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# Computational Hyperspectral Imaging Based on Dimension-discriminative Low-rank Tensor Recovery

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# Abstract

Exploiting the prior information is fundamental for the image reconstruction in computational hyperspectral imaging. Existing methods usually unfold the 3D signal as a 1D vector and treat the prior information within different dimensions in an indiscriminative manner, which ignores the high-dimensionality nature of hyperspectral image (HSI) and thus results in poor quality reconstruction. In this paper, we propose to make full use of the high-dimensionality structure of the desired HSI to boost the reconstruction quality. We first build a high-order tensor by exploiting the nonlocal similarity in HSI. Then, we propose a dimensiondiscriminative low-rank tensor recovery (DLTR) model to characterize the structure prior adaptively in each dimension. By integrating the structure prior in DLTR with the system imaging process, we develop an optimization framework for HSI reconstruction, which is finally solved via the alternating minimization algorithm. Extensive experiments implemented with both synthetic and real data demonstrate that our method outperforms state-of-the-art methods.

# 1. Introduction

Hyperspectral imaging techniques capture reflectance of a real scene across tens to hundreds of discrete bands. Compared with the traditional RGB image, the hyperspectral image (HSI) can provide more details and features with the additional spectral dimension. Such characteristics have been applied to various computer vision tasks, such as classification [1], recognition [2], and tracking [3].

HSIs are 3D in nature, including one spectral dimension and two spatial dimensions. To obtain a HSI, the conventional spectrometers [4, 5, 6, 7], which are equipped with 1D or 2D detectors, need to scan the scene along the spatial or spectral dimensions. Thus, the scanningbased hyperspectral imaging methods suffer from low temporal resolution and cannot be used to capture dynamic



Figure 1: Illustration of the spectral-spatial correlation in a local patch. (a) A local spectral-spatial patch of size  $31 \times 36$ . (b) The 3D smooth surface of (a). (c) The vectorized 1D signal of (a). It shows that the vectorization process breaks the intrinsic structure information.

scenes. Thanks to the flourish of computational photography, computational hyperspectral imaging has been developed to overcome this problem [8, 9, 10]. Among numerous imaging systems, coded aperture snapshot spectral imaging (CASSI) [11, 12] and its dual camera design (DCD) [13, 14] have attracted increasing attention in recent years due to the advantage of snapshot. With elaborate optical designs, they encode the 3D HSI into the 2D compressive measurement. Consequently, the bottleneck in computational hyperspectral imaging is how to faithfully reconstruct the 3D HSI from the compressive measurement.

Since the reconstruction is severely under-determined, image prior information must be characterized to regularize the reconstruction. So far considerable research efforts in this field have been devoted to exploiting the prior information [14, 15, 16, 17, 18, 19, 20, 21]. However, existing methods usually unfold the 3D signal as a 1D vector and treat the prior information within different dimensions in an indiscriminative manner. The vectorization process ignores the high-dimensionality nature of HSI and breaks the original structure information in HSI. As an intuitive example, Fig. 1 shows a comparison of pixel value distributions of one spatial-spectral patch with and without vectorization. It is clear that the structure information gets lost after vectorization.

Our key observation is that compared with the 1D-vector based signal description, high-order tensors can provide a

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Figure 2: Overview of the proposed method. We first obtain an initialization from the compressive measurement. Then our reconstruction method, including matching, dimension-discriminative low-rank tensor recovery (short-ened as DLTR in the figure) and projection, is iteratively performed. Finally, we output the reconstructed HSI.

more accurate representation to figure out the data diversity in each domain and deliver the intrinsic structure of highdimensionality signals. Such observation motivates us to exploit the tensor representation for HSI reconstruction to address the problem of vectorization.

In this paper, we propose to make full use of the highdimensionality structure prior in HSI to boost the reconstruction quality for computational hyperspectral imaging. The overall framework of our method is illustrated in Fig. 2. Specifically, we first build a high-order tensor by exploiting the nonlocal similarity in the spatial and spectral domains for each exemplar cubic patch. Then, to characterize the structure prior in each dimension, we propose a dimension-discriminative low-rank tensor recovery (DLTR) model, where the spatial self-similarity, spectral correlation and joint correlation will be fully exploited. By integrating the tensor-based structure prior in DLTR with the system imaging process, we develop an optimization framework for HSI reconstruction, which is finally solved via an alternating minimization algorithm. To the best of our knowledge, it is the first time to characterize the prior in HSI with a dimension-discriminative model for computational hyperspectral imaging. Extensive experiments implemented with both synthetic data and real capture data demonstrate that our method outperforms state-of-the-art methods.

# 2. Related Works

#### 2.1. Hyperspectral Image Reconstruction

Recovering the 3D HSI from the 2D compressive measurement plays an essential role in computational hyperspectral imaging. So far methods for HSI reconstruction can be generally grouped into two categories: prior knowledge based methods and deep learning based methods.

Traditional methods reconstruct HSI by solving optimization problems with prior knowledge based regularization. Under the sparsity assumption, HSI can be reconstructed by solving an  $\ell_0$  or an  $\ell_1$  relaxation optimization problem. With the hypothesis that objects in the scene have piecewise smooth structure, total variation (TV) regularization based methods have been widely used in computational hyperspectral imaging [15, 22, 23]. Various sparse reconstruction methods have been developed [12, 14, 16, 17, 21, 24] by conducting wavelet transform or over-completed dictionary as sparsity basis. Minimization of matrix rank based methods were proposed in [18, 20] to exploit the spatialspectral correlation. However, existing methods always unfold the 3D signal as a 1D vector and treat the prior information within different dimensions in an indiscriminative way, which ignores the high-dimensionality nature of HSI and results in poor reconstruction quality.

In recent years, deep learning techniques have been employed for image restoration. Several methods on deep neural network attempted to learn prior knowledge for natural image compressive imaging [25, 26]. However, the heterogeneity of HSI makes those methods difficult to be extended for computational hyperspectral imaging. A convolutional autoencoder (AE) was proposed in [27] to learn a nonlinear sparse representation for CASSI. A recent method named HSCNN used a convolutional network to finish the reconstruction task [28]. But these methods all try to learn a single prior information and can not exploit the highdimensionality intrinsic nature of HSI. Moreover, few experiments are carried out to verify that these networks can be applied to the actual hardware systems.

Based on our observation that high-dimensional tensors are more faithful to deliver intrinsic properties, we propose a DLTR based method to further promote the reconstruction fidelity. Besides, extensive experiments on actual hardware implementations validate the generalization of our method.

#### 2.2. Low-rank Tensor Recovery

Low-rank tensor recovery has been widely used in various computer vision tasks[29, 30, 31, 32, 33] in recent years. Based on the high-order singular value decomposition (HOSVD) [34], low-rank tensor recovery can be regarded as a factorization based problem. However, HOSVD needs to predefine the rank of each mode, which is a hard and unstable task. Sum of ranks minimization based methods [29, 35] have been widely used for tensor completion. However, these methods treat the rank of all modes equally, which ignore the discrepant physical meaning of different modes. To figure out this issue, a weighted tensor nuclear norm regulation based model [36] was presented and its relaxation with non-convex forms [37, 38] were further developed.

In this paper, we take advantage of the trend of this domain to boost the reconstruction quality for computational hyperspectral imaging. To the best of our knowledge, it is the first time that the DLTR model has been utilized for computational hyperspectral imaging.

# 3. Dimension-differentiated Low-rank Tensor Model

# 3.1. Notations and Preliminaries

We first introduce notations and preliminaries as follows. Matrices are denoted as boldface capital letters, e.g.,  $\boldsymbol{X}$ , vectors are represented with blodface lowercase letters, e.g.,  $\boldsymbol{x}$ , and scalars are indicated as lowercase letters, e.g.,  $\boldsymbol{x}$ . We denote a tensor of order N as boldface Euler script  $\boldsymbol{\mathcal{X}} \in \mathbb{R}^{I_1 \times I_2 \times \cdots I_N}$  and its *Frobenius* norm is the square root of the sum of the squares of all its elements, i.e.,  $\|\boldsymbol{\mathcal{X}}\|_F = \sqrt{\sum_{i_1=1}^{I_1} \sum_{i_2=1}^{I_2} \cdots \sum_{i_N=1}^{I_N} x(i_1, i_2, \cdots, i_N)^2}$ . By varying index  $i_n$  while keeping the others fixed, the mode-n fiber of  $\boldsymbol{\mathcal{X}}$  can be obtained. By arranging the mode-n fibers of  $\boldsymbol{\mathcal{X}}$  as column vectors, we get the mode-n unfolding matrix of  $\boldsymbol{\mathcal{X}}$ , which is denoted as  $\boldsymbol{X}_{(n)} \in \mathbb{R}^{I_n \times (I_1 \cdots I_{n-1}I_{n+1} \cdots I_N)}$ . fold<sub>n</sub>( $\cdot$ ) is the operator that converts the matrix back to the tensor format along the mode-n.

#### **3.2. Model Formulation**

The fundamental solution for HSI reconstruction is to solve an optimization problem with prior knowledge based regularization. Therefore, it is essential to fully leverage the intrinsic properties behind the desired signal and develop an appropriate regularization. So far two kinds of utilized priors for HSI recovery are the spectral correlation and the spatial self-similarity. The spectral correlation states a fact that HSI contains a small amount of basis materials and thus exhibits rich spectral redundancy. While the spatial selfsimilarity indicates that, for each exemplar patch, we can find many similar patches sharing the homologous structure. It has been shown that such two prior knowledge are very helpful for HSI processing [29, 30, 31, 32]. Take such prior knowledge into full consideration, we formulate 3D tensors to promote the reconstruction performance.

We first partition the HSI  $\mathcal{X}$  into overlapped cubic patches with the spatial block size of  $s \times s$  across full spectral bands. After lexicographically reordering the spatial block of each band into a 1D column vector, we search knearest similar neighbors for each patch and get a corresponding 3D tensor  $\mathcal{P}$  with the size of  $s^2 \times \Lambda \times (k+1)$ , where  $\Lambda$  is the spectral number. Although it is someway reasonable to construct a 4D tensor to preserve the 2D spatial structure, the spatial size  $s \times s$  is usually set to be small, which can not extract the intrinsic spatial features. While



Figure 3: Low-rank property analysis. We exploit the nonlocal similarity across spatial and spectral domain to reformulate a low-rank tensor in the first row. We unfold the tensor along each mode in the second row and show the corresponding singular value in the third row.

for a large *s*, unaffordable computation and memory burden will be introduced in practice.

The constructed 3D tensors simultaneously utilize the spatial self-similarity (mode-1), the spectral correlation (mode-2) and the joint correlation (mode-3), which would benefit us for investigating the priors in a unified framework. Consequently, we can obtain a basic representation towards low-rank tensor recovery:

$$\Gamma(\boldsymbol{\mathcal{P}}) = \tau \left\| \mathbf{R}(\boldsymbol{\mathcal{X}}) - \boldsymbol{\mathcal{P}} \right\|_{F}^{2} + \operatorname{rank}(\boldsymbol{\mathcal{P}}), \quad (1)$$

where  $\mathbf{R}(\cdot)$  represents the operator extracting the 3D tensor from  $\mathcal{X}$  and  $\tau$  denotes the penalty factor.

With the Tucker decomposition [39], the tensor rank is characterized by the sum of ranks of unfolding matrices along each mode, i.e. rank( $\mathcal{P}$ ) =  $\sum_{n=1}^{3} \operatorname{rank}(\mathcal{P}_{(n)})$ . However, simply summing the ranks lacks a clear investigation on different subspaces. In Fig. 3, we analyze the low-rank property of the constructed 3D tensor. We extract a nonlocal tensor from a clean HSI and implement SVD along each mode. The singular values of each unfolding matrix tend to be dropping to zero fleetly. But discrepancy exists in the descending rates of singular values of different modes. For example, due to the strong redundancy in non-local similar patches, the magnitude of singular values in mode-3 tends to decrease to zero with a relatively faster speed. Thus, a single penalty factor acted on all modes would produce poor tensor recovery results. Modes of lower rank still contain biased redundancy while those of higher rank are overestimated.

Considering such discrepancy among different modes, it is beneficial to estimate  $rank(\mathcal{P})$  in a dimensiondiscriminative manner. To address this issue, we propose to utilize the sum of weighted ranks regularization to exploit the multi-dimensionality diversity:

$$\Gamma(\boldsymbol{\mathcal{P}}) = \tau \|\mathbf{R}(\boldsymbol{\mathcal{X}}) - \boldsymbol{\mathcal{P}}\|_{F}^{2} + \sum_{n=1}^{3} w_{n} \operatorname{rank}(\boldsymbol{\mathcal{P}}_{(n)}), \quad (2)$$

where  $w_n > 0$  is the weight factor that can be regarded as the importance measurement of mode-*n*. In the following, we will illustrate the DLTR based reconstruction method for computational hyperspectral imaging.

# 4. Dimension-discriminative Low-rank Tensor Recovery Based Reconstruction

#### 4.1. Representative Systems

We give a brief introduction on two representative computational hyperspectral imaging systems, i.e., CASSI and DCD. It is worth noting that our method is also suited for other computational imaging systems, such as multiple snapshot imaging system [40, 41], spatial-spectral encoded imaging system[42] and so on.

The incident light in the CASSI system, as shown in Fig. 4, is first projected onto the plane of a coded aperture through the objective lens. After spatial modulation by a coded aperture, the incident light goes through a relay lens and is spectrally dispersed in the vertical direction by Amici prism. Finally, the modulated and dispersed spectral information is captured by a grayscale camera. Let  $\mathcal{X} \in \mathbb{R}^{M \times N \times \Lambda}$  denotes the original HSI and  $x(i, j, \lambda)$  is its element, where  $1 \le i \le M$ ,  $1 \le j \le N$  index the spatial coordinate and  $1 \le \lambda \le \Lambda$  indexes the spectral coordinate. The compressive measurement at position (i, j) on the focal plane of CASSI can be represented as:

$$y^{c}(i,j) = \sum_{\lambda=1}^{\Lambda} \rho(\lambda)\varphi(i-\phi(\lambda),j)x(i-\phi(\lambda),j,\lambda),$$
(3)

where  $\varphi(i, j)$  denotes the pattern of the coded aperture,  $\phi(\lambda)$  denotes the dispersion introduced by Amici prism and  $\rho(\lambda)$  is the spectral response of the detector. For brevity, let  $Y^c$  denote the matrix representation of  $y^c(i, j)$ . Then the matrix form of CASSI imaging can be expressed as:

$$\boldsymbol{Y}^{c} = \boldsymbol{\Phi}^{c}(\boldsymbol{\mathcal{X}}), \tag{4}$$

where  $\Phi^c$  is the forward imaging function (jointly determined by  $\rho(\lambda), \varphi(i, j)$  and  $\phi(\lambda)$ ).

The incident light in the DCD system, as shown in Fig. 4, is first divided into two directions by the beam splitter equivalently. The light in one direction is captured by the



Figure 4: Diagram of two representative computational hyperspectral imaging systems.

CASSI system, while the light on the other direction is captured directly by a grayscale camera. The compressive measurement on the panchromatic detector can be represented as:

$$y^{p}(i,j) = 0.5 \sum_{\lambda=1}^{\Lambda} \rho(\lambda) x(i,j,\lambda).$$
 (5)

Similar to the CASSI formulation in Equation (4), Equation (5) can also be rewritten in the matrix form as:

$$\boldsymbol{Y}^p = \boldsymbol{\Phi}^p(\boldsymbol{\mathcal{X}}),\tag{6}$$

where  $Y^p$  denotes the uncoded measurement and  $\Phi^p$  is the forward imaging function of the grayscale camera.

A general imaging representation of computational hyperspectral imaging can be derived as:

$$\boldsymbol{Y} = \boldsymbol{\Phi}(\boldsymbol{\mathcal{X}}). \tag{7}$$

For CASSI,  $Y = Y^c$  and  $\Phi = \Phi^c$ , For DCD,  $Y = [Y^c; Y^p]$  and  $\Phi = [\Phi^c; \Phi^p]$ . Actually, the goal of computational imaging reconstruction is to estimate  $\mathcal{X}$  from the compressive measurement Y.

#### 4.2. Reconstruction Formulation

To exploit the multi-dimensional intrinsic property of HSI analyzed in Section 3, a general reconstruction model with DLTR can be derived as follows:

$$\min_{\boldsymbol{\mathcal{X}},\boldsymbol{\mathcal{P}}^{l}} \frac{1}{2} \|\boldsymbol{Y} - \boldsymbol{\Phi}(\boldsymbol{\mathcal{X}})\|_{F}^{2} + \sum_{l=1}^{L} \left( \tau \left\| \mathbf{R}^{l}(\boldsymbol{\mathcal{X}}) - \boldsymbol{\mathcal{P}}^{l} \right\|_{F}^{2} + \sum_{n=1}^{3} w_{n} \operatorname{rank}(\boldsymbol{P}_{(n)}^{l}) \right),$$
(8)

where L denotes the total number of exemplar tensors. Minimizing the rank of matrix in Equation (8) is a NP-hard problem. To make a more explicit and accurate estimation, we further propose to introduce a non-convex relaxation of nuclear norm using a log-sum form:

$$\min_{\boldsymbol{\mathcal{X}},\boldsymbol{\mathcal{P}}^{l}} \frac{1}{2} \|\boldsymbol{Y} - \boldsymbol{\Phi}(\boldsymbol{\mathcal{X}})\|_{F}^{2} + \sum_{l=1}^{L} \left( \tau \left\| \mathbf{R}^{l}(\boldsymbol{\mathcal{X}}) - \boldsymbol{\mathcal{P}}^{l} \right\|_{F}^{2} + \sum_{n=1}^{3} w_{n} \Theta(\boldsymbol{\mathcal{P}}_{(n)}^{l}, \epsilon) \right),$$
(9)

where  $\Theta(\mathcal{P}_{(n)}^{l}, \epsilon) = \sum_{r} \log(\sigma_{r}(\mathcal{P}_{(n)}^{l}) + \epsilon)$ ,  $\epsilon$  is a small positive constant number and  $\sigma(\mathcal{P}_{(n)}^{l})$  defines the singular values of  $\mathcal{P}_{(n)}^{l}$ .

Let  $f(\sigma, \epsilon) = \log(\sigma + \epsilon)$ ,  $f(\sigma, \epsilon)$  derives a meaningful outcome that the singular values can be disposed adaptively. Specifically,  $f(\sigma, \epsilon)$  can be approximated using the first-order Taylor expansion at  $\sigma = \sigma^t$ , i.e.,  $f(\sigma, \epsilon) = f(\sigma^t, \epsilon) + \langle \nabla f(\sigma^t, \epsilon), \sigma - \sigma^t \rangle$ , where  $\sigma^t$  denotes the result of the *t*-th iteration. Actually, the solution of  $\min_{\sigma} f(\sigma, \epsilon)$  can be reasonably approximated by  $\min_{\sigma}(\sigma/(\sigma^t + \epsilon))$  since the constants in the first Taylor expansion don't influence the minimization problem and can be neglected. Therefore,  $\min_{\sigma} \Theta(\mathcal{P}_{(n)}^l, \epsilon) = \min_{\sigma} \sum_{r} (\sigma_r(\mathcal{P}_{(n)}^l)/(\sigma_r^t(\mathcal{P}_{(n)}^l) + \epsilon))$ . It means that those greater singular values of the *t*th iteration, which deliver more important information, will get a smaller weight and be shrunk by a lower amplitude at (t+1)-th iteration. Therefor, structure information can be preserved.

The log-sum based regularization model, which can be effectively solved by singular value thresholding, benefits us for reconstructing HSI with DLTR. An numerical algorithm for solving Equation (9) is then proposed in the following section.

#### 4.3. Optimization Algorithm

To optimize Equation (9), we adopt an alternating minimization scheme to split it into two finer subproblems: updating low-rank tensor  $\mathcal{P}^l$  and updating the whole HSI  $\mathcal{X}$ .

# **4.3.1** Updating Low-rank Tensor $\mathcal{P}^l$

By fixing HSI  $\mathcal{X}$ , we can estimate each low-rank tensor  $\mathcal{P}^{l}$  independently by solving the following reformulated equation:

$$\min_{\boldsymbol{\mathcal{P}}^{l}} \left\| \mathbf{R}^{l}(\boldsymbol{\mathcal{X}}) - \boldsymbol{\mathcal{P}}^{l} \right\|_{F}^{2} + \sum_{n=1}^{3} w_{n} \Theta(\boldsymbol{\mathcal{P}}_{(n)}^{l}, \epsilon).$$
(10)

Here, we employ an alternating minimization strategy to facilitate the problem. Specifically, by initializing  $\mathcal{P}_{(0)}^{l} = \mathbf{R}^{l}(\mathcal{X})$ , we estimate low-rank tensor  $\mathcal{P}^{l}$  in a mode-wise way:

$$\min_{\boldsymbol{\mathcal{P}}_{(n)}^{l}} \frac{1}{2} \left\| \boldsymbol{\mathcal{P}}_{(n-1)}^{l} - \boldsymbol{\mathcal{P}}_{(n)}^{l} \right\|_{F}^{2} + \alpha_{n} \sum_{r} \log(\sigma_{r}(\boldsymbol{\mathcal{P}}_{(n)}^{l}) + \epsilon),$$
(11)

where  $\alpha_n = w_n/2\tau$ . For n = 1, 2 and 3, Equation (11) admits a closed-form and can be updated by the following:

$$\boldsymbol{\mathcal{P}}_{(n)}^{l} = \operatorname{fold}_{n}(\boldsymbol{U}\boldsymbol{\Sigma}_{\alpha_{n}}\boldsymbol{V}^{T}), \qquad (12)$$

where  $\Sigma_{\alpha_n} = \text{diag}(\mathbf{S}_{\alpha_n,\epsilon}(\sigma_1), \mathbf{S}_{\alpha_n,\epsilon}(\sigma_2), ..., \mathbf{S}_{\alpha_n,\epsilon}(\sigma_{D_n}))$ , and  $U \text{diag}(\sigma_1, \sigma_2, ..., \sigma_{D_n}) V^T$  is SVD of  $\mathcal{P}_{(n-1)}^l$ . The singular value thresholding operation  $\mathbf{S}_{\alpha,\epsilon}(\sigma)$  is defined as:

$$\mathbf{S}_{\alpha,\epsilon}(\sigma) = \begin{cases} 0 & \text{if } c_1 \le 0\\ \operatorname{sign}(\sigma)(\frac{c_0 + \sqrt{c_1}}{2}) & \text{if } c_1 > 0 \end{cases}$$
(13)

with that  $c_0 = |\sigma| - \epsilon$ , and  $c_1 = (c_0)^2 - 4(\alpha - \epsilon |\sigma|)$ [43]. Finally, we update the low-rank tensor with  $\mathcal{P}^l = \text{fold}_3(\mathcal{P}^l_{(3)})$ .

# 4.3.2 Updating Whole HSI X

Once we obtain the low-rank tensor  $\mathcal{P}^l$ , the whole HSI  $\mathcal{X}$  can be updated by solving the following problem:

$$\min_{\boldsymbol{\mathcal{X}}} \ \frac{1}{2} \|\boldsymbol{Y} - \boldsymbol{\Phi}(\boldsymbol{\mathcal{X}})\|_{F}^{2} + \sum_{l=1}^{L} \tau \left\| \mathbf{R}^{l}(\boldsymbol{\mathcal{X}}) - \boldsymbol{\mathcal{P}}^{l} \right\|_{F}^{2}.$$
(14)

Actually, Equation (14) is a quadratic optimization problem and admits a straightforward least-square solution:

$$\mathcal{X} = \left( \Phi^T \Phi + 2\tau \sum_l \mathbf{R}^{l^T} \mathbf{R}^l \right)^{-1} \left( \Phi^T(\mathbf{Y}) + 2\tau \sum_{l=1}^L \mathbf{R}^{l^T} (\boldsymbol{\mathcal{P}}^l) \right),$$
(15)

which can be solved by a conjugate gradient algorithm.

#### 5. Experiments on Synthetic Data

In this section, we compare the performance of our method with both prior knowledge based methods and deep learning based methods via experiments on synthetic data.

#### **5.1. Implementation Details**

**Datasets.** Three public HSI datasets, including the Columbia dataset [44], the Harvard dataset [45] and the KAIST dataset [27] are used as synthetic data. These datasets contain various real-world objects of different materials. In our experiment, the resolution of all tested images is cropped into  $256 \times 256$  and 31 spectral bands (400nm to 700nm in Columbia, while 420nm to 720nm in Harvard and KAIST). And all tested HSIs are scaled into the interval [0,1].

**Comparison Methods.** Our algorithm is compared with 5 prior knowledge based methods, i.e., TV regularization integrated TwIST [46], gradient projection for sparse reconstruction (GPSR) [16], approximate message passing (AMP) [24], nonlocal sparse representation (NSR) [17] and low-rank matrix approximation (LRMA) [18] and 3 deep learning based methods, i.e., AE [27], HSCNN [28] and ISTA [26].

**Parameters Setting.** For the competitive methods, we make efforts to achieve their best results according to their released codes or publication suggestions. For our method, the penalty factor  $\tau = 1$ , the adaptive weight w = [0.1, 0.1, 1]c, and  $c = 10^{-4} \sim 10^{-3}$  is a constant. We

Methods	Indexes		C'Y BY	(10) (10) (10) (10) (10) (10) (10) (10)	11							Average
	PSNR	20.13	18.51	34.58	25.46	20.98	20.19	23.63	20.89	21.42	25.83	23.16
TV[12]	SSIM	0.675	0.429	0.928	0.845	0.643	0.626	0.571	0.794	0.770	0.846	0.713
	ERGAS	304.93	315.73	160.80	168.38	253.94	341.67	210.29	303.65	251.24	272.62	258.32
	PSNR	20.74	18.74	31.79	22.37	19.89	19.89	22.32	21.74	21.60	25.36	22.44
GPSR[16]	SSIM	0.581	0.431	0.838	0.721	0.556	0.576	0.507	0.732	0.679	0.703	0.632
	ERGAS	295.29	308.48	227.97	238.21	287.67	353.09	246.55	281.43	244.13	291.71	277.46
	PSNR	19.61	18.49	33.68	23.94	21.19	19.86	22.91	20.91	22.96	28.30	23.18
AMP[24]	SSIM	0.570	0.399	0.901	0.770	0.605	0.546	0.524	0.739	0.720	0.827	0.660
	ERGAS	322.56	320.12	178.82	201.53	246.97	354.04	229.17	304.57	207.02	202.82	256.76
	PSNR	23.46	19.12	37.06	29.53	23.41	21.52	24.49	24.25	26.90	31.60	26.13
NSR[17]	SSIM	0.756	0.453	0.959	0.901	0.705	0.672	0.583	0.827	0.840	0.919	0.761
	ERGAS	207.60	297.59	122.49	105.15	193.31	293.67	191.57	208.17	131.18	141.20	189.19
	PSNR	22.73	19.31	37.38	30.98	24.14	22.04	25.02	23.00	24.93	29.89	25.94
LRMA[18]	SSIM	0.806	0.470	0.948	0.944	0.771	0.743	0.608	0.847	0.863	0.933	0.793
	ERGAS	228.00	292.05	117.27	91.65	179.84	279.03	181.52	243.49	167.42	176.00	195.63
	PSNR	24.27	19.70	37.41	32.50	25.58	23.20	25.62	24.43	27.16	31.57	27.15
Ours	SSIM	0.855	0.495	0.948	0.948	0.802	0.788	0.626	0.870	0.901	0.939	0.817
	ERGAS	193.35	279.83	116.57	75.29	153.06	245.12	169.83	212.34	129.62	146.50	172.15

Table 1: Reconstruction results (PSNR(dB)/SSIM/ERGAS) of the 10 HSIs for different methods on CASSI.



Figure 5: Visual results comparison for *stuffed toys* on CASSI and DCD at 410nm. The average PSNR and SSIM values are presented in parenthesis. Our proposed method obtains better results on both computational imaging systems.

set the spatial patch size s = 6 with the overlapping size of 5 empirically. We search 45 nearest similar patches within a  $[-20, 20] \times [-20, 20]$  window.

**Evaluation Measures**. For quantitative evaluation, three image quality indexes are adopted: peek signal-to-noise ratio (PSNR), structure similarity (SSIM) [47] and erreur relative globale adimensionnelle de synthèse (ERGAS)[48]. PSNR measures the visual quality, SSIM measures the structure similarity and ERGAS measures the spectral fidelity. Generally, a bigger PSNR and SSIM and a smaller ERGAS suggest a better reconstruction accuracy.

#### 5.2. Performance Evaluation

We first compare our method with prior knowledge based methods on both CASSI and DCD to verify its performance. The quantitative results on the Columbia dataset are shown in Table 1 and Table 2, respectively. The best results for each image are highlighted in bold. Comparing the results within the same system, we can see that our method obtains a noticeable promotion in PSNR, SSIM and ERGAS on both systems. We show the reconstructed images of *stuffed toys* on both systems in Fig. 5, which can also demonstrate the superiorty of our method. Specifi-

Methods	Indexes			(a + 5)				A the				Average
TV[12]	PSNR	29.25	21.17	47.19	26.84	22.73	25.54	27.15	30.08	26.87	28.28	28.51
	SSIM	0.921	0.793	0.994	0.912	0.822	0.880	0.873	0.854	0.956	0.934	0.894
	ERGAS	156.67	250.06	38.57	155.41	217.18	188.39	150.89	126.06	142.41	245.79	167.14
	PSNR	27.62	25.99	36.97	25.86	24.69	25.13	27.88	29.48	26.47	27.92	27.80
GPSR[16]	SSIM	0.846	0.866	0.937	0.855	0.833	0.851	0.779	0.851	0.929	0.892	0.864
	ERGAS	181.06	133.41	140.06	164.91	172.74	194.91	138.77	144.54	151.69	241.40	166.35
	PSNR	27.66	23.52	38.77	28.92	26.53	24.97	27.38	26.44	28.38	32.62	28.52
AMP[24]	SSIM	0.829	0.793	0.955	0.880	0.841	0.819	0.882	0.760	0.869	0.897	0.853
	ERGAS	137.55	174.13	102.23	119.43	137.27	197.58	139.14	163.35	111.78	125.03	140.75
	PSNR	31.01	22.27	48.49	36.77	30.87	27.21	28.02	35.45	30.11	35.58	32.58
NSR[17]	SSIM	0.944	0.831	0.996	0.976	0.943	0.904	0.966	0.884	0.973	0.959	0.938
	ERGAS	135.46	223.83	33.61	47.36	85.87	156.51	137.69	59.04	98.78	98.90	107.71
	PSNR	40.65	28.87	48.20	40.11	34.31	33.85	34.71	41.22	37.57	35.03	37.45
LRMA[18]	SSIM	0.989	0.927	0.991	0.989	0.971	0.970	0.973	0.945	0.991	0.986	0.973
	ERGAS	34.49	99.71	35.34	32.24	57.01	72.43	59.72	30.35	40.41	111.30	57.30
	PSNR	42.02	29.79	48.91	40.67	35.37	34.80	35.27	41.94	38.30	35.88	38.29
Ours	SSIM	0.991	0.938	0.991	0.990	0.976	0.976	0.977	0.950	0.992	0.988	0.977
	ERGAS	25.98	89.58	33.08	30.13	50.36	64.68	55.79	27.90	37.06	103.58	51.81

Table 2: Reconstruction results (PSNR(dB)/SSIM/ERGAS) of the 10 HSIs for different methods on DCD.



Figure 6: Reconstructed quality comparison. The average PSNR and SSIM values are presented in parenthesis. The offside curves show the absolute spectral error of the white labels on ground truth. Our proposed method outperforms all three deep learning based methods in terms of spatial vision and spectral accuracy.

cally, our method produces remarkable spatial quality promotion compared with TV, AMP and NSR. It indicates that low rank prior can recover more structure information than sparse prior. Further, the promotion upon LRMA indicates that the high-dimensional tensor is more powerful than matrix to exploit the intrinsic characteristic of HSI.

Then, we carry out a thorough comparison with three deep learning based methods on both the Harvard dataset and the KAIST dataset. Specifically, for the Harvard dataset, we use 35 HSIs for training and 9 HSIs for testing, for the KAIST dataset, we use 29 HSIs for training and 3 HSIs for testing. The average numerical results of CASSI are presented in Table 3. It can be seen that our method outperforms all three deep learning based methods as well. Extensive comparison, including the visual results and the absolute error in the spectral distribution, are shown in Fig. 6. For better vision, we convert the reconstructed HSI into RGB using the CIE color mapping function. It shows that our method can exhibit not only clearer spatial details but also higher spectral fidelity.



Figure 7: Reconstruction performance comparison on real data. Compared with other methods, our method can produce better visual details.

Table 3: Comparison with 3 deep learning based methods on CASSI. The results are obtained by averaging the testing images on 2 datasets. Our method produces a notable promotion upon deep learning based methods.

Datasets	Indexes	AE	HSCNN	ISTA	Ours
	PSNR	29.20	27.60	29.87	31.14
Harvard	SSIM	0.912	0.900	0.913	0.932
	ERGAS	91.62	105.90	85.21	74.92
	PSNR	26.03	21.06	28.57	34.87
KAIST	SSIM	0.920	0.814	0.909	0.962
	ERGAS	172.32	273.37	151.49	37.40

#### 5.3. Real Data

We further evaluate the performance of the proposed method using the real data. The captured scene is a cartoon cover under the laboratory ambient light condition. The compressive measurement of CASSI is shown in Fig. 7(a) and the panchromatic image of DCD is shown in Fig. 7(g). It can be seen that the proposed method can obtain better results with clearer contents and abundant textures compared with the other methods on both systems. By contrast, TV produces over-smoothing results, both NSR and LRMA introduce noise and lost spatial details, while AE suffers from severe artifacts. Therefore, our method is also potential to be applied into the real nature scene.

## 6. Conclusion

In this paper, we have proposed a general reconstruction model for computational hyperspectral imaging based on the dimension-discriminative low-rank tensor recovery. We utilized 3D tensors to exploit the intrinsic properties of hyperspectral image, including spatial self-similarity, spectral correlation and joint correlation to boost the reconstruction performance. To clearly figure out the multi-dimension diversity, a dimensional-discriminative low-rank tensor recovery model based on a weighted nuclear norm regularization is developed. Then the low-rank regularization and the imaging process are unified in a general reconstruction model, which is effectively solved by an iterative numerical algorithm. The executive experiments on CASSI and DCD have verified the superior performance and the application perspective of our method. In our future work, we will investigate the dimension-discriminative low-rank tensor recovery to more actual computational imaging systems.

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