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Supplementary Material for Semi-supervised Speech-driven 3D Facial Animation via Cross-modal Encoding

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In this supplementary material, we describe details of the network architecture and the training process of our methods. Additionally, we discuss the limitations of our method and propose potential solutions. A supplementary video is also provided, which presents further qualitative comparisons, ablation studies, and visual results of our approach.

1. Network Architecture

022 Speech Encoder. The speech encoder consists of an au-023 dio feature extractor and a multi-layer transformer encoder. 024 The audio feature extractor is initialized with pre-trained 025 wav2vec2.0 [1] weights and generates audio features of 026 dimension 1024. The structure of the multi-layer trans-027 former encoder is adopted from [5], which consists of an 028 input linear layer, a 3-layer transformer encoder, and an out-029 put linear layer. The self-attention and feed-forward layers 030 have a hidden size of 512, and 4 attention heads are em-031 ployed. The input linear layer converts the audio feature 032 into 512-dimensional hidden embedding, while the output 033 linear layer preserves the hidden dimension. The resulting 034 speech encoding has a dimension of 512.

Visual Encoder. The shared visual encoder adopts
Resnet34 [2] as the backbone, followed by an average pooling layer and a single fully connected layer. The resulting visual encoding has a dimension of 512.

Decoder. We borrow the decoder structure from [4], which consists of several upsampling blocks with an upsampling scale of 2. The details are depicted in Table 1.

0430442. Implementation Details

045 Data Batch Organization. In training phase, each batch of data contains speech, real facial images and synthetic fa-046 047 cial images. Specifically, a batch is composed of 20 speech 048 snippets, 20 real facial images, and 14 synthetic facial images, where the speech snippets and real facial images are 049 synchronized. In addition, two neutral expression images 050 are included, one is a real face and the other is a synthetic 051 052 face.

Training details. We resize the facial images to 256x256

-		O () I	067
Layer	Activation	Output shape	068
Dense	-	16384	069
Reshape	-	256x8x8	070
Conv3x3	LeakyReLU	512x8x8	071
structure	LeakyReLU	2048x8x8	072
PixelShuffle	-	512x16x16	073
Conv3x3	LeakyReLU	2048x16x16	074
PixelShuffle	-	512x32x32	075
Conv3x3	LeakyReLU	512x32x32	076
Conv3x3	LeakyReLU	512x32x32	077
Conv3x3	LeakyReLU	1024x32x32	- 078
PixelShuffle	-	256x64x64	079
Conv3x3	LeakyReLU	256x64x64	080
Conv3x3	LeakyReLU	256x64x64	081
Conv3x3	LeakyReLU	512x64x64	082
PixelShuffle	-	128x128x128	083
Conv3x3	LeakyReLU	128x128x128	084
Conv3x3	LeakyReLU	128x128x128	085
Conv3x3	LeakyReLU	256x128x128	- 086
PixelShuffle	-	64x256x256	087
Conv3x3	LeakyReLU	64x256x256	088
Conv3x3	LeakyReLU	64x256x256	089
Conv1x1	-	3x256x256	- 090

Table 1. Decoder architecture. Leaky ReLU activations use a slope of 0.1. Pairs of consecutive convolutions are composed as residual blocks [2].

and convert them to grayscale images. We use the Adam optimizer [3] with a learning rate of 1e-4. During training, the parameters of the audio feature extractor are fixed. The model is trained for 15000 steps. We evaluate our model using the last checkpoint.

3. Limitations and Solutions

The proposed network consists of only one real face decoder and one synthetic face decoder, which cannot achieve image-to-image translation for multiple identities. For example, generating animations for additional CG characters is infeasible. To overcome this limitation, we can extend the network architecture to multiple decoders, with each decoder corresponding to a unique identity. It is worth noting that in order to train this multi-decoder network, images of multiple identities are required for training.

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