# Leveraging Bitstream Metadata for Fast, Accurate, Generalized Compressed Video Quality Enhancement: Appendices

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#### A. Selection of Compared Methods and HEVC Comparison

Our paper presents a new and significantly more realistic benchmark than prior works. This required a significant time investment to identify working code releases and retrain compared methods. Some readers may take issue with our particular selection of comparison methods in the body of the paper given that newer methods than those we compared to exist (although we are "current" up until last year at the time of writing), so we address this up-front here. When selecting comparison methods, we divided them into three groups:

#### Methods with readily available code MFQEv2 (2021), STDF (2020)

#### Methods with partial code RFDA (2021)

#### Methods with no code All newer methods (Xu et al. (2021), PSTQE (2021)) and MFQEv1 (2018)

The goal of this exercise is to prioritize which methods we can reasonably compare to. Methods with readily available code can be re-trained and evaluated in a pain-free manner. Methods with partial code required some time investment to correct the code. Methods with no code would require re-implementation which is both time consuming for us and tricky to do in a fair way to the original authors. Optimizing this time-effort-fairness objective precluded us from re-evaluating the methods with no public code.

We note that RFDA has a partial public code release which is not fully functional particularly for retraining (we encourage readers to verify this by visiting the code at https://github.com/zhaominyiz/RFDA-PyTorch). However, we were able to make the training code functional by filling in details from the paper or correcting the existing code. This was verified by reproducing the paper results to within rounding error reported in the paper. We also note that the PSTQE authors have released the model definition but this alone is not enough to completely train the model even including any training details described in the paper. We opted not to include PSTQE since the training procedure could not be guaranteed to be fair and it would require a significant engineering effort on our part.

In the spirit of further fair comparisons we now show HEVC constant QP results compared to all prior art known to us at the time of writing (we do not claim to have an exhaustive list, nor do we believe a large table necessary to demonstrate that our method works). Since this is the less realistic benchmark which was reported in previous papers we do not have to retrain and we can simply copy the numbers into Table 1 allowing for these additional comparisons. To produce our numbers, we followed the same procedure prescribed in prior works by training a separate model per CQP. In this setting, only the recent work by Xu *et al.* [1] is really competitive with our method albeit with the IQE module which adds significant computational overhead. Given that we cannot perform independent testing of their method, it is unclear if that would generalize to more realistic compression benchmarks. It is also unclear to us if our QP cross attention mechanism is contributing in a constructive way to the performance of our model when the QP map is constant for all frames. We respectfully remind the skeptical reader that benchmark results are one aspect of our overall work and are not, were never intended to be, and should not be, a primary contribution.

## **B. GAN Architecture Details**

Chu *et al.* [9] introduce a temporally consistent formulation for video GAN discriminators. We adapt their idea in our GAN loss which is otherwise based on DCGAN [10]. The architecture is shown in Figure 1.

Our critic operates on triplets of the compressed frames C, network outputs O, and target frames T. The input frames are stacked channelwise, in other words  $C_{0,R}$ ,  $C_{0,G}$ ,  $C_{0,B}$ , ... $C_{6,R}$ ,  $C_{6,G}$ ,  $C_{6,B}$  for all frames in the GOP are stacked channel-wise along with the corresponding O or T frames to create a 42 channel input (3 channels per frame, times 7 frames, times 2). The task for the critic, then, is to determine whether the T or O channels are network outputs or uncompressed frames given the compressed reference frames and otherwise operates as standard DCGAN. The output is used in a Wassertein GAN loss [11].

This encourages temporal consistency because the critic is judging the entire 7-frame sequence as an example as opposed to other video GANs which treat each frame as an different example. In the later case, there may be situations in which one frame is judged to be more real than another, and yet all frames are equally "real" or "fake". This "reallness" or "fakeness" of the sequence *vs*. the frames is better captured by the formulation of Chu *et al.* [9]. Note that for our task we define "fake" as the restored network output and "real" as the uncompressed version of the image.

## **C.** Compression Details

The MFQE [2] dataset is stored as a series of uncompressed raw (.yuv) videos of known resolution and frame count. We compress these videos using ffmpeg [12]. For H.264, we use the libx264 encoder with the following command:

Method	HEVC CQP					
	22	27	32	37	42	
MFQE 1.0 [2]	0.31/0.0019	0.40 / 0.0034	0.43 / 0.0058	0.46 / 0.0088	0.44 / 0.0130	
MFQE 2.0 [3]	0.46 / 0.0027	0.49 / 0.0042	0.52 / 0.0068	0.56 / 0.0109	0.59 / 0.0165	
STDF-R1 [4]	0.51 / 0.0027	0.59 / 0.0047	0.64 / 0.0077	0.65 / 0.0118	-	
STDF-R3 [4]	0.63 / 0.0034	0.72 / 0.0057	0.86 / 0.0104	0.83 / 0.0151	-	
RFDA [5]	0.76 / 0.0042	0.82 / 0.0068	0.87 / 0.0107	0.91 / 0.0162	0.82 / 0.0220	
PSTQE [6]	0.55 / 0.0029	0.63 / 0.0052	0.67 / 0.0083	0.69 / 0.0125	0.69 / 0.0186	
Xu <i>et al.</i> -SQE [1]	0.83 / 0.0046	0.92 / 0.0077	0.93 / 0.0116	0.85 / 0.0158	0.79 / 0.0218	
S2SVR [7]	-	-	-	0.93 / 0.0176	-	
Xu <i>et al.</i> -IQE [1]	0.96 / 0.0053	<b>1.09</b> / <u>0.0092</u>	1.08 / 0.0136	<b>1.03</b> / <u>0.0190</u>	<b>0.89</b> / <u>0.0241</u>	
BasicVSR++ [8]	0.90 / 0.0050	<u>1.04</u> / 0.0091	<u>1.06</u> / 0.0128	<u>0.99</u> / 0.0178	-	
MetaBit (Ours)	1.20 / 0.0109	0.99 / <b>0.0117</b>	0.99 / <u>0.0131</u>	0.90 / <b>0.0232</b>	<u>0.84</u> / <b>0.0294</b>	

Table 1. **HEVC Comparison**. We report  $\Delta$ PSNR (dB)  $\uparrow / \Delta$ SSIM  $\uparrow$ , averaged over the MFQE [2] test split. This matches exactly with prior works. Note that STDF does not test on QP42. Best in **bold**, second best <u>underlined</u>.

ffmpeg ffmpeg -video\_size <WIDTH>x<HEIGHT> \

-framerate 10 \
-i <INPUT> \
-preset medium \
-vcodec libx264 \
-crf <CRF> \
-x264opts <OPTIONS>\
[OUTPUT]

where <OPTIONS> is defined as:

keyint=7:min-keyint=7:no-scenecut:no-fast-pskip:me=esa:subme=7:bframes=0:aq-mode=2

This produces a compressed .mp4 file for later use (to be read as-is or converted back to raw .yuv for compatibility with prior work). Many of these options are simply there to ensure a 7 frame GOP which is a requirement of the model we presented in the body of the paper (but **not** a requirement of the general method which we presented). Please note the -framerate 10 argument: CRF is sensitive to framerate, so different framerates will incur different degradation strengths. Our choice of 10 was arbitrary and motivated primary by recommendation of Li *et al.* [13].

For H.264 in "streaming mode" (Appendix D) we use the following command in order to be consistent with deep-learningbased compression works:

```
ffmpeg -video_size <WIDTH>x<HEIGHT> \
    -framerate 30 \
    -i <INPUT> \
    -crf <CRF> \
    -preset medium \
    -vcodec libx264 \
    -pix_fmt yuv420p \
    -x264opts keyint_min=10000:bframes=0 \
    <OUTPUT>
```

Here, keyint\_min=10000 ensures that there is only a single I-frame per video.

For H.265, prior works evaluated on the HM reference codec, which is notoriously slow. For any models which we retrained on H.265 data, we instead use libx265 which incurs no appreciable change in degradation (Figure 2) and is significantly faster than HM. To generate these videos we used the following command:



Figure 1. Wasserstein GAN Critic. Inputs (top) are RGB channels for all 7 frames stacked in the channel dimension. The compressed frames are concatenated with the restored or target frames for a 48 channel input. This is then processed by 8 downsampling convolutional layers as in DCGAN to produce the critic output.

```
ffmpeg -video_size <WIDTH>x<HEIGHT> \
    -i <INPUT> \
    -vcodec libx265 \
    -qp <QP> \
    -x265-params <OPTIONS> \
    <OUTPUT>
```

for <OPTIONS>:

```
keyint=7:min-keyint=7:no-scenecut:me=full:subme=7:bframes=0:qp=<QP>
```

Note that QP is specified twice and there is no longer a need to control for framerate. We strongly recommend that future works use libx265.

libx265



ΗМ

Figure 2. **Codec Comparison.** HM reference encoder *vs.* libx265 at QP37. While the artifacts produced by the different encoders are different, the overall perceptual quality is similar. This is likely because of CQP encoding which does not leave many options for the encoder to make intelligent rate-distortion decisions.



Figure 3. Streaming Mode. In streaming mode, the last restored P-frame in each block is cached and used instead of an I-frame for the next block.

## **D. Streaming Mode**

In many streaming applications, only a single I-frame is ever transmitted, with every subsequent frame stored as a P-frame. This saves bandwidth and decreases latency at the expense of quality. The model as described in the body of the paper requires a 7-frame GOP with a single I-frame followed by 6 P-frames, however, our model can be easily modified to operate in what we call "streaming mode".

In streaming mode (Figure 3), our method performs a 7-frame restoration as usual on the first 7 frames which include the I-frame. The last restored P-frame (frame 7) is cached and used in place of the I-frame for the next 6 P-frames. This process is repeated for all subsequent groups of 6 P-frames, eliminating the need for a periodic high-information frame. This comes at a small cost to restoration performance however it also greatly reduces the bitrate of the H.264 videos. This mode was used to produce the rate-distortion comparisons to deep-learning based compression which requires aggressive settings to match the

bitrates reported in the compared works. Adding periodic I-frame incurs a large increase in bitrate. Note that this does not require retraining the model, it is only a change to the inference procedure.

## **E. Additional Qualitative Results**

In this section we show additional qualitative results. These results are intended to showcase particular strengths and weaknesses we observed in our model, and are explained further in the figure captions. We encourage viewing the video files contained in our supplementary material to observe temporal consistency issues we noticed due to fluctuating compression artifacts.

- 1. **Dark Region** Figure 4 highlights a known failure mode of compression causing additional information loss in dark areas in an image.
- 2. Crowd Figure 5 shows our model performance on a dense crowd
- 3. **Texture Restoration** Figure 6 shows an additional result of our model generating a plausible reconstruction of an artificial texture
- 4. Compression Artifacts Figure 7; one particular failure mode we observed was compression artifacts, particularly chroma subsampling artifacts, mistaken as a degraded texture and restored by the GAN, creating texture where none exists in the original images
- 5. Motion Blur Figure 8. Another common occurrence is missing motion blur in reconstructed images. There are several issues that lead to this: 1) high motion frames are largely absent from the training data, 2) motion blur is largely destroyed by compression, and 3) the reconstruction loss is explicitly rewarded for generating sharp restorations, whereas in this case we actually *want* a blurry reconstruction.
- 6. Artificial Figure 9; in this scene from the short film "Big Buck Bunny", the frame is restored quite accurately despite a lack of artificial training data.



Figure 4. **Dark Region.** Crop from  $2560 \times 1600$  "People on Street". The dark region, is poorly preserved by compression. Our GAN restoration struggles to cope with the massive information loss in this region.



Figure 5. Crowd. Crop from  $2560 \times 1600$  "People on Street". The image shows an extremely dense crowd. Despite the chaotic nature, our GAN is able to produce a good restoration although there is detail missing.



Figure 6. **Texture Restoration.** Crop from  $1920 \times 1080$  "Cactus". The texture on the background is destroyed by compression. Our GAN reconstructs a reasonable approximation to the true texture.



Figure 7. Compression Artifacts Mistaken for Texture. Crop from  $1920 \times 1080$  "Cactus". The compressed image exhibits strong chroma subsampling artifacts (lower right corner). These are mistaken by the GAN is a texture and restored as such.



Figure 8. **Motion Blur.** Crop from  $1920 \times 1080$  "Cactus". The tiger exhibits high motion which presents itself in the target frame as motion blur. This blur is destroyed by compression and is not able to be restored by the GAN loss. The GAN loss is also "rewarded" for sharp edges which would make reconstructing blurry objects difficult. As an aside, note the additional detail on the background objects in the GAN image when compared to the compressed image.



Figure 9. Artificial. Crop from  $1920 \times 1080$  "Big Buck Bunny". This artificial scene is restored accurately despite a lack of artificial training data. Note the grass and tree textures, sharp edges, removal of blocking on the flower, and preservation of the smooth sky region.

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