

Hierarchical Generative Adversarial Networks for Single Image Super-Resolution

– Supplementary Material –

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Abstract

In this supplementary material, we provide additional information of our proposed hierarchical generative adversarial networks for single image super-resolution (HSR-GAN) and discuss architecture, training, further implementation details and discussions with other multi-scale SR methods. Furthermore, we provide complexity analysis and additional visual experimental results compared with the state-of-the-art CNN-based single image super-resolution (SISR) methods.

1. HSRGAN details

In this section, we show the architecture and training details of our HSRGAN.

1.1. Upsample

There are a lot of ways to increase the resolution of feature maps. While deconvolution (or convolution transpose) may cause checkerboard artifacts [9], more recent works use nearest neighbor interpolation followed by a convolution layer or pixel shuffle (or sub-pixel convolution) [11] layer for upsampling. In our HSRGAN, We employ pixel shuffle to amplify the resolution, which is more suitable for SISR problem compared to the deconvolution layer [1]. Pixel shuffle layer has a more flexible way to model the mapping between LR space and HR space, which aggregates feature maps in low dimensional space and a separate upscaling kernel is used to map each feature map to high dimensional space to reconstruct the HR image. In order to guarantee the performance stability of network and generate more realistic SR images, we adopt two pixel shuffle layers to enlarge the feature map by a factor of 2 for each. The

Upsample	PI / PSNR
deconvolution	3.171 / 26.433
conv(nearest)	2.958 / 26.182
pixel shuffle	2.897 / 26.239

Table 1. Comparison among the three upsampling ways on Set14.

comparison among the three upsampling method shown as Table 1 demonstrates that pixel shuffle outperforms others in perceptual quality in our model.

1.2. Discriminator

According to [2], \mathbb{D}_{Ra} denoted in our main paper can be represented by

$$\mathbb{D}_{\text{Ra}}(x_r, x_f) = \sigma(C(x_r) - E[C(x_f)]), \quad (1)$$

where σ is the sigmoid function, $C(x)$ is the non-transformed discriminator output and $E[\cdot]$ is the operation of taking average for all fake data in the mini-batch. In our HSRGAN, x_r is the groundtruth image \mathbf{I}_{HR} and x_f is the synthetic super-resolved image \mathbf{I}_{SR} .

In our experiment, VGG13 [12] was deployed as the backbone of our discriminator, as other works [6, 17] did. Since max pooling operation may lose some information during feed-forward process, we instead use the convolution kernel with stride set to 2 to downsample the feature maps.

1.3. Training details

Our model was implemented on the PyTorch framework and trained on an NVIDIA GeForce RTX 2080Ti GPU. We empirically chose the hyper-parameters $\lambda = 5 \times 10^{-3}$ and $\eta = 1 \times 10^{-2}$, the the initial learning rate was 10^{-4} . The models were trained with a batch size of 16 with the learning rate reducing to half every 200k iterations.

To accelerate the training process, we first trained a distortion-oriented with the L1 loss and then finetuned the

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Methods	Parameters
Bicubic	-
EDSR [8]	43.0M
RCAN [19]	16.0M
SRFBN [7]	3.6M
SRGAN [6]	1.5M
SFTGAN [16]	1.7M
NatSR [13]	4.8M
ESRGAN [17]	15.9M
HSRGAN (Ours)	8.3M

Table 2. Model complexity comparison of our HSRGAN and state-of-the-art methods.

pre-trained model with the overall loss defined in our main paper. Pre-training with pixel-wise loss helps GAN-based methods to obtain more visually pleasing results [17].

1.4. Discussion

Although many of the previous SISR papers leverage the idea of multi-scale [3, 14, 15, 4, 5], they usually use a single-path or recursive network as backbone and pass the shallow feature map directly to the upsampling layer through skip connections to realize multi-scale feature extraction. However, we get rid of complicated convolution blocks and utilize three independent path to extract multi-scale features, which is simple and effective. In addition, we employ HGRM, which amplifies the feature map step by step to stabilize the reconstruction procedure and the whole network is trained jointly.

2. Experiments

2.1. Complexity analysis

In this section, we discuss the complexity of our proposed model. In general, for the model without recursive connection, the number of parameters of the model is positively correlated with operations [18, 10] and inference time. As shown in Table 2 and Table 2 in main paper, in comparison with other state-of-the-art networks, especially those with a large number of parameters, such as ESRGAN and EDSR, our proposed HSRGAN can achieve competitive visual results, while only needs the 52% and 19% parameters of ESRGAN and EDSR, respectively. This demonstrates our method can well balance the number of parameters and the reconstruction performance.

2.2. Comparison with the state-of-the-art

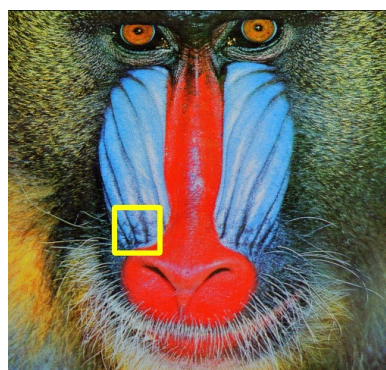
In this section, we employ Bicubic, EDSR [8], RCAN [19], SRFBN [7], SRGAN [6], SFTGAN [16], NatSR [13], ESRGAN [8] as our comparison methods. We retrained these models with their published codes and run them on the test datasets. The methods can be divided into 3 categories, where Bicubic is a baseline for the others. Compared

to distortion-oriented methods, such as EDSR, RCAN and SRFBN, GAN-based or perception-oriented methods generate clear edges of images to some extent. Among all the GAN-based methods, our HSRGAN outperforms the other methods on denoising, details recovery and texture reality, as shown in Figure 1 to Figure 4.

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baboon from Set14
PI / PSNR

HR 3.60 / ∞	bicubic 6.67 / 31.83	EDSR 4.22 / 33.94	RCAN 4.16 / 33.96	SRFBN 4.74 / 33.88
SRGAN 1.82 / 30.43	SFTGAN 2.07 / 31.58	ESRGAN 2.00 / 31.52	NatSR 2.03 / 31.61	HSRGAN 1.69 / 30.95



76053 from BSDS100
PI / PSNR

HR 2.16 / ∞	bicubic 6.97 / 28.17	EDSR 5.68 / 29.25	RCAN 5.63 / 29.22	SRFBN 5.74 / 29.26
SRGAN 2.14 / 26.46	SFTGAN 2.17 / 26.60	ESRGAN 2.19 / 26.21	NatSR 2.62 / 27.65	HSRGAN 1.79 / 27.04



YumeNoKayoiiji
PI / PSNR

HR 2.94 / ∞	bicubic 6.76 / 27.82	EDSR 4.50 / 31.85	RCAN 4.55 / 31.84	SRFBN 4.60 / 31.77
SRGAN 2.57 / 28.67	SFTGAN 2.56 / 28.07	ESRGAN 2.68 / 28.66	NatSR 2.70 / 29.59	HSRGAN 2.46 / 28.18

Figure 1. Qualitative results of our HSRGAN and state-of-the-art methods. HSRGAN generates more realistic textures and less artifacts.



Figure 2. Qualitative results of our HSRGAN and state-of-the-art methods. HSRGAN generates more realistic textures and less artifacts.



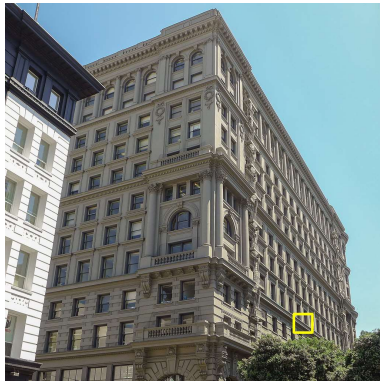
YoumaKourin
PI / PSNR

HR 3.01 / ∞	bicubic 6.75 / 24.79	EDSR 4.47 / 29.95	RCAN 4.53 / 30.15	SRFBN 4.72 / 30.05
SRGAN 3.06 / 27.37	SFTGAN 2.98 / 26.81	ESRGAN 2.91 / 27.82	NatSR 3.08 / 28.57	HSRGAN 2.90 / 26.65



img_007 from Urban100
PI / PSNR

HR 2.94 / ∞	bicubic 7.18 / 26.14	EDSR 5.55 / 29.94	RCAN 5.60 / 30.01	SRFBN 5.65 / 29.88
SRGAN 3.01 / 27.34	SFTGAN 2.76 / 26.67	ESRGAN 3.02 / 27.94	NatSR 3.26 / 28.53	HSRGAN 2.99 / 26.90



img_014 from Urban100
PI / PSNR

HR 3.34 / ∞	bicubic 6.85 / 21.37	EDSR 4.22 / 22.67	RCAN 4.20 / 22.71	SRFBN 4.42 / 22.64
SRGAN 3.03 / 21.32	SFTGAN 3.10 / 21.15	ESRGAN 3.20 / 21.05	NatSR 3.04 / 22.15	HSRGAN 2.83 / 21.15

Figure 3. Qualitative results of our HSRGAN and state-of-the-art methods. HSRGAN generates more realistic textures and less artifacts.

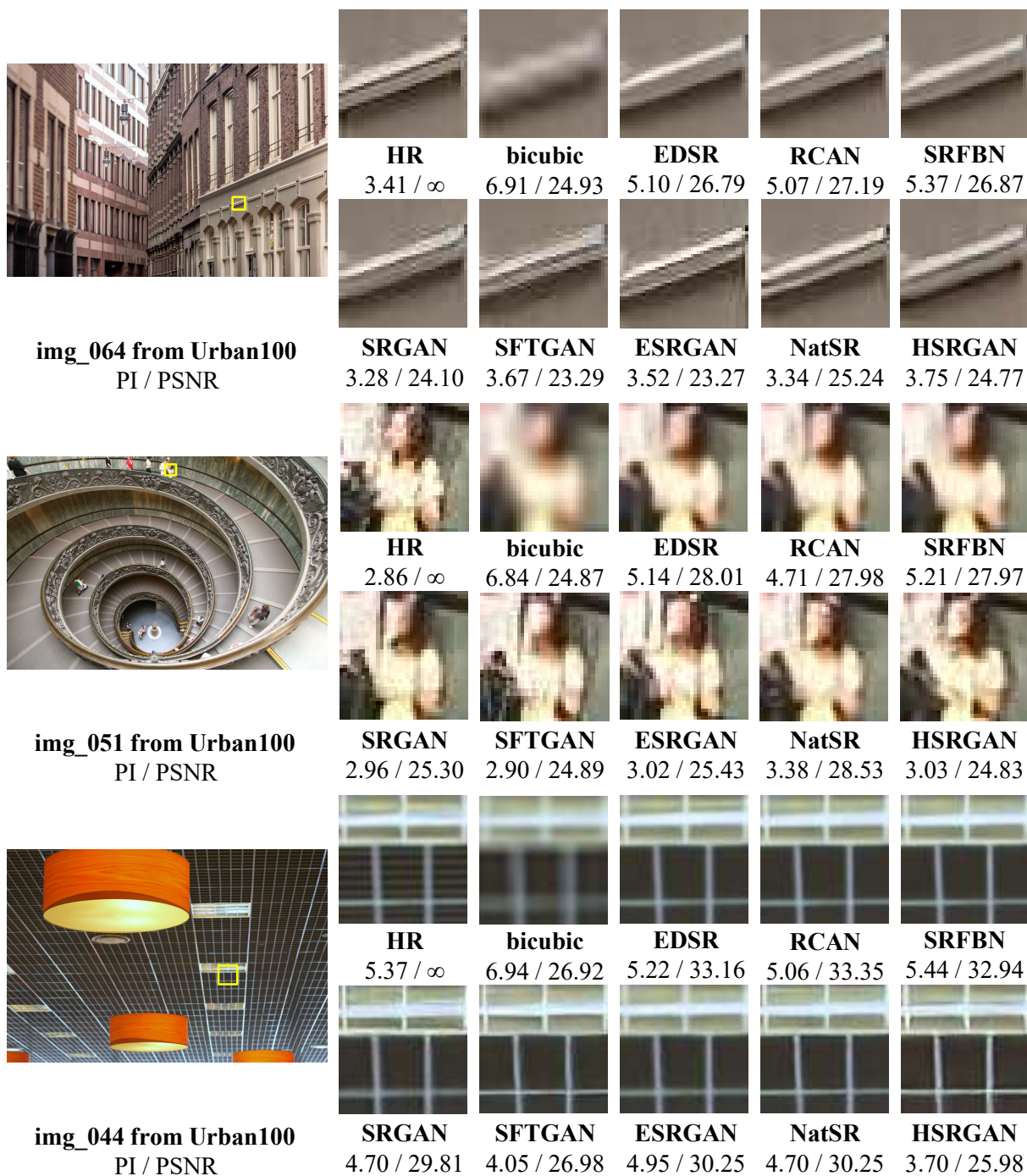


Figure 4. Qualitative results of our HSRGAN and state-of-the-art methods. HSRGAN generates more realistic textures and less artifacts.