

## A. Details on the implementation

In this section, we list all the details of the implementation.

**Source Code.** Our source code is provided in this repository: <https://github.com/okyksl/flow-lp>.

### A.1. Architectures

**Generative model (NF) architecture.** We use *Glow* [27] for the normalizing flow architecture. For the MNIST [34] and FashionMNIST [63] experiments, we use a conditional, 12-step, Glow-coupling-based architecture similar to [2]. See Table 7 for the details. For the CIFAR-10/100 [30] and SVHN [42] experiments, we use the original Glow architecture described in [27], *i.e.*, 3 scales of 32 steps each containing activation normalization, affine coupling and invertible  $1 \times 1$  convolution. We adapt an existing PyTorch implementation in <sup>1</sup> to better match the original Tensorflow implementation in <sup>2</sup>. For more details on multi-scale architecture in normalizing flows, see [12].

Generative Model	GLOWCouplingBlock	Subnets
$\text{Input: } \mathbf{x} \in \mathbb{R}^{784}, \mathbf{y} \in \mathbb{R}^{10}$ GLOWCouplingBlock PermuteRandom ... $\times 10$ ... GLOWCouplingBlock PermuteRandom	$\text{Input: } \mathbf{x} \in \mathbb{R}^{784}, \mathbf{y} \in \mathbb{R}^{10}$ split $\mathbf{x} \rightarrow \mathbf{x}_1, \mathbf{x}_2 (784 \rightarrow 392, 392)$ subnet $\mathbf{x}_2 \oplus \mathbf{y} \rightarrow \mathbf{s}_1, \mathbf{t}_1 (402 \rightarrow 392, 392)$ affine coupling $\mathbf{x}_1, \mathbf{s}_1, \mathbf{t}_1 \rightarrow \mathbf{z}_1 (3 \times 392 \rightarrow 392)$ subnet $\mathbf{z}_1 \oplus \mathbf{y} \rightarrow \mathbf{s}_2, \mathbf{t}_2 (402 \rightarrow 392, 392)$ affine coupling $\mathbf{x}_2, \mathbf{s}_2, \mathbf{t}_2 \rightarrow \mathbf{x}'_2 (3 \times 392 \rightarrow 392)$ concat. $\mathbf{z}_1 \oplus \mathbf{z}_2 (392, 392 \rightarrow 784)$	$\text{Input: } \mathbf{x} \in \mathbb{R}^{402}$ linear ( $402 \rightarrow 512$ ) ReLU linear ( $512 \rightarrow 784$ ) split ( $784 \rightarrow 392, 392$ )

Table 7. Normalizing flow architectures used for our experiments on **MNIST** and **FashionMNIST**. With  $c_{in} \rightarrow y_{out}$ , we denote the number of channels of the input and output of the layer. With  $\oplus$ , we denote concatenation operation. We use the implementation provided in <https://github.com/VILL-HD/FreIA>. For more details on affine coupling layers, see §3.

**Classifier architecture.** For our experiments on MNIST, we use LeNet-5 [34] with replaced nonlinearity—instead of tanh we use ReLU, and we initialize the network parameters with truncated normal distribution  $\sigma = 0.1$ . For the FashionMNIST experiments, we use the same classifier as used in [53]. See Table 8 for more details. For CIFAR-10/100 and SVHN, we use the ResNet-18 [19] architecture as implemented in [9, 66]. This ResNet-18 includes slight modifications over the standard ResNet-18 architecture in order to achieve better performance on CIFAR-10/100. See <sup>3</sup> and <sup>4</sup> for implementation. In particular, the first layer is changed to a  $3 \times 3$  convolution with stride 1 and padding 1, from the original  $7 \times 7$  convolution with stride 2 and padding 3. Additionally, the following max-pooling layer is removed. For CIFAR-10, we also use a similarly modified ResNet-20 [18].

### A.2. Hyperparameters

**Generative Models.** For MNIST and FashionMNIST, we use the Adam [26] optimizer with a batch size of 100 and learning rate of  $10^{-6}$  for 100 epochs to train normalizing flows. For CIFAR-10 and SVHN, we use the Adamax [26] optimizer with a learning rate of 0.0005 and weight decay of 0.00005. We use a warmup learning rate schedule for the first 500.000 steps of the training. That is, the learning rate is linearly increased from 0 to the base learning rate 0.0005 in 500.000 steps.

For VAE-GAN training, we run the implementation provided by authors<sup>5</sup> with the default architectures and parameters. That is, for FashionMNIST, we use  $\beta = 2.75$ ,  $\gamma = 1$ ,  $\eta = 0$  and latent space size of 10. We use the Adam optimizer with a batch size of 100, learning rate of 0.005, weight decay of 0.0001 and train VAE-GANs for 60 epochs with an exponential decay scheduling of 0.9 for the learning rate. For CIFAR-10, we use the CelebA [35] setup provided (the only 3-channel color dataset provided) and thus use  $\beta = 3.0$ , latent space size of 25 and 30 epochs instead. Note that we report *On-Learned-Manifold Adversarial Training* from [53] which uses class-specific VAE-GANs. That is, 10 VAE-GAN architectures are trained for both FashionMNIST and CIFAR-10 datasets.

<sup>1</sup><https://github.com/chrischute/glow>

<sup>2</sup><https://github.com/openai/glow>

<sup>3</sup><https://github.com/facebookresearch/mixup-cifar10>

<sup>4</sup><https://github.com/uoguelph-mlrg/Cutout>

<sup>5</sup><https://github.com/davidstutz/disentangling-robustness-generalization>

LeNet-5	CNN from [53]
<i>Input:</i> $x \rightarrow \mathbb{R}^{1 \times 28 \times 28}$	<i>Input:</i> $x \in \mathbb{R}^{1 \times 28 \times 28}$
convolution (ker: $5 \times 5$ , $1 \rightarrow 6$ ; stride: 1; pad: 2) ReLU	convolution (ker: $4 \times 4$ , $1 \rightarrow 16$ ; stride: 2; pad: 1) Batch Normalization
AvgPool2d (ker: $2 \times 2$ )	ReLU
convolution (ker: $5 \times 5$ , $6 \rightarrow 16$ ; stride: 1; pad: 0) ReLU	convolution (ker: $4 \times 4$ , $16 \rightarrow 32$ ; stride: 2; pad: 1) Batch Normalization
AvgPool2d (ker: $2 \times 2$ )	ReLU
Flatten ( $16 \times 5 \times 5 \rightarrow 400$ )	convolution (ker: $4 \times 4$ , $32 \rightarrow 64$ ; stride: 2; pad: 1) Batch Normalization
linear ( $400 \rightarrow 120$ )	ReLU
ReLU	Flatten ( $64 \times 3 \times 3 \rightarrow 576$ )
linear ( $120 \rightarrow 84$ )	linear ( $576 \rightarrow 100$ )
ReLU	linear ( $100 \rightarrow 10$ )
linear ( $120 \rightarrow 10$ )	
ReLU	

Table 8. Convolutional Neural Network (CNN) architectures used for our experiments on **MNIST** and **FashionMNIST**. We use *ker* and *pad* to denote *kernel* and *padding* for the convolution layers, respectively. With  $h \times w$ , we denote the kernel size. With  $c_{in} \rightarrow y_{out}$ , we denote the number of channels of the input and output of the layer.

**Discussion on Hyperparameters of Generative Models.** As normalizing flows directly optimize the log-likelihood of the data, there are no hyperparameters in their loss function. Additionally, the normalizing flows that we use have a fixed latent dimension equal to the input dimension due to their architectural design. As noted in §5.3, this is in contrast to VAE-GAN used in [53] where the training involves optimizing separate losses for three networks (namely, encoder, decoder, and discriminator) concurrently. Coefficients called  $\beta$ ,  $\gamma$ , and  $\eta$  are used to scale reconstruction, decoder, and discriminator loss, respectively. Additionally, the latent size for VAE-GAN is hand-picked for each dataset.

**Classifiers.** For MNIST, we use the Adam optimizer with a learning rate of 0.001 and weight decay of 0.001. We train LeNet-5 classifiers for 20 epochs with exponential learning decay of rate 0.1 for 10.000 steps. For FashionMNIST, we use the training setup used in [53]. That is, we use the Adam optimizer with a learning rate of 0.01 and weight decay of 0.0001. We train classifiers for 20 epochs with exponential learning decay of rate 0.9 for 500 steps. For CIFAR-10/100, we use the training setup used in [9, 66]. More precisely, we use Stochastic Gradient Descent (SGD) [46] with a batch size of 128, learning rate of 0.1, weight decay of 0.0005, and Nesterov momentum [41] of 0.9. We train ResNet-18 and ResNet-20 classifiers for 200 epochs and multiply the learning rate by 0.2 at epochs {60, 120, 160}. For SVHN, we use the same optimizer with a weight decay of 0.0001. We train ResNet-18 classifiers for 120 epochs and multiply the learning rate by 0.1 at epochs {30, 60, 90}.

**Data Augmentation.** For CIFAR-10/100, we use standard data augmentation akin to [65]. That is, we zero-pad images with 4 pixels on each side, take a random crop of size  $32 \times 32$ , and then mirror the resulting image horizontally with 50% probability. We use such data augmentation for both training the generative and the classifier models. Hence, our normalizing flows are capable of encoding-decoding operations on augmented samples as well. Advanced data augmentation baselines we use in Table 1 [9, 66], also include the same standard data augmentations. However, the VAE-GAN based approach [53] does not use data augmentation in their generative model. To provide a more direct comparison between the performance of two generative models, in §B.2 we conduct an additional study without any data augmentations.

### A.3. Metrics

**Fréchet Inception Distance.** FID [20] aims at comparing the synthetic samples  $x \sim p_g$ —where  $p_g$  denotes the distribution of the samples of the given generative model, with those of the training data of  $x \sim p_d$  in a feature space. The samples are embedded using the first several layers of the Inception network. Assuming  $p_g$  and  $p_d$  are multivariate normal distributions, it then estimates the means  $\mathbf{m}_g$  and  $\mathbf{m}_d$  and covariances  $C_g$  and  $C_d$ , respectively for  $p_g$  and  $p_d$  in that feature space. Finally,

FID is computed as:

$$\mathbb{D}_{\text{FID}}(p_d, p_g) \approx d^2((\mathbf{m}_d, C_d), (\mathbf{m}_g, C_g)) = \|\mathbf{m}_d - \mathbf{m}_g\|_2^2 + \text{Tr}(C_d + C_g - 2(C_d C_g)^{\frac{1}{2}}), \quad (\text{FID})$$

where  $d^2$  denotes the Fréchet Distance. Note that as this metric is a distance, the lower it is, the better the performance. We used the implementation of FID<sup>6</sup> in PyTorch.

## B. Additional Results

### B.1. Results on MNIST

Table 9 summarizes our results on MNIST in full data regime. Although the baseline has a very good performance on this dataset, we observe improved generalization.

Perturbation	Train Accuracy	Train Loss	Test Accuracy	Test Loss
Standard	99.80	0.0069	99.24	0.0288
Randomized-LA, $\ell=\ell_\infty, \epsilon=0.15$	99.78	0.0076	99.28	0.0262
Adversarial-LA, $\ell=\ell_\infty, \epsilon=0.05, \alpha=0.01, k=10$	99.26	0.0230	99.43	0.0216

Table 9. Train and test accuracy (%) as well as loss on **MNIST**. Comparison with standard training, versus our latent-space perturbations.

### B.2. Additional Results on CIFAR-10

**Results without Data Augmentation.** To provide a direct comparison between two generative models and eliminate the effect of data augmentation, we run additional experiments. Table 10 shows results for our latent perturbations without any data augmentation to train the normalizing flow and the classifier. In line with our FashionMNIST results in §5.3, we observe that both randomized and adversarial latent attacks overperform the standard baseline and the VAE-GAN based approach.

Method	Accuracy
Standard	49.8
VAE-GAN	49.4
Randomized-LA	54.9
Adversarial-LA	58.2

Table 10. Test accuracy (%) on **CIFAR-10**, in the *low-data regime* (5% of training samples) without any data augmentation.

**Results with ResNet-20.** Table 11 summarizes our results using the ResNet-20, on CIFAR-10. Inline with our ResNet-18 results in §5.1, we observe that both randomized and adversarial latent attacks overperform the standard baseline.

Method	Accuracy
Standard	65.6
Randomized-LA, $\ell=\ell_2, \epsilon=25.$	72.7
Adversarial-LA, $\ell=\ell_2, \epsilon = .5$	77.1

Table 11. Test accuracy (%) on **CIFAR-10** using ResNet-20, in the *low-data regime* (5% of the training set).

**Results with Different Attack Parameters.** In Table 12, we provide results with varying hyperparameters for the different attacks. Observe that for Adversarial-LA, in the *high* perturbation setting—where  $\epsilon = 2.0$ , the classifier still didn’t fully fit to the training set, but performance in the test set is above the standard baseline.

<sup>6</sup><https://github.com/mseitzer/pytorch-fid>

**Multi-step Training.** We run additional experiments where we sequentially apply different attack hyperparameters in multi-step training with weaker perturbations to increase the performance on the test set. The results are listed in Table 12, denoted with +.

Perturbation	Train Accuracy	Train Loss	Test Accuracy	Test Loss
<i>Baselines:</i>				
Standard	100.0	0.002	95.2	0.194
PGD, $\ell=\ell_2, \epsilon=2.0, \alpha=0.5, k=10$	61.13	0.895	75.7	0.731
PGD, $\ell=\ell_\infty, \epsilon=0.03, \alpha=0.008, k=10$	77.3	0.521	86.3	0.442
<i>Ours:</i>				
Randomized-LA, $\ell=\ell_2, \epsilon=10.0$	99.8	0.007	95.8	0.161
Randomized-LA, $\ell=\ell_\infty, \epsilon=0.25$	99.5	0.015	<b>96.3</b>	0.142
+Randomized-LA, $\ell=\ell_\infty, \epsilon=0.15$	100.0	0.002	<b>96.4</b>	0.133
Adversarial-LA, $\ell=\ell_2, \epsilon=1.0, \alpha=0.5, k=3$	99.9	0.005	<b>96.6</b>	0.126
Adversarial-LA, $\ell=\ell_2, \epsilon=2.0, \alpha=1.5, k=2$	89.1	0.214	95.8	0.134
+Adversarial-LA, $\ell=\ell_2, \epsilon=1.0, \alpha=0.75, k=2$	99.2	0.030	96.5	0.114
+Adversarial-LA, $\ell=\ell_2, \epsilon=0.75, \alpha=0.5, k=2$	99.7	0.011	<b>96.7</b>	0.115
+Randomized-LA, $\ell=\ell_\infty, \epsilon=0.25$	100.0	0.002	96.5	0.132
+Randomized-LA, $\ell=\ell_2, \epsilon=10.0$	100.0	0.002	96.6	0.131

Table 12. Train and test accuracy (%) as well as loss on **CIFAR-10** using ResNet-18. All of the models are trained with the same hyperparameters listed in §A.2. Perturbations listed with the + sign indicates a multi-step training. For example, last row lists the result of the model trained with  $P_{adv}^{\ell_2}, \epsilon = 2.0, \alpha = 1.5, k = 2$  for 130 epochs,  $P_{rand}^{\ell_\infty}, \epsilon = 0.25$  for 40 epochs and  $P_{rand}^{\ell_2}, \epsilon = 10.0$  for 30 epochs. Note that, regardless of multi-step training, the hyperparameters, including the total number of training epochs (= 200), remain fixed across the experiments.