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# **Context Reasoning Attention Network for Image Super-Resolution**

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### Abstract

Deep convolutional neural networks (CNNs) are achieving great successes for image super-resolution (SR), where global context is crucial for accurate restoration. However, the basic convolutional layer in CNNs is designed to extract local patterns, lacking the ability to model global context. With global context information, lots of efforts have been devoted to augmenting SR networks, especially by global feature interaction methods. These works incorporate the global context into local feature representation. However, recent advances in neuroscience show that it is necessary for the neurons to dynamically modulate their functions according to context, which is neglected in most CNN based SR methods. Motivated by those observations and analyses, we propose context reasoning attention network (CRAN) to modulate the convolution kernel according to the global context adaptively. Specifically, we extract global context descriptors, which are further enhanced with semantic reasoning. Channel and spatial interactions are then introduced to generate context reasoning attention mask, which is applied to modify the convolution kernel adaptively. Such a modulated convolution layer is utilized as basic component to build the blocks and networks. Extensive experiments on benchmark datasets with multiple degradation models show that CRAN obtains superior results and favorable trade-off between performance and model complexity.

### **1. Introduction**

Image super-resolution (SR) aims to reconstruct an accurate high-resolution (HR) image given its low-resolution (LR) counterpart [14]. Image SR plays a fundamental role in various computer vision applications, ranging from security and surveillance imaging [71], medical imaging [48], to object recognition [45]. However, image SR is an ill-posed problem, since there exists multiple solutions for any LR input. To tackle such an inverse problem, lots of deep convolutional neural networks (CNNs) have been proposed to learn mappings between LR and HR image pairs.

Deep CNNs have achieved remarkable successes for image SR [10, 12, 26, 36, 62, 18, 66, 1, 23, 31, 67]. In

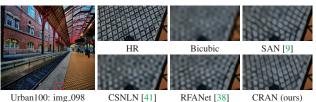


Figure 1. Visual examples for  $4 \times$  SR with Bicubic (BI) degradation on Urban100 [22]. SAN, CSNLN, and RFANet recover parts of local textures. Global context guided convolution enables CRAN to recover more structural textures with proper directions.

CNNs, convolution extracts local patches by a sliding window, making it only capable of capturing local patterns. However, recent advances in neuroscience reveal that neurons' awareness of global context is essential for us to process complex perceptual tasks effectively [34, 15]. The sliding window mechanism in convolution limits its ability to utilize global context, being crucial for accurate image SR.

To alleviate this limitation, many SR methods have been recently proposed to introduce global context modeling modules into SR networks [64, 9, 38, 65, 41]. Zhang et al. proposed residual channel attention network [64], where the global context was modelled with global average pooling and used to rescale each feature channel. Dai et al. proposed second-order channel attention by considering higher order feature statistics in SAN [9]. Different from channel attention, Liu et al. proposed an enhanced spatial attention block in FRANet [38] to make the residual features be more focused on critical spatial contents.

Zhang et al. further proposed residual non-local attention network [65] to rescale hierarchical features with mixed channel and spatial attentions adaptively. Such a non-local attention mechanism was further developed in cross-scale non-local attention (CSNLN) [41]. Mei et al. proposed a self-exemplar mining cell to exhaustively mine all the possible intrinsic priors by combining local and in-scale/crossscale non-local feature correlations in CSNLN [41]. As shown in Figure 1, SAN, RFANet, and CSNLN could recover some kind of local textures. But, it seems that the directions of those textures are not faithful to the ground truth. This is mainly because these methods mainly incorporate the global context into the local features.

However, as investigated in neuroscience [15], the func-

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tion of neurons should be adaptively changed according to the behavioral context. Therefore, we can dynamically modify the convolution kernels based on context information [37]. Image SR has not witnessed works exploiting such a modulation mechanism, which was tentatively investigated in other computer vision applications. Zhu et al. proposed to adaptively set the offset of each element in a convolution kernel and the gather value for each element in the local feature patch [70]. However, such an operation only changes the input features fed into the convolutional (Conv) layer. Wu et al. proposed to generate the convolution kernel weights dynamically by taking local segments as inputs only [55]. Similar works in [24, 25] extracted features from the input image with another network and then generated convolution kernel weights. The feature extraction process could be time-consuming, making it impractical for very deep CNNs in image SR. Lin et al. proposed context-gated convolution to introduce context-awareness to Conv layers [37]. However, most of them neglected to mine the relationship among context information, which could also be important for high-quality image SR.

Motivated by the observations and analyses above, we propose a context reasoning attention network (CRAN) for image SR. This is the first attempt in image SR to modulate the convolution kernel according to the global context adaptively to the best of our knowledge (see Figure 2). Specifically, we project the input feature into latent representations and extract global context descriptors. The context relationship descriptors are further enhanced by using the descriptor relationship with semantic reasoning. Channel and spatial interactions [37] are then introduced to generate context reasoning attention mask, which is applied to modify the convolution kernel adaptively. We use the modulated convolution layer as a basic component to build blocks and the whole networks. Consequently, our CRAN can achieve much superior SR results (e.g., in Figure 1) against recent leading methods and favourable efficiency trade-off.

In summary, the main contributions of this work can be concluded in three parts:

- We propose a context reasoning attention network for accurate image SR. Our CRAN can adaptively modulate the convolution kernel according to the global context enhanced by semantic reasoning. CRAN achieves superior SR results quantitatively and visually.
- We propose to extract context information into latent representations, resulting in a bag of global context descriptors. We further enhance the descriptors by using their relationship with semantic reasoning.
- We introduce channel and spatial interactions to generate context reasoning attention mask used to modify convolution kernel. We finally obtain the context reasoning attention convolution, which further serves as a base to build blocks and networks for image SR.

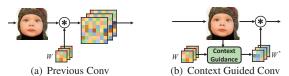


Figure 2. Conv layers. Motivated by [37], we modify Conv kernel W as  $W^*$  with context.  $\circledast$  denotes Conv operation.

### 2. Related Works

Deep CNN for Image SR. The pioneering work was done by Dong et al. [10], who proposed SRCNN with three convolutional (Conv) layers for image SR. By introducing residual learning to ease the training difficulty, Kim et al. proposed VDSR [26] and DRCN [27] with 20 layers and achieved significant performance improvement. Lim et al. proposed EDSR [36] by simplifying residual block, which allows to build deeper and wider networks with more parameters. Zhang et al. proposed RDN [66] to reduce the model size and keep accurate performance. However, those methods neglect to utilize different importance across different feature channels and/or spatial positions. The attention mechanism was then utilized to tackle those limitations. Zhang et al. proposed residual channel attention network (RCAN) [64] by considering interdependencies among feature channels. Then, more and more works have been proposed to investigate efficient attention mechanisms for image SR. Dai et al. proposed a second-order attention network (SAN) [9] for more powerful feature expression and feature correlation learning. In those methods, the convolution kernels are not adaptive to the specific context in the inference phase, hindering the representation ability of networks. Those observations motivate us to modify convolution kernels adaptively according to the input.

Context Information in CNN. Tentative works have augmented CNNs with context information and can be briefly categorized into three types. First, similar as humans' visual processing system, backward connections were incorporated in CNNs [59, 57] to model the topdown influence [15]. But, it is still hard to understand how the feedback mechanism can perform effectively and efficiently in CNNs. Second, attention mechanism was utilized to modify intermediate feature representations in CNNs [50, 52, 54, 5]. They usually utilized the global context information (e.g., self-attention mechanism) to modify the local features [51, 52, 21, 54, 5, 2]. However, this kind of methods only consider changing the input feature maps. Third, many works attempted to dynamically changing the convolutional layer parameters by considering local or global information [24, 8, 25, 6, 55, 70, 37]. Some of them neglected to consider the Conv weight tensor [70], only took local segments and inputs [55], or suffered from expensive feature extraction process [24, 25]. Plus, most of them neglected to mine the relation among context information, which could be bone with semantic reasoning.

Semantic Reasoning. Relational reasoning is initially introduced into the artificial intelligence community as symbolic methods [42]. As an active research area, graphbased methods have been prevalent in recent years and shown to be an efficient way of relational reasoning. Inspired by the great success of CNNs in computer vision area [19], [29] proposed graph convolution networks (GCNs) for semi-supervised classification. [56] utilized GCNs to encode the prior knowledge into a deep reinforcement learning framework to improve semantic navigation in unseen scenes and novel objects. [5, 32] incorporated GCN into the design of visual encoding and learn relationship enhanced features end-to-end towards the task of interest, such as image classification and image-text matching. [58] trained a visual relationship detection model on Visual Genome dataset [30] and used a GCN-based image encoder to encode the detected relationship information.

## 3. Context Reasoning Attention Network for Image Super-Resolution (SR)

In image super-resolution (SR), the original input is the low-resolution (LR) image  $I_{LR}$ , which would be extracted as deep features by convolutional layers. For a convolutional layer, the input is a feature map  $F_{in} \in \mathbb{R}^{c_{in} \times h \times w}$ , where  $c_{in}, h, w$  are the channel number, height, and width of the feature map, respectively. To conduct the convolution operation, we slide window to extract a local feature patch of size  $c_{in} \times k_1 \times k_2$ . Then, we multiply the feature patch with the convolutional kernel  $W \in \mathbb{R}^{c_{out} \times c_{in} \times k_1 \times k_2}$ , where  $c_{out}, k_1, k_2$  are the output channel number, height, and width of the kernel. Here, each convolutional operation only extracts local information, which would not affect the kernels adaptively in the inference phase.

### **3.1.** Context Information Extraction

To tackle the above drawback of traditional convolution, we propose a context reasoning attention convolution (see Figure 3). We attempt to incorporate the global context information into the convolution process. On the other hand, the input LR image size can be arbitrarily large, so as the feature maps. To extract context information, we first reduce the spatial size of input feature  $F_{in}$  to  $h' \times w'$  by using a pooling layer. Then, for each feature channel, we extract a latent representation of the global context by considering all the spatial positions. Specifically, we use a shared linear layer with weight  $W_E \in \mathbb{R}^{h' \times w' \times e}$  to project each channel to a latent vector of size e. Following the bottleneck design in [50, 21, 52, 37], we set the vector size as  $e = \frac{k_1 \times k_2}{2}$ . Consequently, we obtain a new feature with global context information and denote it as  $F_C \in \mathbb{R}^{c_{in} \times e}$ .

Let's write the global context information as a set of vectors  $F_C = [\mathbf{f}_1, \cdots, \mathbf{f}_e] \in \mathbb{R}^{c_{in} \times e}$ . It gives a new perspective on the context information extraction results, which are actually a bag of global context descriptors.

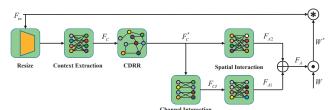


Figure 3. A brief view of our context reasoning attention convolution (CRAC). CDRR denotes context descriptor relationship reasoning.  $\odot$  and  $\circledast$  denote element-wise multiplication and convolution operations, respectively. Eq. (4) describes operation  $\oplus$ .

### 3.2. Context Descriptor Relationship Reasoning

We first obtain the global context descriptor set  $F_C$ . Then, the relationship among each context descriptor  $\mathbf{f}_i$  enables further enhancement. Recently, visual reasoning based methods [46, 4, 68, 33, 63] have been investigated in deep learning to make better use of the relationship among visual components. Motivated by those works, we construct a relationship reasoning model among the context descriptors. Specifically, with weight parameters  $W_{\varphi}$  and  $W_{\phi}$ , we embed the context descriptors into two embedding spaces. Then, the pairwise affinity can be calculated via

$$R(\mathbf{f}_i, \mathbf{f}_j) = (W_{\varphi} \mathbf{f}_i)^T (W_{\phi} \mathbf{f}_j), \tag{1}$$

which obtains the relationship between every two learned context descriptors  $\mathbf{f}_i$  and  $\mathbf{f}_j$ , resulting in a graph.

We then denote the graph as  $G(F_C, R)$ , where  $F_C$  is the set of graph nodes (i.e., context descriptors) and R is the set of graph edges (i.e., context descriptor relationships). Based on Eq. (1), the affinity matrix R can be obtained by measuring the affinity edge of each context descriptor pair. For a graph edge, high affinity score indicates strong semantic relationship among the corresponding context descriptor pair. We then bridge  $F_C$  and original input with residual learning

$$F_C^* = \sigma(\left[\left(RF_C^T W_g\right) W_r\right]^T) \odot F_C + F_C, \qquad (2)$$

where  $\sigma(\cdot)$  is sigmoid activation function. R is the  $e \times e$  affinity matrix.  $W_g$  is a  $c_{in} \times c_{in}$  weight matrix of the GCN layer and  $W_r$  is the weight matrix of the residual structure.  $\odot$  denotes element-wise multiplication.

#### 3.3. Context Reasoning Attention Convolution

Inspired by [37], we try to update the convolution kernel with attention by adopting the enhanced global context information  $F_C^*$ . The attention mask has size of  $F_A \in \mathbb{R}^{c_{out} \times c_{in} \times k_1 \times k_2}$ , same as the convolution kernel weight.

**Kernel Decomposition.** For deep CNN based image SR methods, the feature input and output channels  $c_{in}, c_{out}$  are usually large (e.g., 128 in CSNLN [41] and 256 in EDSR [36]), which could make the kernel modulation time consuming. To break down the computation complexity, we follow the previous works about convolution kernel decomposition [20, 7, 37] and attempt to generate two tensors  $F_{A1} \in \mathbb{R}^{c_{out} \times k_1 \times k_2}$  and  $F_{A2} \in \mathbb{R}^{c_{in} \times k_1 \times k_2}$ .

We aim to reduce the computation complexity further to adjust for a very deep network and large feature size in image SR. Motivated by the design in depth-wise separable convolutions [20, 7, 37], we turn to achieve such two tensors  $F_{A1}, F_{A2}$  and the final  $F_A$  by modelling the channel interaction and spatial interaction separately.

**Channel Interaction.** To fit the size of kernel weight, we first project the global context information  $F_C^* \in \mathbb{R}^{c_{in} \times e}$  to the output dimension space  $c_{out}$ . Inspired by [17, 37], we introduce a grouped linear layer with weight  $W_{ci} \in \mathbb{R}^{\frac{c_{in}}{g} \times \frac{c_{out}}{g}}$  for the projection, where g is the number of groups. We denote the output as  $F_{CI} \in \mathbb{R}^{c_{out} \times e}$ .

**Spatial Interaction.** Then we conduct spatial interaction onto  $F_{CI}$  and  $F_C$  to get the corresponding tensors  $F_{A1}$ and  $F_{A2}$ . Specifically, we utilize two linear layers with weights  $W_{A1} \in \mathbb{R}^{e \times k_1 \times k_2}$  and  $W_{A2} \in \mathbb{R}^{e \times k_1 \times k_2}$ .  $W_{A1}$ and  $W_{A2}$  are shared across different feature maps in  $F_{CI}$ and  $F_C^*$ , respectively. Consequently, we generate two tensors  $F_{A1} = F_{CI}W_{A1}$  and  $F_{A2} = F_C^*W_{A2}$ .

**Context Reasoning Attention Convolution.** After conducting channel and spatial interaction [37], we generate  $F_{A1} \in \mathbb{R}^{c_{out} \times k_1 \times k_2}$  and  $F_{A2} \in \mathbb{R}^{c_{in} \times k_1 \times k_2}$ . Then, we form the final context reasoning attention mask  $F_A$  via

$$F_A = F_{A1} \oplus F_{A2}, \tag{3}$$

where  $F_A \in \mathbb{R}^{c_{out} \times c_{in} \times k_1 \times k_2}$  has the same size of the convolution kernel W. The operation  $\oplus$  can be expressed in an element-wise way. Specifically, each element  $(F_A)_{h,i,j,k}$  of  $F_A$  can be determined by

$$(F_A)_{h,i,j,k} = \sigma((F_{A1})_{h,j,k} + (F_{A2})_{i,j,k}), \qquad (4)$$

where  $\sigma(\cdot)$  denotes the sigmoid function. In this way, we get the attention mask  $F_A$  by considering the global context.

Then, we can apply the attention mask  $F_A$  to modulate the convolution kernel weight W as follows

$$W^* = W \odot F_A, \tag{5}$$

where the operation  $\odot$  denotes element-wise multiplication.

With the modulated convolution kernel  $W^*$ , the traditional convolution process on the input feature maps could dynamically capture representative local patterns under the guidance of global context. We name it as context reasoning attention convolution (CRAC), whose primary process is shown in Figure 3. We will further show visualization results about the diversity of  $W^*$  with respect to different inputs in Section 4.6. Then, we can use CRAC further to form the basic network modules for image SR.

### 3.4. CRAN for Image SR

Our proposed context reasoning attention convolution (CRAC) can be easily used to replace traditional convolution. We use CRAC to build the basic block and network.

**Context Reasoning Attention Block.** Lim *et al.* [36] proposed simplified residual block in EDSR [19] for image SR. Such a simplified residual block has shown pretty promising performance in image SR and served as a basic building module in many follow-up works. Here, we simply follow the same block design in EDSR [36] by replacing

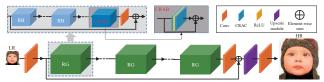


Figure 4. The pipeline of our CRAN. We use RCAN [64] as the backbone, where RG, RB, and CRAB denote residual group, residual block, and context reasoning attention block.

the traditional convolution with our proposed CRAC, resulting in context reasoning attention block (CRAB). Following the design of basic residual block [19, 36], we formulate the function of CRAB via

$$F_{out} = W_2 \sigma(W_1 F_{in}) + F_{in}, \tag{6}$$

where  $F_{in}$ ,  $F_{out}$  are the input and output feature.  $\sigma(\cdot)$  denotes the ReLU [16] activation function.  $W_1, W_2$  are the weights of our proposed CRAC layer, where the bias terms are omitted for simplicity.

**Context Reasoning Attention Network.** We then follow the network design of RCAN [64] to build our context reasoning attention network (CRAN) in Figure 4. It should be noted that our proposed CRAC and CRAB can be used for other image SR networks. Here, we mainly focus on very deep networks and want to compare with recent related state-of-the-art (SOTA) SR methods. Specifically, we use RCAN [64] as a backbone and replace all the residual channel attention blocks [64] with the simplified residual block (RB) [36] or our proposed CRABs, resulting in the context reasoning attention network (CRAN). The super-resolved output  $I_{SR}$  of CRAN can be obtained by

$$I_{SR} = \mathcal{F}_{CRAN}(I_{LR}), \tag{7}$$

where  $\mathcal{F}_{CRAN}(\cdot)$  denotes the function of our CRAN.

### **3.5. Implementation Details**

Now we specify the implementation details of our proposed CRAN. For the CRAC, we use average pooling with  $h'=k_1$  and  $w'=k_2$  to resize the feature maps. In grouped linear layer, we set the group number as g=16. For network configuration, same as the backbone RCAN [64], we set residual group number as 10 in the residual in residual (RIR) [64] structure. To keep similar parameter numbers and FLOPs as RCAN, in each residual group, we set RB number as 19 and CRAB number as 1. We place one CRAB as the last block in each residual group. We set  $c_{in}$ =64,  $c_{out}$ =64,  $k_1$ =3, and  $k_2$ =3 for convolution kernel  $W \in \mathbb{R}^{c_{out} \times c_{in} \times k_1 \times k_2}$  in all convolutional (Conv) layers, except for those in the input, final output Conv layers, and upscaling module. For Conv layers with kernel size  $3 \times 3$ (regardless of channel dimensions), zero-padding strategy is used to keep size fixed. For upscaling module in the backbone, we follow [47, 36, 66, 64] and use ESPCNN [47] to upscale the coarse resolution features to fine ones. The final Conv layer has 3 filters, as we output color images. While, our network can also process gray-scale images.

| Block Type | RB [36] | RCAB [64] | CRAB (w/o CDRR) | CRAB  |
|------------|---------|-----------|-----------------|-------|
| PSNR (dB)  | 37.15   | 37.19     | 37.28           | 37.34 |

Table 1. Performance of the EDSR baseline with different block types. Networks with CRAB (w/ or w/o CDRR) perform better.

## 4. Experiments

### 4.1. Experimental Settings

**Data.** Following [49, 36, 18, 66, 62], we use DIV2K dataset [49] and Flickr2K [36] as training data. For validation, we use the first 10 validation images in DIV2K. For testing, we use five standard benchmark datasets: Set5 [3], Set14 [60], B100 [39], Urban100 [22], and Manga109 [40].

**Image Degradation Models.** We conduct experiments with Bicubic (BI), blur-downscale (BD) [61, 62, 66], and downscale-noise (DN) [61, 62] degradation models. For BD degradation model, the HR image is first blurred by a Gaussian kernel of size  $7 \times 7$  with standard deviation 1.6 and then downscaled with scaling factor  $\times 3$ . For DN degradation model, the HR image is first downscaled with scaling factor  $\times 3$  and then added Gaussian noise (noise level=30).

**Evaluation Metrics.** The SR results are evaluated with PSNR and SSIM [53] on Y channel (i.e., luminance) of transformed YCbCr space. We also compare with several leading SR methods in terms of network parameter number, FLOPs, and GPU memory usage.

**Compared Methods.** We compare with numerous image SR methods: SRCNN [11], FSRCNN [12], VDSR [26], IRCNN [61], EDSR [36], SRMDNF [62], DBPN [18], RDN [66], RCAN [64], RNAN [65], SRFBN [35], SAN [9], CSNLN [41], RFANet [38], HAN [43], IGNN [69], and NSR [13]. All the results are either provided by the authors, or produced by their officially released codes.

**Training Settings.** Data augmentation is performed on the training images, which are randomly rotated by 90°, 180°, 270° and flipped horizontally. In each training batch, 16 LR color patches with the size of  $48 \times 48$  are extracted as inputs. To keep fair comparisons, we choose to optimize  $L_1$ loss function, same as other compared works c[36, 66]. Our model is trained by ADAM optimizor [28] with  $\beta_1 = 0.9$ ,  $\beta_2 = 0.999$ , and  $\epsilon = 10^{-8}$ . The initial learning rate is set to  $10^{-4}$  and then decreases to half every  $2 \times 10^5$  iterations of back-propagation. We use PyTorch [44] to implement our models with Titan Xp GPUs.

### 4.2. Ablation Study

We study the effects of our proposed context descriptor relationship reasoning (CDRR) and context reasoning attention block (CRAB). We further investigate the effects of channel interaction, spatial interaction, and position of CRAB. We use EDSR baseline [36] as the backbone, where the residual block (RB) number and feature number are 16 and 64. We observe the best performance on validation data under BI model for  $\times 2$  SR in 200 epochs.

| Spatial interaction | $F_{A1}$ |              | $\checkmark$ | $\checkmark$ | $\checkmark$ |
|---------------------|----------|--------------|--------------|--------------|--------------|
| Spanar interaction  | $F_{A2}$ | $\checkmark$ |              | $\checkmark$ | $\checkmark$ |
| Channel interaction | $F_{C1}$ |              | $\checkmark$ |              | $\checkmark$ |
| PSNR (dB)           |          | 37.22        | 37.26        | 37.28        | 37.34        |

Table 2. Ablation study about spatial interaction and channel interaction in image SR. Validation performance of EDSR baseline.

| CRAB Position | Baseline [36] | 1-st  | 4-th  | 8-th  | 16-th |
|---------------|---------------|-------|-------|-------|-------|
| PSNR (dB)     | 37.15         | 37.17 | 37.18 | 37.22 | 37.27 |

Table 3. Performance of the EDSR baseline, where there are 15 RBs and one CRAB is inserted in different positions. Higher-level position helps obtain better performance.

Effects of CDRR and CRAB. In EDSR baseline, we replace all RBs with RCAB [64], our CRAB with or w/o CDRR. In Table 1, we find that RCAB achieves slight performance gain. However, our CRAB w/o CDRR obtains the obvious improvement over the baseline. These comparisons indicate that adaptively modulating the Conv kernel according to global context contribute to accurate image SR greatly. With CDRR, our CRAB achieves further improvement, which demonstrates the effectiveness of CDRR.

**Channel Interaction and Spatial Interaction.** We investigate channel interaction and spatial interaction [37] in image SR. As shown in Figure 3, channel interaction produces  $F_{C1}$ . Spatial interaction consists of two branches  $F_{A1}$  and  $F_{A2}$ . We provide several combinations of spatial interaction and channel interaction components and report results in Table 2. We find that each component contributes to the performance. The best result is achieved by using them all, showing the reasons why we choose them.

Effects of CRAB Position. As analyzed above, we utilize one CRAB to replace the 1-st, 4-th, 8-th, 16-th RB respectively in EDSR baseline, resulting in four cases. In Table 3, CRAB in lower-level (e.g., 1-st and 4-th) would contribute to the performance gain slightly. When we insert the CRAB into a higher-level position, we can obtain more obvious gains. Such observation helps us to set up the final configuration of a deeper network. Consequently, for our CRAN, we keep the first 19 RBs and place CRAB as the last block for all 20 residual groups. We then compare with other larger networks under different degradation models.

### 4.3. Results with BI Degradation Model

We compare our proposed CRAN with 13 recent image SR methods. Similar to [36, 66, 64, 9, 43], we also introduce self-ensemble strategy to improve our CRAN further and denote the self-ensembled one as CRAN+. However, we mainly compare our CRAN with others for fairness.

**Quantitative Results.** Table 4 shows quantitative comparisons for  $\times 2$ ,  $\times 3$ , and  $\times 4$  SR. When compared with all previous methods, our CRAN+ performs the best on all the datasets with all scaling factors, except for SSIM value on Set5 ( $\times 2$ ). Even without self-ensemble, our CRAN also outperforms other compared methods in all cases, except for SSIM value (copied from SAN) on Set5 ( $\times 2$ ). Compared

| Method       | Scale      |       | et5    |       | t14    |       | 00     |       | un100  | Mang  | ga109  |
|--------------|------------|-------|--------|-------|--------|-------|--------|-------|--------|-------|--------|
| Method       | Scale      | PSNR  | SSIM   |
| EDSR [36]    | $\times 2$ | 38.11 | 0.9602 | 33.92 | 0.9195 | 32.32 | 0.9013 | 32.93 | 0.9351 | 39.10 | 0.9773 |
| SRMDNF [62]  | $\times 2$ | 37.79 | 0.9601 | 33.32 | 0.9159 | 32.05 | 0.8985 | 31.33 | 0.9204 | 38.07 | 0.9761 |
| DBPN [18]    | $\times 2$ | 38.09 | 0.9600 | 33.85 | 0.9190 | 32.27 | 0.9000 | 32.55 | 0.9324 | 38.89 | 0.9775 |
| RDN [66]     | $\times 2$ | 38.24 | 0.9614 | 34.01 | 0.9212 | 32.34 | 0.9017 | 32.89 | 0.9353 | 39.18 | 0.9780 |
| RCAN [64]    | $\times 2$ | 38.27 | 0.9614 | 34.12 | 0.9216 | 32.41 | 0.9027 | 33.34 | 0.9384 | 39.44 | 0.9786 |
| RNAN [65]    | $\times 2$ | 38.17 | 0.9611 | 33.87 | 0.9207 | 32.31 | 0.9014 | 32.73 | 0.9340 | 39.23 | 0.9785 |
| SRFBN [35]   | $\times 2$ | 38.11 | 0.9609 | 33.82 | 0.9196 | 32.29 | 0.9010 | 32.62 | 0.9328 | 39.08 | 0.9779 |
| SAN [9]      | $\times 2$ | 38.31 | 0.9620 | 34.07 | 0.9213 | 32.42 | 0.9028 | 33.10 | 0.9370 | 39.32 | 0.9792 |
| HAN [43]     | $\times 2$ | 38.27 | 0.9614 | 34.16 | 0.9217 | 32.41 | 0.9027 | 33.35 | 0.9385 | 39.46 | 0.9785 |
| NSR [13]     | $\times 2$ | 38.23 | 0.9614 | 33.94 | 0.9203 | 32.34 | 0.9020 | 33.02 | 0.9367 | 39.31 | 0.9782 |
| IGNN [69]    | $\times 2$ | 38.24 | 0.9613 | 34.07 | 0.9217 | 32.41 | 0.9025 | 33.23 | 0.9383 | 39.35 | 0.9786 |
| CSNLN [41]   | $\times 2$ | 38.28 | 0.9616 | 34.12 | 0.9223 | 32.40 | 0.9024 | 33.25 | 0.9386 | 39.37 | 0.9785 |
| RFANet [38]  | $\times 2$ | 38.26 | 0.9615 | 34.16 | 0.9220 | 32.41 | 0.9026 | 33.33 | 0.9389 | 39.44 | 0.9783 |
| CRAN (ours)  | $\times 2$ | 38.31 | 0.9617 | 34.22 | 0.9232 | 32.44 | 0.9029 | 33.43 | 0.9394 | 39.75 | 0.9793 |
| CRAN+ (ours) | $\times 2$ | 38.36 | 0.9619 | 34.37 | 0.9243 | 32.48 | 0.9033 | 33.61 | 0.9405 | 39.89 | 0.9798 |
| EDSR [36]    | ×3         | 34.65 | 0.9280 | 30.52 | 0.8462 | 29.25 | 0.8093 | 28.80 | 0.8653 | 34.17 | 0.9476 |
| SRMDNF [62]  | $\times 3$ | 34.12 | 0.9254 | 30.04 | 0.8382 | 28.97 | 0.8025 | 27.57 | 0.8398 | 33.00 | 0.9403 |
| RDN [66]     | ×3         | 34.71 | 0.9296 | 30.57 | 0.8468 | 29.26 | 0.8093 | 28.80 | 0.8653 | 34.13 | 0.9484 |
| RCAN [64]    | $\times 3$ | 34.74 | 0.9299 | 30.65 | 0.8482 | 29.32 | 0.8111 | 29.09 | 0.8702 | 34.44 | 0.9499 |
| RNAN [65]    | $\times 3$ | 34.66 | 0.9290 | 30.53 | 0.8463 | 29.26 | 0.8090 | 28.75 | 0.8646 | 34.25 | 0.9483 |
| SRFBN [35]   | $\times 3$ | 34.70 | 0.9292 | 30.51 | 0.8461 | 29.24 | 0.8084 | 28.73 | 0.8641 | 34.18 | 0.9481 |
| SAN [9]      | $\times 3$ | 34.75 | 0.9300 | 30.59 | 0.8476 | 29.33 | 0.8112 | 28.93 | 0.8671 | 34.30 | 0.9494 |
| HAN [43]     | $\times 3$ | 34.75 | 0.9299 | 30.67 | 0.8483 | 29.32 | 0.8110 | 29.10 | 0.8705 | 34.48 | 0.9500 |
| NSR [13]     | $\times 3$ | 34.62 | 0.9289 | 30.57 | 0.8475 | 29.26 | 0.8100 | 28.83 | 0.8663 | 34.27 | 0.9484 |
| IGNN [69]    | $\times 3$ | 34.72 | 0.9298 | 30.66 | 0.8484 | 29.31 | 0.8105 | 29.03 | 0.8696 | 34.39 | 0.9496 |
| CSNLN [41]   | $\times 3$ | 34.74 | 0.9300 | 30.66 | 0.8482 | 29.33 | 0.8105 | 29.13 | 0.8712 | 34.45 | 0.9502 |
| RFANet [38]  | $\times 3$ | 34.79 | 0.9300 | 30.67 | 0.8487 | 29.34 | 0.8115 | 29.15 | 0.8720 | 34.59 | 0.9506 |
| CRAN (ours)  | $\times 3$ | 34.80 | 0.9304 | 30.73 | 0.8498 | 29.38 | 0.8124 | 29.33 | 0.8745 | 34.84 | 0.9515 |
| CRAN+ (ours) | $\times 3$ | 34.89 | 0.9309 | 30.82 | 0.8508 | 29.42 | 0.8131 | 29.50 | 0.8768 | 35.06 | 0.9525 |
| EDSR [36]    | ×4         | 32.46 | 0.8968 | 28.80 | 0.7876 | 27.71 | 0.7420 | 26.64 | 0.8033 | 31.02 | 0.9148 |
| SRMDNF [62]  | $\times 4$ | 31.96 | 0.8925 | 28.35 | 0.7787 | 27.49 | 0.7337 | 25.68 | 0.7731 | 30.09 | 0.9024 |
| DBPN [18]    | $\times 4$ | 32.47 | 0.8980 | 28.82 | 0.7860 | 27.72 | 0.7400 | 26.38 | 0.7946 | 30.91 | 0.9137 |
| RDN [66]     | $\times 4$ | 32.47 | 0.8990 | 28.81 | 0.7871 | 27.72 | 0.7419 | 26.61 | 0.8028 | 31.00 | 0.9151 |
| RCAN [64]    | $\times 4$ | 32.63 | 0.9002 | 28.87 | 0.7889 | 27.77 | 0.7436 | 26.82 | 0.8087 | 31.22 | 0.9173 |
| RNAN [65]    | $\times 4$ | 32.43 | 0.8977 | 28.83 | 0.7871 | 27.72 | 0.7410 | 26.61 | 0.8023 | 31.09 | 0.9149 |
| SRFBN [35]   | $\times 4$ | 32.47 | 0.8983 | 28.81 | 0.7868 | 27.72 | 0.7409 | 26.60 | 0.8015 | 31.15 | 0.9160 |
| SAN [9]      | $\times 4$ | 32.64 | 0.9003 | 28.92 | 0.7888 | 27.78 | 0.7436 | 26.79 | 0.8068 | 31.18 | 0.9169 |
| HAN [43]     | $\times 4$ | 32.64 | 0.9002 | 28.90 | 0.7890 | 27.80 | 0.7442 | 26.85 | 0.8094 | 31.42 | 0.9177 |
| NSR [13]     | $\times 4$ | 32.55 | 0.8987 | 28.79 | 0.7876 | 27.72 | 0.7414 | 26.61 | 0.8025 | 31.10 | 0.9145 |
| IGNN [69]    | $\times 4$ | 32.57 | 0.8998 | 28.85 | 0.7891 | 27.77 | 0.7434 | 26.84 | 0.8090 | 31.28 | 0.9182 |
| CSNLN [41]   | $\times 4$ | 32.68 | 0.9004 | 28.95 | 0.7888 | 27.80 | 0.7439 | 27.22 | 0.8168 | 31.43 | 0.9201 |
| RFANet [38]  | $\times 4$ | 32.66 | 0.9004 | 28.88 | 0.7894 | 27.79 | 0.7442 | 26.92 | 0.8112 | 31.41 | 0.9187 |
| CRAN (ours)  | $\times 4$ | 32.72 | 0.9012 | 29.01 | 0.7918 | 27.86 | 0.7460 | 27.13 | 0.8167 | 31.75 | 0.9219 |
| CRAN+ (ours) | $\times 4$ | 32.79 | 0.9022 | 29.07 | 0.7929 | 27.91 | 0.7470 | 27.30 | 0.8197 | 32.02 | 0.9239 |
|              |            |       | 1      | 1     | I      | 1     | -      |       | I      |       |        |

Table 4. Quantitative results with BI degradation model. Best and second best results are colored with red and blue.

with attention-based methods (e.g., RCAN, SAN, RNAN, HAN, and CSNLN), especially the backbone RCAN used in our work, our CRAN achieves higher PSNR/SSIM values in most cases. This comparison indicates that our proposed CRAN can further improve the performance by modulating Conv layer kernels with global context reasoning attention.

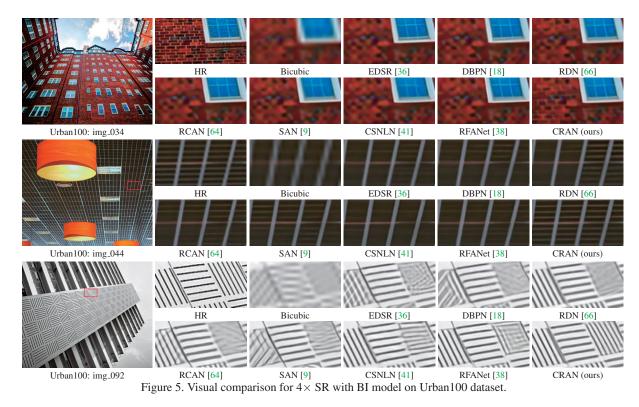
**Visual Results.** In Figure 5, we further show visual comparisons on scale  $\times 4$ . Here, we mainly provide some representative challenging cases about texture and small details (e.g., tiny lines). In image "img\_034", there has some brick textures according to the HR image. Most compared methods can hardly recover such textures, but suffer from some blurring artifacts. In contrast, our CRAN can alleviate the blurring artifacts better to some degree and recover parts of textures. In image "img\_044", most of the compared methods cannot recover the tiny horizontal lines clearly. However, our CRAN produces much sharper structural details, being more faithful to the ground truth.

In image "img\_092", there are several groups of strips in different directions. All the compared methods cannot re-

| Method       | S          | Set5         | Set14        | B100         | Urban100     | Manga109     |
|--------------|------------|--------------|--------------|--------------|--------------|--------------|
| wichiou      | 3          | PSNR/SSIM    | PSNR/SSIM    | PSNR/SSIM    | PSNR/SSIM    | PSNR/SSIM    |
| Bicubic      | $\times 3$ | 28.78/0.8308 | 26.38/0.7271 | 26.33/0.6918 | 23.52/0.6862 | 25.46/0.8149 |
| SRCNN [11]   | $\times 3$ | 32.05/0.8944 | 28.80/0.8074 | 28.13/0.7736 | 25.70/0.7770 | 29.47/0.8924 |
| FSRCNN [12]  | $\times 3$ | 26.23/0.8124 | 24.44/0.7106 | 24.86/0.6832 | 22.04/0.6745 | 23.04/0.7927 |
| VDSR [26]    | $\times 3$ | 33.25/0.9150 | 29.46/0.8244 | 28.57/0.7893 | 26.61/0.8136 | 31.06/0.9234 |
| IRCNN [61]   | $\times 3$ | 33.38/0.9182 | 29.63/0.8281 | 28.65/0.7922 | 26.77/0.8154 | 31.15/0.9245 |
| SRMDNF [62]  | $\times 3$ | 34.01/0.9242 | 30.11/0.8364 | 28.98/0.8009 | 27.50/0.8370 | 32.97/0.9391 |
| RDN [66]     | $\times 3$ | 34.58/0.9280 | 30.53/0.8447 | 29.23/0.8079 | 28.46/0.8582 | 33.97/0.9465 |
| RCAN [64]    | $\times 3$ | 34.70/0.9288 | 30.63/0.8462 | 29.32/0.8093 | 28.81/0.8647 | 34.38/0.9483 |
| SRFBN [35]   | $\times 3$ | 34.66/0.9283 | 30.48/0.8439 | 29.21/0.8069 | 28.48/0.8581 | 34.07/0.9466 |
| SAN [9]      | $\times 3$ | 34.75/0.9290 | 30.68/0.8466 | 29.33/0.8101 | 28.83/0.8646 | 34.46/0.9487 |
| HAN [43]     | $\times 3$ | 34.76/0.9294 | 30.70/0.8475 | 29.34/0.8106 | 28.99/0.8676 | 34.56/0.9494 |
| RFANet [38]  | $\times 3$ | 34.77/0.9292 | 30.68/0.8473 | 29.34/0.8104 | 28.89/0.8661 | 34.49/0.9492 |
| CRAN (ours)  | $\times 3$ | 34.90/0.9302 | 30.79/0.8485 | 29.40/0.8115 | 29.17/0.8706 | 34.97/0.9512 |
| CRAN+ (ours) | $\times 3$ | 34.93/0.9305 | 30.86/0.8493 | 29.43/0.8121 | 29.34/0.8727 | 35.16/0.9519 |

Table 5. Quantitative results with BD degradation model. Best and second best results are colored with red and blue.

construct recover the top-right strips correctly. They either suffer from heavy blurring artifacts (e.g., EDSR, DBPN, RDN, RCAN, and SAN) or output strips with wrong direction (e.g., CSNLN and RFANet). However, our CRAN handles this challenge better and recovers shaper strips. This is mainly because we consider the global context information and encode it into the Conv layer kernel modulation. Those obvious visual comparisons with most recent SOTA methods further demonstrate the effectiveness of our CRAN.



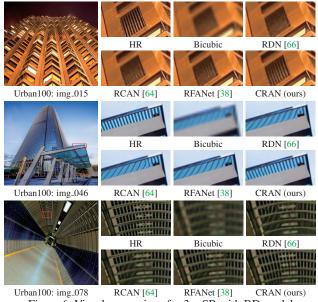


Figure 6. Visual comparison for  $3 \times$  SR with BD model.

## 4.4. Results with BD Degradation Model

We apply our method to super-resolve images with blurdown (BD) degradation model, which is also commonly used in recent image SR works [61, 62, 66, 64, 38].

**Quantitative Results.** In Table 5, RFANet has achieved very high performance on each dataset. However, our proposed CRAN can obtain notable gains over RFANet. We can achieve even better results with self-ensemble (i.e., CRAN+). Our CRAN achieves larger gains compared with

| Method       | S          | Set5         | Set14        | B100         | Urban100     | Manga109     |
|--------------|------------|--------------|--------------|--------------|--------------|--------------|
|              | 3          | PSNR/SSIM    | PSNR/SSIM    | PSNR/SSIM    | PSNR/SSIM    | PSNR/SSIM    |
| Bicubic      | $\times 3$ | 24.01/0.5369 | 22.87/0.4724 | 22.92/0.4449 | 21.63/0.4687 | 23.01/0.5381 |
| SRCNN [11]   | $\times 3$ | 25.01/0.6950 | 23.78/0.5898 | 23.76/0.5538 | 21.90/0.5737 | 23.75/0.7148 |
| FSRCNN [12]  | $\times 3$ | 24.18/0.6932 | 23.02/0.5856 | 23.41/0.5556 | 21.15/0.5682 | 22.39/0.7111 |
| VDSR [26]    | $\times 3$ | 25.20/0.7183 | 24.00/0.6112 | 24.00/0.5749 | 22.22/0.6096 | 24.20/0.7525 |
| IRCNN_G [61] | $\times 3$ | 25.70/0.7379 | 24.45/0.6305 | 24.28/0.5900 | 22.90/0.6429 | 24.88/0.7765 |
| IRCNN_C [61] | $\times 3$ | 27.48/0.7925 | 25.92/0.6932 | 25.55/0.6481 | 23.93/0.6950 | 26.07/0.8253 |
| RDN [66]     | $\times 3$ | 28.47/0.8151 | 26.60/0.7101 | 25.93/0.6573 | 24.92/0.7364 | 28.00/0.8591 |
| CRAN (ours)  | $\times 3$ | 28.74/0.8235 | 26.77/0.7178 | 26.04/0.6647 | 25.43/0.7566 | 28.44/0.8692 |
| CRAN+ (ours) | $\times 3$ | 28.76/0.8240 | 26.80/0.7186 | 26.06/0.6652 | 25.51/0.7587 | 28.55/0.8708 |

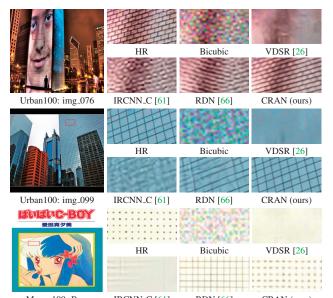
Table 6. Quantitative results with DN degradation model. Best and second best results are colored with red and blue.

attention-based SR methods (e.g., RCAN and SAN). This comparison also indicates that adaptively modulating the Conv layer kernels with context information could perform better than those modifying local features.

**Visual Results.** We also provide visual comparisons in Figure 6, where the LR images are further blurred. For challenging details in images "img\_015" and "img\_078", most methods either suffer from heavy blurring artifacts or recover parts of the columns. CRAN deblurs them to a deeper degree and can recover more columns. In image "img\_046", most compared methods produce some column-like details with wrong direction. In contrast, our CRAN obtains much better results by recovering the correct components. These comparisons indicate that kernel modulation with context reasoning attention would alleviate the blurring artifacts.

### 4.5. Results with DN Degradation Model

We further provide comparisons under the more challenging DN degradation model [61, 66], where the LR images are further added with heavy noise (noise level=30).



Manga109: Bye. IRCNN-C [61] RDN [66] CRAN (ours) Figure 7. Visual comparison for  $3 \times$  SR with DN model on Urban100 and Manga109 datasets.

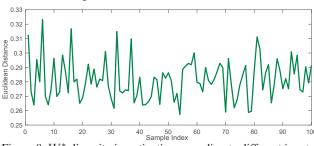


Figure 8.  $W^*$  diversity investigation according to different inputs.

**Quantitative Results.** As shown in Table 6, RDN has achieved very high PSNR/SSIM values on each dataset. While, our CRAN can further achieve notable performance gains over RDN. Compared with the usage of hierarchical features in RDN, our CRAN shows promising potential to deal with noisy images with context reasoning attention.

**Visual Results.** We further show visual comparisons for pretty challenging cases in Figure 7. In image "img\_076", where the textural structures are noisy, the compared methods would either fail to recover the texture or generate obviously different structures (e.g., RDN). Our CRAN removes noise and obtains better textural structures. We also show some grid-like cases in images "img\_099" and "Bye.", where the heavy noise could lead most SR methods to over-smooth the results (e.g., VDSR and IRCNN). RDN may even produce wrong structures (e.g., in image "Bye."). However, having a global sense of the noisy texture with context information, our CRAN obtains much better visual results, showing stronger ability to suppress noise.

#### 4.6. Diversity of Convolution Kernel W\*

We show how much the convolution kernel W in Eq. (5) would be modulated to  $W^*$  according to different inputs.

|                  | EDSR [36] | RCAN [64] | SAN [9] | CSNLN [41] | CRAN   |
|------------------|-----------|-----------|---------|------------|--------|
| Parameters (M)   | 40.73     | 15.44     | 15.67   | 3.06       | 14.94  |
| FLOPs (G)        | 1,042.74  | 391.86    | 400.46  | 2,245.98   | 372.99 |
| GPU mem. (Mb)    | 1,089     | 661       | 8,177   | 8,099      | 669    |
| Running Time (s) | 0.37      | 0.85      | 1.45    | 7.14       | 0.96   |
| PSNR (dB)        | 39.10     | 39.44     | 39.32   | 39.37      | 39.75  |

Table 7. Number of parameters, FLOPs, GPU memory, and performance on Manga109 with scaling factor  $\times 2$  (BI model). When we calculate FLOPs and time, we use input size of  $3 \times 160 \times 160$ .

Namely, how diverse would  $W^*$  be? To investigate the diversity of  $W^*=W \odot F_A$ , we consider the average Euclidean distance between  $F_A$  and the all-ones matrix I. We randomly forward 100 images into the network and calculate distance for each sample. We show the visualization results in Fig. 8. We can see that  $W^*$  is diverse based on different input, indicating the adaptive modification of  $W^*$ .

### 4.7. Model Complexity Analyses

We further show comparisons with recent representative image SR works about model complexity in terms of model size, FLOPs, GPU memory, running time, and performance in Table 7. It shows that EDSR [36] has the largest model size. Our CRAN has slightly less parameter number than that in RCAN [64] and SAN [9]. CSNLN [41] has much smaller model size in a recurrent framework, which actually costs huge computation operations. Specifically, when the input size is  $3 \times 160 \times 160$ , CSNLN would use over  $2.2 \times 10^3$ G FLOPs, being over 6 times as ours. Our CRAN also needs much less running time than CSNLN. Both SAN and CSNLN would consume over  $8 \times 10^3$  Mb GPU memory, being over 12 times as ours. Although RCAN, as our backbone, has similar model size, FLOPs, GPU memory, and running time as ours, our CRAN obtains notable SR performance gain over RCAN. Those comparisons and analyses indicate that our CRAN achieves a better efficiency tradeoff between model complexity and performance.

#### **5.** Conclusion

Global context information is crucial for accurate image super-resolution (SR). Recent works in neuroscience motivate us to modify the convolution kernel according to the global context dynamically. Therefore, we propose a context reasoning attention network (CRAN) for image SR. Specifically, we project the input feature into latent representations and extract global context descriptors. The context relationship descriptors are further enhanced by using the descriptor relationship with semantic reasoning. Channel and spatial interactions are then introduced to generate context reasoning attention mask, which is applied to modify the convolution kernel adaptively. We use modulated convolution layers as basic components to build blocks and networks. Consequently, our CRAN achieves superior SR results under different degradation models and a favourable trade-off between performance and model complexity.

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