# COMPASS: High-Efficiency Deep Image Compression with Arbitrary-scale Spatial Scalability - Supplementary Material -

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### A. Details for optimization

To optimize our COMPASS, we use the CLIC [15] dataset which includes 1,633 high-resolution images. As mentioned in the main paper, we separately pre-train the residual compression module and the LIFF module, and further train the overall architecture for ease of convergence. We pre-train the residual compression module with a three-layer scalability (one BL and two ELs) using randomly selected scale factors, while keeping the image compression module of the BL frozen. The training images are cropped into fixed patches of 512×512 size, resulting in 19,813 patches that serve as inputs. To generate multiple downscaled versions of the input patches, we apply different downscale factors to the original input patches of 512×512 size. Specifically, we produce three downscaled versions, denoted as  $I^0$ ,  $I^1$ , and  $I^2$ , where  $I^0$  has a downscale factor of 0.25, and  $I^1$  and  $I^2$  have downscale factors randomly selected from a uniform distribution  $\mathcal{U}(0.25, 0.5)$ and  $\mathcal{U}(0.5, 1)$ , respectively. It should be noted that during the pre-training of the residual compression module, we substitute the LIFF module in our COMPASS architecture with a simple bicubic interpolation function for generating the residual image  $I_{res}^k$  as

$$\hat{I}_{res}^k = I^k - B_{\uparrow}(\hat{I}^{k-1}), \qquad (1)$$

where  $B_{\uparrow}(\cdot)$  refers to a bicubic interpolation function used to increase the resolution of  $\hat{I}^{k-1}$  to match  $I^k$ . We use this function to prevent the training of the residual compression module from being unstable by the insufficiently trained LIFF module in the early optimization stage. We set the batch size to 8 and the initial learning rate to  $5 \times 10^{-5}$ without any decay. We pre-train the residual compression module for 600 epochs, using the combined RD loss function in Eq. 5 of the main paper. To pre-train the LIFF module, we crop the training images into patches of size  $128 \times 128$ , resulting in a total of 269,296 patches. These patches are then randomly downscaled by the scale factors of a uniform distribution  $\mathcal{U}(0.5, 1)$ . We set the batch size to 64, and the initial learning rate to  $1 \times 10^{-4}$ , while applying a step decay strategy with a decay factor of 0.5 every 50 epochs. We pre-train the LIFF module for 200 epochs, using an L1 loss function. To jointly train the overall COM-PASS architecture with the two pre-trained modules, we set the total number of epochs to 300, and the initial learning rate to  $5 \times 10^{-5}$  with step decay every 100 epochs using a decay factor of 0.5. Other settings, such as the batch size, the loss function, and the image downscaling procedure, are the same as those used for the pre-training of the residual compression module. For all training procedures, we utilize the Adam [8] optimizer. We also clip the maximum gradient norm to 1.0 to prevent gradient explosions and ensure a stable optimization. We use four A100 GPUs to train our COMPASS architecture. Additionally, we train four different quality versions of our COMPASS by selecting the values of  $\lambda$  for the combined RD loss function with  $\lambda = 0.0018, 0.0035, 0.0067 \text{ and } 0.013.$ 

### **B.** Additional experimental results

# **B.1.** Coding efficiency of BL and EL-1 in three-layer scalability with a fixed scale factor of 2

In addition to the coding efficiency comparison for the final EL in the main paper, we further present the coding efficiency comparison results for both BL and EL-1 in a three-layer scalability with a fixed scale factor of 2. Table 1 shows the coding efficiency performance of our COMPASS for the BL in terms of BD-rate, compared to other methods. It should be noted that we use the same frozen image compression module as Simulcast (Mean-scale [12]) and Single-layer (Mean-scale [12]), which means that the coding efficiencies of these two methods are exactly the same as that of our BL. Figure 1 shows the rate-PSNR performance curves for Table 1. Table 2 shows the coding efficiency performance comparison for the EL-1, and Figure 2 shows the

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Methods	BD-rate↓
SHVC [2]	-24.97%
Simulcast (Factorized [1])	-12.96%
Simulcast (Mean-scale [12])	-
Mei et al. [11] (original)	-18.48%
Mei et al. [11] (enhanced)	-18.31%
Single-layer (Mean-scale [12])	-

Table 1: Coding efficiency comparison for a three-layer scalable coding with a fixed scale factor of 2. BD-rate gains of our COMPASS over the various methods are measured in the BL where the negative values indicate BD-rate gains of our COMPASS.

Methods	BD-rate↓
SHVC [2]	-35.87%
Simulcast (Factorized [1])	-31.89%
Simulcast (Mean-scale [12])	-16.29%
Mei et al. [11] (original)	-28.20%
Mei et al. [11] (enhanced)	-13.52%
Single-layer (Mean-scale [12])	8.80%

Table 2: Coding efficiency comparison for a three-layer scalable coding with a fixed scale factor of 2. BD-rate gains of our COMPASS over the various methods are measured in the EL-1 where the negative values indicate BD-rate gains of our COMPASS.

corresponding rate-PSNR performance curves. As shown in both Table 1 and Table 2, our COMPASS significantly outperforms the existing spatially scalable coding methods for both the BL and EL-1, as can be seen in the results obtained for the final EL in the main paper.

# **B.2. Rate-PSNR performance curves for arbitrary** scale factors

We plot the rate-PSNR performance curves for the results in Tables 2 and 3 of the main paper. Figures 3 through 6 show the rate-PSNR performance curves for a two-layer scalability with four different scale factors of  $1.2 \times$ ,  $1.6 \times$ ,  $2.4 \times$  and  $2.8 \times$  between BL and EL-1, while Figures 7 through 10 show the rate-PSNR performance curves for a three-layer scalability with a fixed scale factor of 2 between BL and EL-1 and four different scale factors of  $2.4 \times$ ,  $2.8 \times$ ,  $3.2 \times$  and  $3.6 \times$  between BL and EL-2.

#### **B.3.** Coding efficiency on additional datasets

We additionally evaluate our method on additional datasets with higher resolutions than the Kodak Lossless True Color Image [6] dataset to demonstrate the versatility of our COMPASS. Table 3 presents the coding efficiency comparison for three-layer scalable coding with a fixed scale factor of 2 on the large Urban100 [7] dataset. This dataset is a widely used for super-resolution tasks and

Methods	BD-rate↓
Simulcast (Mean-scale [12])	-29.09%
Mei <i>et al.</i> [11] (enhanced)	-24.77%
Single layer (Mean scale [12])	2.30%

Table 3: Coding efficiency comparison for a three-layer scalable coding with a fixed scale factor of 2 on the Urban100 [7] dataset. BD-rate gains of our COMPASS over the various methods are measured in the final EL where the negative values indicate BD-rate gains of our COMPASS.

Methods	BD-rate↓
Simulcast (Mean-scale [12])	-26.50%
Mei et al. [11] (enhanced)	-23.10%
Single-layer (Mean-scale [12])	4.01%

Table 4: Coding efficiency comparison for a three-layer scalable coding with a fixed scale factor of 2 on the CLIC 2021 validation [15] dataset. BD-rate gains of our COM-PASS over the various methods are measured in the final EL where the negative values indicate BD-rate gains of our COMPASS.

contains multiple scales. Impressively, our COMPASS even outperforms the single-layer coding with -2.30% BD-rate gain, and shows significant superiority against Simulcast (Mean-scale [12]) and Mei *et al.* [11]'s enhanced version. Table 4 presents the coding efficiency comparison for threelayer coding with a fixed scale factor of 2 on the CLIC 2021 validation [15] dataset, which contains 2K resolution images. In this table, our COMPASS exhibits higher performance for higher resolution images when compared to its performance using the Kodak Lossless True Color Image [6] dataset. This emphasizes the enhanced capabilities of our approach for handling higher resolution scenarios.

# B.4. Coding efficiency with out-of-distribution scale factors

Table 5 presents the coding efficiency comparison for three-layer scalable coding with larger scale factors than  $4.0 \times$  which are out of training distribution. For this table, we set the scale factor of the final EL (EL-2) relative to the BL to  $4.5 \times$ ,  $5.0 \times$ ,  $5.5 \times$ , and  $6.0 \times$ , respectively. We set the scale factor of the EL-1 relative to the BL to 2, equally.

# **B.5.** Coding efficiency with more combinations of arbitrary scale factors

Table 6 through 9 present the coding efficiency comparison in terms of BD-rate for three-layer scalable coding (one BL and two ELs) with more combinations of arbitrary scale factors. For each table, we set the scale factor of the EL-1 relative to the BL to  $1.2\times$ ,  $1.4\times$ ,  $1.6\times$  and  $1.8\times$ , respectively. Additionally, we set the scale factor of the final EL to  $2.4\times$ ,  $2.8\times$ ,  $3.2\times$ ,  $3.6\times$  and  $4.0\times$  with respect to the BL.

Methods	Scale Factors (vs. BL)					
methods	$4.5 \times$	5.0  imes	$5.5 \times$	6.0  imes		
Simulcast (Mean-scale [12])	-21.24%	-19.94%	-18.52%	-17.85%		
Single-layer (Mean-scale [12])	6.77%	7.77%	8.99%	8.76%		

Table 5: Coding efficiency comparison for a three-layer scalable coding with out-of-distribution scale factors. BD-rate gains of our COMPASS over the methods are measured in the final EL where the negative values indicate BD-rate gains of our COMPASS. We set the scale factor of the EL-1 relative to the BL to 2, equally.

#### **B.6.** Additional ablation study for the LIFF module

We compare our method to the ablated model which uses LIIF [3] rather than our LIFF module. The experiment is conducted for the three-layer scalability with a fixed scale factor of 2. Our method achieves a 26% reduction in FLOPs (811G) and a 9.5% reduction in average prediction time (0.019 sec.) compared to the ablated model (1.1T FLOPs and 0.021 sec.), achieving -0.64% BD-rate gain.

# C. Additional visual results

# C.1. Visual results for multi-layer scalable coding with more than three layers

Figures 11 and 12 show the visual results of our COM-PASS for multi-layer scalable coding greater than three layers. We consider the total number of layers with three, four, five, six and nine, and compare the PSNR values and accumulated bits in each layer. As shown in Figures 11 and 12, our COMPASS shows faithful reconstructions over various layers.

### C.2. Additional visual results for three-layer scalable coding

Figures 13 through 19 show the visual results of our COMPASS in comparison with SHVC [2], the simulcast coding, and Mei *et al.* [11] for a three-layer scalable coding with a fixed scale factor of 2 between adjacent layers. The images shown in Figure 13 through 19 are the largest-sized reconstructions obtained from the final EL. As shown in Figures 13 through 19, it is noted that our COMPASS can better reconstruct high-frequency details such as texture, edges and small image structures at the usage of similar bit amounts.

### **D.** Limitations and future work

Due to the hierarchical architecture, the computational complexity of our COMPASS eventually becomes higher as the number of layers increases, which may yield a challenge for real-time applications and devices with limited computational resources. It should be noted that this complexity issue is not the issue that only our COMPASS is facing, but the issue given to the research domain of scalable coding where almost all studies are based on the hierarchical coding architecture, so further study is required for a more consistent method in terms of complexity increase.

In addition, although our COMPASS successfully achieves more powerful spatial scalability in terms of the scale factors and the number of layers, a single COMPASS model (including the compression modules in the base layer and enhancement layer) is optimized for its designated target compress quality. Therefore, separately trained multiple COMPASS models are necessary to deal with the various target compression qualities, which leads to an increase in the number of total model parameters. Meanwhile, a few studies on variable-rate NN-based image compression [4, 5, 13, 14, 10, 9] show promising results, so we expect we can integrate those variable-rate techniques into our COMPASS. In the future, we'll further enhance our COM-PASS based on the priors of the NN-based image compression research area from the perspective of practicality and flexibility, as well as improve its coding efficiency.



Figure 1: The rate-PSNR performance curves of the BLs for SHVC [2], the simulcast coding, Mei *et al.* [11], and our COMPASS. The experiment is conducted with a three-layer scalability (one BL and two ELs (EL-1:  $2.0 \times$  and EL-2:  $4.0 \times$ )). The 'bits' indicates the bits corresponding to the BL.



Figure 2: The rate-PSNR performance curves of the intermediate ELs for SHVC [2], the simulcast coding, Mei *et al.* [11], the single-layer coding, and our COMPASS. The experiment is conducted with a three-layer scalability (one BL and two ELs (EL-1:  $2.0 \times$  and EL-2:  $4.0 \times$ )) The 'acc. bits' indicates the accumulated bits up to the EL-1.



Figure 3: The rate-PSNR performance curves of the final ELs for SHVC [2], the simulcast coding, Mei *et al.* [11], the single-layer coding, and our COMPASS. The experiment is conducted with a two-layer scalability (one BL and one EL (EL-1:  $1.2 \times$ )). The 'acc. bits' indicates the accumulated bits up to the final EL.

Figure 5: The rate-PSNR performance curves of the final ELs for SHVC [2], the simulcast coding, Mei *et al.* [11], the single-layer coding, and our COMPASS. The experiment is conducted with a two-layer scalability (one BL and one EL (EL-1:  $2.4 \times$ )). The 'acc. bits' indicates the accumulated bits up to the final EL.



Figure 4: The rate-PSNR performance curves of the final ELs for SHVC [2], the simulcast coding, Mei *et al.* [11], the single-layer coding, and our COMPASS. The experiment is conducted with a two-layer scalability (one BL and one EL (EL-1:  $1.6 \times$ )). The 'acc. bits' indicates the accumulated bits up to the final EL.



Figure 6: The rate-PSNR performance curves of the final ELs for SHVC [2], the simulcast coding, Mei *et al.* [11], the single-layer coding, and our COMPASS. The experiment is conducted with a two-layer scalability (one BL and one EL (EL-1:  $2.8 \times$ )). The 'acc. bits' indicates the accumulated bits up to the final EL.



Figure 7: The rate-PSNR performance curves of the final ELs for SHVC [2], the simulcast coding, Mei *et al.* [11], the single-layer coding, and our COMPASS. The experiment is conducted with a three-layer scalability (one BL and two ELs (EL-1:  $2.0 \times$  and EL-2:  $2.4 \times$ )). The 'acc. bits' indicates the accumulated bits up to the final EL.



Figure 9: The rate-PSNR performance curves of the final ELs for SHVC [2], the simulcast coding, Mei *et al.* [11], the single-layer coding, and our COMPASS. The experiment is conducted with a three-layer scalability (one BL and two ELs (EL-1:  $2.0 \times$  and EL-2:  $3.2 \times$ )). The 'acc. bits' indicates the accumulated bits up to the final EL.



Figure 8: The rate-PSNR performance curves of the final ELs for SHVC [2], the simulcast coding, Mei *et al.* [11], the single-layer coding, and our COMPASS. The experiment is conducted with a three-layer scalability (one BL and two ELs (EL-1:  $2.0 \times$  and EL-2:  $2.8 \times$ )). The 'acc. bits' indicates the accumulated bits up to the final EL.



Figure 10: The rate-PSNR performance curves of the final ELs for SHVC [2], the simulcast coding, Mei *et al.* [11], the single-layer coding, and our COMPASS. The experiment is conducted with a three-layer scalability (one BL and two ELs (EL-1:  $2.0 \times$  and EL-2:  $3.6 \times$ )). The 'acc. bits' indicates the accumulated bits up to the final EL.

Methods	Scale Factors (vs. BL)					
	$2.4 \times$	$2.8 \times$	$3.2 \times$	3.6×	4.0  imes	
SHVC [2]	-41.91%	-36.68%	-33.47%	-30.79%	-21.63%	
Simulcast (Factorized [1])	-48.75%	-44.69%	-41.91%	-39.42%	-34.01%	
Simulcast (Mean-scale [12])	-34.75%	-29.16%	-24.11%	-20.03%	-12.23%	
Mei <i>et al</i> . [11] (original)	-44.56%	-37.14%	-33.86%	-32.63%	-31.03%	
Mei <i>et al</i> . [11] (enhanced)	-35.12%	-25.14%	-19.13%	-15.88%	-12.83%	
Single-layer (Mean-scale [12])	-1.32%	-1.49%	-0.34%	0.64%	6.47%	

Methods	Scale Factors (vs. BL)				
Wiethous	$2.4 \times$	$2.8 \times$	$3.2 \times$	3.6×	4.0  imes
SHVC [2]	-49.05%	-43.39%	-39.80%	-36.93%	-27.38%
Simulcast (Factorized [1])	-55.84%	-50.56%	-47.26%	-44.41%	-38.96%
Simulcast (Mean-scale [12])	-42.84%	-36.22%	-30.74%	-26.43%	-18.61%
Mei <i>et al</i> . [11] (original)	-45.16%	-37.73%	-34.48%	-33.52%	-32.02%
Mei <i>et al</i> . [11] (enhanced)	-35.41%	-25.78%	-19.99%	-17.03%	-14.10%
Single-layer (Mean-scale [12])	-3.13%	-2.44%	-1.33%	-0.66%	4.90%

Table 6: Coding efficiency comparison for a three-layer scalable coding with arbitrary scale factors. BD-rate gains of our COMPASS over the various methods are measured in the final EL where the negative values indicate BD-rate gains of our COMPASS. We set the scale factor of the EL-1 relative to the BL to  $1.2 \times$ , equally.

Table 8: Coding efficiency comparison for a three-layer scalable coding with arbitrary scale factors. BD-rate gains of our COMPASS over the various methods are measured in the final EL where the negative values indicate BD-rate gains of our COMPASS. We set the scale factor of the EL-1 relative to the BL to  $1.6 \times$ , equally.

Methods	Scale Factors (vs. BL)					
	$2.4 \times$	$2.8 \times$	3.2×	3.6×	4.0  imes	
SHVC [2]	-45.51%	-39.90%	-36.72%	-33.99%	-24.78%	
Simulcast (Factorized [1])	-51.88%	-47.31%	-44.40%	-41.86%	-36.15%	
Simulcast (Mean-scale [12])	-38.69%	-32.53%	-27.45%	-23.35%	-15.44%	
Mei <i>et al</i> . [11] (original)	-44.81%	-37.27%	-34.14%	-33.16%	-31.42%	
Mei et al. [11] (enhanced)	-35.29%	-25.26%	-19.59%	-16.58%	-13.43%	
Single-layer (Mean-scale [12])	-1.88%	-1.67%	-0.83%	-0.14%	5.68%	

Table 7: Coding efficiency comparison for a three-layer scalable coding with arbitrary scale factors. BD-rate gains of our COMPASS over the various methods are measured in the final EL where the negative values indicate BD-rate gains of our COMPASS. We set the scale factor of the EL-1 relative to the BL to  $1.4 \times$ , equally.

Methods	Scale Factors (vs. BL)					
in <b>Te</b> uno dis	$2.4 \times$	$2.8 \times$	$3.2 \times$	3.6×	$4.0 \times$	
SHVC [2]	-53.17%	-46.56%	-42.78%	-39.72%	-29.96%	
Simulcast (Factorized [1])	-59.49%	-53.63%	-49.64%	-46.51%	-41.06%	
Simulcast (Mean-scale [12])	-47.71%	-40.15%	-34.24%	-29.65%	-21.82%	
Mei <i>et al.</i> [11] (original)	-46.28%	-38.15%	-34.64%	-33.69%	-32.21%	
Mei <i>et al.</i> [11] (enhanced)	-36.63%	-25.81%	-20.21%	-17.24%	-14.39%	
Single-layer (Mean-scale [12])	-5.34%	-3.18%	-1.65%	-0.90%	4.53%	

Table 9: Coding efficiency comparison for a three-layer scalable coding with arbitrary scale factors. BD-rate gains of our COMPASS over the various methods are measured in the final EL where the negative values indicate BD-rate gains of our COMPASS. We set the scale factor of the EL-1 relative to the BL to  $1.8 \times$ , equally.



Figure 11: Visual results for multi-layer scalable coding for *kodim07.png* image in Kodak Lossless True Color Image dataset [6] (best viewed in digital format). The 'acc. bits' indicates the accumulated bits up to the corresponding layer.



Figure 12: Visual results for multi-layer scalable coding for *kodim20.png* image in Kodak Lossless True Color Image dataset [6] (best viewed in digital format). The 'acc. bits' indicates the accumulated bits up to the corresponding layer.

SHVC [2]



PSNR : 29.08dB acc. bits : 309.28kb



Mei et al. [11] (original)

PSNR : 28.22dB acc. bits : 303.31kb

Mei et al. [11] (enhanced)



Ground Truth

PSNR : 28.89dB acc. bits : 274.05kb

ENR + 20 224P

PSNR : 29.23dB acc. bits : 331.04kb

COMPASS (proposed)



PSNR : 30.14dB acc. bit : 281.18kb

Figure 13: Visual comparison results for *kodim05.png* image in Kodak Lossless True Color Image dataset [6] (best viewed in digital format). The 'acc. bits' indicates the accumulated bits up to the final EL. We match the accumulated bits among the compared methods as much as possible.

SHVC [2]



PSNR : 28.39dB acc. bits : 319.78kb



PSNR : 28.35dB acc. bits : 297.66kb

Mei et al. [11] (enhanced)



PSNR : 28.43dB acc. bits : 295.49kb

Ground Truth

Mei et al. [11] (original)



PSNR : 28.33dB acc. bits : 362.11kb

COMPASS (proposed)



PSNR : 29.56dB acc. bits : 283.07kb

Figure 14: Visual comparison results for *kodim08.png* image in Kodak Lossless True Color Image dataset [6] (best viewed in digital format). The 'acc. bits' indicates the accumulated bits up to the final EL. We match the accumulated bits among the compared methods as much as possible.

SHVC [2]



PSNR : 26.67dB acc. bits : 338.75kb



Mei et al. [11] (original)

PSNR : 27.09dB acc. bits : 374.62kb

Mei et al. [11] (enhanced)



PSNR: 27.27dB acc. bits : 327.17kb

Ground Truth



acc. bits : 434.85kb

COMPASS (proposed)



PSNR: 28.02dB acc. bits : 353.86kb

Figure 15: Visual comparison results for kodim13.png image in Kodak Lossless True Color Image dataset [6] (best viewed in digital format). The 'acc. bits' indicates the accumulated bits up to the final EL. We match the accumulated bits among the compared methods as much as possible.

SHVC [2]



PSNR : 29.54dB acc. bits : 213.50kb



PSNR : 28.60dB acc. bits : 205.98kb

Mei et al. [11] (enhanced)



PSNR : 29.19dB acc. bits : 180.03kb



Mei et al. [11] (original)

PSNR : 29.62dB acc. bits : 242.62kb

Ground Truth





PSNR : 30.34dB acc. bits : 199.71kb

Figure 16: Visual comparison results for *kodim14.png* image in Kodak Lossless True Color Image dataset [6] (best viewed in digital format). The 'acc. bits' indicates the accumulated bits up to the final EL. We match the accumulated bits among the compared methods as much as possible.

SHVC [2]



PSNR : 31.73dB acc. bits : 105.74kb



PSNR : 31.19dB acc. bits : 93.54kb

Mei et al. [11] (enhanced)



PSNR : 31.56dB acc. bits : 86.85kb

Mei et al. [11] (original)

PSNR : 32.17dB acc. bits : 143.84kb

Ground Truth





PSNR : 32.47dB acc. bits : 97.73kb

Figure 17: Visual comparison results for *kodim16.png* image in Kodak Lossless True Color Image dataset [6] (best viewed in digital format). The 'acc. bits' indicates the accumulated bits up to the final EL. We match the accumulated bits among the compared methods as much as possible.

# SHVC [2]



PSNR : 30.66dB acc. bits : 176.06kb



PSNR : 30.04dB acc. bits : 177.25kb

Mei et al. [11] (enhanced)



PSNR : 30.65dB acc. bits : 155.33kb

Mei et al. [11] (original)

PSNR : 30.89dB acc. bits : 227.49kb

COMPASS (proposed)



PSNR : 31.54dB acc. bits : 165.54kb

Figure 18: Visual comparison results for *kodim21.png* image in Kodak Lossless True Color Image dataset [6] (best viewed in digital format). The 'acc. bits' indicates the accumulated bits up to the final EL. We match the accumulated bits among the compared methods as much as possible.

Ground Truth

SHVC [2]



PSNR: 28.94dB acc. bits : 222.37kb



PSNR: 28.83dB acc. bits : 223.35kb

Mei et al. [11] (original)





PSNR: 29.33dB acc. bits : 199.52kb



PSNR : 29.50dB acc. bits : 263.33kb

COMPASS (proposed)



PSNR: 30.02dB acc. bits : 207.87kb

Figure 19: Visual comparison results for kodim24.png image in Kodak Lossless True Color Image dataset [6] (best viewed in digital format). The 'acc. bits' indicates the accumulated bits up to the final EL. We match the accumulated bits among the compared methods as much as possible.

Ground Truth

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