

# VD-GR: Boosting Visual Dialog with Cascaded Spatial-Temporal Multi-Modal GRaphs -Supplementary Material-

## Appendix

### 1. Limitations

Although our model managed to outperform previous models on four challenging datasets, it is important to acknowledge some of its limitations: First, VD-GR leverages extra data in the form of adjacency matrices of the multi-modal GNNs and relies on external models to acquire them. Although inferring these models on the VisDial data is cheap, this approach can lead to inaccurate predictions of adjacency matrices, especially for the question and history modalities. Thus, by keeping the graph structures constant, our model’s performance might be limited by this introduced noise. This could be remedied in future work by jointly learning the graphs’ parameters as well as refining their structures over time [2–4]. Second, similar to almost all previous methods on this task, we did not manage to achieve new state-of-the-art performance across all metrics of this challenging dataset. Finally, inline with previous works [1, 5, 7, 9–11], fine-tuning our model (both in the single model as well as the ensemble setting) on dense annotations improved the most relevant metric of the dataset, i.e. the NDCG score, at the expense of the other (sparse) ones. Although our model’s performance dropped with respect to the sparse metrics, we managed to outperform previous works by achieving an NDCG score of 76.43, which is the main objective of dense annotation fine-tuning.

### 2. Graph Construction and Pruning

**Image Modality.** Given two object features  $\mathbf{v}_i$  and  $\mathbf{v}_j$ , their bounding boxes and centre coordinates  $(x_i, y_i)$  and  $(x_j, y_j)$ , we computed the value of their intersection over unions  $\text{IoU}_{ij}$  and relative angle  $\phi_{ij}$ . As shown in Figure 4, there are two spacial cases: The first occurs when the bounding box of  $\mathbf{v}_i$  completely includes the bounding box of  $\mathbf{v}_j$  and this class is denoted as *inside* with index  $i = 1$ . The second occurs when the bounding box of  $\mathbf{v}_i$  is entirely covered by the bounding box of  $\mathbf{v}_j$ . This class is denoted as *cover* with index  $i = 2$ . The remaining classes are solely determined by the value of  $\text{IoU}_{ij}$ . If  $\text{IoU}_{ij} \geq 0.5$ , then the

Method	VisDialBERT [7]	VD-BERT [9]	VD-PCR [11]	VD-GR
# Parameters	250M	250M	255M	260M
Tr. time / epoch	0.6h	0.6h	1.00h	1.05h

Table 1. Model complexity and runtime comparison with respect to VisDial v1.0 on our hardware setup.

relationship between the objects is denoted as *overlap* and has the index  $i = 3$ . Finally, if  $\text{IoU}_{ij} < 0.5$ , the class index is computed as

$$i = \lceil \frac{\phi_{ij}}{0.25\pi} \rceil + 3.$$

By construction, all classes of index  $i \neq 3$  are pairwise symmetric as can be seen from Figure 1a where we plotted the distribution of the different image graph relationship classes over the training split of VisDial v1.0.

**Question Modality.** The question graph relationship classes were determined by the dependency between the question words. To this end, we input each question to the Stanza dependency parser that output the classes between the different word pairs resulting in a total of 47 classes. As shown in Figure 1b, the distribution of these classes within the VisDial v1.0 training split is not uniform with `det` and `nsubj` being the most frequent. We illustrate a qualitative sample in Figure 2.

**History Modality.** We relied in coreference resolution to construct the history graph. Specifically, an edge exists between two rounds  $i$  and  $j$  ( $i > j$ ) if and only if a word in round  $j$  was used to reference another word in round  $i$ . The only exception is the caption `C` that links to all upcoming rounds in the history even if there is no explicit coreference between them. We posit that the caption is complementary to the visual input and helps the model better understand the scene. We illustrate a qualitative sample in Figure 3.

### 3. Model Complexity

The overhead for constructing the multi-modal graphs only incurs once during a *cheap* offline pre-processing stage and therefore does not lead to crucial increase in compute complexity, i.e. number of trainable parameters and epoch training time, compared with previous seminal models, e.g. VisDial-BERT [7], VD-BERT [9], and VD-PCR [11], as can be seen in Table 1.

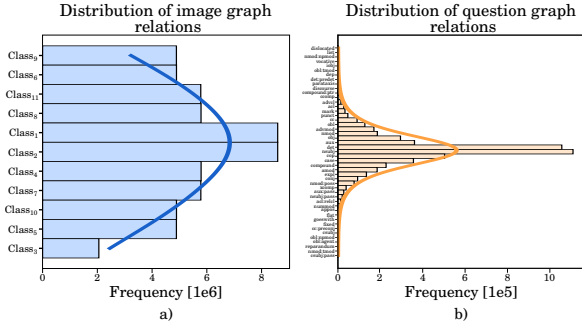


Figure 1. **Right:** The distribution of the image graph relationship classes within the training split of VisDial v1.0. **Left:** The distribution of the question graph relationship classes within the training split of VisDial v1.0.

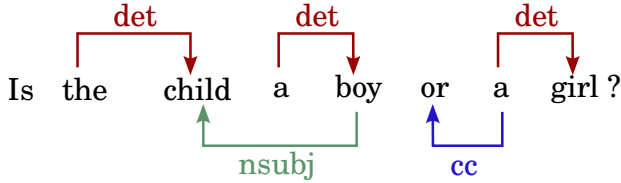


Figure 2. A qualitative sample of the dependency relationships between question word pairs.

### 4. Training Details

We implemented our model using PyTorch [8] and trained it on a server with 8 NVIDIA Tesla V100 GPUs using an effective batch size of 64 and Adam optimiser [6] with a linear decay learning rate schedule with warm-up. We set the initial learning rates of the BERT and GNN weights to  $5 \times 10^{-6}$  and  $5 \times 10^{-4}$ , respectively. Furthermore, we set the loss coefficients  $\alpha_1 = \alpha_2 = 1$  and the residual connection coefficient  $\lambda = 0.5$ . We refer to Table 2 for a complete overview of our experimental setup.

### 5. Additional Qualitative Results

We present additional qualitative examples from the *val* split of VisDial v1.0 in Figure 5 and Figure 6. As in the main text, we compared the top-1 predictions of VD-GR with the ground-truth and the predictions of VD-PCR since it achieved the second best performance on this split.

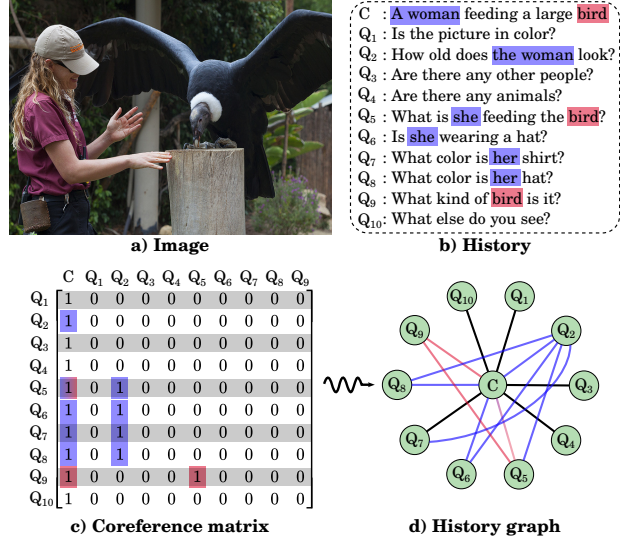


Figure 3. A qualitative sample of the coreference relationships between different dialog rounds. The hub-node was not visualised for clarity.

### References

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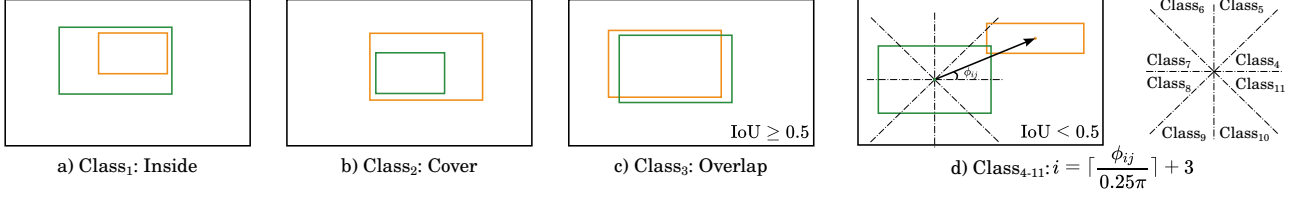


Figure 4. The different spatial relationships (without the hub-node relationship) used in constructing the image graph. The orange and green rectangles correspond to the bounding boxes of two objects within the scene.

Hyper-parameter	Training Stage		
	Warm-up	Sparse fine-tuning	Dense fine-tuning
Number of GNN layers $K$	2	2	2
Number of GNN heads $H$	4	4	4
Residual connection coefficient $\lambda$	0.5	0.5	0.5
Dimension of $\text{GNN}_{\mathcal{I}}$ node features	1024	1024	1024
Dimension of $\text{GNN}_{\mathcal{Q}}$ node features	768	768	768
Dimension of $\text{GNN}_{\mathcal{H}}$ node features	768	768	768
Dimension of $\text{GNN}_{\mathcal{I}}$ edge features	12	12	12
Dimension of $\text{GNN}_{\mathcal{Q}}$ edge features	48	48	48
Dimension of $\text{GNN}_{\mathcal{H}}$ edge features	2	2	2
Dimension of $\text{Linear}_{\mathcal{I} \rightarrow \mathcal{H}}(\cdot)$	(1024, 768)	(1024, 768)	(1024, 768)
Dimension of $\text{Linear}_{\mathcal{Q} \rightarrow \mathcal{I}}(\cdot)$	(768, 1024)	(768, 1024)	(768, 1024)
Maximum number of text tokens	256	256	256
Maximum number of image regions	37	37	37
Text token mask probability	0.1	0.1	—
Image region mask probability	0.1	0.1	—
Graph edge mask probability	0.15	—	—
Optimiser	Adam	Adam	Adam
Minimum learning rate of BERT parameters	0	0	$1 \times 10^{-5}$
Minimum learning rate of GNN parameters	0	0	$1 \times 10^{-5}$
Maximum learning rate of BERT parameters	$5 \times 10^{-6}$	$5 \times 10^{-6}$	$2 \times 10^{-5}$
Maximum learning rate of GNN parameters	$5 \times 10^{-4}$	$5 \times 10^{-4}$	$1 \times 10^{-4}$
Learning rate warm-up of BERT parameters	True	True	True
Learning rate warm-up of GNN parameters	True	True	True
Learning rate schedule of BERT parameters	Linear	Linear	Linear
Learning rate schedule of GNN parameters	Linear	Linear	Linear
Training Loss	$\mathcal{L}_{\text{warm}}$	$\mathcal{L}_{\text{VD}}$	$\mathcal{L}_{\text{CE}} / \mathcal{L}_{\text{ListNet}}$
Number of epochs	5	20	3
Effective batch size	64	64	100
GPU Model	Tesla V100-32GB	Tesla V100-32GB	Tesla V100-32GB
Number of GPUs	8	8	8
Distributed training	Apex	Apex	PyTorch DP

Table 2. Hyper-parameter settings of VD-GR for the different stages of training.  $\text{Linear}_{\mathcal{I} \rightarrow \mathcal{H}}(\cdot)$  and  $\text{Linear}_{\mathcal{Q} \rightarrow \mathcal{I}}(\cdot)$  denote the linear layers that produce the history and image hub-node features, respectively.

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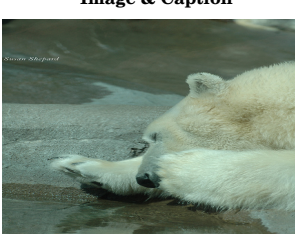
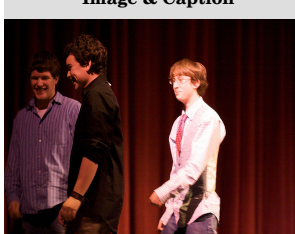


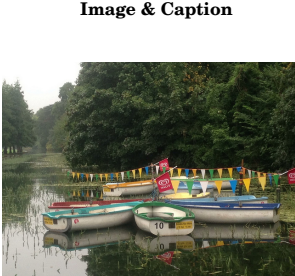
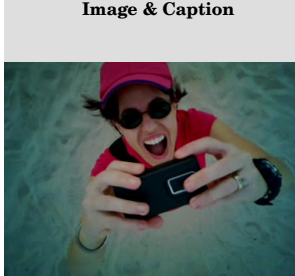

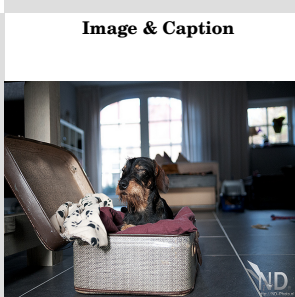
<p><b>Image &amp; Caption</b></p>  <p>A couple sitting on a wooden bench in front of a field of trees</p>	<p><b>Predictions</b></p> <p>Q<sub>1</sub>: How old is the couple? A<sub>1</sub>: It's hard to tell I can only see their backs</p> <p>Q<sub>2</sub>: What color is the girl's hair? A<sub>2</sub>: It's black</p> <p>Q<sub>3</sub>: What color is the bench? A<sub>3</sub>: Male</p> <p>Q<sub>4</sub>: Is there a lot of trees? A<sub>4</sub>: Yes, in the distance VD-GR: Yes, in the distance ✓ VD-PCR: Yes it is ✗</p>	<p><b>Image &amp; Caption</b></p>  <p>An assortment of doughnuts on a white shelf</p>	<p><b>Predictions</b></p> <p>Q<sub>1</sub>: How many doughnuts do you see? A<sub>1</sub>: 1</p> <p>Q<sub>2</sub>: What kind of doughnuts? A<sub>2</sub>: Baked</p> <p>Q<sub>3</sub>: Any toppings on the doughnut? A<sub>3</sub>: Yes</p> <p>Q<sub>4</sub>: Anything else on the shelf? A<sub>4</sub>: Sugars, other baked stuff VD-GR: Sugars, other baked stuff ✓ VD-PCR: The mirror ✗</p>
<p><b>Image &amp; Caption</b></p>  <p>A white polar bear sleeping on a wet rock</p>	<p><b>Predictions</b></p> <p>Q<sub>1</sub>: Is there only 1 polar bear? A<sub>1</sub>: Yes</p> <p>Q<sub>2</sub>: Is the rock large? A<sub>2</sub>: I don't see the whole rock so I assume so</p> <p>Q<sub>3</sub>: What color is the rock? A<sub>3</sub>: Dark concrete</p> <p>Q<sub>4</sub>: Does the bear look to be an adult? A<sub>4</sub>: I am not sure, it is zoomed close</p> <p>Q<sub>5</sub>: Can you see the water? A<sub>5</sub>: No VD-GR: No ✓ VD-PCR: I don't think so ✗</p>	<p><b>Image &amp; Caption</b></p>  <p>3 young men are smiling and looking at a scene to the left of the camera</p>	<p><b>Predictions</b></p> <p>Q<sub>1</sub>: Are they inside or outside? A<sub>1</sub>: Inside</p> <p>Q<sub>2</sub>: Is this a house or a business? A<sub>2</sub>: I can't quite tell but it looks like a stage</p> <p>Q<sub>3</sub>: Are there any women? A<sub>3</sub>: No</p> <p>Q<sub>4</sub>: Are the men standing up? A<sub>4</sub>: Yes</p> <p>Q<sub>5</sub>: Are the men wearing glasses? A<sub>5</sub>: 1 of them VD-GR: 1 of them ✓ VD-PCR: There are no hats ✗</p>
<p><b>Image &amp; Caption</b></p>  <p>A boy with a cowboy hat in front of a large street clock</p>	<p><b>Predictions</b></p> <p>Q<sub>1</sub>: What color is the hat? A<sub>1</sub>: It is light beige</p> <p>Q<sub>2</sub>: What color is the clock?? A<sub>2</sub>: the pole is green and the face is white</p> <p>Q<sub>3</sub>: What color is the boy's shirt? A<sub>3</sub>: White</p> <p>Q<sub>4</sub>: What color is his pants? A<sub>4</sub>: I cannot see his pants</p> <p>Q<sub>5</sub>: Is it sunny outside? A<sub>5</sub>: It is partly sunny, yes VD-GR: It is partly sunny, yes ✓ VD-PCR: Overcast ✗</p>	<p><b>Image &amp; Caption</b></p>  <p>A plate displays chocolate pastries molded to look like train cars winding around a hill</p>	<p><b>Predictions</b></p> <p>Q<sub>1</sub>: Is the image in color? A<sub>1</sub>: Yes</p> <p>Q<sub>2</sub>: What color is the plate? A<sub>2</sub>: Yellow</p> <p>Q<sub>3</sub>: What color are the pastries? A<sub>3</sub>: Mostly brown</p> <p>Q<sub>4</sub>: What color is the hill? A<sub>4</sub>: Brown with white icing VD-GR: Brown with white icing ✓ VD-PCR: it is white ✗</p>
<p><b>Image &amp; Caption</b></p>  <p>A group of colored boats tied up together on the water</p>	<p><b>Predictions</b></p> <p>Q<sub>1</sub>: What color are the boats? A<sub>1</sub>: white on the bottom and have different color trims: blue, green, red, and yellow</p> <p>Q<sub>2</sub>: Are they sail boats? A<sub>2</sub>: No, row boats</p> <p>Q<sub>3</sub>: Are there people in the picture? A<sub>3</sub>: No</p> <p>Q<sub>4</sub>: Is it a sunny day? A<sub>4</sub>: No, it looks somewhat cloudy</p> <p>Q<sub>5</sub>: Are the boats tied to a pier? A<sub>5</sub>: To a dock</p> <p>Q<sub>6</sub>: How many boats are there? A<sub>6</sub>: At least 9 VD-GR: At least 9 ✓ VD-PCR: 4 visible and the arm of 1 just out of pic ✗</p>	<p><b>Image &amp; Caption</b></p>  <p>A woman is holding her phone over her head while standing on a beach</p>	<p><b>Predictions</b></p> <p>Q<sub>1</sub>: Is the woman taking a photo? A<sub>1</sub>: Yes it seems so</p> <p>Q<sub>2</sub>: Is the woman in the water? A<sub>2</sub>: No</p> <p>Q<sub>3</sub>: How old is this woman? A<sub>3</sub>: Late twenties early thirties</p> <p>Q<sub>4</sub>: Are any other people visible? A<sub>4</sub>: No one else</p> <p>Q<sub>5</sub>: Is it a sunny day? A<sub>5</sub>: Can't tell</p> <p>Q<sub>6</sub>: What is the woman wearing? A<sub>6</sub>: A short sleeve shirt and a hat VD-GR: A short sleeve shirt and a hat ✓ VD-PCR: Red dress with patterns ✗</p>
<p><b>Image &amp; Caption</b></p>  <p>A person has a kite on a beach</p>	<p><b>Predictions</b></p> <p>Q<sub>1</sub>: How many people are in the picture? A<sub>1</sub>: Just 1 person</p> <p>Q<sub>2</sub>: Are there any animals in the picture? A<sub>2</sub>: No</p> <p>Q<sub>3</sub>: Is it daytime or nighttime? A<sub>3</sub>: It's daytime</p> <p>Q<sub>4</sub>: Is it sunny outside? A<sub>4</sub>: It seems hazy outside</p> <p>Q<sub>5</sub>: What color is the kite? A<sub>5</sub>: It is red</p> <p>Q<sub>6</sub>: Is the person male or female? A<sub>6</sub>: The person seems to be male</p> <p>Q<sub>7</sub>: What color is their hair? A<sub>7</sub>: I can't tell VD-GR: I can't tell ✓ VD-PCR: 1 ✗</p>	<p><b>Image &amp; Caption</b></p>  <p>The dog is sitting in the basket in the dark room</p>	<p><b>Predictions</b></p> <p>Q<sub>1</sub>: Are there other dogs? A<sub>1</sub>: No, just the one</p> <p>Q<sub>2</sub>: What kind of dog is it? A<sub>2</sub>: Looks like a schnauzer</p> <p>Q<sub>3</sub>: Does the dog have a blanket? A<sub>3</sub>: He does, it's next to him</p> <p>Q<sub>4</sub>: What color is the dog? A<sub>4</sub>: Black &amp; brown</p> <p>Q<sub>5</sub>: Does it look happy? A<sub>5</sub>: He looks super happy</p> <p>Q<sub>6</sub>: Is there food near it? A<sub>6</sub>: No</p> <p>Q<sub>7</sub>: Are there doggy toys? A<sub>7</sub>: In the background there appears to be 1 on the floor VD-GR: In the background there appears to be 1 on the floor ✓ VD-PCR: 1 ✗</p>

Figure 5. Qualitative samples from the *val* split of the VisDial v1.0 dataset. We compared the predictions of VD-GR (orange) with the ground truth answers (blue) and the predictions of VD-PCR (pink). The answers of both models correspond to the top-1 predictions.

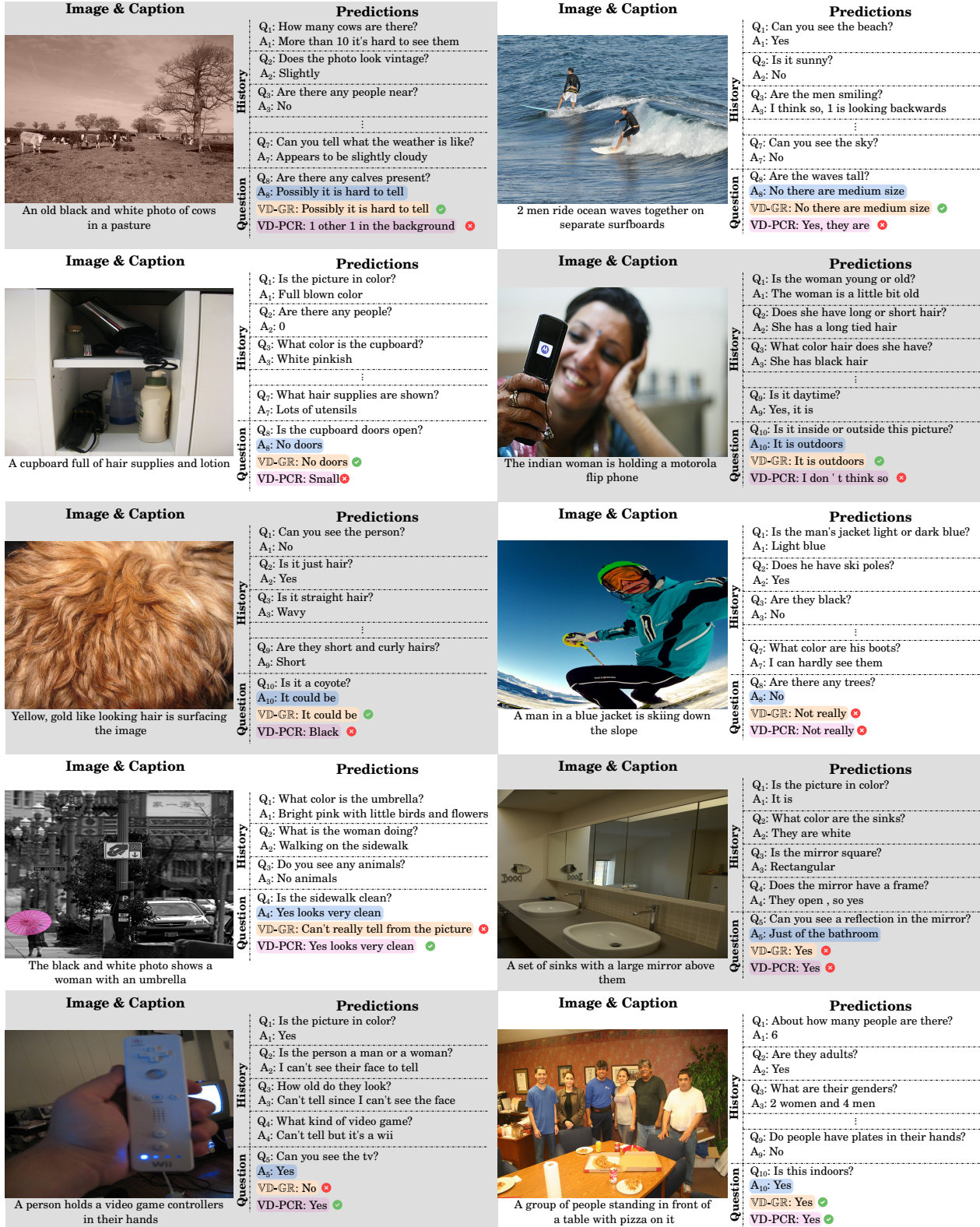


Figure 6. Qualitative samples from the *val* split of the VisDial v1.0 dataset. We compared the predictions of VD-GR (orange) with the ground truth answers (blue) and the predictions of VD-PCR (pink). The answers of both models correspond to the top-1 predictions.