Supplementary - CLIP2Point: Transfer CLIP to Point Cloud Classification with Image-Depth Pre-training

Tianyu Huang^{1,3} Bowen Dong¹ Yunhan Yang^{1,4} Xiaoshui Huang² Rynson W.H. Lau³ Wanli Ouyang² Wangmeng Zuo^{1,5†} ¹Harbin Institute of Technology ²Shanghai AI Laboratory ³City University of Hong Kong

⁴The University of Hong Kong ⁵Peng Cheng Laboratory

tyhuang04280gmail.com, rynson.lau@cityu.edu.hk, wanli.ouyang@sydney.edu.au, wmzuo@hit.edu.cn

1. Details of Loss Function

 $l_{intra}^{i}(\cdot)$ and $l_{cross}^{i}(\cdot)$ are InfoNCE-based loss. They are formulated as follows,

$$s_{intra}^{i}(d_{1}, d_{2}) = \sum_{k=1}^{N} e(\mathbf{F}_{i, d_{1}}^{D}, \mathbf{F}_{k, d_{1}}^{D}) + e(\mathbf{F}_{i, d_{1}}^{D}, \mathbf{F}_{k, d_{2}}^{D}),$$
(1)

$$l_{intra}^{i}(d_{1}, d_{2}) = -\log \frac{e(\mathbf{F}_{i,d_{1}}^{D}, \mathbf{F}_{i,d_{2}}^{D})}{s_{intra}^{i}(d_{1}, d_{2}) - e(\mathbf{F}_{i,d_{1}}^{D}, \mathbf{F}_{i,d_{1}}^{D})}.$$
(2)

$$s_{cross}^{i}(D,I) = \sum_{k=1}^{N} e(\mathbf{F}_{i}^{D}, \mathbf{F}_{k}^{D}) + e(\mathbf{F}_{i}^{D}, \mathbf{F}_{k}^{I}), \quad (3)$$

$$l_{cross}^{i}(D,I) = -\log \frac{e(\mathbf{F}_{i}^{D},\mathbf{F}_{i}^{I})}{s_{cross}^{i}(D,I) - e(\mathbf{F}_{i}^{D},\mathbf{F}_{i}^{D})}.$$
 (4)

Here, $e(a,b) = \exp(a \cdot b^T / \tau)$. We set the temperature coefficient $\tau = 0.7$.

2. Complexity Analysis

Based on the experiments on fully-supervised classification, we further report the computation costs for evaluation and the parameter sizes for training in Tab. 1 to analyze the complexity of compared models.

Since PointCLIP achieves its best accuracy when using ResNet50x16, its computation cost is higher than our CLIP2Point with ViT-B/32. While the computation cost of P2P is even higher, its cost needs to be multiplied by 40 (the number of views) as P2P infers a single view at one time. Our CLIP2Point achieves a higher accuracy than P2P (HorNet-L) with a much lower computation cost. On the other hand, embedded with GDPA module, CLIP2Point contains fewer training parameters than those full-tuning methods. Only by tuning lightweight adapters, CLIP2Point can outperform the state-of-the-art pre-training method Point-MAE.

Note that, the low computation cost of Transformer is because the grouping and gathering mechanisms for point cloud are not included in the calculation of MACs. Thus, comparing the MACs values with 3D networks is not fair.

Table 1. The computation costs for evaluation and the parameter sizes for training, based on fully-supervised classification.

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Methods	Eval. MACs(G)	Tr. Param.(M)
MVCNN	43.72	11.20
SimpleView	53.38	12.76
MVTN	45.97	27.06
PointCLIP	227.42	5.51
P2P: ResNet-101	$11.96(\times 40)$	0.25
P2P: ConvNeXt-L	$38.51(\times 40)$	0.14
P2P: HorNet-L	$38.72(\times 40)$	1.01
Transformer	2.40	22.10
+ Point-BERT	2.40	22.10
+ Point-MAE	2.40	22.10
CLIP2Point (Ours)	88.23	5.78

3. Application on Scene-Level Tasks

Analogous to CLIP, we design our pre-training pipeline in an instance-level paradigm. We note that the knowledge in CLIP is more suitable to be used in image classification and retrieval, and it is also hard to directly leverage finegrained knowledge from CLIP. Thus, existing works [2, 1] usually adopt extra modules to provide possible proposals, and CLIP still works as a classifier. Following [1], we conduct open-vocabulary 3D detection experiments, using our CLIP2Point to classify the bounding box generated by 3D detectors. In Tab. 2, CLIP2Point outperforms two 3D detec-

[†]Corresponding Author: Wangmeng Zuo (wmzuo@hit.edu.cn)

Table 2. Open-Vocabulary 3D Detection on ScanNet.

Method	Training Data	mAP_{25}
VoteNet	Saan(Train) Unacon(Test)	0.04
3DETR	Seen(Irani)-Unseen(Iest)	1.11
Image2Point		0.84
PointCLIP	Zero-Shot	3.09
CLIP2Point		3.71
OV-3DETIC	2D&3D Detection Data	12.65

tion networks and two cross-modal methods based on pretraining, indicating that **CLIP2Point can adapt to openworld scene-level tasks**. In contrast to OV-3DETIC specifically trained on 3D detection datasets with the distillation of a 2D detector, our mAP is relatively low, owing to noised point cloud data in proposed bounding boxes. Nonetheless, the result verifies the feasibility of such knowledge transfer in scene-level tasks. In the conclusion of the main text, we also mention that real-world training data can further enhance tasks related to real scenes in future work. Due to that CLIP2Point is effective in classifying objects, it can be naturally applied to scene-level tasks with proper proposals.

4. Why Not Applying CLIP to 3D Networks?

Since 3D backbones can be applied to downstream tasks more easily, a natural idea is whether CLIP pre-training knowledge can be directly applied to 3D networks. In fact, previous works [3, 6] have already demonstrated the effectiveness of such 2D-3D transfer. However, these methods achieve a sound result only after well fine-tuning on specific downstream datasets (e.g., DGCNN pre-trained by Cross-Point even cannot surpass PointCLIP in the few-shot experiment in our main text), which means they can hardly adapt to 3D tasks with 2D knowledge alone. We replace the depth encoder in CLIP2Point with a 3D encoder Point Transformer [7], while getting a 20.83% accuracy in ModelNet40 zero-shot classification after pre-training. We summarize two reasons for the bad result: 1) Features extracted by 2D and 3D encoders have different granularities: 2D encoders extract single-view features, while 3D encoders can aggregate a complete 3D object; 2) The gap between the parameter sizes of 2D and 3D encoders is large (e.g., ViT-B/32 in CLIP contains 87.85M parameters, but DGCNN only contains 0.98M parameters): 2D pre-training knowledge cannot completely transfer to small 3D encoders.

Nonetheless, it is still promising future work to directly transfer CLIP knowledge to 3D networks.

5. Rendering Details

Following MVTN [4], we render 3D models to RGB images with Pytorch3D [5]. We first load mesh objects with texture information from ShapeNetCore v2. We choose 10 views in a spherical configuration, and then use **MeshRas**- terizer and HardPhongShader in Pytorch3D.render, with the colors of backgrounds and lights both white. For zeroshot evaluation, we use 6 orthogonal views: front, back, left, right, top, and bottom. We add four corner views for pre-training and downstream learning. The view distance is initialized as 1, and the random range of distance in pre-training is [0.9, 1.1). We visualize ten views of an airplane in Fig. 1.

6. Dataset Visualization

We provide more visualization results in Fig. 2, 3, 4. For each category in ShapeNet, we have a rendered RGB image and a corresponding depth map.

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bottom

front-leftfront-rightback-leftFigure 1. Visualization of multi-view RGB images for an airplane.

back-right



cap-depth car-depth Figure 2. Rendered RGB images of Category 1 \sim Category 20 on ShapeNet.



 $\begin{array}{ccc} microwave-depth & motorcycle-depth & mug-depth & piano-depth & pillow-depth \\ & Figure \ 3. \ Rendered \ RGB \ images \ of \ Category \ 21 \sim Category \ 40 \ on \ ShapeNet. \end{array}$

