Clustering based Point Cloud Representation Learning for 3D Analysis Supplemental Material

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In this supplementary material, we provide the following sections for a better understanding of the main paper. The pseudo-code of clustering based point cloud segmentation learning is elaborated in §A. §B presents the distribution of point data over cluster centers. More qualitative and quantitative results are further presented and analyzed in §C. Finally, limitation and societal impact are discussed in §D.

A. Pseudo-Code

Algorithm S1 provides a pseudo-code of 'assigning subclass labels' function and 'update operation' function. Correspondingly, Algorithm S2 provides a pseudo-code of \mathcal{J}_{PCC} (see Eq. (7)). The implementation of \mathcal{J}_{PPC} is similar to it, so we do not show pseudo-code for \mathcal{J}_{PPC} . Moreover, to guarantee the reproducibility, our code is released at: github.com/FengZicai/Cluster3Dseg.

B. Distribution of Subclass Clusters

Fig. S1 shows point assignment distribution for 'truck' and 'traffic-sign' classes, with different numbers $M = \{10, 20, 40, 60, 80\}$ of clusters. We can find that 1) the number of point samples assigned to each cluster is different; 2) with the increase of M, some sub-class centers only contain a limited number of samples, especially when M = 80. In this case, the value of M has exceeded the number of underlying subclass centers in the dataset, resulting in overclustering. And therefore, some trivial patterns may distract the model and cause performance degradation.

C. More Qualitative and Quantitative Results

Complete Quantitative Result on SemanticKITTI Single-Scan Challenge test. Table S1 and S2 report the complete results on SemanticKITTI [1] single-scan challenge test. Our method reaches 70.4% mIoU, which yields 2.6% mIoU gains over Cylinder3D[2]. Moreover, it also outperforms many famous segmentation models, such as AF2S3Net[3] and RPVNet[4]. One more thing to point out, spvnas¹ did

not provide the source code of 3D-NAS pipeline and the control file for SPVNAS_{12.5M}. But the control file and pretrained models for SPVNAS_{10.8M} are shared². And the difference between SPVNAS_{12.5M} and SPVNAS_{10.8M} is that SPVNAS_{10.8M} is trained except sequence 08. As for our implementation, SPVNAS_{10.8M} and SPVNAS_{10.8M} + **Ours** are trained on sequences 00-10 and evaluated on 11-21.

Complete Quantitative Result on S3DIS Area-5. Table S3 and S4 present the complete per-class IoU on S3DIS [5] Area-5. Both CBL[6] and our method use contrastive loss on the premise of fully supervised learning. But [6] only samples negative points locally around the boundaries, while we contrast global subclass centers against the points sampled from the ENTIRE training dataset. Our idea is much more powerful and insightful. The fair comparison based on PTV1[7] shows that our approach attains mIoU/mAcc of 72.2%/79.6%, outperforming PTV1+CBL (71.6%/77.9%).

Complete Quantitative Result on SemanticKITTI multiscan challenge test. Table S5 and S6 report the complete results on SemanticKITTI [1] multi-scan challenge test. With Cylinder3D, our algorithm also attains consistent performance improvements of 2.2% mIoU, just like that in single-scan test. Moreover, Cylinder3D+Ours surpasses Cylinder3D in 17 classes out of 25 classes.

Qualitative Results for Segmetation. We show more qualitative results on SemanticKITTI [1] single-scan challenge val (Fig. S2), S3DIS [5] Area-5 (Fig. S3) and SemanticKITTI [1] multi-scan challenge val (Fig. S4). As observed, our approach generally gives more accurate predictions compared with vanilla PTV1[7] and Cylinder3D[2]. In Fig. S3, vanilla PTV1 fails to recognize region boundaries and tends to misclassify board-like objects, while our method can significantly reduce these errors. Fig. S4 depicts qualitative comparisons of Cylinder3D and Cylinder3D + Ours over lidar sequences on SemanticKITTI multi-scan challenge val. Note that, the predicted labels of five consecutive frames are displayed in one frame. It can be observed

¹https://github.com/mit-han-lab/spvnas/

 $^{^2}SPVNAS$ has cancelled the download link for the Control file and SPVNAS $_{10.8M}$ model. Instead, we will release the two previously downloaded files.

that Cylinder3D + **Ours** has smaller errors over the semantic boundaries as well as classes belonging to ground and nature.

D. Limitation and Societal Impact

License of Assets. Cylinder3D³ is released with Apache license. KPConv⁴ is implemented based on its released code with MIT license. We have also implemented our method on Point Transformer⁵. SPVNAS⁶ is implemented based on its released code with MIT license. For 3D object detection, our implementation is based on OpenPCDet⁷, and it is released under the Apache 2.0 license.

Limitation. For some very rare classes, such as *beam* in S3DIS [5], *bicyclist* in SemanticKITTI [1] multi-scan challenge, our algorithm did not show better-improved results. However, many previous state-of-the-arts [2, 7, 8] also perform poorly on these classes. In the future, we plan to explore smarter data sampling strategies and hard example synthesis techniques to address this issue.

Societal Impact. For the potential negative societal impacts, in real-world robot navigation tasks or autonomous driving tasks, inaccurate prediction of point cloud labels may lead agents to the wrong category and raise human safety concerns. To avoid this potential problem, we suggest proposing a security protocol in case of dysfunction of our algorithm in real-world applications.

KPConv-PyTorch/

³https://github.com/xinge008/Cylinder3D/ ⁴https://github.com/HuguesTHOMAS/

⁵https://github.com/POSTECH-CVLab/
point-transformer

⁶https://github.com/mit-han-lab/spvnas/

⁷https://github.com/HuguesTHOMAS/

KPConv-PyTorch/

Algorithm S1 Pseudo-code of clustering based point cloud segmentation learning - Part I.

```
# M: number of subclusters.
# nc: number of classes.
# dim: number of dimensions.
# x: features (N, dim).
# \hat{y}: labels (N).
# y: predicted labels (N).
# cc: cluster centers (num_classes, M, dim).
# L: clustering results.
# \mu: momentum coefficient.
def _assigning_subclass_labels(x, \hat{y}, y):
    # selected features, subclass labels.
    # selected cluster center embbedings, cluster center labels.
   X_o, Y_o, X_o, \tilde{Y}_o = [], [], [], []
    # Record of new cluster centers for this iteration, see Eq.(5).
   ncc = zeros(nc, M, dim)
   this_class = unique(this_y)
   for idx in this_class:
       indices = (\hat{y} == idx).nonzero()
       # select cluster centers with idx.
       pc = select(cc, idx)
       # select features with indices.
       xc = select(x, indices)
       yc = select(\hat{y}, indices)
       PS = mm(xc, pc.T)
       PS = softmax(PS, 1)
       # Sinkhorn-Knopp algorithm.
       online_clustering()
       yc = yc \star M
       yc = yc + L
       # Averageing xc tensor according to L.
       ncc[idx] = scatter_mean(xc, L, dim=0, dim_size=M)
       # append to output variables.
       X_o = append(X_o, xc)
       y_{o} = append(y_{o}, yc)
       \widetilde{X}_o = \operatorname{append}(\widetilde{X}_o, \operatorname{pc})
       \tilde{y}_o = append(\tilde{y}_o, idx.repeat(M) * M+tensor(list(range(M))))
   return X_o, Y_o, \widetilde{X}_o, \widetilde{Y}_o
def _update_operation():
   cc = cc \star \mu + ncc \star (1 - \mu)
   cc = normalize((cc, p=2, dim=2))
   return cc
```

Algorithm S2 Pseudo-code of clustering based point cloud segmentation learning - Part II.

```
# temperature: scalar temperature parameter
# X_i: selected features
# y<sub>i</sub>: selected subclass labels
# \widetilde{X}_i: cluster center embbedings
# \tilde{y}_i: cluster center labels
def _pcc_contrastive (X_i, y_i, \widetilde{X}_i, \widetilde{y}_i):
   anchor_label = y_i.view(-1, 1)
   contrast_label = \tilde{y}_i.view(-1, 1)
   anchor_feature = X_i
   contrast_feature = \tilde{X}_i
   mask = eq(anchor_label, contrast_label.T)
   anchor_dot_contrast = div( matmul(anchor_feature,
                           contrast_feature.T), temperature)
   logits_max, _ = max(anchor_dot_contrast, dim=1, keepdim=True)
   # To avoid the numerical overflow
   logits = anchor_dot_contrast - logits_max.detach()
   # neg_logits mean the sum of logits of all negative pairs
   neg_mask = 1 - mask
   neg_logits = exp(logits) * neg_mask
   neg_logits = neg_logits.sum(1, keepdim=True)
   # exp_logits mean the logit of each sample pair
   exp_logits = exp(logits)
   log_prob = logits - log(exp_logits + neg_logits)
   mean_log_prob_pos = (mask * log_prob).sum(1) / mask.sum(1)
   loss = - (temperature / base_temperature) * mean_log_prob_pos
   loss = loss.mean()
   return loss
```



Figure S1: Distribution plot with different numbers $M = \{10, 20, 40, 60, 80\}$ of clusters for 'truck' and 'traffic-sign' classes. (Best viewed with zoom-in.)

are reported.					q						o
Method	mIoU	road	sidewalk	parking	other-ground	building	car	truck	bicycle	motorcycle	other-vehicle
TangentConv _[CVPR18] [9]	40.9	83.9	63.9	33.4	15.4	83.4	90.8	15.2	2.7	16.5	12.1
SqueezeSegV2[ICRA19][10]	39.7	88.6	67.6	45.8	17.7	73.7	81.8	13.4	18.5	17.9	14.0
DarkNet53[ICCV19][1]	49.9	91.8	74.6	64.8	27.9	84.1	86.4	25.5	24.5	32.7	22.6
Rangenet++[IROS19][11]	52.2	91.8	75.2	65.0	27.8	87.4	91.4	25.7	25.7	34.4	23.0
3D-MiniNet[IROS20][12]	55.8	91.6	74.5	64.2	25.4	89.4	90.5	28.5	42.3	42.1	29.4
PointASNL[CVPR20][13]	46.8	87.4	74.3	24.3	1.8	83.1	87.9	39.0	0.0	25.1	29.2
PolarNet[CVPR20][14]	54.3	90.8	74.4	61.7	21.7	90.0	93.8	22.9	40.3	30.1	28.5
RandLA-Net[CVPR20][15]	55.9	90.5	74.0	61.8	24.5	89.7	94.2	43.9	29.8	32.2	39.1
SqueezeSegV3[ECCV20][16]	55.9	91.7	74.8	63.4	26.4	89.0	92.5	29.6	38.7	36.5	33.0
SalsaNext[ISVC20][17]	59.5	91.7	75.8	63.7	29.1	90.2	91.9	38.9	48.3	38.6	31.9
FusionNet[ECCV20][18]	61.3	91.8	77.1	68.8	30.8	92.5	95.3	41.8	47.5	37.7	34.5
JS3C-Net[AAAI21][19]	66.0	88.9	72.1	61.9	31.9	92.5	95.8	54.3	59.3	52.9	46.0
AF2S3Net[CVPR21][3]	69.7	91.3	72.5	68.8	53.5	87.9	94.5	39.2	65.4	86.8	41.1
RPVNet[ICCV21][4]	70.3	93.4	80.7	70.3	33.3	93.5	97.6	44.2	68.4	68.7	61.1
PVKD[CVPR22][20]	71.4	91.8	77.5	70.9	41.0	92.4	97.0	53.5	67.9	69.3	60.2
KPConv[ICCV19][8]	58.8	88.8	72.7	61.3	31.6	90.5	96.0	33.4	30.2	42.5	44.3
KPConv + Ours	61.0	89.9	75.0	63.4	34.3	91.4	88.8	49.0	45.0	46.6	45.5
SPVNAS _{10.8M} [ECCV20][21]	62.3	89.6	73.8	63.2	29.1	90.9	96.7	50.9	40.6	42.1	51.3
$SPVNAS_{10.8M} + Ours$	64.3	89.6	73.9	64.0	28.8	91.4	96.7	48.0	48.9	50.5	51.0
Cylinder3D[CVPR21][2]	67.8	91.4	75.5	65.1	32.3	91.0	97.1	50.8	67.6	64.0	58.6
Cylinder3D + Ours	70.4	91.7	77.2	66.1	34.1	92.3	97.0	51.9	68.4	65.8	58.8

Table S1: **Quantitative results** on SemanticKITTI [1] single-scan challenge test ($\S4.1$) - Part I. mIoU (%) and IoUs (%) are reported.

Table S2: **Quantitative results** on SemanticKITTI [1] single-scan challenge test (§4.1) - Part II. mIoU (%) and IoUs (%) are reported.

Method	mIoU	vegetation	trunk	terrain	person	bicyclist	motorcyclist	fence	pole	traffic-sign
TangentConv[CVPR18][9]	40.9	79.5	49.3	58.1	23.0	28.4	8.1	49.0	35.8	28.5
SqueezeSegV2[ICRA19][10]	39.7	71.8	35.8	60.2	20.1	25.1	3.9	41.1	20.2	26.3
DarkNet53[ICCV19][1]	49.9	78.3	50.1	64.0	36.2	33.6	4.7	55.0	38.9	52.2
Rangenet++[IROS19][11]	52.2	80.5	55.1	64.6	38.3	38.8	4.8	58.6	47.9	55.9
3D-MiniNet _[IROS20] [12]	55.8	82.8	60.8	66.7	47.8	44.1	14.5	60.8	48.0	56.6
PointASNL _[CVPR20] [13]	46.8	84.1	52.2	70.6	34.2	57.6	0.0	43.9	57.8	36.9
PolarNet _[CVPR20] [14]	54.3	84.0	65.5	67.8	43.2	40.2	5.6	61.3	51.8	57.5
RandLA-Net _[CVPR20] [15]	55.9	83.8	63.6	68.6	48.4	47.4	9.4	60.4	51.0	50.7
SqueezeSegV3[ECCV20][16]	55.9	82.0	58.7	65.4	45.6	46.2	20.1	59.4	49.6	58.9
SalsaNext[ISVC20][17]	59.5	81.8	63.6	66.5	60.2	59.0	19.4	64.2	54.3	62.1
FusionNet[ECCV20][18]	61.3	84.5	69.8	68.5	59.5	56.8	11.9	69.4	60.4	66.5
JS3C-Net[AAAI21][19]	66.0	84.5	69.8	67.9	69.5	65.4	39.9	70.8	60.7	68.7
AF2S3Net[CVPR21][3]	69.7	70.2	68.5	53.7	80.7	80.4	74.3	63.2	61.5	71.0
RPVNet[ICCV21][4]	70.3	86.5	75.1	71.7	75.9	74.4	43.4	72.1	64.8	61.4
PVKD[CVPR22][20]	71.4	86.5	73.8	71.9	75.1	73.5	50.5	69.4	64.9	61.4
KPConv[ICCV19][8]	58.8	84.8	69.2	69.1	61.5	61.6	11.8	64.2	56.4	47.4
KPConv + Ours	61.0	72.0	56.5	68.8	59.4	60.1	36.4	66.1	49.5	60.4
SPVNAS _{10.8M} [ECCV20][21]	62.3	85.5	70.3	69.8	60.4	62.8	21.8	65.3	57.6	62.0
$SPVNAS_{10.8M} + Ours$	64.3	85.3	72.1	69.1	67.1	70.5	23.2	67.0	60.7	64.5
Cylinder3D[CVPR21][2]	67.8	85.4	71.8	68.5	73.9	67.9	36.0	66.5	62.6	65.6
Cylinder3D + Ours	70.4	86.7	73.5	71.7	69.6	70.1	54.6	70.8	65.1	71.6

Method	mIoU	mAcc	OA	ceiling	floor	wall	beam	column	window	door
PointNet[CVPR17][22]	41.1	49.0	-	88.8	97.3	69.8	0.1	3.9	46.3	10.8
SegCloud _[3DV17] [23]	48.9	57.4	-	90.1	96.1	69.9	0.0	18.4	38.4	23.1
TangentConv[CVPR18][9]	52.6	62.2	-	90.5	97.7	74.0	0.0	20.7	39.0	31.3
PointCNN[NeurIPS18][24]	57.3	63.9	85.9	92.3	98.2	79.4	0.0	17.6	22.8	62.1
SPGraph _[CVPR18] [25]	58.0	66.5	86.4	89.4	96.9	78.1	0.0	42.8	48.9	61.6
PCCN _[CVPR18] [26]	58.3	-	67.0	92.3	96.2	75.9	0.3	6.0	69.5	63.5
HPEIN[ICCV19][27]	61.9	68.3	87.2	91.5	98.2	81.4	0.0	23.3	65.3	40.0
PAT[CVPR19][28]	60.1	70.8	-	93.0	98.5	72.3	1.0	41.5	85.1	38.2
PointWeb[CVPR19][29]	60.3	66.6	87.0	92.0	98.5	79.4	0.0	21.1	59.7	34.8
MinkowskiNet[CVPR19][30]	65.4	71.7	-	91.8	98.7	86.2	0.0	34.1	48.9	62.4
SCF-Net[CVPR21][31]	63.8	-	-	-	-	-	-	-	-	-
BAAF-Net[CVPR21][32]	65.4	73.1	88.9	-	-	-	-	-	-	-
CGA-Net _[CVPR21] [33]	68.6	-	-	94.5	98.3	83.0	0.0	25.3	59.6	71.0
Stratified Trans.[CVPR22][34]	72.0	78.1	91.5	-	-	-	-	-	-	-
PTV2[NeurIPS22][35]	72.6	78.0	91.6	-	-	-	-	-	-	-
KPConv[ICCV19][8]	67.1	72.8	-	92.8	97.3	82.4	0.0	23.9	58.0	69.0
KPConv+ Ours	69.0	76.2	90.5	95.7	98.3	84.0	0.0	30.7	66.7	77.6
PTV1 _[ICCV21] [7]	70.4	76.5	90.8	94.0	98.5	86.3	0.0	38.0	63.4	74.3
PTV1+CBL _[CVPR22] [6]	71.6	77.9	91.2	-	-	-	-	-	-	-
PTV1+Ours	72.2	79.6	91.2	94.2	98.4	88.1	0.0	49.3	65.3	79.4

Table S3: Quantitative results on S3DIS [5] Area-5 (§4.2) - Part I. mIoU (%) and IoUs (%) are reported.

Table S4: Quantitative results on S3DIS [5] Area-5 (§4.2) - Part II. mIoU (%) and IoUs (%) are reported.

Method	mIoU	mAcc	OA	table	chair	sofa	bookcase	board	clutter
PointNet[CVPR17][22]	41.1	49.0	-	52.6	58.9	40.3	5.9	26.4	33.3
SegCloud[3DV17][23]	48.9	57.4	-	70.4	75.9	40.9	58.4	13.0	41.6
TangentConv _[CVPR18] [9]	52.6	62.2	-	77.5	69.4	57.3	38.5	48.8	39.8
PointCNN _[NeurIPS18] [24]	57.3	63.9	85.9	74.4	80.6	31.7	66.7	62.1	56.7
SPGraph _[CVPR18] [25]	58.0	66.5	86.4	84.7	75.4	69.8	52.6	2.1	52.2
PCCN[CVPR18][26]	58.3	-	67.0	66.9	65.6	47.3	68.9	59.1	46.2
HPEIN[ICCV19][27]	61.9	68.3	87.2	75.5	87.7	58.5	67.8	65.6	49.4
PAT[CVPR19][28]	60.1	70.8	-	57.7	83.6	48.1	67.0	61.3	33.6
PointWeb[CVPR19][29]	60.3	66.6	87.0	76.3	88.3	46.9	69.3	64.9	52.5
MinkowskiNet[CVPR19][30]	65.4	71.7	-	81.6	89.8	47.2	74.9	74.4	58.6
SCF-Net _[CVPR21] [31]	63.8	-	-	-	-	-	-	-	-
BAAF-Net[CVPR21][32]	65.4	73.1	88.9	-	-	-	-	-	-
CGA-Net _[CVPR21] [33]	68.6	-	-	82.6	92.2	77.7	76.4	69.5	61.5
Stratified Trans.[CVPR22][34]	72.0	78.1	91.5	-	-	-	-	-	-
PTV2[NeurIPS22][35]	72.6	78.0	91.6	-	-	-	-	-	-
KPConv _[ICCV19] [8]	67.1	72.8	-	81.5	91.0	75.4	75.3	66.7	58.9
KPConv+ Ours	69.0	76.2	90.8	79.9	91.0	70.3	76.7	63.0	63.6
PTV1[ICCV21][7]	70.4	76.5	90.8	89.1	82.4	74.3	80.2	76.0	59.3
PTV1+CBL _[CVPR22] [6]	71.6	77.9	91.2	-	-	-	-	-	-
PTV1+Ours	72.2	79.6	91.2	89.4	82.2	74.8	77.6	81.0	58.7

Method	mIoU	road	sidewalk	parking	other-ground	building	car	moving car	truck	moving truck	bicycle	motorcycle	other-vehicle	moving other-vehicle
TangentConv _[CVPR18] [9]	34.1	83.9	64.0	38.3	15.3	85.8	84.9	40.3	21.1	1.1	2.0	18.2	18.5	6.4
DarkNet53[ICCV19][1]	41.6	91.6	75.3	64.9	27.5	85.2	84.1	61.5	20.0	14.1	30.4	32.9	20.7	15.2
TemporalLidarSeg _[3DV20] [36]	47.0	91.8	75.8	59.6	23.2	89.8	92.1	68.2	39.2	2.1	47.7	40.9	35.0	12.4
SpSeqnet _[CVPR20] [37]	43.1	90.1	73.9	57.6	27.1	91.2	88.5	53.2	29.2	41.2	24.0	26.2	22.7	26.2
KPConv _[ICCV19] [8]	51.2	86.5	70.5	58.4	26.7	90.8	93.7	69.4	42.5	5.8	44.9	47.2	38.6	4.7
KPConv+ Ours	53.2	90.4	75.2	62.1	25.1	91.8	95.8	75.2	43.8	4.1	67.2	63.1	44.2	0.7
Cylinder3D[CVPR21][2]	52.5	90.7	74.5	65.0	32.3	92.6	94.6	74.9	41.3	0.0	67.6	63.8	38.8	0.1
Cylinder3D+ Ours	54.7	91.4	76.9	66.1	27.8	91.4	95.3	81.7	42.7	11.9	55.9	52.9	38.7	11.2

 $Table \ S5: \ Quantitative \ results \ on \ Semantic KITTI \ [1] \ multi-scan \ challenge \ test \ (\$4.3) \ - \ Part \ I. \ mIoU \ (\%) \ and \ IoUs \ (\%) \ are reported.$

Table S6: Quantitative results on Semantic KITTI [1] multi-scan challenge test (4.3) - Part II. mIoU (%) and IoUs (%) are reported.

Method	mIoU	vegetation	trunk	terrain	person	moving person	bicyclist	moving bicyclist	motorcyclist	moving motorcyclist	fence	pole	traffic-sign
TangentConv _[CVPR18] [9]	34.1	79.5	43.2	56.7	1.6	1.9	0.0	30.1	0.0	42.2	49.1	36.4	31.2
DarkNet53[ICCV19][1]	41.6	78.4	50.7	64.8	7.5	0.2	0.0	28.9	0.0	37.8	56.5	38.1	53.3
TemporalLidarSeg _[3DV20] [36]	47.0	82.3	62.5	64.7	14.4	40.4	0.0	42.8	0.0	12.9	63.8	52.6	60.4
SpSeqnet _[CVPR20] [37]	43.1	84.0	66.0	65.7	6.3	36.2	0.0	2.3	0.0	0.1	66.8	50.8	48.7
KPConv[ICCV19][8]	51.2	84.6	70.3	66.0	21.6	67.5	0.0	67.4	0.0	47.2	64.5	57.0	53.9
KPConv+ Ours	53.2	85.4	71.1	69.3	10.7	72.1	0.0	68.5	9.9	9.9	67.5	62.6	64.6
Cylinder3D _[CVPR21] [2]	52.5	85.8	72.0	68.9	12.5	65.7	1.7	68.3	0.2	11.9	66.0	63.1	61.4
Cylinder3D+ Ours	54.7	86.5	72.7	71.6	15.5	61.8	0.0	68.2	3.0	46.0	66.1	64.0	68.0

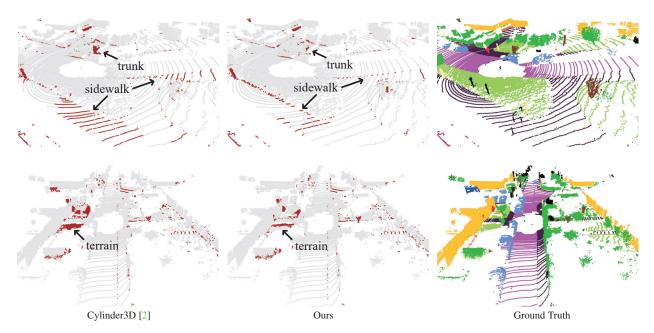


Figure S2: Error maps of Cylinder3D [2] and Ours on SemanticKITTI [1] single-scan challenge val(§4.1). The differences are as illustrated by arrows.

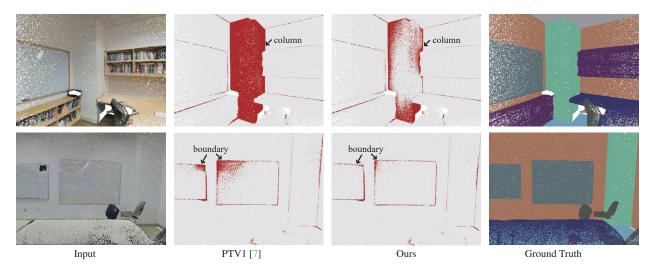


Figure S3: Error maps of PTV1 [7] and Ours on S3DIS [5] Area-5 (§4.2). The differences are as illustrated by arrows.

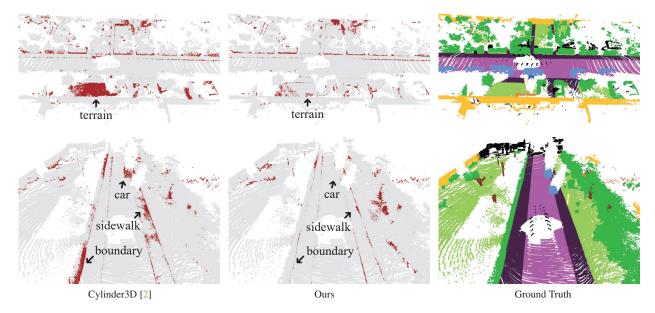


Figure S4: Error maps of Cylinder3D [2] and Ours on SemanticKITTI [1] multi-scan challenge val (§4.3). The differences are as illustrated by arrows.

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