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# SD-Pose: Structural Discrepancy Aware Category-Level 6D Object Pose Estimation

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## Abstract

Category-level 6D object pose estimation aims to predict the full pose and size information for previously unseen instances from known categories, which is an essential portion of robot grasping and augmented reality. However, the core challenge of this task still is the enormous shape variation within each category. With regard to the challenge, we propose a novel framework SD-Pose, which utilizes the instance-category structural discrepancy and the potential geometric-semantic association to enhance the exploration of the intra-class shape information. Specifically, an information exchange augmentation (IEA) module is introduced to supplement the instance-category structural information by their structural discrepancy, thus facilitating the enhanced geometric information to contain both the character of instance shape and the commonality of category structure. For complementing the deficiencies of structural information adaptively, a semantic dynamic fusion (SDF) module is further designed to fuse semantic and geometric features. Finally, the proposed SD-Pose framework equipped with the IEA and SDF modules hierarchically supplements instance-category structural information in a stacked manner and achieves state-of-the-art performance on the CAM-ERA25 and REAL275 datasets.

# 1. Introduction

Accurately estimating the 6D pose of an object is a quite crucial task in computer vision, which is widely employed



Figure 1: Comparing pose estimation results between the SPD [36] and our structural discrepancy supplement method. The category prior and two camera instances have different structural discrepancies. The red and green lines are prediction results and ground truth, respectively.

in real-world applications such as 3D scene understanding [35], robotic grasping [9], virtual reality [1], and augmented reality [25, 34]. 6D object pose estimation includes instance-level and category-level methods. So far, instancelevel 6D pose estimation works [19, 29, 22, 27, 38, 17, 16] have made considerable progress. However, as an accurate CAD model is usually required during the training and inference, instance-level methods can only deal with a few objects or just a single instance, severely limiting their practical application in the real world. For breaking instance-level constraints, category-level 6D pose estimation proposes to predict the complete pose information for previously unseen objects from known classes [39]. In this paper, we focus on the category-level 6D pose estimation task, which is a more general assignment due to does not rely on the instance CAD model.

Currently, the critical challenge of the category-level task is still the extreme shape variation within each class [33, 31, 32]. To overcome the problem of intra-class variation, Wang *et al.* [39] introduce Normalized Object Coordinate Space(NOCS)—a share canonical representation for all possible object instances within a category. Some works then [39, 2, 36, 20] learn the RGB-D features of each object instance to reconstruct the CAD model of the object instance with the same size and orientation in NOCS. However, such a reconstruction process lacks the implicit representation of shape variations, limiting pose estimation performance.

Concerning this problem, SPD [36] proposes generating a category prior for each class and deforming it to reconstruct the NOCS model of the object instance. Although the SPD has achieved sound effects, such a fixed category prior can only reflect the fuzzy structure information and cannot capture local structure changes for each instance. Especially when the structural discrepancy between the category prior and the instance is enormous, it becomes difficult to reconstruct an accurate object model, severely affecting the pose estimation performance. Fortunately, the category prior can be supplemented by structural discrepancy derived from the instance-category geometry relationship to better match the instance model. As shown in Figure 1, each camera instance and category prior have a distinct difference in structure. Compared to SPD [36], our method performs more acceptable by utilizing the structural discrepancy to supplement the category prior. Particularly when the structural discrepancy is enormous, the improvement is more prominent. Furthermore, since the structural discrepancies of the category prior and corresponding diverse instances are distinct, our method is able to accommodate previously unseen instances of various shapes, dramatically increasing the generalization of our method.

In this paper, we propose a novel category-level pose estimation framework SD-Pose, which leverages the structural discrepancy between instance and category prior to enhancing the learning of intra-class shape information. Furthermore, considering the inaccuracy NOCS model of reconstructed instance caused by category prior ambiguity, we recommend combining additional semantic information following [13, 41, 11]. Specifically, we further design a Semantic Dynamic Fusion (SDF) module to dynamically adjust the semantic information through the geometry relationship and fuse it with enhanced category prior to adaptively supplementing the lack of structural information. In summary, our main contributions are as follows:

 An Information Exchange Augmentation (IEA) module is introduced to guide the category prior more reasonable suit the instance geometry by utilizing instance-category structural discrepancy to enhance the respective geometric features.

- For complementing structural information deficiencies adaptively, a Semantic Dynamic Fusion (SDF) module is further designed to fuse category prior and instance semantic features with a dynamic adjustment according to the instance-category structural relationship.
- Based on stacking multiple IEA and SDF modules, a novel category-level pose estimation framework SD-Pose is proposed to learn intra-class shape variations by exploiting the instance-category structural relationship. Our SD-Pose achieves a state-of-the-art performance on CAMERA25 and REAL275 datasets.

## 2. Related Works

#### 2.1. Instance-Level 6D Object Pose Estimation

In instance-level tasks, the object CAD model is known at the training and inference stages, which can be roughly classified into three different approaches: template-based, correspondence-based, and voting-based. Template-based methods [18, 26, 30] need to find the template most similar to the object image or point cloud from the template sets labeled with the ground truth 6D pose, which is a part-toall coarse registration problem. The correspondence-based method aims to find the correspondence between the observed object and its complete CAD model. For the correspondence between 2D and 3D [27, 29, 30], the pose is obtained by solving a PnP problem [21]. As for the correspondence between 3D and 3D [6, 7], the pose is calculated by the least-squares method. The voting-based method can be divided into direct voting and indirect voting. Directly voting [38, 16] returns a 6D pose and confidence score at each position and then selects the most reliable pose information as the final result. Indirect voting [27, 17] first selects key point positions through RANSAC [10] voting and then calculates the 6D pose of the object according to the correspondence between key points.

#### 2.2. Category-Level 6D Object Pose Estimation

Category-level tasks aim to predict pose information for the previously unseen object instance, which is formally introduced in [39]. Wang *et al.* [39] use a normalized object coordinate space (NOCS) to represent all objects in the same class. Then they reconstruct the instance CAD model in NOCS and adopt the Umeyama [37] algorithm to calculate the pose with the NOCS model and observed points. Due to the huge intra-class shape variation, some later methods pay more attention to the geometric information of the object. CASS [2] obtains a canonical shape space by learning. DualPoseNet [24] utilizes a dual-stream



Figure 2: An overview of our proposed SD-Pose framework. Firstly, taking image patch  $I_o$ , observed point cloud  $P_o$ , and category prior  $P_c$  as inputs, instance semantic features  $S^o$ , instance geometry features  $G^o$ , and category geometry features  $G^c$  are obtained by features extracted module. Then Information Exchange Augmentation (IEA) module is utilized to supplement geometry features  $G^o$  and  $G^c$ . After that, a Semantic Dynamic Fusion (SDF) module is employed to fuse semantic and geometry features. By stacking multiple IEA and SDF modules, the final instance features  $F_{inst}$  and category features  $F_{cate}$  are generated. Next, we reconstruct the instance NOCS model and establish the correspondence between the observed point and the NOCS model. Finally, the 6D pose is recovered by estimating a similarity transformation. Here  $G_{n-1}^o$  and  $G_{n-1}^c$  are the output of IEA module of stage n-1.

network to explicitly and implicitly encode pose information and uses pose consistency to optimize the pose. FS-Net [8] decodes orientation information through a decoupled rotation mechanism. Do-Net [23] exploits symmetry for pose optimization. Although these methods improve performance, they can not explicitly harness the structural relationship between pose and point cloud. Other methods instead utilize a category prior to reconstruct a 3D model of the NOCS space. In exploring category priors, CR-Net [40] explores the complex and informative relations among instance RGB image, instance point cloud, and category prior to advance representation learning. In addition, SGPA [5] leverages instance-category structural similarity to dynamically adapt the prior to the observed object, which is most relevant work as ours. Different from it, in this work, we explore the structural discrepancy between instance and category prior to learn intra-class shape change, which reflects the unique geometric appearance of each instance more directly and effectively. Compared to utilizing structural similar, a more accurate instance NOCS model can be rebuilt after the category prior is supplemented by the structural discrepancy.

## 3. Methodology

**Problem Formulation.** Given an RGB-D image, our task is to estimate 6D pose of and 3D size of the object

from its partially observed point cloud. We represent the 6D object pose as a rigid transformation matrix  $[R|t] \in S\mathcal{E}(3)$  consisting of a rotation  $R \in S\mathcal{O}(3)$  and a translation  $t \in \mathbb{R}^3$  matrix. The 3D size of the object is described as  $s \in \mathbb{R}^3$ 

**Pre-processing Stage.** Following SPD [36], we first employ an off-the-shelf object detection network(*e.g.* Mask-RCNN [14]) to obtain RGB image patches of observed objects  $I_o \in \mathbb{R}^{h \times w \times 3}$ , where (h, w) is the image block size. The observed point cloud  $P_o \in \mathbb{R}^{n_o \times 3}$  comes from depth channel, where  $n_o$  is the number of instance point clouds.  $P_c \in \mathbb{R}^{n_c \times 3}$  is the category prior corresponding to the observed object, where  $n_c$  is the number of category point clouds.

## 3.1. Overview

Here we give an overview of our SD-Pose, as in Figure 2. Taking  $I_o$ ,  $P_o$  and  $P_c$  as inputs, we first use a feature extraction module to obtain instance semantic features  $S^o$ , instance geometric features  $G^o$  and category geometric features  $G^c$  (Section 3.2). Leveraging the structural relationship matrix  $A \in \mathbb{R}^{n_o \times n_r}$  of  $G_0^c$  and  $G_0^c$ , the IEA module supplements the original geometric features by implicitly encoding structural discrepancy features to acquire enhanced instance geometric features  $G_1^o$  and category geometric features  $G_1^c$  (Section 3.3). Afterwards,  $S^o$ ,  $G_1^o$ ,  $G_1^c$  and A will be fed into the SDF module to proceed seman-



Figure 3: The structure of IEA and SDF module in *l*-th stage. (a) IEA takes instance geometry features  $G_l^o$  and category geometry features  $G_l^c$  as inputs to learn geometry relation matrix A, thus calculating the structural discrepancy features according to A and enhancing their original geometry features. (b) SDF takes instance semantic features  $S^o$ , enhanced instance geometry features  $G_{l+1}^o$ , category geometry features  $G_{l+1}^c$  and geometry relationship matrix  $A_{co}$  as inputs. For  $S^o$  and  $G_{l+1}^o$ , We adapt a pixel-wise dense fusion method [38] to obtain instance features  $F_{inst_{l+1}}$ . Then, we fuse  $G_{l+1}^c$  and  $\tilde{S}^o$  dynamically adjusted by structural relation  $A_{co}$  to obtain category feature  $F_{cate_{l+1}}$ .  $\bigotimes$  denotes matrix multiply.

tic and geometric features fusion to obtain instance features  $F_{inst_1}$  and category features  $F_{cat_1}$  (Section 3.4). To ensure sufficient interaction of instance and category structural information, the IEA and SDF are embedded into the framework in a stacked manner. The features of each stage are stitched to get the final instance features  $F_{inst}$  and category features  $F_{cate}$ . Later, following SPD [36], Later, following SPD [36], a deformation network is utilized to reconstruct the instance NOCS model by deforming the category prior  $P_c$ . Moreover, a matching network is adopted to match the reconstructed model with the observed point cloud  $P_o$ . Finally, the correspondence-based algorithm [37] is applied to estimate pose parameters (Section 3.5).

### **3.2. Feature Extraction**

The feature extraction module is first employed to learn semantic and geometric features. Specifically, the image patch  $I_o$  is processed by the PSPNet [42] with the backbone of ResNet-18 [15] to obtain semantic features  $S^o \in \mathbb{R}^{n_o \times d_c}$ , which is a point-wise pixel features corresponding to the observed point cloud encouraged by Densefusion [38]. For point cloud  $P_o$  and  $P_c$ , we employ the PointNet++ [28] to extract instance geometric features  $G_0^o \in \mathbb{R}^{n_o \times d_c}$ and category geometric features  $G_0^c \in \mathbb{R}^{n_c \times d_c}$  respectively.

#### 3.3. Information Exchange Augmentation

Our IEA module aims to learn the structural relationship between instance point clouds and category prior, which can assist in constructing their structural discrepancy information at the feature level. It utilizes features of structural discrepancy to supplement the original geometric features, making the enhanced geometric features include the unique individuality of instance structure and general commonality of category prior. On the one hand, due to complementing peculiarity of the instance structural, the enhanced category geometry features can reconstruct a more accurate instance NOCS model. On the other hand, instance geometric features add category shape commonality, thereby promoting the rebuilt correspondence matrix better associate the observed point cloud with the NOCS model. Moreover, since the geometric discrepancy between category prior and different instances under the same class are distinct, our method is able to accommodate previously unseen instances of various shapes, dramatically increasing the generalization of our method.

The structure of the IEA module is shown in Figure 3a. As Givens the instance geometric features  $G_l^o$  and category geometric features  $G_l^c$  of the l-th stage, we project them to the feature subspace of the same dimension by a Fully Connected layer and then adopt the matrix multiplication operation to obtain the structural relationship matrix

 $A \in \mathbb{R}^{n_o \times n_r}$ :

$$A = FC(G_l^o) \times FC(G_l^c)^T \tag{1}$$

Following the normalization method [12] designed specifically for point cloud attention map, A further is normalized in two different dimensions respectively to acquire weight matrices  $A_{oc}$  and  $A_{co}$ :

$$a_{ij}^{o} = \frac{e^{A_{ij}}}{\sum_{k=1}^{n_o} e^{A_{kj}}}, A_{ij}^{oc} = \frac{a_{ij}^o}{\sum_{k=1}^{n_r} a_{ik}}$$

$$a_{ij}^c = \frac{e^{A_{ij}}}{\sum_{k=1}^{n_r} e^{A_{ik}}}, A_{ij}^{co} = \frac{a_{ij}^c}{\sum_{k=1}^{n_o} a_{kj}^c}$$
(2)

After that, the geometric projection features perform weighted summation by the corresponding structural weight matrices to gain structural discrepancy features  $\tilde{G}_{1}^{c}$  and  $\tilde{G}_{1}^{o}$ :

$$\tilde{G}_l^c = (A_{co})^T \times FC(G_l^o), \tilde{G}_l^o = A_{oc} \times FC(G_l^c)$$
(3)

Finally, We joint the original geometric features and structural discrepancies features by exploiting the Multilayer Perceptron (MLP) function to obtain enhanced geometric features  $G_{l+1}^o$  and  $G_{l+1}^c$ :

$$G_{l+1}^{o} = MLP(Concat(G_{l}^{o}, \tilde{G}_{l}^{o}))$$

$$G_{l+1}^{c} = MLP(Concat(G_{l}^{c}, \tilde{G}_{l}^{c}))$$
(4)

#### 3.4. Semantic Dynamic Fusion

As shown in Figure 4, the input observed point cloud, which is obtained using Mask-RCNN [14] segmentation results rather than ground truth, probably contains some outliers. When the influence of these outliers is transmitted to the category prior, it will theoretically have a negative impact on the reconstruction accuracy of the NOCS model, and lead to a deviation in the correspondence between the observed point cloud and instance NOCS model. Fortunately, the additional semantic information can help alleviate these problems. Inspired by [11, 13, 41], we design the SDF module, which seeks to reduce the influence of noise points by fusion sufficiently the geometric and semantic information, improving the robustness of the network to noise points.

Figure 3b illustrates the SDF module. For the fusion of geometric features  $G_{l+1}^o$  and semantic features  $S^o$  of the instance from different modalities, the key lies in how to integrate cross-modal features [3, 4, 38] effectively. Inspired by Densefusion [38], a point-wise fusion module is achieved to explore the intrinsic mapping between data sources by adopting a pixel-level correspondence strategy. The fused features are output as  $F_{inst_{l+1}}$ .

As for the fusion of category geometric features  $G_{l+1}^c$ and instance semantic features  $S^o$ , the pixel-level fusion



Figure 4: Observed point clouds of different instances are obtained by Mask-RCNN segmentation. Each instance contains some noise points.

method cannot be used directly because they belong to different individuals, that is, there is no pixel-level correspondence. Intuitively, following the general idea of feature fusion, we only concatenate and fuse them through an MLP function to obtain  $F_{cate_{l+1}}$ . We call it semantic immediate fusion (SIF):

$$F_{cate_{l+1}} = MLP(concat(S^o, G^c_{l+1}))$$
(5)

Although the designed SIF can improve performance via absorbing semantic information immediately, it is still illconsidered for the cross-individual problem. Hence, we further design a semantic dynamic fusion (SDF) module, which dynamically adjusts instance semantic features  $S^o$ according to the instance-category structural relationship matrix  $A_{co}$  and combines with  $G_{l+1}^c$  to obtain the category features  $F_{cate_{l+1}}$ . It can be formulated as

$$\tilde{S}^{o} = A_{co} \times S^{o}$$

$$F_{cate_{l+1}} = MLP(Concat(G_{l+1}^{c}, \tilde{S}^{o}))$$
(6)

We prefer the latter method because  $G_{l+1}^c$  and  $S^o$  belong to different individuals with a specific domain diversity. Dynamically adjusting semantic information through the structural relationship matrix  $A^{co}$  may be significantly aware of individual differences and improve the generality of the network to unseen object instances. The experimental results (Table 3) further demonstrate that the latter fusion strategy can achieve better performance.

## 3.5. Pose Estimation

We separately joint output of each stage to obtain final instance features  $F_{inst}$  and category features  $F_{cate}$ :

$$F_{inst} = Concat(F_{inst_1}, \cdots, F_{inst_n})$$
  

$$F_{cate} = Concat(F_{cate_1}, \cdots, F_{cate_n})$$
(7)

After obtaining  $F_{inst}$  and  $F_{cate}$ , we estimate the pose following SPD [36]. Specifically, a deformation network first is utilized to regress a deformation field point by point  $D \in \mathbb{R}^{N_r \times 3}$  and deform  $P_c$  to reconstruct the instance NOCS standard model:

$$\dot{P}_N = P_c + D = P_c + \mathcal{F}_d(F_{inst}, F_{cate}) \tag{8}$$

Table 1: Comparisons with other methods on CAMERA25 and REAL275 datasets.

Method	CAMERA25							REAL275				
	IoU50	IoU75	$5^o 2 cm$	$5^o 5 cm$	$10^o 2 cm$	$10^o 5 cm$	IoU50	IoU75	$5^o 2 cm$	$5^o 5 cm$	$10^o 2 cm$	$10^o 5 cm$
NOCS [39]	83.9	69.5	32.3	40.9	48.2	64.6	78.1	30.1	7.2	10.0	13.8	25.2
CASS [2]	-	-	-	-	-	-	77.7	-	-	23.5	-	58.0
SPD [36]	93.2	83.1	54.3	59.0	73.3	81.5	77.3	53.2	19.3	21.4	43.2	54.1
Dual [24]	92.4	86.4	64.7	70.7	77.2	84.7	79.8	62.2	29.3	35.9	50.5	66.8
SGPA [5]	93.2	88.1	70.7	74.5	82.7	88.4	80.1	61.9	35.9	39.6	61.3	70.7
Ours	93.4	88.3	70.7	75.6	80.5	87.7	83.2	68.2	37.1	42.0	62.0	71.2

where  $\mathcal{F}_d(\cdot)$  refers to the deformation network,  $\hat{P}_N \in \mathbb{R}^{N_r \times 3}$  corresponds to the NOCS standard model of the reconstructed instance object.

We then regress a corresponding matrix  $M \in \mathbb{R}^{N_o \times N_r}$ through a matching network, which relates  $\hat{P}_N$  to  $P_o$ :

$$\hat{P}_o = M \times \hat{P}_N = \mathcal{F}_m(F_{inst}, F_{cate}) \times \hat{P}_N \qquad (9)$$

where  $\mathcal{F}_m(\cdot)$  refers to the matching network,  $\hat{P}_o$  is the transformed instance point cloud model and has a point-to-point correspondence with  $P_o$ . Given  $\hat{P}_o$  and  $P_o$ , the corresponding method is finally employed to estimate the 6D pose of the target.

Overall, our SD-Pose has two outputs to calculate 6D pose estimation: the point-wise deformation field D, and the correspondence matrix M. In order to train SD-Pose, we adopt the same strategy with SPD [36]. The reconstruction loss by calculating the Chamfer Distance(CD) between  $\hat{P}_N$  and the ground truth NOCS model  $P_N$  to penalize D:

$$L_{cd} = \sum_{i \in P_N} \min_{j \in \hat{P}_N} ||i - j||_2^2 + \sum_{j \in \hat{P}_N} \min_{i \in P_N} ||i - j||_2^2 \quad (10)$$

Then, we constrain the distance between the predicted NOCS coordinate value x and the ground-truth one  $x_{gt}$  to supervise M.Specific detail refer to SPD [36].

$$L_{cor} = \frac{1}{N_o} \begin{cases} 5(x - x_{gt})^2 & |x - x_{gt}| \le 0.1 \\ |x - x_{gt}| - 0.05 & \text{otherwise} \end{cases}$$
(11)

# 4. Experiments

## 4.1. Experiments Setup

**Datasets.** We conduct experiments on category-level benchmarks of CAMERA25 and REAL275 datasets [39]. CAMERA25 is a synthetic dataset generated by a context-aware mixed reality approach. REAL275 is a more challenging real dataset.

**Evaluation Metrics.** Following the widely adopted evaluation scheme [39, 36, 2], we compute the average precision of 3D Intersection Over Union (IoU) at the threshold of 50%and 75% for 3D object detection. To directly evaluate errors



Figure 5: Average precision vs. error thresholds on CAM-ERA25 and REAL275 datasets.

in rotation and translation, the average precision of  $m^o ncm$  is adopted.

Implementation Details. Similar to [36, 5], we decouple the instance segmentation and the subsequent pose estimation. Follow [36], we generate the instance segmentation results offline with an off-the-shelf object detector (e.g. Mask-RCNN [14]). After that, The target object is cropped from the RGB-D image based on the segmentation results and recover the instance point clouds utilizing camera intrinsic parameters. The image crop is resized  $192 \times 192$ . The number of points in the observed point cloud and category prior is downsampled to 1024. For the feature extraction module of SD-Pose, we use PSPNet [42] with backbone of ResNet-18 [15] to extract semantic features. The geometric features are acquired by a PointNet++ [28]. As for the number of stacking IEA and SDF modules, the n is set to be 2. We use an RTX 2080 Ti GPU to train SD-Pose for 50 epochs with a batch size of 32. We initially set the learning rate as 0.0001



Figure 6: Qualitative comparisons between ours and SPD [36] on CAMERA25 and REAL275 datasets. We visualize the estimated 6D pose and size as the tight-oriented bounding box around the target instances. The red and green lines are prediction results and ground truth, respectively.

Table 2:	Quantitative	comparison	of the	model	reconstruc-
tion accu	racy in CD n	netric ( $\times 10^{-}$	<sup>-3</sup> ).		

Method	CAMERA25									
Wiethou	bottle	bowl	camera	can	laptop	mug	mean			
SPD [36]	1.72	1.55	4.28	0.96	1.99	1.36	1.78			
Ours	1.29	1.01	2.70	0.91	1.12	1.15	1.36			
	REAL275									
	bottle	bowl	camera	can	laptop	mug	mean			
SPD [36]	3.44	1.21	8.89	1.56	2.91	1.02	3.17			
Ours	1.84	1.02	5.37	1.38	1.11	1.08	1.96			

and halved it every 5 epochs.

## 4.2. Comparison with State-Of-The-Art Methods

In Table 1, we compare the proposed method with NOCS [39], CASS [2], SPD [36], Dual [24], SGPA [5]. For the synthetic CAMERA25 dataset, our method outperforms the baseline SPD on all metrics by a large margin and achieves optimal results over other methods under metrics IoU50, IoU75, and  $5^{\circ}5cm$ . Besides, the indicators  $10^{\circ}2cm$  and  $10^{\circ}5cm$  are comparable to the state-of-the-art method SGPA. For the more challenging REAL275 dataset, the superiority of our method is more obvious. The pro-

posed method significantly outperforms the current best method SGPA on all metrics, with improvements of 3.1%, 7.7%, 1.2%, 2.4%, 0.7%, 0.5%, respectively. Notably, our method realizes a more significant improvement on the real REAL275 dataset, which contains more previously unseen instances, than on the synthetic CAMERA25 dataset. It shows that our method has a good generalization. We believe this may be mainly since our method fully considers the diversity of the structural discrepancies, thus being able to accommodate previously unseen instances of various shapes.

To thoroughly verify pose estimation performance, we conduct an experimental evaluation from the perspective of model reconstruction. The Chamfer Distance of the reconstructed NOCS model with the ground truth NOCS model is computed. Comparing our method with the baseline SPD, as shown in Table 2, we can observe that the average reconstruction error of our method is lower than SPD in both datasets. It proves again that our method can achieve better pose estimation performance.

Furthermore, Figure 6 shows a qualitative comparison of two datasets. We can observe that our method produces a more accurate pose than SPD, especially on geometrically

Table 3: Ablation studies of key components tested on CAMERA25 and REAL275. IEA means Information Exchange Augmentation (Section 3.3). SIF means Semantic Immediate Fusion; SDF means Semantic Dynamic Fusion (Section 3.4).

POW	IEA	SIE	SDE	CAMERA25				REAL275							
KOW	ILA	511	SDI	IoU50	IoU75	$5^{o}2cm$	$5^o 5 cm$	$10^o 2 cm$	$10^o 5 cm$	IoU50	IoU75	$5^{o}2cm$	$5^o 5 cm$	$10^o 2 cm$	$10^o 5 cm$
1	-	-	-	93.1	85.1	55.1	59.7	74.4	82.1	79.9	59.5	19.2	21.2	45.9	56.6
2	$\checkmark$	-	-	93.3	88.3	63.3	67.5	78.8	85.4	83.1	66.7	25.6	30.5	49.9	63.5
3	$\checkmark$	$\checkmark$	-	93.2	87.2	63.8	68.2	79.1	85.6	82.8	66.5	32.9	38.7	51.6	64.0
4	$\checkmark$	-	$\checkmark$	93.5	88.4	64.9	69.1	80.5	86.6	83.2	67.0	34.2	39.4	53.0	64.6

complex objects(*e.g.* camera category). This indicates our SD-Pose can sufficiently learn the shape change by utilizing instance-category structural discrepancy to supplement geometry information. In addition, we present a more detailed error evaluation result on two datasets in Figure 5, which further illustrates that our SD-Pose outperforms SPD in terms of 3D IoU, rotation, and translation.

## 4.3. Ablation Studies

In order to verify the efficacy of the critical components of our method, we conduct ablation studies for IEA and SDF modules on the CAMERA25 and REAL275, as shown in Table 3. For convenience, the n is set to be 1. The baseline is SPD [36] corresponding to **row 1**.

Effectiveness of IEA. We first verify the significance of using the IEA module, which can be figured out by comparing row 1 and row 2 in Table 3. Relative to results in row 1, the performance of all metrics in row 2 has an overall boost. On the one hand, the category geometry features complement the unique individuality of instance structure to reconstruct a more accurate instance NOCS model. On the other hand, instance geometric features add general commonality of category prior, thereby facilitating the reconstructed correspondence matrix better associate the observed point cloud with the reconstructed model.

**SIF or SDF?** We also explore the importance of fusing semantic information and the impact of different fusion methods on performance. Comparing the results in **row 2**, **row 3** and **row 4**, after adding semantic information, there is a large improvement in the angle and translation evaluation, but SIF (**row 3**) slightly decrease in 3D IoU. This may be because semantic cues and category prior come from different individuals. Simple fusion (SIF) without the awareness of individual discrepancy may bring feature confliction to a certain degree. While dynamically adjusting semantic information through instance-category structural relationships can weaken this problem and achieve better results.

Since our network stacks multiple IEA and SDF modules, we also verify the impact of choosing the different n, where n takes values from 1, 2, and 3. Comparing the results in Table 4, we can conclude that n = 2 is the best choice. In this case, the mutual complementation of instance and category in structural information is optimal, thus generating better performance on pose estimation. Table 4: Evaluation of SD-Pose on CAMERA25 and REAL275 benchmarks when n is set to be different values.

	CAMERA25									
$\mathcal{H}$	IoU50	IoU75	$5^{o}2cm$	$5^o 5 cm$	$10^o 2 cm$	$10^{o}5cm$				
1	93.5	88.4	64.9	69.1	80.5	86.6				
2	93.4	88.3	70.7	75.6	80.5	87.7				
3	93.2	87.6	70.2	75.0	80.3	87.7				
	REAL275									
	IoU50	IoU75	$5^{o}2cm$	$5^o 5 cm$	$10^o 2 cm$	$10^{o}5cm$				
1	83.2	67.0	34.2	39.4	53.0	64.6				
2	83.2	68.2	37.1	42.0	62.0	71.2				
3	82.4	66.2	36.5	41.1	57.9	68.2				

# 5. Conclusion

In this paper, we propose a novel category-level 6D object pose estimation framework SD-Pose, which utilizes instance-category structural discrepancy and geometricsemantic potential association to enhance the learning of intra-class shape variation. Specifically, the IEA module is designed to supplement the instance-category geometry information by their structural discrepancy, thus facilitating the enhanced geometry information to contain both the character of instance shape and the commonality of category prior. Furthermore, the SDF module is further proposed to alleviate the influence of noise points by fusing category prior and instance semantic features with a dynamic adjustment. Our method achieves state-of-the-art performance on CAMERA25 and REAL275 datasets. Although we alleviate the problem of noise points by an implicit SDF module, it may be further optimized in our future work through an explicit manner (e.g. designing an appropriate point cloud filter).

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