

# Analysing Affective Behavior in the second ABAW2 Competition

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## Abstract

*The Affective Behavior Analysis in-the-wild (ABAW2) 2021 Competition is the second Competition -following the first very successful ABAW Competition held in conjunction with IEEE Conference on Face and Gesture Recognition 2020- that aims at automatically analyzing affect. ABAW2 is split into three Challenges, each one addressing one of the three main behavior tasks of Valence-Arousal Estimation, Seven Basic Expression Classification and Twelve Action Unit Detection. All three Challenges are based on a common benchmark database, Aff-Wild2, which is a large scale in-the-wild database and the first one to be annotated for all these three tasks.*

*In this paper, we describe this Competition, to be held in conjunction with the International Conference on Computer Vision (ICCV) 2021. We present the three Challenges, with the utilized Competition corpora. We outline the evaluation metrics and present both the baseline systems and the top-5 performing teams' per Challenge; finally we present the obtained results of the baseline systems and of all participating teams. More information regarding the Competition, the leaderboard of each Challenge and details for accessing the utilized database, are provided in the Competition website: <https://ibug.doc.ic.ac.uk/resources/iccv-2021-2nd-abaw/>.*

## 1. Introduction

The proposed Workshop tackles the problem of affective behavior analysis in-the-wild, which is a major targeted characteristic of HCI systems used in real life applications. The current 5th societal revolution aims at merging the physical and cyber spaces, providing services that contribute to people's well-being. The target is to create machines and robots that are capable of understanding people's feelings, emotions and behaviors; thus, being able to interact in a 'human-centered' and engaging manner with them,

and effectively serving them as their digital assistants.

Affective behavior analysis in diverse environments, such as in people's homes, in their work, operational or industrial environments, will have a positive societal impact. It will provide machines and robots with the ability to interact and assist people in an effective and natural way. Through human affect recognition, the reactions of the machine, or robot, will be consistent with people's expectations and emotions; their verbal and non-verbal interactions will be positively received by humans. Moreover, this interaction should not be dependent on the respective context, nor the human's age, sex, ethnicity, educational level, profession, or social position. As a result, the development of intelligent systems able to analyze human behaviors in-the-wild can contribute to generation of trust, understanding and closeness between humans and machines in real life environments.

Representing human emotions has been a basic topic of research in psychology. The most frequently used emotion representation is the categorical one, including the seven basic categories, i.e., Anger, Disgust, Fear, Happiness, Sadness, Surprise and Neutral [12]. Discrete emotion representation can also be described in terms of the Facial Action Coding System (FACS) model, in which all possible facial actions are described in terms of Action Units (AUs) [11]. Finally, the dimensional model of affect [55, 49] has been proposed as a means to distinguish between subtly different displays of affect and encode small changes in the intensity of each emotion on a continuous scale. The 2-D Valence and Arousal (VA) Space (valence shows how positive or negative an emotional state is, whereas arousal shows how passive or active it is) is the most usual dimensional emotion representation, depicted in Figure 1.

There are a number of related applications spread across a variety of fields, such as medicine, health, driver fatigue, monitoring, e-learning, marketing, entertainment, lie detection, law [1, 21, 59, 30, 44, 22].

The second ABAW2 Competition 2021 is a continuation

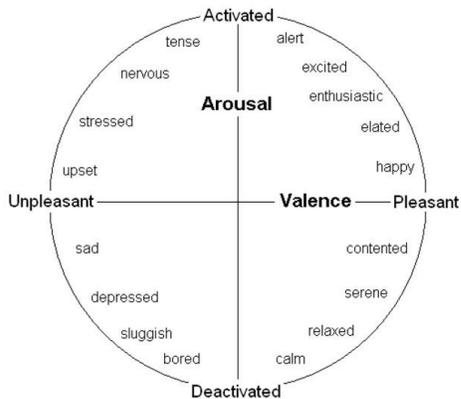


Figure 1. The 2D Valence-Arousal Space

of the first ABAW Competition 2020<sup>1</sup> held in conjunction with IEEE Conference on Face and Gesture Recognition, which targeted, for the first time, dimensional (in terms of valence and arousal) [7, 40, 64, 9, 32, 4, 3, 37], categorical (in terms of the seven basic emotions) [39, 13, 10, 58, 41, 34] and facial action unit analysis and recognition [46, 19, 28, 16, 27, 6]. The ABAW2 Competition contains three Challenges, which are based on the same in-the-wild database, the (i) Valence-Arousal Estimation Challenge, (ii) Seven Basic Expression Classification Challenge and (iii) Twelve Action Unit Detection Challenge. These Challenges produce a significant step forward when compared to previous events.

In particular, they use the Aff-Wild2 [26, 35, 36, 31, 33], the first comprehensive benchmark for all three affect recognition tasks in-the-wild: the Aff-Wild2 database extends the Aff-Wild [29, 60, 25], with more videos and annotations for all behavior tasks. Aff-Wild consists of 298 videos, displaying reactions of 200 subjects, with a total video duration of about 30 hours, and 1,250,000 video frames, annotated in terms of valence and arousal. It has been used in the Aff-Wild Challenge in CVPR 2017, with participation of more than 10 research groups. To generate Aff-Wild2, we added 266 more videos, displaying the reactions of 266 more subjects, with a duration of more than 18 hours, and 1,500,000 frames. Aff-Wild2 includes extended spontaneous facial behaviors in arbitrary recording conditions and a significantly increased number of different subjects (466; 280 of which are males and 186 females) and frames (around 2,800,000).

The remainder of this paper is organised as follows. We introduce the Competition corpora in Section 2, the Competition evaluation metrics in Section 3, the developed baseline and the top-5 performing teams per Challenge, along with the obtained results in Section 4, before concluding in

<sup>1</sup><https://ibug.doc.ic.ac.uk/resources/fg-2020-competition-affective-behavior-analysis/>

Section 5.

## 2. Competition Corpora

The second Affective Behavior Analysis in-the-wild (ABAW2) Competition relies on the Aff-Wild2 database [26, 35, 36, 31, 33]. Aff-Wild2 is the first ever database annotated for all three main behavior tasks: valence-arousal estimation, action unit detection and basic expression classification. These three tasks form the three Challenges of this Competition.

Aff-Wild2 consists of 548 videos with 2,813,201 frames. Sixteen of these videos display two subjects (both have been annotated). All videos have been collected from YouTube. Aff-Wild2 is an extension of Aff-Wild [29, 60, 25]; 260 more YouTube videos, with 1,413,000 frames, have been added to Aff-Wild. Aff-Wild was the first large scale, captured in-the-wild, dimensionally annotated database, containing 298 YouTube videos that display subjects reacting to a variety of stimuli. Aff-Wild2 shows both subtle and extreme human behaviours in real-world settings. The total number of subjects in Aff-Wild2 is 458; 279 of them are males and 179 females.

The Aff-Wild2 database, in all Challenges, is split into training, validation and test set. At first the training and validation sets, along with their corresponding annotations, are being made public to the participants, so that they can develop their own methodologies and test them. The training and validation data contain the videos and their corresponding annotation. Furthermore, to facilitate training, especially for people that do not have access to face detectors/tracking algorithms, we provide bounding boxes and landmarks for the face(s) in the videos (we also provide the aligned faces). At a later stage, the test set without annotations will be given to the participants. Again, we will provide bounding boxes and landmarks for the face(s) in the videos (we will also provide the aligned faces).

In the following, we provide a short overview of each Challenge's dataset and refer the reader to the original work for a more complete description. Finally, we describe the pre-processing steps that we carried out for cropping and aligning the images of Aff-Wild2. The cropped and aligned images have been utilized in our baseline experiments.

### 2.1. Aff-Wild2: Valence-Arousal Annotation

545 videos in Aff-Wild2 contain annotations in terms of valence-arousal. Sixteen of these videos display two subjects, both of which have been annotated. In total, 2,786,201 frames, with 455 subjects, 277 of which are male and 178 female, have been annotated by four experts using the method proposed in [5]. The annotators watched each video and provided their (frame-by-frame) annotations through a joystick. A time-continuous annotation was generated for each affect dimension. Valence and arousal

values range continuously in  $[-1, 1]$ . The final label values were the mean of those four annotations. The mean inter-annotation correlation is 0.63 for valence and 0.60 for arousal. Let us note here that all subjects present in each video have been annotated. Figure 2 shows the 2D Valence-Arousal histogram of annotations of Aff-Wild2.

Aff-Wild2 is currently the largest (and audiovisual) in-the-wild database annotated for valence and arousal.

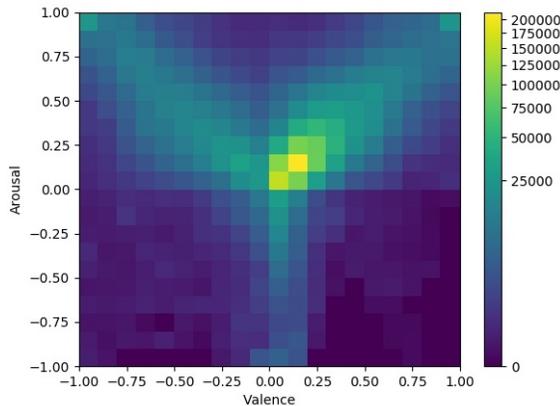


Figure 2. 2D Valence-Arousal Histogram of Aff-Wild2

Aff-Wild2 is split into three subsets: training, validation and test. Partitioning is done in a subject independent manner, in the sense that a person can appear only in one of those three subsets. The resulting training, validation and test subsets consist of 346, 68 and 131 videos, respectively; the resulting training, validation and test subsets contain 5, 3 and 8, respectively, videos that display two subjects.

## 2.2. Aff-Wild2: Seven Basic Expression Annotation

539 videos in Aff-Wild2 contain annotations in terms of the seven basic expressions. Seven of these videos display two subjects, both of which have been annotated. In total, 2,595,572 frames, with 431 subjects, 265 of which are male and 166 female, have been annotated by seven experts in a frame-by-frame basis. A platform-tool was developed in order to split each video into frames and let the experts annotate each videoframe. Let us mention that in this platform-tool, an expert could score a videoframe as having either one of the seven basic expressions or none (since there are affective states other than the seven basic expressions).

Due to subjectivity of annotators and wide ranging levels of images' difficulty, there were some disagreements among annotators. We decided to keep only the annotations on which at least six (out of seven) experts agreed. Table 1 shows the distribution of the seven basic expression annotations of Aff-Wild2.

Aff-Wild2 is currently the largest (and audiovisual) in-the-wild database annotated in terms of the seven basic ex-

pressions.

Table 1. Number of Annotated Images in Each of the Seven Basic Expressions

| Basic Expression | No of Images |
|------------------|--------------|
| Neutral          | 538,411      |
| Anger            | 52,005       |
| Disgust          | 31,138       |
| Fear             | 26,062       |
| Happiness        | 395,352      |
| Sadness          | 173,842      |
| Surprise         | 99,863       |

Aff-Wild2 is split into three subsets: training, validation and test. Partitioning is done in a subject independent manner. The resulting training, validation and test subsets consist of 250, 70 and 222 videos, respectively; the resulting training, validation and test subsets contain 3, 0 and 1, respectively, videos that display two subjects.

## 2.3. Aff-Wild2: Twelve Action Unit Annotation

534 videos in Aff-Wild2 contain annotations in terms of twelve action units. Seven of these videos display two subjects, both of which have been annotated. In total, 2,565,169 frames, with 426 subjects, 262 of which are male and 164 female, have been annotated in a semi-automatic procedure (that involves manual and automatic annotations). Aff-Wild2 has been annotated for the occurrence of twelve action units in a frame-by-frame basis. Table 2 shows the name of the twelve action units that have been annotated, the action that they are associated with and the distribution of their annotations in Aff-Wild2.

Aff-Wild2 is currently the largest (and audiovisual) in-the-wild database annotated in terms of action units.

Table 2. Distribution of AU annotations in Aff-Wild2

| Action Unit # | Action               | Total Number of Activated AUs |
|---------------|----------------------|-------------------------------|
| AU 1          | inner brow raiser    | 294,591                       |
| AU 2          | outer brow raiser    | 136,569                       |
| AU 4          | brow lowerer         | 384,969                       |
| AU 6          | cheek raiser         | 618,929                       |
| AU 7          | lid tightener        | 618,929                       |
| AU 10         | upper lip raiser     | 845,793                       |
| AU 12         | lip corner puller    | 598,699                       |
| AU 15         | lip corner depressor | 62,954                        |
| AU 23         | lip tightener        | 77,793                        |
| AU 24         | lip pressor          | 61,460                        |
| AU 25         | lips part            | 1,579,262                     |
| AU 26         | jaw drop             | 202,447                       |

Aff-Wild2 is split into three subsets: training, validation and test. Partitioning is done in a subject independent man-

ner. The resulting training, validation and test subsets consist of 302, 105 and 127 videos, respectively; the resulting training, validation and test subsets contain 3, 0 and 4, respectively, videos that display two subjects.

## 2.4. Aff-Wild2 Pre-Processing: Cropped & Cropped-Aligned Images

At first, we split all videos into images (frames). Then, the SSH detector [43] based on the ResNet [17] and trained on the WiderFace dataset [57] was used to extract face bounding boxes from all the images. The cropped images according to these bounding boxes were provided to the participating teams. Also, 5 facial landmarks (two eyes, nose and two mouth corners) were extracted and used to perform similarity transformation. The resulting cropped and aligned images were additionally provided to the participating teams. Finally, the cropped and aligned images were utilized in our baseline experiments, described in Section 4.

## 3. Evaluation Metrics Per Challenge

Next, we present the metrics that will be used for assessing the performance of the developed methodologies of the participating teams in each Challenge.

### 3.1. Valence-Arousal Estimation Challenge

The Concordance Correlation Coefficient (CCC) is widely used in measuring the performance of dimensional emotion recognition methods, such as in the series of AVEC challenges [48]. CCC evaluates the agreement between two time series (e.g., all video annotations and predictions) by scaling their correlation coefficient with their mean square difference. In this way, predictions that are well correlated with the annotations but shifted in value are penalized in proportion to the deviation. CCC takes values in the range  $[-1, 1]$ , where  $+1$  indicates perfect concordance and  $-1$  denotes perfect discordance. The highest the value of the CCC the better the fit between annotations and predictions, and therefore high values are desired. CCC is defined as follows:

$$\rho_c = \frac{2s_{xy}}{s_x^2 + s_y^2 + (\bar{x} - \bar{y})^2}, \quad (1)$$

where  $s_x$  and  $s_y$  are the variances of all video valence/arousal annotations and predicted values, respectively,  $\bar{x}$  and  $\bar{y}$  are their corresponding mean values and  $s_{xy}$  is the corresponding covariance value.

The mean value of CCC for valence and arousal estimation will be adopted as the main evaluation criterion.

$$\mathcal{E}_{total} = \frac{\rho_a + \rho_v}{2}, \quad (2)$$

### 3.2. Seven Basic Expression Classification Challenge

The  $F_1$  score is a weighted average of the recall (i.e., the ability of the classifier to find all the positive samples) and precision (i.e., the ability of the classifier not to label as positive a sample that is negative). The  $F_1$  score reaches its best value at 1 and its worst score at 0. The  $F_1$  score is defined as:

$$F_1 = \frac{2 \times precision \times recall}{precision + recall} \quad (3)$$

The  $F_1$  score for emotions is computed based on a per-frame prediction (an emotion category is specified in each frame).

Total accuracy (denoted as  $\mathcal{T}Acc$ ) is defined on all test samples and is the fraction of predictions that the model got right. Total accuracy reaches its best value at 1 and its worst score at 0. It is defined as:

$$\mathcal{T}Acc = \frac{\text{Number of Correct Predictions}}{\text{Total Number of Predictions}} \quad (4)$$

A weighted average between the  $F_1$  score and the total accuracy,  $\mathcal{T}Acc$ , will be the main evaluation criterion:

$$\mathcal{E}_{total} = 0.67 \times F_1 + 0.33 * \mathcal{T}Acc, \quad (5)$$

### 3.3. Twelve Action Unit Detection Challenge

To obtain the overall score for the AU detection Challenge, we first obtain the  $F_1$  score for each AU independently, and then compute the (unweighted) average over all 12 AUs (denoted as  $\mathcal{A}F_1$ ):

$$\mathcal{A}F_1 = \sum_{i=1}^{12} F_1^i \quad (6)$$

The  $F_1$  score for AUs is computed based on a per-frame detection (whether each AU is present or absent).

The average between the  $\mathcal{A}F_1$  score and the total accuracy,  $\mathcal{T}Acc$ , will be the main evaluation criterion:

$$\mathcal{E}_{total} = 0.5 \times \mathcal{A}F_1 + 0.5 * \mathcal{T}Acc \quad (7)$$

## 4. Baseline & Participating Teams' Systems and Results

All baseline systems rely exclusively on existing open-source machine learning toolkits to ensure the reproducibility of the results. In this Section, we first describe the baseline systems developed for each Challenge, then we present the top-5 performing teams per Challenge and finally report their obtained results.

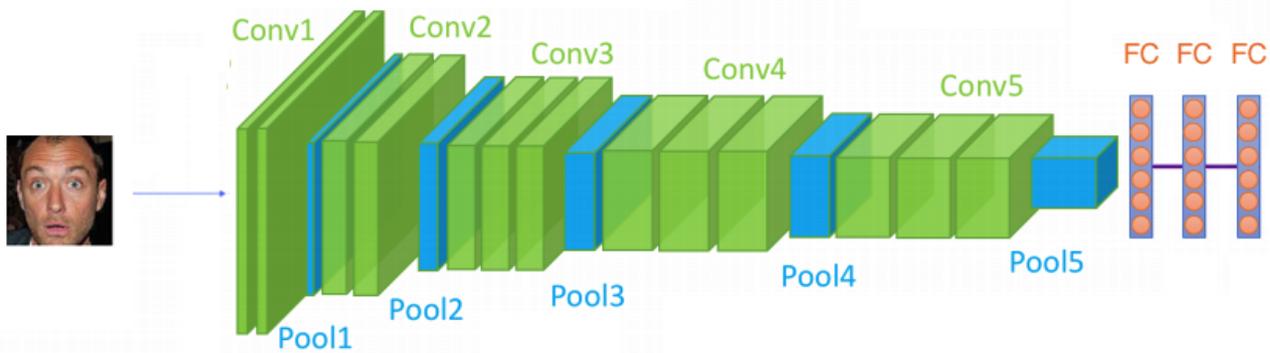


Figure 3. The architecture of the utilized baseline VGG-FACE that has been used in all Challenges; the output is either linear (VA case), or with a softmax unit (in the 7 basic expression case), or with a sigmoid unit (in the 12 AU case)

#### 4.1. Baseline Systems

The architecture that was used in all 3 Challenges was based on the 13 convolutional and pooling layers of VGG-FACE [47] (its fully connected layers are discarded), followed by 2 fully connected layers, each with 4096 hidden units. In the Valence-Arousal Estimation Challenge baseline, a (linear) output layer follows that gives final estimates for valence and arousal. In the Seven Basic Expression Classification Challenge, a final output layer with softmax as activation function follows which gives the 7 basic expression predictions. In the Twelve Action Unit Detection Challenge, a final output layer with sigmoid as activation function follows which gives the 12 action unit predictions. Figure 3 shows this basic architecture of the utilized VGG-FACE.

Let us mention that we utilized the cropped and aligned images from Aff-Wild2, as described in Section 2.4. These images have dimensions  $112 \times 112 \times 3$ . The pixel intensities are normalized to take values in  $[-1, 1]$ . No on-the-fly or off-the-fly data augmentation technique [38, 23, 24] was utilized.

The baseline systems have been pre-trained on the VGG-Face dataset; their convolutional layers were fixed (i.e., non-trainable) and only the three fully connected were trained on Aff-Wild2. These systems have been implemented in TensorFlow; training time was around a day on a Titan X GPU, with a learning rate of  $10^{-4}$  and with a batch size of 256.

#### 4.2. Top-5 Performing Teams per Challenge

At first let us mention that in total: 40 Teams participated in the Valence-Arousal Estimation Challenge; 55 Teams participated in the Seven Basic Expression Classification Challenge; 51 Teams participated in the Twelve Action Unit Detection Challenge. These teams come from 44 different universities and 18 companies. In more detail, teams come from different universities: 17 in China, 6 in Korea, 4 in

Canada, 5 in India, 2 in Germany, 2 in USA, 1 in Japan, 1 in Singapore, 1 in France, 1 in UK, 1 in Turkey, 1 in Greece, 1 in Bangladesh and 1 in Taiwan. Teams come from different companies: 8 in China, 6 International Companies, 1 in Canada, 1 in France, 1 in India and 1 in Kazakhstan. 20, 30 and 26 Teams submitted their results in the Valence-Arousal Estimation, Seven Basic Expression Classification and Twelve Action Unit Detection Challenges respectively. 10, 13 and 11 Teams scored higher than the baseline and made valid submissions in these Challenges, respectively.

The winner of the Valence-Arousal Estimation Challenge is NISL-2021 (as was the case in the first ABAW Valence-Arousal Estimation Challenge held in conjunction with the IEEE International Conference on Automatic Face and Gesture Recognition 2020) consisting of: Didan Deng and Liang Wu (Hong Kong University of Science and Technology). The runner-up (with a slight difference from the winning team  $-49.315$  vs  $49.045$ ;) is Netease Fuxi Virtual Human consisting of: Wei Zhang, Zunhu Guo, Keyu Chen, Lincheng Li, Zhimeng Zhang and Yu Ding (Netease Fuxi AI Lab). The Morphoboid team ranks third and consists of: Manh Tu VU and Marie Beurton-AIMAR (Bordeaux University). The STAR team ranks in the fourth place and consists of: Shisen Wang and Linfeng Wang (University of Electronic Science and Technology of China). The Flying-Pigs team ranks in the fifth place and consists of: Su Zhang, Yi Ding and Ziquan Wei (Nanyang Technological University and Huazhong University of Science and Technology).

The winner of the Seven Basic Expression Classification Challenge is Netease Fuxi Virtual Human (Netease Fuxi AI Lab; described above). The runner-up is CPIC-DIR2021 consisting of: Yue Jin, Tianqing Zheng, Chao Gao, Shijie Zhang and Guoqiang Xu (China Pacific Insurance Group Co). The Maybe Next Time team ranks third and consists of: Hoang Manh Hung and Phan Tran Dac Thinh (Chonnam National University). The STAR team ranks in the fourth place (University of Electronic Science and Technology of China; described above). The NISL-2021 team ranks in the

Table 3. Valence-Arousal Challenge Results on the test set of Aff-Wild2; CCC is displayed in % format; the evaluation criterion is the mean valence and arousal CCC; only the best performing submission of each team is shown

| Teams                           | CCC-Valence  | CCC-Arousal  | Github               |
|---------------------------------|--------------|--------------|----------------------|
| NISL-2021 [8]                   | <b>53.26</b> | 45.37        | <a href="#">link</a> |
| Netease Fuxi Virtual Human [63] | 48.59        | 49.5         | <a href="#">link</a> |
| Morphoboid [53]                 | 50.51        | 47.47        | <a href="#">link</a> |
| STAR [54]                       | 47.84        | 49.75        | <a href="#">link</a> |
| FlyingPigs [62]                 | 46.33        | 49.24        | <a href="#">link</a> |
| NYCU AIMM [56]                  | 39.66        | <b>49.82</b> | <a href="#">link</a> |
| NTUA-CVSP [2]                   | 36.84        | 46.39        | <a href="#">link</a> |
| Kawakarpo [18]                  | 37.63        | 37.97        | <a href="#">link</a> |
| FLAB2021 [50]                   | 25.67        | 35.08        | <a href="#">link</a> |
| IMLAB [45]                      | 26.8         | 25.61        | <a href="#">link</a> |
| VGG-FACE (baseline)             | 20           | 19           | -                    |

Table 4. Expression Challenge Results on the test set of Aff-Wild2; all metrics are displayed in % format; the evaluation criterion is  $\mathcal{E}_{total} = 0.67 \times F_1 + 0.33 * TAcc$ ; only the best performing submission of each team is shown

| Teams                           | F1 Score     | Total Accuracy | $\mathcal{E}_{total}$ | Github               |
|---------------------------------|--------------|----------------|-----------------------|----------------------|
| Netease Fuxi Virtual Human [63] | <b>76.33</b> | <b>80.69</b>   | <b>77.77</b>          | <a href="#">link</a> |
| CPIC-DIR2021 [20]               | 68.34        | 77.09          | 71.23                 | <a href="#">link</a> |
| Maybe Next Time [52]            | 60.46        | 72.89          | 64.56                 | <a href="#">link</a> |
| STAR [54]                       | 47.59        | 73.21          | 56.04                 | <a href="#">link</a> |
| NISL-2021 [8]                   | 43.11        | 65.38          | 50.46                 | <a href="#">link</a> |
| FLAB2021 [50]                   | 40.79        | 67.29          | 49.53                 | <a href="#">link</a> |
| DMACS-SSSIHL [14]               | 36.1         | 67.5           | 46.46                 | <a href="#">link</a> |
| Morphoboid [53]                 | 35.11        | 66.8           | 45.56                 | <a href="#">link</a> |
| NTUA-CVSP [2]                   | 33.67        | 64.18          | 43.74                 | <a href="#">link</a> |
| SZTU-CityU [42]                 | 30.73        | 62.34          | 41.16                 | <a href="#">link</a> |
| Kawakarpo [18]                  | 29           | 64.81          | 40.82                 | <a href="#">link</a> |
| HUST_AUTO1102 [15]              | 28.09        | 58.22          | 38.03                 | <a href="#">link</a> |
| Keegs [51]                      | 25.45        | 50.7           | 33.78                 | <a href="#">link</a> |
| VGG-FACE (baseline)             | 26           | 46             | 32.6                  | -                    |

fifth place (Hong Kong University of Science and Technology; described above).

The winner of the Twelve Action Unit Detection Challenge Challenge is Netease Fuxi Virtual Human (Netease Fuxi AI Lab; described above). The runner-up (with a small difference from the winning team -69.70 vs 69.04-) is CPIC-DIR2021 (China Pacific Insurance Group Co; described above). The Maybe Next Time team ranks third (Chonnam National University; described above). The TJU-CIC ranks in the fourth place consisting of: Zhilei Liu, Chenggong Zhang, Juan Song, Qiangyang Zhang, Weilong Dong and Ruomeng Ding (Tianjin University). The NISL-2021 team ranks in the fifth place (Hong Kong University of Science and Technology; described above).

### 4.3. Results

Table 3 presents the CCC evaluation of valence and arousal predictions on the Aff-Wild2 test set, of the baseline network (VGG-FACE) and the 10 participating teams'

algorithms that scored higher than the baseline and made valid submissions. Table 3 also includes a link to a Github repository where each team's solution/source code is stored so that the work is reproducible. Let us mention that the VGG-FACE (baseline) results on the Aff-Wild2 validation set are:

CCC-Valence = 0.23 , CCC-Arousal = 0.21.

The NISL-2021 team achieved the overall best performance (in terms of average CCC for valence and arousal). It can be observed that separately for valence, the NISL-2021 team achieved the best CCC score and separately for arousal, the NYCU AIMM team achieved the best CCC score.

Table 4 presents the performance, in the Seven Basic Expression Classification Challenge, on the test set of Aff-Wild2, of the baseline network (VGG-FACE) and the 13 participating teams' algorithms that scored higher than the baseline and made valid submissions. The performance metric is a weighted average between the F1 score and the

Table 5. Action Unit Challenge Results on the test set of Aff-Wild2; all metrics are displayed in % format; the evaluation criterion is  $\mathcal{E}_{total} = 0.5 \times \mathcal{AF}_1 + 0.5 * \mathcal{T}Acc$ ; only the best performing submission of each team is shown

| Teams                           | Average F1 Score | Total Accuracy | $\mathcal{E}_{total}$ | Github               |
|---------------------------------|------------------|----------------|-----------------------|----------------------|
| Netease Fuxi Virtual Human [63] | <b>50.59</b>     | 88.82          | <b>69.70</b>          | <a href="#">link</a> |
| CPIC-DIR2021 [20]               | 48.92            | <b>89.15</b>   | 69.04                 | <a href="#">link</a> |
| Maybe Next Time [52]            | 46.14            | 87.67          | 66.9                  | <a href="#">link</a> |
| TJU-CIC [61]                    | 43.51            | 88.89          | 66.2                  | <a href="#">link</a> |
| NISL-2021 [8]                   | 45.09            | 84.65          | 65.28                 | <a href="#">link</a> |
| STAR [54]                       | 39.38            | 87.47          | 63.43                 | <a href="#">link</a> |
| Defending TAL                   | 38.42            | 85             | 61.7                  | <a href="#">link</a> |
| Kawakarpo [18]                  | 34.93            | 87.74          | 61.34                 | <a href="#">link</a> |
| SZTU-CityU [42]                 | 30               | 88.3           | 59.14                 | <a href="#">link</a> |
| FLAB2021 [50]                   | 33.85            | 82.5           | 58.17                 | <a href="#">link</a> |
| DETA                            | 28.25            | 83.07          | 55.66                 | <a href="#">link</a> |
| VGG-FACE (baseline)             | 36.7             | 19.3           | 28                    | -                    |

total accuracy, as discussed in Section 3.2. Table 4 also includes a link to a Github repository where each team’s solution/source code is stored so that the work is reproducible. Let us mention that the VGG-FACE (baseline) results on the Aff-Wild2 validation set are:

F1 Score = 0.30 , Total Accuracy = 0.50 and  $\mathcal{E}_{total} = 0.366$ .

The Netease Fuxi Virtual Human team achieved the overall best performance; the team achieved the best performance separately for both the F1 score and the total accuracy.

Table 5 presents the performance, in the Twelve Action Unit Detection Challenge, on the test set of Aff-Wild2, of the baseline network (VGG-FACE) and the 11 participating teams’ algorithms that scored higher than the baseline and made valid submissions. The performance metric is the average between the F1 score and the total accuracy, as discussed in Section 3.3. Table 5 also includes a link to a Github repository where each team’s solution/source code is stored so that the work is reproducible. Let us mention that the VGG-FACE (baseline) results on the Aff-Wild2 validation set are:

Average F1 Score = 0.40 , Total Accuracy = 0.22 and  $\mathcal{E}_{total} = 0.31$ .

The Netease Fuxi Virtual Human team achieved the overall best performance. It can be observed that separately for the F1 score, the Netease Fuxi Virtual Human team achieved the best score and separately for the total accuracy the CPIC-DIR2021 team achieved the best score.

## 5. Conclusion

In this paper we have presented the second Affective Behavior Analysis in-the-wild Competition (ABAW2) 2021 held in conjunction with the International Conference on Computer Vision (ICCV) 2021. ABAW2 followed the first ABAW Competition held in conjunction with IEEE Conference on Face and Gesture Recognition 2020. ABAW2 com-

prises three Challenges targeting: i) valence-arousal estimation, ii) seven basic expression classification and iii) twelve action unit detection. The database utilized for this Competition has been derived from the Aff-Wild2, the first and large-scale database annotated for all these three behavior tasks.

The ABAW2 Competition has been a very successful one with the participation of 40 Teams in the Valence-Arousal Estimation Challenge, 55 Teams in the Seven Basic Expression Classification Challenge and 51 Teams in the Twelve Action Unit Detection Challenge; the Teams’ solutions were very interesting and creative, providing quite a push from the developed baseline.

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