

Figure 1: Based on the analysis of a view-parameterized light field, a passive, monocular sensor could instantaneously measure distances and velocities of objects from image derivatives in a window, even under extreme power constraints.

Abstract

We present an opportunity for the visual sensing of depth and 3D velocity using a passive sensor that has extremely low power requirements. This opportunity comes from a new mathematical constraint, which we derive, that relates depth and velocity to spatial and temporal derivatives of image values captured by a coded-aperture camera that observes a moving scene. The constraint exploits the fact that there are two causes of brightness change in this situation: features move across the image due to motion, and contrast changes because of time-varying optical blur. The sensor that could be realized from this constraint is called a focal flow sensor. We analytically characterize the working volume of such a sensor in relation to its size, and we provide simulation results that affirm its viability.

1. Extreme Power Constraints

The miniaturization of technology is constantly advancing, and platforms such as tiny air vehicles increasingly demand visual sensors that operate on smaller scales and with less power than current technology can achieve [1, 6]. One way to reduce power requirements in these situations is through computational sensing, where optics and inference algorithms are co-designed in ways that lessen the complexity of post-capture calculations. This paper presents mathematical analysis that suggests a new type of computational sensor, one that measures distance to visible surfaces and 3D velocity relative to those surfaces. This could provide a low-power alternative to existing, high-power depth sensors that either require an active light source (e.g. time-of-flight) or substantial post-capture computation to solve a complex inference problem (e.g. stereo, depth from defocus).

The cues studied here are motion and defocus. Deriving depth from either of these signals independently can be expensive or unreliable, but their weaknesses can be mitigated through a novel cue combination mechanism. Our contribution is the derivation of a per-pixel constraint,

$$\begin{bmatrix} I_y & I_x & xI_x + yI_y & I_{xx} + I_{yy} \end{bmatrix} \cdot \vec{v} + I_t \approx 0,$$

which holds when the aperture of a moving camera is equipped with an apodizing filter that has a narrow Gaussian profile. Over an image patch, depth and velocity are recovered simply by taking spatial and temporal derivatives, and solving a 4×4 linear system for coefficients $\vec{v} = (v_0, v_1, v_2, v_3)$. Scene parameters are then computed from these coefficients in closed form using known intrinsic camera parameters such as aperture size and focal length.

The proposed sensor can be understood as an optical flow sensor with defocus. Traditional optical flow, where all images are in focus, is computable from a linear system of equations on image derivatives in a receptive field [7]. This locally resolves time-to-contact [5], but it cannot instantaneously give explicit local distance and velocity. We show that when the camera is defocused and equipped with an appropriate aperture function, a similarly simple calculation provides explicit depth and velocity. The derivations of our results will be published at a later time.

2. View-Parameterized Light Field

We derive our constraint using a light field representation [2, 11], which has successfully been used to analyze a variety of computational cameras [9, 10]. For clarity, we present in two dimensions (flatland), where there is a 2D space of light rays and a 1D image domain. As is common, we parameterize light rays by their intersections with two parallel reference lines, but less common, we affix the reference lines to the moving sensor. This makes a viewparameterized light field. The references are parallel to the photodetector line and located at the lens center and objectside focal point, all of which are determined by the sensor's internal geometry and assumed known (middle of Figure 1).

We assume that locally the scene is fronto-parallel and of matte reflectance, as shown in Figure 1. There are four parallel lines of interest in the figure center: the local world line parameterized by s, the photodetector (image) line parameterized by x, the first reference line at the lens center parameterized by a, and the second reference line at the object-side focal point parameterized by b. We use the word *texture* for the radiance at the world line and denote it T(s), and we assume that it is at least twice differentiable.

The axial distances from the lens center to the sensor (μ_s) and focal point (μ_f) are known quantities determined by the sensor's construction, while the distance to the world plane, or depth, (Z) is to be measured. The origins of the lens, focal, and sensor lines are at their intersection with the optical axis. The world line has its own origin, so its intersection with the optical axis is at world point s - X, with X the (unknown) time-varying lateral position of the sensor. Our aim is to recover the depth Z and the sensor velocity (\dot{X}, \dot{Z}) from image measurements, and to do so in a way that is invariant to the unknown texture T(s).

Each texture point induces a ray in the light field, and the slope of these rays encodes depth. The light rays rotate about their intersection with the line a = b in response to axial motion \dot{Z} , and in response to transverse motion \dot{X} they translate along the line a = b. We are interested in the radiance L(a, b) of the light ray that corresponds to a fixed world point. This world point projects to a time-varying image location x(t), and its radiance is determined by where it intersects the texture plane:

$$L(a,b,T,X,Z) = T(s(a,b(x(t)),X(t),Z(t)).$$
(1)



Figure 2: Time-varying images of a 1D front-parallel texture with a sinusoidal radiance pattern. *Left*: In the all-infocus case, there is no contrast loss, and the image P(x, t)changes only in frequency and phase. *Right*: A finite aperture incurs optical blur, and now the contrast of image I(x, t) also changes over time, allowing explicit recovery of depth and velocity without knowledge of texture.

3. Conventional All-in-Focus Constraint

Before proceeding to the focal flow constraint, it is worth understanding how the classical linearized optical flow (or constant brightness) equation [5] can be derived in this light field framework. At time t, the all-in-focus (pinhole) image P(x,t) corresponds to a slice through the light field along line a = 0:

$$P(x(t),t) = L(0, b(x(t)), T, X(t), Z(t)).$$
(2)

As the sensor moves through a static scene, the effective light field skews, and the image changes. The left of Figure 2 is an example where the texture T(s) is sinusoidal and the velocity is zero in X and constant in Z. Because there is no optical blur, there is no loss of contrast over time, and the imaged sinusoid changes only in frequency and phase.

The linearized optical flow constraint follows directly from taking the total time derivative of Eq. 2 and noting that, because of the fixed contrast, dP/dt = 0. Alternatively, the partial image derivatives can be rearranged in the form of a related linear constraint on time-to-contact (Z/\dot{Z}) and bearing (\dot{Z}/\dot{X}) :

$$\begin{bmatrix} P_x & xP_x \end{bmatrix} \cdot \begin{bmatrix} v_1 & v_2 \end{bmatrix} + P_t = 0, \tag{3}$$

time-to-contact:
$$1/v_2$$
 (4)

bearing:
$$-\mu_s v_2/v_1$$
. (5)

Our derivation follows directly from the differentiability of the texture T(s) and light field L(a, b(t)). It is an alternative to previous derivations based on a truncated Taylor expansion of the image [3].

The linear constraint of Eq. 3 holds at every pixel, so image derivatives from a small (non-degenerate) image patch can be accumulated into a simple 2×2 linear system that uniquely determines bearing and time-to-contact. However, there is not enough information to resolve this into explicit depth and velocity.

4. Focal Flow Constraint

The view-parameterized light field makes it easy to add an aperture. We give our sensor a finite aperture that passes all rays through $a \in [-A/2, A/2]$, and in the spirit of coded aperture cameras [8, 12, 13] we include an attenuating transmittance function k(a). In this case, the (possibly defocused) image is

$$I(x(t),t) = \int_{-A/2}^{A/2} L(a,b(x(t)),T,X(t),Z(t))k(a)da.$$
(6)

As depicted in the right of Figure 1, at each pixel x this is a vertical line integral of the light field, weighted by the aperture function.

Now, when the sensor moves, changes in optical blur result in changing image contrast over time. The right of Figure 2 shows this effect for the special case of a sinusoidal texture pattern. It is this change in contrast, which comes in addition to the changes in frequency and phase, that provides additional information to resolve time-to-contact and bearing into explicit depth Z and velocity (\dot{X}, \dot{Z}) .

Note that unlike the pinhole case, the total time derivative of Eq. 6 is not zero. Instead, it takes on a value $\frac{dI}{dt} = E(T)$ that depends on the unknown texture pattern T. However, it can be shown that for a suitable choice of the aperture function k(a) this 'error term' is directly proportional to a very measurable quantity: the second spatial derivative of the image, $E(T) \propto I_{xx}$. The required function is a truncated Gaussian,

$$k(a) = \begin{cases} e^{-\frac{a^2}{2\Sigma^2}} &, |a| \le A/2 \\ 0 &, |a| > A/2 \end{cases},$$
 (7)

whose width is sufficiently narrow with respect to the aperture (say, $\Sigma < A/6$).

The ratio between the image derivative I_{xx} and error term E contains depth and velocity information and can be estimated from the image alone, and this leads to the following texture-independent constraint on depth and velocity.

$$\begin{bmatrix} I_x & xI_x & I_{xx} \end{bmatrix} \cdot \begin{bmatrix} v_1 & v_2 & v_3 \end{bmatrix} + I_t = 0, \qquad (8)$$
$$\mu^2 \Sigma^2 v_2$$

$$Z = \frac{\mu_s \Sigma v_2}{\mu_s^2 \Sigma^2 v_2 / \mu_f - \mu_f v_3} \tag{9}$$

$$Z = -Zv_2 \tag{10}$$

$$\dot{X} = Z v_1 / \mu_s \tag{11}$$

This per-pixel linear constraint can be applied to a small image patch for power-efficient estimates of depth and velocity. The analogous constraint on two-dimensional textures that is shown in the introduction follows immediately from the separability of the Gaussian aperture. In this case, the other component of lateral velocity is $\dot{Y} = Zv_0/\mu_s$.

5. Working Range

There are many algorithmic choices for a sensor using our constraint, such as the scale of image derivatives and the grouping of pixels into appropriate patches. These are longstanding questions in optical flow and time to contact [4, 5] and instead of addressing them here we study the underlying sensitivity of the system by considering observations of a sinusoidal texture. From these idealized images we can derive bounds on depth error for sensors that vary in aperture size and other physical dimensions, and we can visualize how working range relates to sensor size.

When a moving camera observes a sinusoidal texture it obtains sinusoidal images with frequencies $\omega(t)$ and amplitudes B(t). In this context, we can analytically derive an upper bound on depth error by propagation of errors in measured frequency (e.g. due to spatial resolution) and of errors in measured amplitude (e.g. due to bit-depth and sensor noise). If image frequencies and their changes are measured with error less than ϵ_{ω} and $\epsilon_{\dot{\omega}}$, and image brightnesses and their changes within ϵ_B and $\epsilon_{\dot{B}}$, respectively, then the error in estimated depth ϵ_Z is bounded as:

$$\epsilon_{Z} \leq \sqrt{\left(\frac{\partial Z}{\partial \omega}\right)^{2} \epsilon_{\omega}^{2} + \left(\frac{\partial Z}{\partial \dot{\omega}}\right)^{2} \epsilon_{\dot{\omega}^{2}} + \left(\frac{\partial Z}{\partial B}\right)^{2} \epsilon_{B}^{2} + \left(\frac{\partial Z}{\partial \dot{B}}\right)^{2} \epsilon_{B}^{2}}} = \frac{Z}{\mu_{f}} |Z - \mu_{f}| \sqrt{\frac{\epsilon_{\omega}^{2}}{\omega^{2}} + \frac{\epsilon_{\omega}^{2}}{\dot{\omega}^{2}} + \frac{\epsilon_{B}^{2}}{B^{2}} + \frac{\epsilon_{B}^{2}}{\dot{B}^{2}}}}.$$
(12)

This error bound is shown in the left of Figure 3 for sensors with the same aperture size but different distances μ_s . For each sensor we plot the bounded errors for textures located at distances Z within a 20cm window around the object-side focal point. These graphs agree with the intuition that the strength of the blur cue diminishes when the texture moves too far from the focal point (recall the right of Figure 2). The spike in the error bound at the focal point μ_f is caused by the $1/\dot{B}$ term in expression (12), and it reveals the limitations of a first-order propagation-of-errors: in simulations of actual depth reconstructions, we do not see such errors near the focal point. Note that the appearance of both frequency and brightness error terms in expression (12) reveals a trade-off between spatial resolution and bit-depth. For a desired level of depth accuracy, a camera with high bit-depth (low ϵ_B and $\epsilon_{\dot{B}}$) or high pixel density (low ϵ_{ω} and $\epsilon_{\dot{\omega}}$) could make up for deficiencies in the other.

One can draw similar error graphs for different aperture sizes A, and for each combination of μ_s and A we can compute an ϵ -working range, defined as the range of positions Z for which the sensor's depth error is guaranteed to be less than ϵ . The right of Figure 3 shows one such graph for $\epsilon = 0.25cm$. This visualization can be used to identify optimal combinations of sensor length and width (μ_s and A) in the face of constraints on fixed total area $\mu_s \times A$.

We also verify the viability of this sensing method by simulating noisy images of sinusoidal plaid textures, approximating derivatives by finite differences, accumulating per-pixel constraints over a 50×50 window, and recovering depth using Eq. 9. We do this for sensors of various dimensions, with texture frequencies adjusted so that every sensor captures the same image when the world plane is at its focal point. Figure 4 shows such distance estimates averaged over 50 trials, for sensors having the same aperture size but different lengths μ_s . Accuracy is higher near each sensor's focal point and degrades gradually over its working range.



Figure 3: An exploration of noise sensitivity with $\epsilon_B = \epsilon_{\dot{B}}/2 = \epsilon_{\omega} = \epsilon_{\dot{\omega}}/2 = .05$, $\dot{do} = 1$, and $\mu_f = 5\mu_s$, with a sinusoidal texture of unit frequency in world coordinates. *Left*: for A = 3, distance error ϵ_Z is shown over μ_s as a function of distance, shifted to align each camera's focal point. Dotted line at 0.25*cm* marks threshold defining the 0.25-working range. *Right*: 0.25-working range for varying camera dimensions. In white are level curves of camera area $A \times \mu_s$, increasing by $4cm^2$ from bottom left.



Figure 4: Simulated cameras of different size (A = 3 cm, $\Sigma = A/6$ for all) show working ranges of varying size and location. Near each camera's focal distance, indicated by a dashed vertical line of corresponding color, measurements closely match ground truth.

6. Toward a Focal Flow Camera

To realize a focal flow sensor, we are currently exploring robust estimation techniques that compute derivatives at multiple spatial scales and that automatically discard image windows that to not contain sufficient brightness variation or do not back-project to fronto-planar scene planes. We are also testing physical prototypes and exploring the mathematical space of apodizing functions that provide textureinvariance in ways similar to the truncated Gaussian. More generally, we believe that the view-parameterized light field may be useful in modeling and designing other computational sensors that exploit various optical cues.

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