



Monocular Facial Appearance Capture in the Wild

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Abstract

We present a new method for reconstructing the appearance properties of human faces from a lightweight capture procedure in an unconstrained environment. Our method recovers the surface geometry, diffuse albedo, specular intensity and specular roughness from a monocular video containing a simple head rotation in-the-wild. Notably, we make no simplifying assumptions on the environment lighting, and we explicitly take visibility and occlusions into account. As a result, our method can produce facial appearance maps that approach the fidelity of studio-based multiview captures, but with a far easier and cheaper procedure.

1. Introduction

3D facial scanning is a fundamental tool for the creation of realistic digital humans in several industries like film and video game entertainment, communication and telepresence, medical applications, and the new trend of AI-driven digital characters. For decades, practitioners have relied on high-quality 3D face scans in order to bring people into virtual worlds. Much of the technology evolution has focused on reconstructing the surface geometry, where initial scanners could create detailed triangle meshes in controlled studio settings with many cameras and lights, and then more recent efforts focused on fast and lightweight face reconstruction from monocular inputs in less-constrained, socalled "in-the-wild" settings. While the facial geometry is extremely important, the shape alone is not enough to rerender the subject in novel environments with photorealistic quality. For this task, we must additionally recover the appearance properties of the face, which dictate how light interacts with the skin surface. As such, in today's high-end facial scanning pipelines the desired result includes a highresolution facial surface mesh with corresponding appearance textures for properties like the diffuse albedo, specular intensity and specular roughness, which are compatible with modern skin shaders.

Like facial geometry reconstruction, the field of skin appearance estimation is also well-studied in controlled studio environments, where accurate appearance maps can be reconstructed from large setups that obtain multi-view or multi-shot images under calibrated lighting [55]. Following the geometry trend, current research aims to allow facial appearance capture in less constrained settings, for example outdoors using the sun as a single point light [62]. Unfortunately, these methods often make simplifying assumptions, and thus there still exists a large gap in reconstruction quality between current in-the-wild methods and production-ready studio-based capture.

In this work we present a new method for facial appearance capture in the wild, surpassing the level of fidelity of existing lightweight methods. Our approach requires only a short video sequence of a simple head rotation, captured from a single camera in any environment, including indoors or outdoors, on a sunny day or in shadow. Our approach is built on traditional inverse rendering optimization, where a fast differentiable renderer is used to solve for the geometry and appearance parameters together with the environment lighting simultaneously. Different from previous methods, we do not make any assumptions on the lighting condition (e.g. we do not require a sun in the sky), and as our main contribution we explicitly take visibility into account, effectively removing baked-in shading by correctly modeling self-occlusion in our appearance solver. The result is a detailed geometry mesh with textures for diffuse albedo, specular intensity and roughness. As we will show, our approach leads to more faithful recovery of the appearance properties than existing techniques in the wild. As a particular application, our approach allows fast capture of actors on a film set, with resulting assets that can be used directly in traditional VFX pipelines. In summary, we make the following key contributions:

- A new state-of-the-art method for in-the-wild facial appearance capture that makes no assumption on the scene lighting condition.
- · A novel shading model that explicitly handles visibility

and self-occlusion for inverse rendering pipelines, achieving high-quality appearance reconstruction from monocular input.

2. Related Work

In the following section, we first outline relevant works around in-the-wild inverse rendering which do not necessarily focus on the human face. Second, we highlight works which specifically tackle facial appearance capture.

Inverse rendering in the wild. Inverse rendering is the process of decomposing the scene into 3D shape, material and illumination by simulating the rendering process and comparing the results against captured images. It has been a popular research topic with the recent advances in novel view synthesis using neural implicit representations [46, 47, 61], 3D Gaussian splatting [36], mesh-based differentiable renderers (rasterizers [37] and path tracers [30, 40]) and using diffusion models as prior [21, 41, 43, 65]. While some existing techniques target a very challenging scenario where the lighting can differ across different images [8, 9, 20], we restrict our discussion here to methods that assume a static unknown environment lighting. PhySG [70] utilizes spherical Gaussians to approximately and efficiently evaluate the rendering equation in closed form. Munkberg et al. [48] propose an efficient end-to-end framework for joint learning of topology, triangle meshes and materials, achieving much faster training and inference compared to previous NeRFbased factorization methods [7, 59, 72]. They also introduce a differentiable formulation of the split-sum approximation of environment lighting to efficiently recover all-frequency lighting. Follow-up work [29] shows that material and lighting decomposition can be further improved with a more realistic shading model, incorporating ray tracing and Monte Carlo integration. Recently, 3D Gaussian Splatting techniques were used in conjunction with physical-based rendering to allow for scene relighting [5, 23, 42, 64, 74].

Facial appearance capture. Traditional face capture studios often employ a multi-view setup with controlled and calibrated lighting conditions to reconstruct the skin appearance [14, 25, 26, 55]. Similar setups were used for facial appearance decomposition with neural and gaussian primitives [56, 57, 66, 67, 69]. Lighter alternative setups were also explored by Lattas et al. [39] and Choi et al. [13] but they still require the subject to be seating in a dedicated space. Recently, the research community has investigated more lightweight setups which are easily accessible to everyone. However, most of these techniques still pose some constraints on the capture environment. CoRA [28] reconstructs relightable 3D face assets from a single co-located smartphone flashlight sequence captured in a dim room. Similarly, Azinović et al. [1] additionally attach polarization foils and capture two such sequences with perpendic-

ular polarization orientation to separate skin surface and subsurface reflectance. Using a co-located light and camera setup, these methods assume the position of the dominant light source is known and no shadowing term needs to be modeled. Instead of using a smartphone flashlight, SunStage [62] takes a selfie video rotating under the sun as input and uses the varying angles between the sun and the face as guidance. Cast shadows from the sun are modeled by shadow mapping. It also jointly optimizes the sun's position together with face geometry and appearance. All these methods assume the specular reflection (and the shadowing) of the face comes from a single dominant point or directional light source in the capture environment, and the ambient light contributes only to a low-frequency diffuse term. Another line of work [15-18, 27, 38, 51] aims to reconstruct shape and reflectance properties from a single portrait image, often relying heavily on statistical shape and appearance priors [6, 24, 58] which limits its expressiveness. Our work instead focuses on accurate personalized reconstruction. Rainer et al. [54] use tiny shading networks to disentangle shading from explicit reflectance maps but assume a known high-quality geometry and smooth lighting. NeuFace [73] represents the face with a neural SDF and proposes to learn appearance factorization under unknown low frequency light with a novel neural BRDF basis. However, it requires multi-view input similar to those from a light stage setup. Closest to ours, FLARE [4] builds relightable head avatars from monocular videos. It adopts the split-sum approximation [32, 48] for relighting and a neural version of it during training. This rendering model ignores the selfocclusion and bakes part of the shading into the albedos. In contrast, we propose a modified formulation of the splitsum approximation which explicitly handles light visibility and combine it with ray tracing, leading to higher quality shape and appearance reconstruction.

3. Monocular Appearance Capture

We now describe our method for facial appearance capture given a monocular head rotation sequence. We assume the expression of the subject does not change throughout the sequence. As a pre-processing step, we run monocular tracking based on landmarks [11] and a photometric loss [53] to obtain an initial canonical mesh from a 3DMM fit. We also estimate a fixed camera pose, per-frame rigid head poses and neck rotation. For more details about the pre-processing step please see the supplemental PDF. The output of our inverse rendering system will be a 3D asset of the subject containing a high-quality mesh, and diffuse albedo, specular intensity and roughness as 2D texture maps. In the following, we first describe our geometry optimization formulation in Section 3.1, our novel occlusion-aware shading model in Section 3.2, and then optimization details in Section 3.3.

3.1. Geometry Optimization

Balancing the updates to both geometry and textures in inverse rendering can be a challenging task. More specifically, the optimization might overfit too quickly on the textural components before learning the correct geometry. This is often the case when Laplacian shape regularization is applied to enforce geometric smoothness, making the geometry update too slow. Related work such as FLARE [4] addresses this issue by using a two-stage approach. First, a detailed geometry and only blurry textures are learned, and then, the shape is fixed and textures are learned after re-initialization in the second stage. Our method, however, optimizes geometry and textures at the same time. To do so, we adopt a preconditioning framework similar to Nicolet et al. [50], which biases gradient steps towards smooth solutions. The vertex positions v in each iteration are updated by

$$\mathbf{v} \leftarrow \mathbf{v} - \eta (\mathbf{I} + \lambda_{\text{geo}} \mathbf{L})^2 \frac{\partial \mathcal{L}}{\partial \mathbf{v}},$$
 (1)

where $\mathbf{v} \in R^{N \times 3}$ collects mesh vertex positions along rows, η is the learning rate, \mathbf{I} is an identity matrix, \mathbf{L} is the uniform Laplacian, and \mathcal{L} is our loss function described in Section 3.3. The hyper-parameter $\lambda_{geo} > 0$ balances between the original objective of matching the input images and a smooth mesh. We set $\lambda_{geo} = 19$ in all our experiments. This way, we can apply a large learning rate for the geometry optimization while keeping the mesh smooth and self-intersection free.

3.2. Occlusion-Aware Shading Model

Following the rendering equation [31], we compute the outgoing radiance $L(\omega_o)$ at location x from direction ω_o by:

$$L(\omega_o) = \int_{\Omega} f(\mathbf{x}, \omega_i, \omega_o) L_i(\omega_i) (\omega_i \cdot \mathbf{n}) d\omega_i.$$
 (2)

We decompose the BRDF $f(\mathbf{x}, \omega_i, \omega_o)$ into the sum of a diffuse term f_d and a specular term f_s . We use the simple Lambertian model for the diffuse term:

$$f_d(\mathbf{x}) = \frac{\rho(\mathbf{x})}{\pi},\tag{3}$$

where ρ is the diffuse albedo. We use a specular BRDF similar to Kelemen and Szirmay-Kalos [35], which has been shown to be well suited for rendering human skin [49, Chapter 14]:

$$f_s(\mathbf{x}, \omega_i, \omega_o) = \frac{DGF}{4(\omega_i \cdot \mathbf{n})(\omega_o \cdot \mathbf{n})},$$
 (4)

where D, G, and F are functions representing the Beckmann normal distribution, geometric attenuation and Fresnel terms, respectively.

Accounting for self-occlusion. We consider direct illumination where $L_i(\omega_i)$ comes only from the light sources. Spherical Harmonics [12, 44] or Spherical Gaussians [68] are popular representations used by prior methods [70, 73] but they can only model low- to medium-frequency lighting. We therefore follow other work, e.g. FLARE [4], and use a differentiable split-sum approximation [33, 45, 48], which allows to capture all-frequency lighting. Unfortunately this approach ignores self-shadowing, and so we propose a novel visibility-modulated split-sum approximation to account for self-shadowing, which we describe in the following.

We start by introducing the split-sum formulation from Karis [32] which approximates Eq. 2 as

$$L(\omega_o) \approx \int_{\Omega} f(\mathbf{x}, \omega_i, \omega_o)(\omega_i \cdot \mathbf{n}) d\omega_i \int_{\Omega} L_i(\omega_i) D(\mathbf{h})(\omega_i \cdot \mathbf{n}) d\omega_i.$$
(5)

The first term is the integral of the BRDF under a solid white environment map, i.e. $L_i(\omega_i) = 1, \forall \omega_i$. It only depends on $\cos \theta = \omega_i \cdot \mathbf{n}$ and the roughness r. Therefore, it can be precomputed and stored as a 2D look-up texture. The second integral is a pre-filtered environment map, where D is the normal distribution function of the BRDF and $\mathbf{h} = \frac{\omega_i + \omega_o}{\|\omega_i + \omega_o\|_2}$ is the half vector. This term can also be precomputed as a mipmap by convolving the environment map with D at different roughness values. At rendering time, the outgoing radiance can then be efficient computed using only two texture lookups. Note that when evaluating Eq. 5, the contribution of the prefiltered environment map is only dependent on the reflected view direction ω_r and the roughness r. This is not physically accurate as the contribution should be reduced if the light is (partially) blocked from the upper hemisphere at a specific point. To account for self-occlusion, we must modulate $L_i(\omega_i)$ differently at different locations based on the light visibility $V(\mathbf{x}, \omega_i)$, i.e., making the second integral in Eq. 5 $\int_{\Omega} L_i(\omega_i)V(\mathbf{x},\omega_i)D(\mathbf{h})(\omega_i \cdot \mathbf{n})d\omega_i$. This new integral cannot be precomputed anymore since it's different for different x. However, we notice that when $r \ll 1$, the new integral is 0 unless ω_i is close to ω_r . Therefore, we can approximate it as

$$\int_{\Omega} L_{i}(\omega_{i})V(\mathbf{x},\omega_{i})D(\mathbf{h})(\omega_{i}\cdot\mathbf{n})d\omega_{i}$$

$$\approx \tilde{V}(\mathbf{x},\omega_{r})\int_{\Omega} L_{i}(\omega_{i})D(\mathbf{h})(\omega_{i}\cdot\mathbf{n})d\omega_{i}.$$
(6)

Here, $\tilde{V}(\mathbf{x}, \omega_r)$ is the view-dependent visibility. The simplest choice of $\tilde{V}(\mathbf{x}, \omega_r)$ is to only evaluate the light visibility at ω_r , i.e., $\tilde{V}(\mathbf{x}, \omega_r) \coloneqq V(\mathbf{x}, \omega_r)$. Intuitively, this means the outgoing radiance is 0 if the reflected view direction is occluded. Note that this approximation is exact

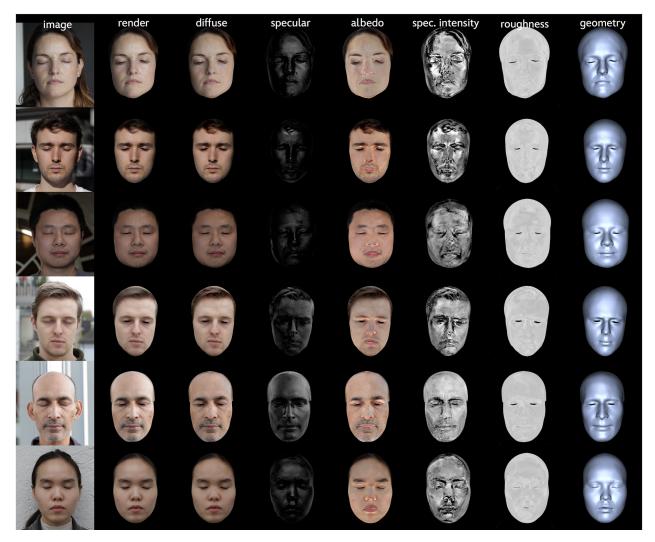


Figure 1. A selection of facial appearance reconstruction and decomposition results for different subjects in different environments, both indoors and outdoors, with sunny and cloudy sky.

for perfect specular reflection (*i.e.* mirrors). To add some softness in the visibility term (instead of considering it as a binary function), we approximate it by Monte Carlo integration,

$$\tilde{V}(\mathbf{x}, \omega_r) := \frac{1}{K} \sum_{k=1}^{K} \frac{V(\mathbf{x}, \omega_k)}{D(\mathbf{n}, \omega_k, \omega_r, r)},$$
(7)

where the samples are drawn following the normal distribution of the BRDF. This bears some resemblance to the appearance models of Saito $et\ al.$ [56]. However, they parameterize the view-dependent specular visibility term using a neural network as they work with a large amount of studio data where the lighting is controlled and calibrated. Note however, Eq. 6 introduces large errors for rough surfaces (i.e., when $r \ll 1$ does not hold). We therefore use it only for the specular component, and implemented ray trac-

ing with multiple importance sampling [60] for the diffuse component using the OptiX [52] engine.

3.3. Optimization Details

Our reconstruction loss consists of an L_1 data term \mathcal{L}_{img} and some regularization terms. We employ an L_1 mask loss \mathcal{L}_{mask} between the mask obtained from MODNet [34] and the predicted binary mask. Although we use a parameterization similar to Nicolet *et al.* [50], we find it helpful to still employ a Laplacian regularizer to stabilize the geometry optimization such that we do not need to set different λ_{geo} for different datasets. This regularizer encourages the Laplacian of the optimized mesh to stay close to the Laplacian of the initial 3DMM fit

$$\mathcal{L}_{\text{Lap}} = \left\| \mathbf{L} (\mathbf{v} - \mathbf{v}_{\text{init}}) \right\|_{2}^{2}. \tag{8}$$

We apply a white light regularization $\mathcal{L}_{\text{light}}$ on the environment map as in [48] and the roughness texture is regularized to be smooth via a total variation loss $\mathcal{L}_{\text{rough}}$. We noticed in our experiments that part of the specular signal tends to be baked into the diffuse albedo. We thus apply a weak regularization to encourage the diffuse render I_{diffuse} to be small if possible, as

$$\mathcal{L}_{\text{diffuse}} = \left\| I_{\text{diffuse}} \right\|_2^2. \tag{9}$$

The final loss is then

$$\mathcal{L} := \mathcal{L}_{img} + \lambda_{mask} \mathcal{L}_{mask} + \lambda_{Lap} \mathcal{L}_{Lap} + \lambda_{light} \mathcal{L}_{light} + \lambda_{rough} \mathcal{L}_{rough} + \lambda_{diffuse} \mathcal{L}_{diffuse}.$$
(10)

4. Experiments

We now show several results of our appearance capture method, evaluate its performance compared to previous work, and offer several ablation studies to validate our design choices. Please refer to our supplemental material for additional results.

4.1. Appearance and Geometry Reconstruction

We begin by highlighting the versatility of our approach by showing several appearance capture results of different subjects in different environments in Fig. 1. Each row of the figure illustrates one of the input images, the corresponding render using our recovered appearance properties, and then a breakdown of the reconstructed appearance maps (diffuse albedo, specular intensity, specular roughness) and geometry. Our method can be applied indoors or outdoors, with sunny or cloudy skies. We show how the recovered geometry and appearance maps can be used to render the subject in a new environment by relighting them. Fig. 2 shows two subjects relit in two different environments (see Fig. 1 for the original environment). Please refer to the supplemental video for more results.



Figure 2. Relighting results of multiple frames on two different subjects in two different environments. The environment is shown top left of each row. See Fig. 1 for the original environment.

4.2. Comparisons

We compare our results to related methods for facial appearance capture in the wild: FLARE [4], NextFace [15], and SunStage [62].

Fig. 3 shows a qualitative comparison of our method to FLARE on two different subjects. While the combined final render is similar between FLARE and our method, it is clear that FLARE fails to separate the diffuse and specular components, baking most of the specular signal in the diffuse map resulting in a nearly zero specular render (Fig. 3, 3rd column). In contrast, our shading model is completely physically-based and correctly separates the diffuse and specular components. The normals reconstructed by FLARE portray a lot of spatial noise and the geometry contains self-intersections, unlike ours (4th column). The diffuse albedo from FLARE contains more baked-in diffuse shading than our result (5th column). As a result, FLARE performs worse when relighting the subject under a novel environment map than our approach (columns 6, 7 and 8).

We also perform qualitative comparisons to NextFace and SunStage in Fig. 4. NextFace relies on a statistical prior, leading to inaccurate shapes and blurry appearance for different subjects (Fig. 4, rows 1 and 4). It produces very similar shapes for the two subjects shown in Fig. 4, while our geometry preserves the identity and likeness of the subjects. SunStage assumes a single point light in the scene, and the shadows and specular components come only from this point light. This leads to poor diffuse and specular separation and incorrect shadows in generic environments like the examples shown here (Fig. 4, rows 2 and 5). In the first subject, we see orange artifacts on the forehead of the diffuse albedo in the SunStage result (row 2). In the second subject, the specular component is completely missing (row 5). The shape and textures from SunStage also have lower resolution than ours. The final row of Fig. 4 illustrates the appearance details for zoomed-in regions shown by the red and blue squares, indicating that our method produces the most accurate details.

As a quantitative comparison, we show reconstruction errors of to NextFace, SunStage and FLARE compared to ours in Table 1. For fairness, we compute errors only on skin regions and average over all subjects in the dataset. Our method prevails in all metrics. The metrics are computed in linear RGB space and averaged over all tested subjects.

4.3. Evaluations

Effects of light visibility. We first evaluate the effects of accounting for self-occlusion by comparing the relit renders of our method with the original split-sum approximation (denoted as *Ours* (*w/o vis*)) in Fig. 5. We can see that when self-occlusion is not accounted for, shadows under the capture lighting got baked into the albedo (column 1 with zoomed-in patches focusing around the nose), leading to

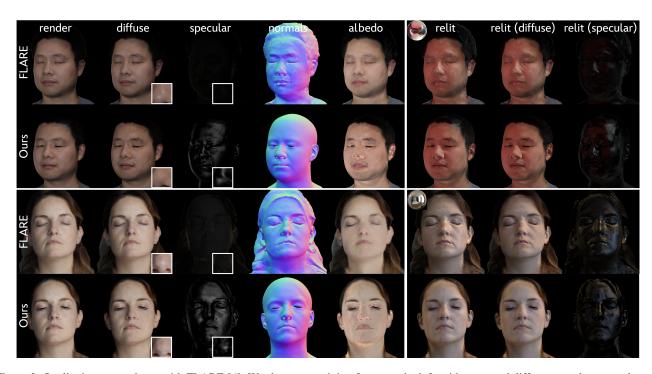


Figure 3. Qualitative comparisons with FLARE [4]. We show one training frame on the left, with separated diffuse, specular, normals and diffuse albedo renders. On the right we show a relit example using the reconstructed appearance. FLARE fails to separate the diffuse and specular signals, produces noisy normals, and bakes shading into the diffuse albedo, all leading to poor results under relighting.

	PSNR ↑	MAE ↓	SSIM [63] ↑	LPIPS [71] ↓
NextFace [15]	25.30	10.63	0.78	0.31
SunStage [62]	29.47	5.28	0.88	0.14
FLARE [4]	30.40	2.01	0.94	0.15
Ours (w/o vis)	34.55	1.79	0.96	0.10
Ours	38.09	1.18	0.97	0.10

Table 1. Reconstruction errors computed over the skin region averaged for all the subjects.

wrong shadows when relit (row 1, column 2, 3). The specular component also exhibits unrealistic sharp highlight (row 1, column 2, 4). Moreover, when the major light source is behind the subject, the baseline renders show artifacts in the form of strong specular highlights on the side of the nose and under the chin (row 3, column 2, 4) since self-occlusion is not properly handled. In contrast, our proposed model correctly removes baked-in shading and produces more realistic relit renders (row 2, 4). We also quantitatively evaluated the performance gain of accounting for self-occlusion in terms of reconstruction errors in Table 1.

Evaluation on synthetic data. To better evaluate the quality of the reconstructed mesh and textures against ground truth, we created a synthetic dataset with a monocular head rotation sequence similar to the real data. The

ground truth mesh and albedo are from a studio appearance capture method [55] (Fig. 6 column 1). We use a natural outdoor environment map on a cloudy day as lighting. Please refer to the supplementary document for more details on the synthetic dataset. We show reconstructed diffuse albedo and geometry of our method with and without light visbility accounted for in columns 2 and 3 of Fig. 6, and the error maps compared with the ground truth are shown in the last two columns. We can see that our method produces a more accurate reconstruction of the diffuse albedo while the baseline result contains a lot of baked-in shading. In terms of shape reconstruction, the two perform similarly. However, the baseline method does not handle shadows well, leading to slightly worse shape recovery in regions where self-occlusion plays an important role, *e.g.*, the lips.

Ours *vs* **raytracing for specular.** While a ray-tracer handles visibility inherently, we find that our modified splitsum approximation behaves more stably in our inverse rendering setting when we need to solve for shape, textures, lighting at the same time from merely monocular input. Ray tracing produces flickery specular images (Fig. 7 row 2) where part of the skin abruptly changes from very bright to very dark while the head is rotating. We also notice high frequency artifacts, *e.g.* sharp boundary between bright and dark pixels, in the ray traced render, as denoted by the red arrows. In contrast, our model gives visually smoother

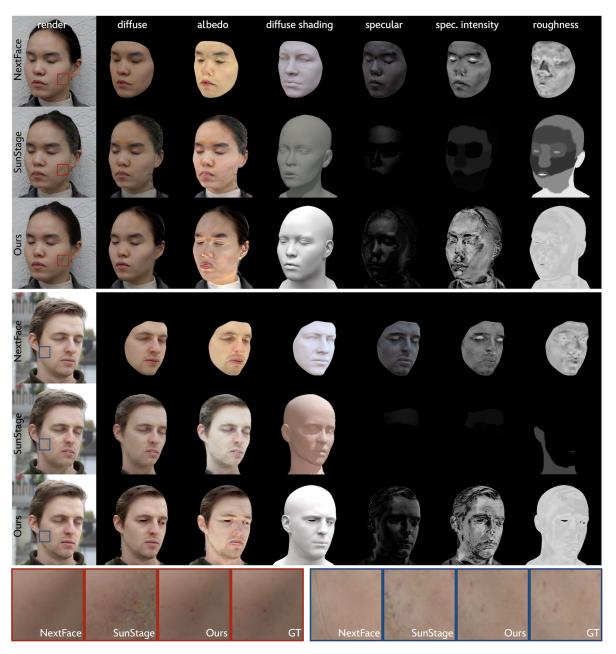


Figure 4. Qualitative comparisons with NextFace [15] and SunStage [62] on two different subjects. The first column is the resulting render overlaid on one input image, and the remaining columns indicate the recovered appearance maps. NextFace produces inaccurate shapes and blurry appearance, where SunStage produces poor shadows and incorrect diffuse/specular separation. Our method produces the most accurate results, also indicated by the zoom region in the final row.

specular renders (Fig. 7, row 3). Please refer to the supplemental videos for an animated visualization.

Optimizing vertex positions *vs* **blendweights.** We choose to optimize vertex positions directly instead of parameterizing the shape using blendweights of a 3DMM as in NextFace [15]. We show in Fig. 8 that our model achieves the least reconstruction error in the central part of the face compared to the initial mesh or the one optimized through a 3DMM in the same inverse rendering setting. Even though

the silhouette of the side face is never shown in the training data, our method recovers the correct shape of the nose from shading. The ground truth reference mesh is a 3D facial scan from a multi-view face scanner [3].

Regularize diffuse component. Last, we apply a weak regularization to encourage the diffuse render to be small which prevents too much specular from being baked into the diffuse component, as we show in Fig. 9. This gives us better separation of the diffuse and specular signals.

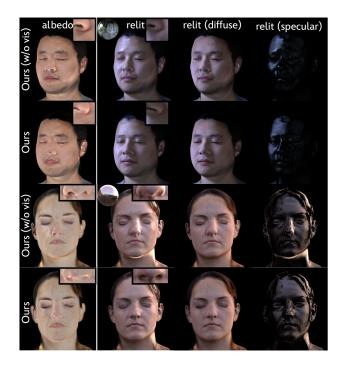


Figure 5. Relighting evaluation of our method with and without accounting for self-occlusion. We also visualize the corresponding diffuse albedo, diffuse render and specular render.

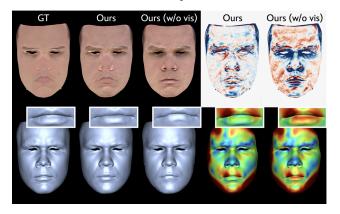


Figure 6. Comparing our method with and without accounting for light visibility on a synthetic dataset. The mesh errors are displayed with a scale of 0mm 5mm and the albedo error with a scale of -0.1

5. Limitations

We assume the head poses (and neck rotations) are provided as input to our method. Inaccuracies in the head pose estimation impair the reconstruction quality of our method substantially (see failure cases in the supplemental). Although we make no assumptions on the lighting condition, we cannot recover the appearance if part of the face is in extreme shadows in all frames. Our current face template geometry does not model eyes, however switching to a different template model would allow to reconstruct eyes better. Also,



Figure 7. Comparing the specular renders from our method and a ray-tracer across frames in the same head rotation sequence.

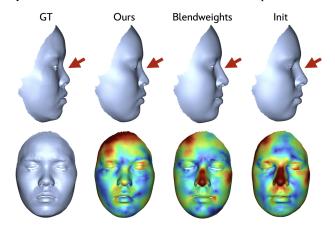


Figure 8. Our geometry optimization pipeline recovers the correct nose shape from shading, achieving the better reconstruction quality compared to 3DMM fit. The mesh errors are displayed with a scale of 0mm 5mm.



Figure 9. Diffuse and specular component without and with the regularization term $\mathcal{L}_{diffuse}$.

correct skin tone recovery is not guaranteed due to the ambiguity between the illumination and appearance.

6. Conclusion

We propose a new lightweight facial appearance capture method, surpassing the quality of existing lightweight approaches. It works truly in the wild, making no assumption on the environment illumination. Our novel shading model explicitly accounts for self-occlusion, leading to faithful recovery of the shape and appearance properties from a monocular video containing a simple head rotation.

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