DocVQA: A Dataset for VQA on Document Images - Supplementary

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As mentioned in Section 3.1 in the main paper, annotation process involves three stages. In Figure A.1, Figure A.2 and Figure A.3 we show screen grabs from stage 1, stage 2 and stage 3 of the annotation process respectively.

B. Examples of Question Types

A. Screen grabs of Annotation Tool

We define 9 question types, based on the kind of reasoning required to answer a question. Question types are assigned at the second stage of the annotation. We discuss the question types in Section 3.2. in the main paper.

Examples for types *form*, *yes/no* and *layout* are shown in Figure B.1. Examples for a question based on a handwritten date in a form (types *form* and *handwritten*) are shown in Figure B.2. An example for a question based on information in the form of sentences or paragraphs (type *running text*) is shown in Figure B.3. Examples for types *photograph* and *table* are shown in Figure B.4. An example for a question based on a plot (type *figure*) is shown in Figure B.5. In all examples a crop of the original image is shown below the original image, for better viewing of the image region where the question is based on.

C. Additional Qualitative Examples

Here we show more qualitative results from our baseline experiments. These results supplement the Results section (Section 5.3) in the main paper.

Remember that BERT [2] question answering model is designed to answer questions asked on sentences or paragraphs of electronic text (reading comprehension). In Figure C.1 we show two examples where the model answers questions outside the scope of reading comprehension style question answering. In Figure C.2 we show examples where the M4C [3] model outperforms the BERT model to answer questions based on text seen on pictures or photographs. Such questions are similar to questions in TextVQA [4] or ST-VQA [1] datasets where M4C model yield state-ofthe-art results. In Figure C.3 we show an example where both the models yield inconsistent results when posed with questions of similar nature, highlighting lack of reasoning behind answering. In Figure C.4 we show two examples where both the M4C and BERT model fail to answer questions which require understanding of a figure or a diagram. In Figure C.5 we show how OCR errors have resulted in wrong answers although the models manage to ground the questions correctly.

References

- Ali Furkan Biten, Ruben Tito, Andres Mafla, Lluis Gomez, Marcal Rusinol, Ernest Valveny, C.V. Jawahar, and Dimosthenis Karatzas. Scene text visual question answering. In *ICCV*, 2019.
- [2] Jacob Devlin, Ming-Wei Chang, Kenton Lee, and Kristina Toutanova. BERT: Pre-training of deep bidirectional transformers for language understanding. In ACL, 2019.
- [3] Ronghang Hu, Amanpreet Singh, Trevor Darrell, and Marcus Rohrbach. Iterative answer prediction with pointer-augmented multimodal transformers for textvqa. In *CVPR*, 2020.
- [4] Amanpreet Singh, Vivek Natarjan, Meet Shah, Yu Jiang, Xinlei Chen, Devi Parikh, and Marcus Rohrbach. Towards vqa models that can read. In *CVPR*, 2019.

		Ouestiens
	N FOR MILITARY PERSONNEL TOY TRAVEL Sonnel Toy and PCS travel	Questions
		does it look like an old form?
AND CIVILIAN PERSO	N FOR MILITARY PERSONNEL TDY TRAVEL	No answers yet.
1. TYPE OF TRAVEL ORDERS	CONFIRMATORY ORDERS	
2 NAME OF REQUESTING OFFICE	3. TELEPHONE EXT. 4. DATE	yes the form looks like an old form
5. FIRST NAME · MIDDLE INITIAL · LAST NAME GRAC		CURITY ARANGE C
Dr. Robert I Shark	Consultant	What is the value entered in the field "arroximate number of daaaaays"
6 ORGANIZATION AND STATION 7. TO PROCEED 0/A 8. APPROXIMATE NUMBER O DAYS 10. PURPOSE OF TEMPORARY DUTY	TO Denver, Colorado BCNR St Louis, No	3
PREVATELY-OWNED VEHICLE AT RATE OF CENT REIMBURSEMENT LIMITED TO COST TO GOVT OF TRAVEL BY 1 12. PER DIEM AUTHORIZED (Classes Personnel only)	AFT D VESSEL	Which type of travel order is selected ? TDY. UCMR PROPER STA.
13. TRANSPORTATION OF DEPENDENTS (Citilian Personnel only,	5/ DENTS WHOSE NAMESI AGEISI AND RELATIONSHIPSI APPEA T UCHICLE AIRCRAFT UCESEL EP Operating Air Roll Res Version	What is the telephone extension number?
14. SHIPMENT OF HOUSEHOLD GOODS (Claiks personnel only) DEMPLOYEE HAS DEPENDENTS AND IS AUTHORIZED MC OF HOUSEHOLD GOODS NOT IN EXCESS OF 7000 POL WEIGHT	NOVEMENT EMPLOYEE DOES NOT HAVE DEPENDENTS AN DUNDS NET DED MOVEMENT OF HOUSEHOLD GOODS NO OF 2500 POUNDS NET WEIGHT	ALTHOR- XCESS
 REMARKS (Use this space for special requirements, delay, aathor ticns, excess baggage, etc.) 	certy for insuance, names of dependents, designation as courier, superio	unida -
Est cost chargesble to 5010 Et D Cond fo THE 207-h6 FDE 166-00 TOTALE 255-h6	funds	

Figure A.1: Annotation stage 1 - Question Answer Collection: Questions and answers are collected for a given document image. Annotator can add upto 10 questions for a document. The document can be skipped if it is not possible to frame questions on it.

Tool	Home Annotate Verify View Completed
EEGUEST AND AUTHORIZATION FOR MILITARY PERSONNEL TOY TRAVEL AND CIVILIAN PERSONNEL TOY AND PCS TRAVEL (ILIAND REPORTED AND PCS TRAVEL)	What is the value entered in the field "arroximate number of daaaaays"
1. ΤΥΡΕ ΟΓ ΤΙΑΛΡΕ. ΟΠΟΕΠS ΤΟΥ, UCHR PROPER STA. PCS (Clistin only) CONFIRMATORY ORICENS 2. NANC OF REQUESTING OFFICE 3. TELEPHONE EXT. 4. DATE	3
102 Med 10 D Cond, 0720, 01, Mentionation 25, 1. C. C. C. 62060 10 Mer 62 S. FIRST NAME - MIDDLE INITIAL - LAST NAME - ORADE SERVICE NUMBER POSITION OR TITLE (CHIRIN) CLEARANCE	
In. Robert II Mark Secret	Which type of travel order is selected ?
а. Опсанидатион андо бтатоон 9. ПТИНИМУ С СИАР — СССА — С СИАР — С СИАР	
THE INCOMPARENT OF THE ADDRESS OF THE ADDRESS AND THE ADDRESS	What is the telephone extension number? Flags Serious Lang, Issue Minor Lang, Issue can't answer ambiguous invalid broken question Types
11 International Toyota and a second requirements, allog candidates for manages some of dependents, despendents or more sporter excesses the second scheme and the tes 5(2)(2) IN 2 Gaudi Fanda 2007 Adv 100 Adv 100 Adv 2017 Adv 2017 Adv 2017 Adv 2017 Adv 2017 Adv	table/list form layout figure/diagram
THE ADDRESS TATING APPROVAL.	
PRAIR R SHARDAR, Hafer, 160 Records Sharp Sugreen General	

Figure A.2: **Annotation stage 2 - Data Verification:** For each question shown annotators have to (i) enter answer(s) (answer(s) from first stage are not shown) and (ii) Tag the question with one or more question types from the 9 question types shown in a drop-down (question types assigned to a question are shown in green highlight color.) or (iii) flag/ignore the question by selecting the check-box corresponding to one of the reasons such as "invalid question", "Serious lang. issue" etc. (the reasons chosen for flagging a question are shown in red highlight color)

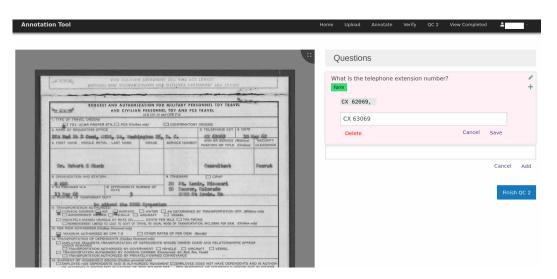
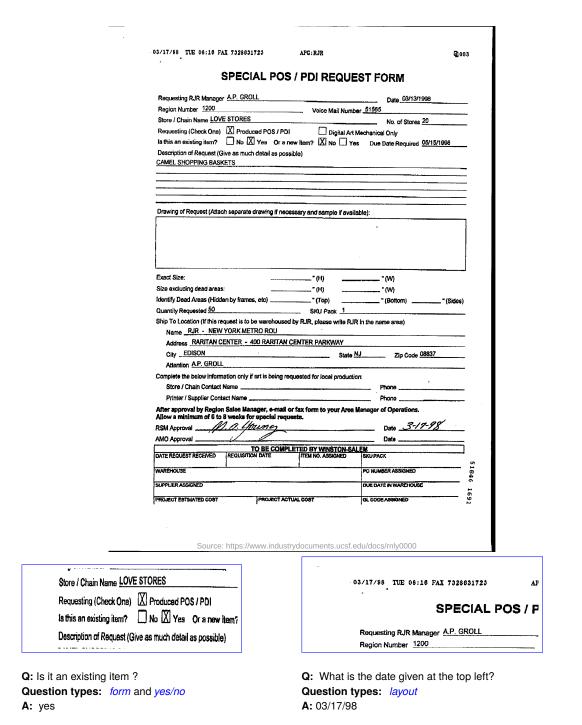
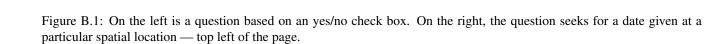


Figure A.3: **Annotation Stage 3 : Reviewing answer mismatch cases :** If none of the answers entered in the first stage for a question match with any of the answers entered in the second stage, the question is sent for review in a third stage. This review is handled by the authors and reviewer is allowed to edit question as well answers or add new answers before accepting the question.





03/17/98	TUE 08:16 F.	AX 7328631723	APG: RJR		Ø1003
		SPECIAL PO	OS / PDI REG	UEST FORM	
Requestin	a R.IR Manane	A.P. GROLL		Date 03/13/19	98
	mber <u>1200</u>		Voice Mail	Number 51565	
	ain Name LOV	E STORES	Voice mail	No. of Stores 2	n
		X Produced POS /	PDI Dioi	al Art Mechanical Only	
				Yes Due Date Required	5/15/1998
		Give as much detail as			
	OPPING BASI				
Drawing of	Request (Atta	ch separate drawing if	necessary and sample i	føvailable):	
					1
L					
Exact Size			" (H)		
	ling dead areas		* (H)	" (W)	
		ten by frames, etc)	" (Top)	" (Bottom)	
	equested 50		SKU Pack		
				te RJR in the name area)	
		YORK METRO ROU			
		ENTER - 400 RARIT	AN CENTER PARKWA	Υ	
	EDISON	· · · · · · · · · · · · · · · · · · ·		State NJ Zip Code O	8837
Attentio	n A.P. GROLI	L			
			g requested for local pr		
	Chain Contact			Phone	
	/ Supplier Cont			Phone	
After appr Allow a mi	oval by Region	n Sales Manager, e-m 8 weeks for special a	all or fax form to your	Area Manager of Operations.	
RSM Appro		n. a. Choung	oquona	Date 3-17	-98
AMO Appro		1	`	Date	
		TO BE CO	MPLETED BY WINSTO		
DATE REQUE	ST RECEMED	REQUISITION DATE	MPLETED BY WINSTO	ED SKUPACK	
WAREHOUS		1		PO NUMBER ASSIGNED	
SUPPLIER AS	ISIGNED			DUE DATE IN WAREHOUSE	
PROJECT ES	TIMATED COST	PROJECT	ACTUAL COST	GL CODE ASSIGNED	941
	Source	: https://www.ind	ustrydocuments.u	csf.edu/docs/rnly0000	
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minimum o	11 -				
	<u>Ma</u>	Muna		Date	3-11-1
minimum o proval	<u>, 41. a.</u>	: Upung		Date	3-17-9

Q: What is the date written next to RSM approval? **Question types:** *form* and *handwritten* **A:** 3-17-98

Figure B.2: Date is handwritten and it is shown in a key:value format.

3/17/98 TUE 08:18 FAX 7328631723	APG: RJR	Ø003
SPECIAL POS	/ PDI REQUES	T FORM
Requesting RJR Manager A.P. GROLL		Date_03/13/1998
	Voice Mail Number	51565
Store / Chain Name LOVE STORES		No. of Stores 20
Requesting (Check One) Requesting (Check One)		hanical Only
		Due Date Required 05/15/1998
Description of Request (Give as much detail as poss	ible)	
CAMEL SHOPPING BASKETS		
	· · · · · · · · · · · · · · · · · · ·	
Drawing of Request (Attach separate drawing if nece	ssary and sample if available):
		<i>r</i>
		•
Exact Size:	* (H)	*(W)
Size excluding dead areas:		
Identify Dead Areas (Hidden by frames, etc)		
Quantity Requested 50		(Bolion) (Boes)
Ship To Location (If this request is to be warehoused		the name area)
Name _ RJR - NEW YORK METRO ROU		
Address RARITAN CENTER - 400 RARITAN C	ENTER PARKWAY	
City_EDISON	State NJ	Zip Code 08837
Attention A.P. GROLL	Olare	
Complete the below information only if art is being rec	wested for local amduction	
Store / Chain Contact Name	productori.	Phone
Printer / Supplier Contact Name		Phone
After approval by Region Sales Manager, e-mail o	r fax form to your Ame Mon	
Allow a minimum of 6 to 8 weeks for special requi		• •
RSM Approval		Dato 3-17-98
AMO Approval		Date
TO BE COMPL	ETED BY WINSTON-SALEM	
DATE REQUEST RECEIVED REQUISITION DATE	ITEM NO. ASSIGNED S	KUPACK
WAREHOUSE	P	O NUMBER ASSIGNED
		o Number Assigned De daté in Warehouse
IN INDU IER ASSUMED		
SUPPLIER ASSIGNED PROJECT ESTIMATED COST		

Source: https://www.industrydocuments.ucsf.edu/docs/rnly0000

Quantity Requested 50	SKU Pack _1	1
Ship To Location (If this request is to be warehoused by I	RJR, please write RJR in the n	ame area)
Name _ RJR - NEW YORK METRO ROU	•	
Address RARITAN CENTER - 400 RARITAN CEN	TER PARKWAY	•
City EDISON	State NJ	7in Code 08837

Q: If the request needs to be warehoused by RJR, what needs to be done ?Question types: running textA: write to RJR

Figure B.3: Question is grounded on a sentence.

nitrogen

by Dr. Dwayne G. Westfall



Dr. Dwayne G. Westfall Senior Plant Nutritionist

Senior Plant Nutritionist A native of Aberdeen, labato, Dwayne received his Bachelor's Degree in Agronomy received his Bachelor's Degree in Agronomy His Ph.D. Degree was ubmed in Nois from Wachington State University in 1967. After graduating from the University of Idaho, he served as fixed University in 1967. Any Biological Laboratory. Pury service followed as plant pathologist at the US. Arumy Biological Laboratory. Dwayne joined Tevas A & M University as assistant professor in 1967. and was advanced to associate professor in 1972. In September of 1973 he joined Great Western's agricultural research staff.

The top foot of soil should be analyzed for nitrate, phosphorus, potassium, organic matter and pH and the remain-ing soil down to the five foot level should be analyzed only for nitrate nitro-gen in one foot increments.

Recommendations

Nitrogen fertilizer recommendations should take into consideration, 1) amount of nitrate nitrogen in the entire soil profile, 2) organic matter content, 3) manure applied, 4) crop resi-due plowed under.

Colorado State University researchers have found that if the residual soil nitrate nitrogen level is over 100 pounds per acre, the probability of a significant yield response to additional nitrogen fertilizer is very small.

nitrogen fertilizer is very small. The following calculations show how to determine the amount of nitrogen fertilizer to apply: pm nitrate nitrogen is x 3.6 = pounds of nitrate-nitrogen in one foot of soil percent organic matter x 3.0 = lbs N/A available from organic matter tons manure applied per acre x 5 = lbs N/A available from manure alfafa ployed under = 50 lbs N/A previous crop beans = 30 lbs N/A 10 lbs N are required for each ton of sugarbeets the grower estimates he will produce

nitrogen fertilizer recommendation = growers yield goal — (residual soil nitrate-nitrogen + N available from organic matter, manure, alfalfa and beans) "If soil results are received in ppm rather than lbs/A

rather than 1bs/A Soil Nitrogen In 1972, deep soil samples were taken on about 200 fields in the Nebraska, NCC and NEC&K Districts. The sucrose percentages, yields and soil nitrogen levels are shown in the table. These results show that for every 100 pounds increase in soil nitrogen level, there was a 0.18% decrease in sucrose content. Yields did not change dramatically as the soil nitrate-nitrogen level increased from 100 to 500 pounds.

Nitrogen Rate X Variety Tests

Nitrogen Rate X Variety Tests Six tests were conducted in 1973 in which several varieties were grown at various nitrogen fertility levels to determine if all varieties responded the same to high soil nitrogen levels. The results are summarized in the fol-lowing table. The residual soil nitrate nitrogen levels in the six fields ranged from 30 to 70 pounds per acre. The results show that sugarbeet yields increased as the nitrogen rate increased and there was a significant decrease in sucrose percentage at the high nitrogen rate as well as a decrease in purity. The pounds of ex-

Effect of Nitrogen Level on Sucrose Percentage and Yield

	Nebra	iska	Dist NC		NEC	&K	Ave	rage
N Level* lb/A	Sucrose %	Yield T/A	Sucrose %	Yield T/A	Sucrose %	Yield T/A	Sucrose %	Yield T/A
101-200	16.4	22.7	17.4	20.4	16.0	20.8	16.6	21.3
201-300	16.4	22.8	17.1	21.9	16.4	20.6	16.6	21.8
301-400	16.2	22.5	16.5	22.0	15.8	19.7	16.2	21.4
401-500	15.9	22.5	15.9	22.8	15.3	22.4	15.7	22.6
$501 \pm$			16.3	21.8	15.5	18.7	15.9	20.2

2

Source: https://www.industrydocuments.ucsf.edu/docs/khnk0226

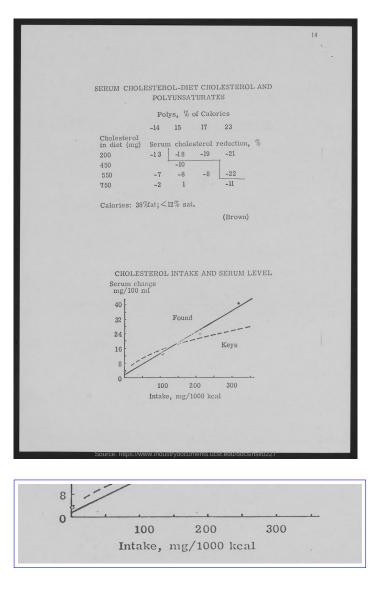


Q: Whose picture is given? Question types: photograph and layout A: Dr. Dwayne G. Westfall

	Nebra	iska	NC	С	NEC	&K	Aver	age
N Level* lb/A	Sucrose %	Yield T/A	Sucrose %	Yield T/A	Sucrose %	Yield T/A	Sucrose %	Yield T/A
101-200	16.4	22.7	17.4	20.4	16.0	20.8	16.6	21.3
201-300	16.4	22.8	17.1	21.9	16.4	20.6	16.6	21.8
301-400	16.2	22.5	16.5	22.0	15.8	19.7	16.2	21.4
401-500	15.9	22.5	15.9	22.8	15.3	22.4	15.7	22.6
501 +			16.3	21.8	15.5	18.7	15.9	20.2

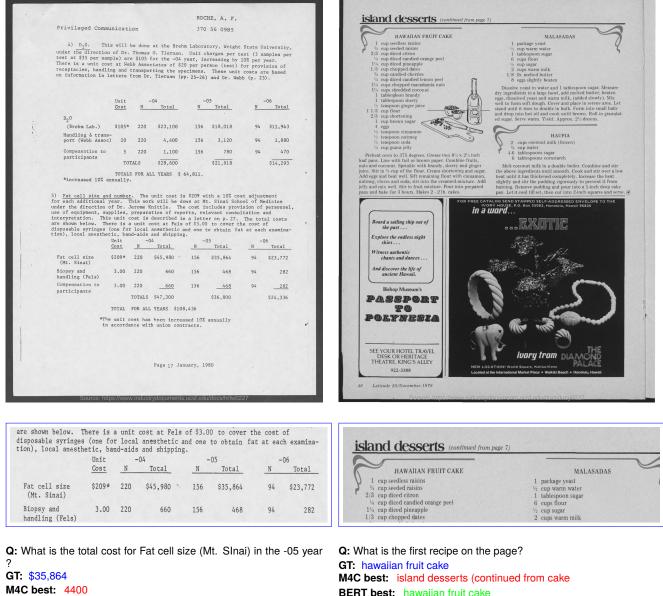
Q: What is the average sucrose % for N level 501+? Question types: table A: 15.9

Figure B.4: On the left is a question asking for name of the person in the photograph. To answer the question on the right, one needs to parse the table and pick the value in the appropriate cell



Q: What is the highest value for "Intake, mg/1000kcal" plotted on the 'X' axis of the graph?
Question types: *figure*A: 300

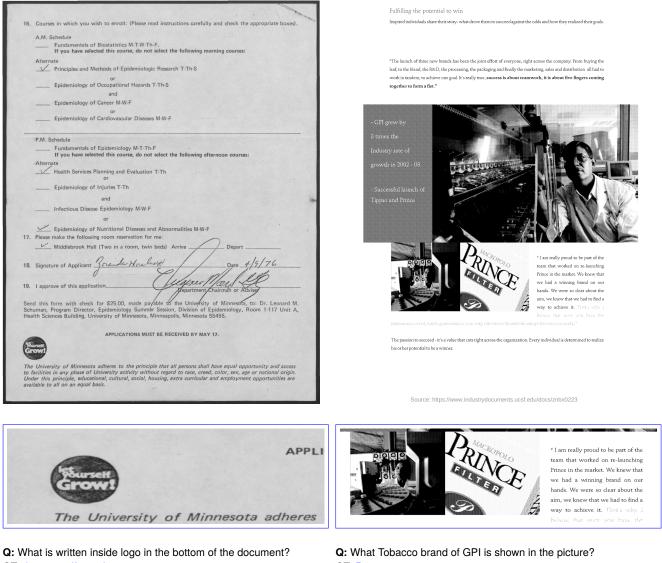
Figure B.5: Question is based on the plot shown at the bottom of the given image, asking for the highest value on the X axis



BERT best: \$35,864 Human: \$35,864

BERT best: hawaiian fruit cake Human: hawaiian fruit cake

Figure C.1: Examples where BERT QA model [2] answers questions other than 'running text' type. On the left is a question based on a table and for the other question one needs to know the 'first recipe' out of the two recipes shown. For the first question the model gets the answer correct except for an extra space, and in case of the second one the predicted answer matches exactly with the ground truth answer.



GT: let yourself grow! M4C best: yourself grow! BERT best: < no prediction > Human: let yourself grow! Q: What Tobacco brand of GPI is shown in the pictur GT: Prince M4C best: prince BERT best: < no prediction > Human: prince

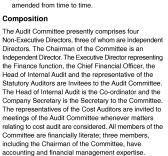
Figure C.2: How does the M4C [3] model perform on questions based on pictures or photographs. Here we show two examples where the best variant of the M4C model outperform the BERT best model in answering 'layout' type questions seeking to read what is written in a logo/pack. The BERT model doesnt make any predictions for the questions.



Report on Corporate Governance

Major accounting entries based on exercise of judgement by management arended from time to time.

- Significant adjustments, if any, arising out of audit
- Compliance with Accounting Standards
 Compliance with Stock Exchange and legal
- requirements concerning financial statements
- Related party transactions
- Qualifications, if any, in draft audit report
- Report of the Directors & Management Discussion and Analysis;
 (d) Reviewing with the management, external and internal
- auditors, the adequacy of internal control systems and the Company's statement on the same prior to endorsement by the Board;
- (e) Reviewing the adequacy of the internal audit function, including the structure of the internal audit department, staffing and seniority of the official heading the department, reporting structure, coverage and frequency of internal audit;
- (f) Reviewing reports of internal audit, including that of wholly owned subsidiaries, and discussion with internal auditors on any significant findings and follow-up thereon;
- (g) Reviewing the findings of any internal investigations by the internal auditors and the executive management's response on matters where there is suspected fraud or irregularity or failure of internal control systems of a material nature and reporting the matter to the Board;
- (h) Discussion with the external auditors, before the audit commences, on nature and scope of audit, as well as after conclusion of the audit, to ascertain any areas of concern and review the comments contained in their management letter;
- Reviewing the Company's financial and risk management policies;
- Looking into the reasons for substantial defaults, if any, in payment to shareholders (in case of non-payment of declared dividends) and creditors;
- (k) Considering such other matters as may be required by the Board;
- Reviewing any other areas which may be specified as role of the Audit Committee under the Listing



The names of the members of the Audit Committee, including its Chairman, are provided under the section 'Board of Directors and Committees' in the Report and Accounts.

Meetings and Attendance

Details of Audit Committee Meetings during the financial year

During the financial year ended 31st March, 2014, eight meetings of the Audit Committee were held, as follows:

SI. No.	Date	Committee Strength	No. of Members present	
1	6th May, 2013	6	4	
2	17th May, 2013	6	5	
3	25th July, 2013	6	6	
4	28th August, 2013	5	5	
5	23rd September, 2013	5	5	
6	25th October, 2013	5	5	
7	17th January, 2014	5	5	
8	31st March, 2014	5	3	

Source: https://www.industrydocuments.ucsf.edu/docs/npx0223

5.	Date	Committee Strength	No. of Members present
1	6th May, 2013	6	4
2	17th May, 2013	6	5
3	25th July, 2013	6	6
4	28th August, 2013	5	5
5	23rd September, 2013	5	5
6	25th October, 2013	5	5
7	17th January, 2014	5	5
8	31st March, 2014	5	3

Q: What was the committee strength for the first meeting?

GT: 6 M4C best: 6 BERT best: 6 Human: 6 Q: What was the committee strength for the last meet-

ing? GT: 5 M4C best: 6 BERT best: 6 Human: 5

Figure C.3: **Contrasting results for similar questions.** Here both the questions are based on the table at the bottom of the image. Both questions ask for 'committee strength' for a particular meeting (first or last). Both models get the answer right for the first one. But for the question on the right, the models predict same answer as the first one ("6") while the ground truth is "5". This suggests that the models' predictions are not backed by a proper reasoning/grounding in all cases.

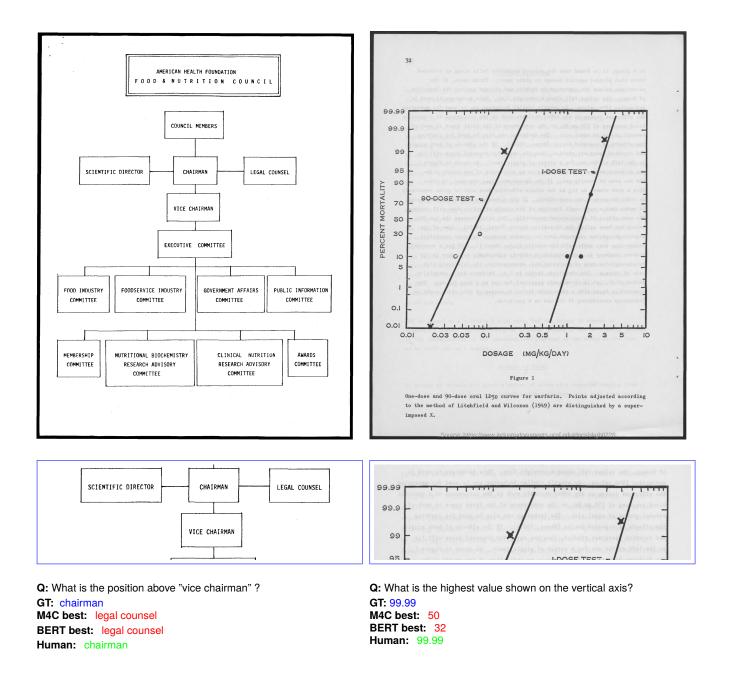


Figure C.4: **Understanding figures and diagrams**. In case of the question on the left, one needs to understand an organizational hierarchy diagram. For the second question, one needs to know what a 'vertical axis' is, and then find the largest value. Both the models fail to answer the questions.

	TRANSMITTAL MEMO SPECIAL INSTRUCTIONS OR YOUR REPLY TO MICHELE 14777 THOM O File anto Mutrition THOM O File anto Mutrition Incom C D File anto Mutrition Incom C D File anto Mutrition Incom Incom
Source: https://www.industrategragets.urg/en/u/dee.bk/p0227	ATTACH PREVIOUS CORRESPONDENCE AND RETURN TO ME ENTER THIS ORDER
Source: https://www.inflicting/organeents.urest.org/undeestadio.0227	TRANSMITTAL MEMO SPECIAL

	OF In OTHER III
CHELE 1/77	7 7
DF 717175	
AND FILE	
	DF 177

Q: What is the name of the passenger? GT: dr. william j. darby M4C best: larry BERT best: larry Human: dr. william j. darry Q: What is the date present in the memo ? GT: 1/7/77 M4C best: 1 7 77 BERT best: 1 / 7 Human: 1/7/77

Figure C.5: **Impact of OCR errors.** Here the models are able to ground the questions correctly on the relevant information in the image, but failed to get the answers correct owing to the OCR errors. In case of the question on the left, even the answer entered by the human volunteer is not exactly matching with the ground truth. In case of the second question, OCR has split the date into multiple tokens due to over segmentation, resulting in incorrect answers by both the models.