sigma(\cdot)$ in the following formulas.

The GCN's representation power is limited by weight sharing strategy in node regression problem, while Ci et al. [6] propose a locally connected network (LCN), which introduces the node-wise trainable parameters to enhance the differences among node features. This aggregation scheme learns different relations among different nodes. Accordingly, we recap its basic formulation. For clarity purposes, we take the embedding learning of node i from the direct neighbors in a layer as an example:

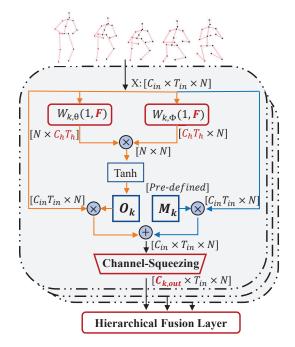


Figure 4: The architecture of our Dynamic Hierarchical Channel-Squeezing Fusion (D-HCSF) layer under k hops. Each dotted box consists of two streams: a weighted graph learning branch based on fixed physical edges (blue lines) and a dynamic graph learning branch to update the graph based on the node features adaptively (orange lines).

$$\mathbf{h}_{i} = \sum_{j \in \mathcal{N}_{1,i}} (\hat{a}_{ij} \mathbf{x}_{j} \mathbf{W}_{ij}), \qquad (2)$$

where $\mathcal{N}_{1,i}$ contains the self-node and direct neighbors (hop=1) of the node *i*. We denote \hat{a}_{ij} as the value of i_{th} row and j_{th} column in the adjacency matrix $\hat{\mathbf{A}}$, which distinctly aggregates features among neighbors. \mathbf{x}_j is the inputs of the neighbor *j*. $\mathbf{W}_{ij} \in \mathbb{R}^{C_{in} \times C_{out}}$ denotes the learnable weights between the node pair (i, j), and \mathbf{h}_i is the updated features of the node *i*. Hence, the final output \mathbf{H} is represented by the concatenation of all node features.

3.2. Hierarchical Channel-Squeezing Fusion Layer

Inspired by the first observation in Sec. 2.3, we find (i) hierarchical spatial features are important to capture better short-to-long range context; (ii) distinguishing short-range and long-range context in fusion strategies is necessary to relieve irrelevant long-range context while keeping their essential components in hard pose estimation. Thus, as illustrated in Fig. 5 (c), we propose a hierarchical channel-squeezing fusion layer to reach the above hypothesis.

Hierarchical fusion layer. Accordingly, we take nodewise aggregation LCN [6] as a baseline. To capture different ranges in spatial context, we generalize Eq. 2 by modifying the direct neighbors $\mathcal{N}_{1,i}$ to hop-k neighbors $\mathcal{N}_{k,i}$. Then, we can get updated features $\mathbf{h}_{k,i}$ from hop-k neighbors.

To integrate multi-hop features in a layer, we propose a hierarchical fusion block as follows. It consists of two parts. First, we consider the short-range features $h_{S,i}$ within hop-S, which contain the most essential context of the target node *i*. We thus keep the whole information without squeezing them. We then define a farthest hop L to obtain the potentially useful information as a set of long-range context $\mathcal{H}_{L,i}$ defined as:

$$\mathbf{h}_{S,i} = \sum_{j \in \mathcal{N}_{S,i}} (\hat{a}_{ij} \mathbf{x}_j \mathbf{W}_{ij}), \tag{3}$$

$$\mathcal{H}_{L,i} = \{ \mathbf{h}_{k,i} | k = S + 1, ..., L \},$$
(4)

where S is less than or equal to L, set empirically.

To fuse features from different contexts, we introduce two fusion functions, namely \mathcal{F}_k and \mathcal{F}_a , to form a twostage procedures. \mathcal{F}_k first transforms a set of long-range features $\mathcal{H}_{L,i}$ to obtain a fused long-range features $\mathbf{h}_{L,i}$, and then \mathcal{F}_a fuses $\mathbf{h}_{L,i}$ with short-range features $\mathbf{h}_{S,i}$ to get output $\mathbf{h}_{a,i}$. We refer to such two-step fusion scheme as *hierarchical fusion block*. Finally, we process the feature $\mathbf{h}_{a,i}$ through a transformation \mathbf{W}_a to obtain an final output \mathbf{h}_i with pre-defined dimension. Formally, the final output \mathbf{h}_i of this fusion layer is defined as:

$$\mathbf{h}_{i} = \mathcal{F}_{a}[\mathbf{h}_{S,i}, \mathcal{F}_{k}(\mathcal{H}_{L,i})]\mathbf{W}_{a}.$$
(5)

Channel-Squeezing block. To retain useful information while suppressing the irrelevant information of long-range context, we hypothesize that the context contains less relevant information. Hence, we propose a set of bottleneck transformations, named Channel-Squeezing Blocks to filter irrelevance by an end-to-end learning scheme.

To reach the above hypothesis, we distinguish the learnable matrix $\mathbf{W}_{ij} \in \mathbb{R}^{C_{in} \times C_{k,out}}$ from its output size $C_{k,out}$ of long-range context $\mathcal{H}_{L,j}$. Moreover, based on the theoretical Information Gain (IG) analysis on the hop-k [8] measured by the average KL-divergence, where the information gain IG(k) of hop k always fits well on the function ae^{-bk} , where a, b > 0. It means the information gain from long-range context will be decreased exponentially. In our condition, we propose a simplified relation function to decide the output size $C_{k,out}$ for each long-range context to reflect the decay of useful information as k increases.

$$C_{k,out} = d^{(k-L)} * C_{in},\tag{6}$$

where $d \in [0, 1]$ indicates the channel squeezing ratio, and $k \in [S + 1, L]$. For short-range context $\mathbf{h}_{S,i}$, the output channels of $\mathbf{W}_{i,j}$ keep the same as C_{in} without squeezing to reduce the loss of useful features. Compared with Eq. 2, LCN is a special kind of HCSF module when $C_{k,out}$ is a constant across hops, and $\mathcal{F}_k, \mathcal{F}_a$ are summations.

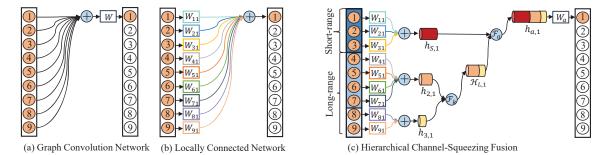


Figure 5: The architectures of (a) Graph Convolution Network (GCN) [10], (b) Locally Connected Network (LCN) [6], and (c) Our Hierarchical Channel-Squeezing Fusion (HCSF). We take the feature updating of node 1 as an example. The index of the node corresponds to Fig. 2.

Please noted that the HCSF layer could also adapt to other graph-based frameworks, like GCN [10], Pre-Agg, Post-Agg [19], the difference lies in weight sharing schemes, which is orthogonal to our approach.

3.3. Temporal-aware Dynamic Graph Learning

In this subsection, we present a framework when dealing with dynamic skeleton topology. Based on the second observation in Sec. 2.3, with a static graph, the model is hard to properly reflect the relations, especially for *hard poses*.

Learning dynamic graph. We learn the dynamic graph from two streams, where one is to update by physical skeleton topology, and the other is to update through features of nodes. For the first stream (Fig. 4 blue lines), we initialize the graph M_k as the physical skeleton topology following SemGCN [48]. Then, this graph will be updated during training. After training, this graph M_k keeps fixed during inference and reflects the distribution of the whole dataset.

As the poses change, the connections should be varied during testing to capture motion-specific relations. Hence, we introduce a scheme for the second stream (Fig. 4 orange lines) to learn the graph from input features dynamically. Then, the graph can be adapted to the input pose during the inference. The input feature **X** is separately transformed by $\mathbf{W}_{k,\theta}$ and $\mathbf{W}_{k,\phi}$ for each branch. After multiplying two transformed features, we obtain a $N \times N$ matrix indicating relations among nodes.

$$\mathbf{O}_{k} = \mathcal{F}\{[(\mathbf{X})^{tr}\mathbf{W}_{k,\theta}][(\mathbf{W}_{k,\phi})^{tr}\mathbf{X}]\},\tag{7}$$

where \mathcal{F} denotes the activation function (i.e., Tanh), and tr denotes the transpose of a matrix. The output O_k generates unique connections changing adaptively with input X.

Since learning dynamic graphs directly from input features may be sensitive to outliers (e.g., jitter and missing joints). We regard O_k as dynamic offsets to refine the weighted graph M_k . Hence, the final formulation of the dynamic graph of Hop-k is as follows:

$$\mathbf{A}_k = \mathbf{M}_k + \alpha \mathbf{O}_k,\tag{8}$$

where α is a learnable scalar to adjust the scale of dynamic offsets. Then, we can aggregate the hop-k features by \mathbf{A}_k , and the following fusion block is the same as Eq. 5.

Temporal-aware dynamic graph. The connections between nodes may naturally vary over space and time, and the frame-level dynamic graph will suffer from unstable inputs, it is potential to optimize the dynamic graph learning process combining spatial and temporal context as a whole. In terms of introducing temporal information, the input will add a time dimension, where $\mathbf{X} \in \mathbb{R}^{C_{in} \times T_{in} \times N}$.

We develop a temporal-aware scheme in Eq. 7. Instead of only applying linear transformations in spatial domain, we integrate temporal context by 1D temporal convolution layer with a kernel size of $1 \times F$. It can smooth and filter the spatial outliers in input **X** to make the learning process robust.

4. Experiment

In this section, we perform experimental studies to demonstrate the effectiveness of the proposed solution.

4.1. 3D Human Pose Estimation

4.1.1 Data Description

- Human3.6M [9] consists of 3.6 million video frames with 15 actions from 4 camera viewpoints, where accurate 3D human joint positions are captured from high-speed motion capture system. Following previous works [6, 2, 45, 23], we adopt the standard cross-subject protocol with 5 subjects (S1, S5, S6, S7, S8) as training set and another 2 subjects (S9, S11) as test set. It is commonly evaluated by two metrics, namely *the mean per joint position error (MPJPE)* with 17 joints of each subject and *the Procrustes Analysis MPJPE (PA-MPJPE)* to relieve the inherent scale, rotation, and translation problems.

- *MPI-INF-3DHP* [24, 25] contains both constrained indoor scenes and complex outdoor scenes, covering a greater diversity of poses and actions, where it is usually taken as a cross-dataset setting to verify the generalization ability of the proposed methods. For evaluation, we follow common

| Method | Direct | Discuss | Eat | Greet | Phone | Photo | Pose | Purcha. | Sit | SitD | Smoke | Wait | WalkD | Walk | WalkT | Avg. |
|----------------------|--------|---------|------|-------|-------|-------|------|---------|------|-------|-------|------|-------|------|-------|------|
| Luvizon et al. [22] | 63.8 | 64.0 | 56.9 | 64.8 | 62.1 | 70.4 | 59.8 | 60.1 | 71.6 | 91.7 | 60.9 | 65.1 | 51.3 | 63.2 | 55.4 | 64.1 |
| Martinez et al. [23] | 51.8 | 56.2 | 58.1 | 59.0 | 69.5 | 78.4 | 55.2 | 58.1 | 74.0 | 94.6 | 62.3 | 59.1 | 65.1 | 49.5 | 52.4 | 62.9 |
| Park et al.[27] | 49.4 | 54.3 | 51.6 | 55.0 | 61.0 | 73.3 | 53.7 | 50.0 | 68.5 | 88.7 | 58.6 | 56.8 | 57.8 | 46.2 | 48.6 | 58.6 |
| Wang et al. [38] | 47.4 | 56.4 | 49.4 | 55.7 | 58.0 | 67.3 | 46.0 | 46.0 | 67.7 | 102.4 | 57.0 | 57.3 | 41.1 | 61.4 | 40.7 | 58.0 |
| Zhao et al. [48]† | 47.3 | 60.7 | 51.4 | 60.5 | 61.1 | 49.9 | 47.3 | 68.1 | 86.2 | 55.0 | 67.8 | 61.0 | 42.1 | 60.6 | 45.3 | 57.6 |
| Zou et al. [50]† | 49.0 | 54.5 | 52.3 | 53.6 | 59.2 | 71.6 | 49.6 | 49.8 | 66.0 | 75.5 | 55.1 | 53.8 | 58.5 | 40.9 | 45.4 | 55.6 |
| Liu et al. [19]† | 48.4 | 53.6 | 49.6 | 53.6 | 57.3 | 70.6 | 51.8 | 50.7 | 62.8 | 74.1 | 54.1 | 52.6 | 58.2 | 41.5 | 45.0 | 54.9 |
| Ci et al. [6]† | 46.8 | 52.3 | 44.7 | 50.4 | 52.9 | 68.9 | 49.6 | 46.4 | 60.2 | 78.9 | 51.2 | 50.0 | 54.8 | 40.4 | 43.3 | 52.7 |
| Liu et al. [18]† | 46.3 | 52.2 | 47.3 | 50.7 | 55.5 | 67.1 | 49.2 | 46.0 | 60.4 | 71.1 | 51.5 | 50.1 | 54.5 | 40.3 | 43.7 | 52.4 |
| Pavllo et al. [28] | 47.1 | 50.6 | 49.0 | 51.8 | 53.6 | 61.4 | 49.4 | 47.4 | 59.3 | 67.4 | 52.4 | 49.5 | 55.3 | 39.5 | 42.7 | 51.8 |
| Cai et al. [2]† | 46.5 | 48.8 | 47.6 | 50.9 | 52.9 | 61.3 | 48.3 | 45.8 | 59.2 | 64.4 | 51.2 | 48.4 | 53.5 | 39.2 | 41.2 | 50.6 |
| Zeng et al. [45] | 44.5 | 48.2 | 47.1 | 47.8 | 51.2 | 56.8 | 50.1 | 45.6 | 59.9 | 66.4 | 52.1 | 45.3 | 54.2 | 39.1 | 40.3 | 49.9 |
| Ours-HCSF † | 43.4 | 49.7 | 45.1 | 47.6 | 50.7 | 57.5 | 47.1 | 45.9 | 56.5 | 61.1 | 49.8 | 47.1 | 51.4 | 35.8 | 37.8 | 48.4 |
| Ours-HCSF w/A† | 43.1 | 50.4 | 43.9 | 45.3 | 46.1 | 57.0 | 46.3 | 47.6 | 56.3 | 61.5 | 47.7 | 47.4 | 53.5 | 35.4 | 37.3 | 47.9 |
| Martinez et al. [23] | 37.7 | 44.4 | 40.3 | 42.1 | 48.2 | 54.9 | 44.4 | 42.1 | 54.6 | 58.0 | 45.1 | 46.4 | 47.6 | 36.4 | 40.4 | 45.5 |
| Pham et al. [31] | 36.6 | 43.2 | 38.1 | 40.8 | 44.4 | 51.8 | 43.7 | 38.4 | 50.8 | 52.0 | 42.1 | 42.2 | 44.0 | 32.3 | 35.9 | 42.4 |
| Zhao et al. [48]† | 37.8 | 49.4 | 37.6 | 40.9 | 45.1 | 41.4 | 40.1 | 48.3 | 50.1 | 42.2 | 53.5 | 44.3 | 40.5 | 47.3 | 39.0 | 43.8 |
| Wang et al. [38] | 35.6 | 41.3 | 39.4 | 40.0 | 44.2 | 51.7 | 39.8 | 40.2 | 50.9 | 55.4 | 43.1 | 42.9 | 45.1 | 33.1 | 37.8 | 42.0 |
| Liu et al. [19]† | 36.2 | 40.8 | 33.9 | 36.4 | 38.3 | 47.3 | 39.9 | 34.5 | 41.3 | 50.8 | 38.1 | 40.1 | 40.0 | 30.3 | 33.0 | 38.7 |
| Liu et al. [18]† | 36.8 | 40.3 | 33.0 | 36.3 | 37.5 | 45.0 | 39.7 | 34.9 | 40.3 | 47.7 | 37.4 | 38.5 | 38.6 | 29.6 | 32.0 | 37.8 |
| Ci et al. [6] † | 36.3 | 38.8 | 29.7 | 37.8 | 34.6 | 42.5 | 39.8 | 32.5 | 36.2 | 39.5 | 34.4 | 38.4 | 38.2 | 31.3 | 34.2 | 36.3 |
| Zeng et al. [45] | 32.9 | 34.5 | 27.6 | 31.7 | 33.5 | 42.5 | 35.1 | 29.5 | 38.9 | 45.9 | 33.3 | 34.9 | 34.4 | 26.5 | 27.1 | 33.9 |
| Ours-HCSF † | 29.0 | 34.1 | 27.3 | 31.7 | 28.8 | 34.8 | 34.4 | 27.3 | 33.5 | 38.9 | 30.4 | 32.3 | 29.7 | 24.6 | 25.2 | 30.8 |
| Ours-HCSF w/A † | 26.8 | 33.2 | 26.7 | 30.0 | 30.8 | 36.7 | 31.5 | 27.4 | 33.1 | 38.0 | 30.8 | 31.8 | 30.3 | 23.9 | 25.0 | 30.4 |

Table 1: Comparison of single-frame 3D pose estimation in terms of MPJPE on Human3.6M. Works above the double line show results from detected 2D poses, and the below results are from 2D ground truth inputs to explore the upper bound of these methods. We highlight the graph-based methods by \dagger . w/A denotes using dynamic graphs. Best results in bold.

practice [6, 38, 45] by using the Percentage of Correct Keypoints (PCK) with a threshold of 150mm and the Area Under Curve (AUC) for a range of PCK thresholds.

4.1.2 Method Comparison

In terms of the frames of inputs, *single-view* 3D pose estimation can be divided into *single-frame* and *temporal* settings. We first compare our **HCSF** module and **Dynamic graph learning** with other previous works under the *singleframe* setting. Then, we extend to the *temporal* setting to compare related works with our **Temporal-aware dynamic graph learning with HCSF** scheme.

Comparison with Single-frame methods. As shown in Tab. 1, we compare our methods with other baselines. Under the standard protocol with 2D detected inputs [3], our methods can improve the graph-based method [2] from 50.6mm to 47.9mm (relative 5.3% improvement), and surpass the non-graph based method [45] by 2.0mm (relative 4.0% improvement). Since the results of 2D detected poses would affect uncertainty, it is better to consider using 2D ground truth as input to explore the upper bound of these methods. Accordingly, with 2D ground truth inputs, our proposed model improves the graph-based stateof-the-art [6] from 36.3mm to 30.4mm (relative 16.3% improvement). Although LCN [6] aggregates long-range (L = 3) information to relieve depth ambiguities, it ignores the fact that distant joints may bring more disruptions while they still contain certain useful information. The proposed HCSF module considers this effect by squeezing the different hop features into different latent spaces and then hierarchically fusing them. Moreover, our method surpasses state-of-the-art non-GNN method [45] by 3.5mm (relative **10.3**% improvement), which further proves the effectiveness among the general methods.

Comparison with *Temporal* **methods.** We compare with temporal methods with nine frames as inputs and Tab. 2 shows the comparison in terms of average error. For all methods, we select their reported results with similar input frames for comparison. The result shows that the proposed method can outperform previous approaches consistently. With temporal-aware dynamic graph construction, the proposed solution further improves the result by 0.7mm.

Improvements on hard poses. As discussed earlier, we define hard poses as those with high prediction errors and *they are model-specific*. That is, while hard poses have some inherent characteristics (e.g., depth ambiguity and self-occlusion), they are handled differently with different models [6, 48, 45, 50]. Consequently, one pose that exhibits large errors on one model may show satisfactory results on another model and vice versa (we show more detailed analyses in the supplemental material). However, statistically speaking, if a model handles hard poses better, it would have the following properties: (1) those actions with high prediction errors would be improved more; (2) the proportion of poses with high errors would be smaller; (3) the upper bound of high-error poses would be smaller.

Compared with state-of-the-art solution [45], our method reduces the prediction errors by 7.9mm, 6.1mm, 5.8mm, and 5.8mm (relative 17.2%, 18.5%, 14.9%, 13.6% improvements) on the actions "SitDown", "Direct", "Sit" and "Photo", respectively. The average improvement of the hard poses is **16.1**% in Tab. 1. Next, in Fig. **6**, we compare the error distribution in the test set with four existing solutions [23, 48, 6, 45]. We can observe that there are much fewer poses with high prediction errors with our proposed

| Method | Hossain et al. [33] | Lee et al. [11] | Pavllo et al. [28] | Cai et al. [2] | Lin et al. [15] | Ours w/o T | Ours |
|------------|---------------------|-----------------|--------------------|----------------|-----------------|------------|------|
| MPJPE (mm) | 58.3 | 52.8 | 49.8 | 48.8 | 48.8 | 46.4 | 45.7 |

Table 2: Comparison on *temporal 2D detected poses input* with similar input frames (5, 3, 9, 7, 10, 9, 9 frames, individually) for comparison. The noted w/o *T* denotes using dynamic graphs without temporal-aware scheme.

solution. Specially, there are only 3.6% cases with MPJPE above 60mm with our solution, while it is more than 6% with all the other methods. In fact, the number of cases with MPJPE above 40mm is consistently lower, and the number of cases with MPJPE less than 30mm is consistently higher with our solution than that with other methods.

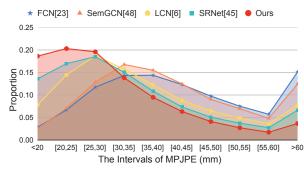


Figure 6: MPJPE distribution on the testset of Human3.6M.

Last, we present the mean errors for the top 5% hardest poses of five methods in Fig. 7, ours is **70.7**mm, which is 13.8% and 17.1% smaller than the SOTA methods LCN (82.0mm) and SRNet (85.3mm), respectively.

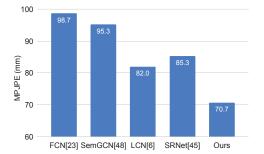


Figure 7: Mean-Error comparison of the 5% Hardest Poses.

We also show visualization results in Fig. 8, compared with SOTA methods (upper LCN, below SRNet). In summary, the above results demonstrate the benefits of the proposed technique on hard poses.

Comparison on MPI-INF-3DHP. We further test our model trained with the Human3.6M dataset on the MPI-INF-3DHP dataset to verify its generalization capability. Tab. 3 shows about 5.5% improvements on all metrics over related methods.

| Method | FCN [23] | OriNet [21] | LCN [6] | SRNet [45] | Ours |
|---------|----------|-------------|---------|------------|-------------------------------|
| Outdoor | 31.2 | 65.7 | 77.3 | 80.3 | 84.6 ↑ _{5.4%} |
| All PCK | 42.5 | 65.6 | 74.0 | 77.6 | 82.1 ↑ _{5.8%} |
| All AUC | 17.0 | 33.2 | 36.7 | 43.8 | 46.2 ↑ _{5.5%} |

Table 3: Cross-dataset results on MPI-INF-3DHP.

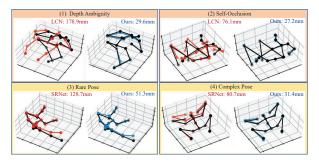


Figure 8: Qualitative results of hard poses. 3D ground truth, SOTA methods, and ours are black, red, blue in order.

| L | 2 | 3 | 4 | 5 | 6 |
|-------------------------|------|------|------|------|------|
| Non-hierarchy | 37.2 | 36.2 | 37.7 | 37.4 | 39.9 |
| Hierarchy w/o hop-aware | 34.6 | 34.2 | 34.7 | 35.4 | 36.2 |
| Hierarchy | - | 32.6 | 32.9 | 33.7 | 34.4 |

Table 4: Comparison of aggregating without hierarchy strategy (*Non-hierarchy*), with hierarchy scheme but regarding all hops information equally (*Hierarchy w/o hop-aware*) and our full design (*Hierarchy*).

4.2. Ablation Study

To study some important design choices in the proposed method, we take 2D ground truth poses as inputs and adopt MPJPE as an evaluation metric for analysis.

Impact of hierarchical fusion. Tab. 4 shows that (1) two hierarchical design consistently outperforms *Non-hierarchy* by 2mm \sim 3.7mm under different *L*, indicating that it is not appropriate to fuse long-range and short-range information in a single stage; (2) without considering different contributions from different hops, the performance of *Hierarchy w/o hop-aware* is inferior to *Hierarchy*, leading to a consistent performance of processing long-range contexts according to hops. We fix *S*=1, *d*=1/16 by default.

Impact of squeezing ratio d. We set S = 1, L = 2 to study the influence of the squeezing ratio d. Tab. 6 shows that as d decreases, the corresponding MPJPE first decreases and then increases. As d controls the output channel size of different hop features, the small value of d indicates the small output dimension for channel-squeezing transform. The decrease of d may first reduce the irrelevant information from long-range context, and thus reducing the pose estimation error. When d takes an extreme value $\frac{1}{16}$, the useful information may also be substantially squeezed, leading to a performance drop.

Impact of S and L. As shown in Tab. 7, S = 1 yields consistently good results under different L. As features

| Method | Baseline | a | b | С | d | e | f | g |
|----------------|----------|---------------------------|-----------------------------|----------------------------|---------------------|-------------------------------|--------------------|------------------------|
| \mathbf{A}_k | ori | Only \mathbf{M}_k (ori) | Only \mathbf{M}_k (dense) | Only \mathbf{M}_k (rand) | Only \mathbf{O}_k | $\mathbf{M}_k + \mathbf{O}_k$ | Eq. <mark>8</mark> | Eq. <mark>8</mark> w/T |
| MPJPE(mm) | 30.8 | 32.1 | 35.7 | 40.8 | 44.3 | 30.5 | 30.4 | 29.7 |

Table 5: Comparison on the effects of dynamic graph learning **A**. *ori* is the static graph with physical connections. *Baseline* takes \mathbf{A}_k as *ori*. *Only* \mathbf{M}_k (·) denotes applying \mathbf{M}_k with different initialization. *Only* \mathbf{O}_k keeps the dynamic offset in Eq. 8. $\mathbf{M}_k + \mathbf{O}_k$ equals to set $\alpha = 1$ in Eq. 8. *w/T* represents the temporal-aware scheme defined in Sec. 3.3.

| d | 1 | 1/2 | 1/4 | 1/8 | 1/16 |
|------------|------|------|------|------|------|
| MPJPE (mm) | 35.4 | 34.7 | 33.8 | 31.4 | 32.6 |

Table 6: Influence of the squeezing ratio *d*.

within hop-S will not be squeezed, it is in line with our intuition that the direct neighbors provide the most relevant information to the target node. Besides, a random combination of S and L surpasses the strong baseline LCN [6], demonstrating the effectiveness of our design.

Impact of the dynamic graph learning In Sec. 3.3, we introduce a dynamic graph A_k consisting of a learnable graph M_k and a graph offset O_k learned from input features. As Tab. 5a, 5b and 5c illustrate, the initialization of the dynamic graph learning is important. The result shows that it is hard for all connected initialization (Tab. 5b) and random (Tab. 5c) to converge well. On the other hand, taking the physical topology as an initial graph (Tab. 5a) can achieve better results (32.1mm). Only learning the dynamic offsets (Tab. 5d) leads to severe performance drop. Relying only on input features may weaken its capability of dealing with data noise.

Tab. 5e adds the weighted graph \mathbf{M}_k and dynamic offsets \mathbf{O}_k , obtaining a 0.3mm performance gain over *baseline*. Moreover, although the dynamic graph shows priority in representing different motion-specific relations, it is usually vulnerable to the single-frame outliers. After considering the temporal context, we can further improve the baseline from 30.8mm to 29.7mm.

4.3. Skeleton-based Action Recognition

As a plug-and-play module, we integrate the proposed HCSF layer and temporal-aware dynamic graph learning into *skeleton-based action recognition*. Given a sequence of human skeleton coordinates in videos, this task categorizes them into a predefined action class. We conduct experiments on two commonly used datasets, namely, **NTU RGB+D 60** [34] and **NTU RGB+D 120** [17]. Due to the limits of contents, we leave detailed datasets, implementation details, and ablation study in the supplemental material.

Following related works [41, 35, 36, 20, 5, 42], we employ a GNN model with ten spatial-temporal convolution neural layers as the baseline framework. We adopt the pro-

| L | 1 | 2 | 3 | 4 | 5 | 6 |
|---------|------|------|------|------|------|------|
| S = 0 | 35.5 | 34.6 | 34.8 | 34.7 | 35.4 | 36.1 |
| S = 1 | - | 32.6 | 33.4 | 34.0 | 34.7 | 35.7 |
| S=2 | - | - | 34.9 | 35.1 | 35.6 | 36.2 |
| LCN [6] | 38.0 | 35.7 | 36.2 | 37.7 | 37.4 | 39.9 |

Table 7: The influence of the hop-S and the hop-L.

posed framework shown in Fig. 3 to this task by changing the final regression branch into classification branch. Our results use the multi-stream framework as in [36, 5]. Single stream results are *in the supplemental material*.

Tab. 8 shows that our solution outperforms SOTA on both benchmarks. In particular, the top-1 accuracy is 89.2% and 87.5% in the X-Set and X-Sub settings on the more complex dataset [17], surpassing state-of-the-art solutions irrespective of the fact that they employ sophisticated attention modules [36] and temporal-dilated combinations in each layer [20]. Our method can construct robust dynamic relations through the proposed HCSF layer and temporalaware scheme. Therefore, those inherent relations among joints are better captured, enhancing the capability to distinguish different actions.

| - | | | | |
|---------------------|-----------|------------|-----------|-----------|
| Method | NTU R | GB+D 60 | NTU RG | B+D 120 |
| Method | X-Sub (%) | X-View (%) | X-Sub (%) | X-Set (%) |
| ST-GCN [41] | 84.3 | 92.7 | 71.3 | 72.4 |
| AS-GCN [13] | 86.8 | 94.2 | 77.7 | 78.9 |
| SGN [47] | 89.0 | 94.5 | 79.2 | 81.5 |
| 2s-AGCN [35] | 88.9 | 95.1 | 82.9 | 84.9 |
| NAS-GCN [29] | 89.4 | 95.7 | - | - |
| Mix-dim [30] | 89.7 | 96.0 | 80.5 | 83.2 |
| ST-Transformer [32] | 89.3 | 96.1 | 82.7 | 84.7 |
| MS-AAGCN [36] | 90.0 | 96.2 | - | - |
| Shift-GCN [5] | 90.7 | 96.5 | 85.9 | 87.6 |
| MMDGCN [39] | 90.8 | 96.5 | 86.8 | 88.0 |
| DecoupleGCN [4] | 90.8 | 96.6 | 86.5 | 88.1 |
| MS-G3D [20] | 91.5 | 96.2 | 86.9 | 88.4 |
| Ours | 91.6 | 96.7 | 87.5 | 89.2 |

Table 8: Comparison against state-of-the-art methods on the NTU RGB+D 60 and 120 Skeleton dataset in terms of Top-1 accuracy(%). Best results in bold.

5. Conclusion

In this work, we explore a novel skeleton-based representation learning method for better dealing with hard poses in 3D pose estimation. The proposed Hierarchical Channel-Squeezing Fusion module can encode short-range and longrange contexts, keeping essential information while reducing irrelevant noises. Besides, we learn dynamic graphs that adaptively evolved based on the input poses rather than relying on a fixed pre-defined graph. Our method surpasses all non-graph methods by 10.3% and enhances the effectiveness of graph-based methods. We hope that our method would inspire the field of skeleton-based representation learning.

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